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SECURITIES DIVISION'S RESPONSE
TO MOTION TO CONTINUE

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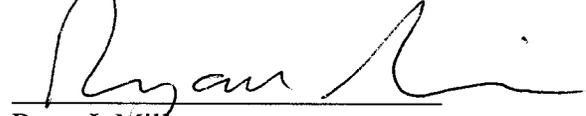
In the matter of:) DOCKET NO. S-20949A-16-0002
)
BART J. ELLIS and COLLEEN ELLIS, husband) (Assigned to the Hon. Mark Preny)
and wife,)
)
OAK CAPITAL PARTNERS, LLC, an Arizona)
limited liability company,)
)
Respondents.)

In response to Colleen Ellis's Motion to Continue filed on August 19, 2016, the Division requests that, due to witness availability, the hearing begin on Thursday, September 1, 2016 at 1:00 pm, with Friday, September 2 as an additional date of hearing. These dates are already set aside for the hearing in the Third Procedural Order dated March 7, 2016.

The Division believes that Thursday afternoon and Friday will be sufficient time for this hearing. The Division plans to call at least two and at most four witnesses. The Division's case will likely constitute the vast majority of the hearing. No respondents have submitted lists of witnesses or exhibits. Respondent Oak Capital Partners has not filed a request for hearing or an answer. Respondent Bart Ellis filed a request for hearing, but not an answer—which failure to deny the charges constitutes an admission of all charges under Commission Rule of Procedure R14-4-305(D). Additionally, the Division has been unable to contact Bart Ellis by phone, mail, or personal service. Consequently, the only party that has both filed an answer and requested a hearing is Colleen Ellis, against whom the Division brought no allegations of Securities Act or Investment Management Act violations and who is only part of this matter to determine the liability of her and Bart Ellis's marital community.

1 For these reasons, the Division requests that the hearing be continued to begin Thursday,
2 September 1, 2016, at 1:00 pm. If the ALJ determines that starting at this time is not feasible, the
3 Division requests that the hearing be rescheduled for a later date.

4 DATED: August 22, 2016

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6 Ryan J. Milecam
7 Attorney for the Securities Division of the Arizona
8 Corporation Commission

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10 On this 22nd day of August, 2016, the foregoing document was filed with Docket Control as a
11 Securities Division Response to Motion, and copies of the foregoing were mailed on behalf of the
12 Securities Division to the following who have not consented to email service. On this date or as soon
13 as possible thereafter, the Commission's eDocket program will automatically email a link to the
14 foregoing to the following who have consented to email service.

15 Bart J. Ellis
16 10888 N. 70th St. Apt. 141
17 Scottsdale, AZ 85254
18 Respondent and Manager of Respondent Oak Capital Partners, LLC

19 Lawrence I. Kazan
20 Debus, Kazan & Westerhausen, Ltd.
21 335 East Palm Lane
22 Phoenix, AZ 85004
23 lik@dkwlawyers.com
24 Attorneys for Colleen Ellis

25 By: 
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