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AZ CORP COMMISSION
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AFFIDAVIT OF SERVICE

1 STATE OF ARIZONA

2 County of Maricopa

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6 I, William C Woerner, Special Investigator for the Securities Division of the Arizona Corporation
7 Commission, hereby certify that on the 20th day of January, 2017 at 1300 West Washington Street,
8 Phoenix, AZ 85007, I served a copy of a NOTICE OF OPPORTUNITY FOR HEARING
9 REGARDING PROPOSED ORDER TO CEASE AND DESIST, ORDER FOR RESTITUTION,
10 ORDER FOR ADMINISTRATIVE PENALTIES, AND ORDER FOR OTHER AFFIRMATIVE
11 ACTION, Docket No. S-21002A-17-0016, upon Richard A. Smart at the office of the Arizona
12 Corporation Commission, Securities Division, 1300 West Washington Street, Phoenix, AZ 85007,
13 by hand delivery.

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William C Woerner
AFFIANT

1/30/17
DATE

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SUBSCRIBED AND SWORN TO BEFORE me this 30th day of January, 2017.

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W.H. Santee
NOTARY PUBLIC

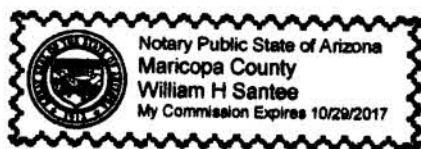
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My Commission Expires:

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Arizona Corporation Commission
DOCKETED

JAN 30 2017

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