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Memorandum

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From the office of Commissioner Boyd Dunn Arizona Corporation Commission 1200 W. WASHINGTON PHOENIX, ARIZONA (602) 542-3935

Arizona Corporation Commission DOCKETED

JUN 07 2017

TO: Docket Control

DATE: June 7, 2017

FROM: Commissioner Dunn's Office

SUBJECT: Docket No. AU-00000E-17-0079

Correspondence from Commissioner

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COMMISSIONERS TOM FORESE - Chairman BOB BURNS DOUG LITTLE ANDY TOBIN BOYD DUNN



Boyd Dunn Commissioner

Direct Line: (602) 542-3935 E-mail: dunn-web@azcc.gov

ARIZONA CORPORATION COMMISSION

June 7, 2017

Re: First Workshop re: Investigation and Promulgation of a Code of Ethics for the Arizona Corporation Commission, Docket No. ACC-00000B-17-0079

Dear Chairman Forese and Commissioners,

On March 22, 2017, I opened this docket to develop and implement a Commission Code of Ethics. In my prior letter, I identified several topics that should be investigated before formulating the provisions in that Code. Specifically, I believe we must understand the Commission's authority to promulgate a code of ethics and the role of a code of ethics. That inquiry must begin with a broad review of applicable authorities and model guidelines.

First, it is critical to understand what ethical obligations currently apply to the Commission. We cannot build a workable code without a baseline. To that end, I am calling upon staff and reaching out to other agencies and experts to get a sense of the current Arizona laws, rules, and policies that apply to the Commission, and the current jurisdictional limits, if any, on promulgating a Code of Ethics for the Commission.

Second, it is equally important to understand how other states have approached codes of ethics. I am directing staff to work with me in researching codes of ethics promulgated by commissions from other jurisdictions. These codes are valuable to determining the scope of this Commission's Code. We will pay particular attention to commissions that have similar characteristics to Arizona.

These topics will be explored in detail at the first Code of Ethics workshop that is tentatively scheduled for August 2, 2017. This workshop will be centered on a discussion with stakeholders and the public about the foregoing topics. I thank you in advance for participating in this discussion and I look forward to the insight we will gain through this process.

Sincerely,

Boyd W. Dunn Commissioner and Chairman of the Ethics Committee

On this 7th day of June, 2017, the foregoing document was filed with Docket Control as a Correspondence From Commissioner, and copies of the foregoing were mailed on behalf of Boyd Dunn, Commissioner - A.C.C. to the following who have not consented to email service. On this date or as soon as possible thereafter, the Commission's eDocket program will automatically email a link to the foregoing to the following who have consented to email service.

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By:

Patrick Maloney O Deputy Policy Advisor