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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

TOM FORESE – Chairman
BOB BURNS
DOUG LITTLE
ANDY TOBIN
BOYD W. DUNN

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Arizona Corporation Commission

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IN THE MATTER OF:

DOCKET NO. S-21012A-17-0140

MICHAEL A. CROWE (CRD# 1057029) and
LEQUITA CROWE, husband and wife,

Respondents.

PROCEDURAL ORDER
(Schedules a Hearing)

BY THE COMMISSION:

On May 15, 2017, the Securities Division (“Division”) of the Arizona Corporation Commission (“Commission”) filed a Notice of Opportunity for Hearing Regarding Proposed Order of Revocation, Order to Cease and Desist, Order for Restitution, Order for Administrative Penalties and Order for other Affirmative Action (“Notice”) against Michael A. Crowe and Lequita Crowe, husband and wife (“Respondents”), alleging that Respondents engaged in such acts, practices and transactions that constitute grounds for revocation under the Securities Act of Arizona, A.R.S. § 44-1801 *et seq.* (“Securities Act”) and the Arizona Investment Management Act, A.R.S. § 44-3101 *et seq.* (“IM Act”). Respondent Lequita Crowe was joined in the action solely for the purpose of determining the liability of the marital community pursuant to A.R.S. § 44-2031(C).

On May 31, 2017, Respondent Michael A. Crowe filed a Request for Hearing.

On June 9, 2017, by Procedural Order, a pre-hearing conference was scheduled to commence on June 22, 2017.

On June 13, 2017, Respondent Michael A. Crowe filed his Answer.

On June 22, 2017, the pre-hearing conference was held as scheduled. The Division appeared through counsel. Respondent Michael A. Crowe appeared *pro per*. Respondent Lequita Crowe did not appear. The scheduling of a hearing was discussed.

1 Pursuant to Arizona Administrative Code (“A.A.C.”) R14-4-306, it is appropriate to schedule
2 a hearing at this time.

3 IT IS THEREFORE ORDERED that a **hearing shall be held commencing on November 13,**
4 **2017, at 10:00 a.m., at the Commission’s offices, 1200 West Washington Street, Phoenix, Arizona.**

5 IT IS FURTHER ORDERED that **the parties shall also reserve November 14, 15, 16, and**
6 **17, 2017, for additional days of hearing,** if necessary.

7 IT IS FURTHER ORDERED that **the Division and Respondents shall exchange copies of**
8 **their Witness Lists and copies of the Exhibits by September 29, 2017,** with courtesy copies provided
9 to the presiding Administrative Law Judge.

10 IT IS FURTHER ORDERED that if the parties reach a resolution of the issues raised in the
11 Notice prior to the hearing, the Division shall file a Motion to Vacate the Proceeding.

12 IT IS FURTHER ORDERED that each party or prospective party shall **refer to the Procedural**
13 **Order Regarding Consent to Email Service** issued in this matter on **June 8, 2017,** for additional
14 information regarding the process to consent to service by email. Information regarding Consent to
15 Email Service is also available on the Commission’s website (www.azcc.gov) by clicking on “Email
16 Service Consent.”

17 IT IS FURTHER ORDERED that all parties must comply with Arizona Supreme Court Rules
18 31, 38, 39, and 42 and A.R.S. § 40-243 with respect to the practice of law and admission *pro hac vice*.

19 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113 - Unauthorized
20 Communications) applies to this proceeding and shall remain in effect until the Commission's Decision
21 in this matter is final and non-appealable.

22 IT IS FURTHER ORDERED that withdrawal of representation must be made in compliance
23 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
24 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances at
25 all hearings and procedural conferences, as well as all Open Meetings for which the matter is scheduled
26 for discussion, unless counsel has previously been granted permission to withdraw by the
27 Administrative Law Judge or the Commission.

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1 IT IS FURTHER ORDERED that the Administrative Law Judge may rescind, alter, amend, or
2 waive any portion of this Procedural Order either by subsequent Procedural Order or by ruling at
3 hearing.

4 DATED this 27 day of June, 2017.

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7 BRIAN D. SCHNEIDER
8 ADMINISTRATIVE LAW JUDGE
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1 On this 27th day of June, 2017, the foregoing document was filed with Docket Control as a Procedural
2 Order – Schedules a Hearing, and copies of the foregoing were mailed on behalf of the Hearing
3 Division to the following who have not consented to email service. On this date or as soon as possible
thereafter, the Commission’s eDocket program will automatically email a link to the foregoing to the
following who have consented to email service.


4 Michael A. Crowe and Lequita Crowe
5 1533 East Grandview Street
6 Mesa, AZ 85203

7 Matt Neubert, Director
8 Securities Division
9 ARIZONA CORPORATION COMMISSION
10 1200 West Washington Street
11 Phoenix, Arizona 85007
12 SecDivServicebyEmail@azcc.gov

13 **Consented to Service by Email**

14 COASH & COASH, INC.
15 Court Reporting, Video and
16 Videoconferencing
17 1802 North 7th Street
18 Phoenix, AZ 85006

19 **Not a party, mailed as a courtesy**

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By: 
Staci Antrim
Assistant to Brian D. Schneider