

ORIGINAL



0000171802

BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

DOUG LITTLE, Chairman
BOB STUMP
BOB BURNS
TOM FORESE
ANDY TOBIN

RECEIVED
AZ CORP COMMISSION
DOCKET CONTROL

2016 JUL 22 AM 11 41

In the matter of:

John Anthony Waszolek (CRD No. 800403)
and Kathleen Waszolek, husband and wife,

Respondents.

DOCKET NO. S-20977A-16-0242

**NOTICE OF APPEARANCE AND
REQUEST FOR HEARING**

Pursuant to A.A.C. R14-3-104, undersigned counsel enters his appearance on behalf of Respondents John Anthony Waszolek and Kathleen Waszolek ("Respondents"). Pursuant to A.A.C. R14-4-306(B), Respondents request a hearing. Respondents also request a pre-hearing conference.

RESPECTFULLY SUBMITTED this 22nd day of July, 2016.

BASKIN RICHARDS PLC

By

Alan S. Baskin
2901 North Central Avenue, Suite 1150
Phoenix, Arizona 85012
Attorney for Respondents John Anthony
Waszolek and Kathleen Waszolek

ORIGINAL and thirteen copies of the foregoing
filed this 22nd day of July, 2016 with:

Docket Control
Arizona Corporation Commission
1200 West Washington Street
Phoenix, AZ 85007

Arizona Corporation Commission
DOCKETED

JUL 22 2016

DOCKETED BY
RT

BASKIN RICHARDS PLC
2901 North Central Avenue
Suite 1150
Phoenix, AZ 85012
TELEPHONE NO 602-812-7979
FACSIMILE 602-595-7800

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27

COPY of the foregoing hand-delivered
this 22nd day of July, 2016 to:

Matthew J. Neubert
Director of Securities
Securities Division
Arizona Corporation Commission
1300 W. Washington Street, 3rd Floor
Phoenix, AZ 85007

Hearing Officer
Hearing Division
Arizona Corporation Commission
1200 W. Washington Street
Phoenix, AZ 85007

COPY of the foregoing e-mailed/mailed
this 22nd day of July, 2016 to:

James Burgess
Securities Division
Arizona Corporation Commission
1300 W. Washington, 3rd Floor
Phoenix, AZ 85007

Kate Breelow