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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

Arizona Corporation Commission

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DOUG LITTLE – Chairman  
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AZ CORP COMMISSION  
DOCKET CONTROL

DOCKETED BY [Signature]

in the matter of:

FRANKLIN AAA HOLDINGS, LLC, A TEXAS  
LIMITED LIABILITY COMPANY,

DOCKET NO. S-20930A-15-0211

ANTHONY CLAVIEN, A SINGLE MAN,

Respondents.

PROCEDURAL ORDER  
(Grants Email Consent)

BY THE COMMISSION:

On June 24, 2015, the Securities Division (“Division”) of the Arizona Corporation Commission (“Commission”) filed a Notice of Opportunity for Hearing (“Notice”) against Franklin AAA Holdings, LLC, A Texas limited liability company (“FAH”) and Anthony Clavien (collectively “Respondents”), in which the Division alleged multiple violations of the Arizona Securities Act (“Act”) in connection with the offer and sale of securities in the form of percentage interests in real estate transactions, investment contracts and promissory notes.

On April 5, 2016, Respondent Clavien filed a request for a hearing in this matter.

On April 13, 2016, by Procedural Order, a pre-hearing conference was scheduled to commence on May 17, 2016.

On April 26, 2016, John C. Kelly, as attorney for Respondent Clavien filed a Consent to Email Service on behalf of Respondent Clavien in which counsel opted to receive service of all filings in this docket, including all filings by parties and all Procedural Orders and Recommended Orders issued by the Commission’s Hearing Division, via counsel’s designated email address rather than via U.S. Mail. This party exercised this option, pursuant to the Procedural Order issued on April 13, 2016, by docketing a hard copy of its Consent to Email Service and by sending an email containing the consenting party’s name and the docket number for this matter to

1 HearingDivisionServicebyEmail@azcc.gov from counsel's designated email address. The Hearing  
2 Division has verified the validity of the designated email address, which now appear on the service list  
3 for this matter in addition to an address for U.S. Mail.

4 The Consent to Email Service filed by Respondent Clavien's counsel should be granted.

5 IT IS THEREFORE ORDERED that the request by counsel for Respondent Clavien to  
6 receive service of all filings in this docket, including all filings by parties and all Procedural Orders  
7 and Recommended Opinions and Orders/Recommended Orders issued by the Commission's  
8 Hearing Division, via its designated email addresses rather than via U.S. Mail, is hereby approved.

9 IT IS FURTHER ORDERED that a party's election to receive service of all filings in this matter  
10 via email does not change the requirement that all filings with the Commission's Docket Control must  
11 be made in hard copy and must include an original and 13 copies.

12 IT IS FURTHER ORDERED that the Administrative Law Judge may rescind, alter, amend, or  
13 waive any portion of this Procedural Order either by subsequent Procedural Order or by ruling at  
14 hearing.

15 DATED this 26<sup>th</sup> day of April, 2016.

16  
17   
18 MARC STERN  
19 ADMINISTRATIVE LAW JUDGE

20 Copies of the foregoing mailed/delivered  
this 26<sup>th</sup> day of April, 2016 to:

21 John C. Kelly  
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Attorney for Respondent Anthony Clavien

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28 By:   
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Assistant to Marc E. Stern