

ORIGINAL

NEW APPLICATION



0000169872

ARIZONA CORPORATION COMMISSION

**APPLICATION FOR APPROVAL OF THE SALE OF ASSETS AND/OR TRANSFER OF
CERTIFICATE OF CONVENIENCE AND NECESSITY**

WATER AND/OR SEWER

RECEIVED
2016 MAR 21 AM 11:56
AZ CORP COMMISSION
DOCKET CONTROL

W-03912A-16-0108
W-20958A-16-0108

Arizona Corporation Commission

A. The name, address and telephone number of the Transferor (Company) is:

DOCKETED

MAR 21 2016

Southwest Water Co.

5416 E. Hwy 181

Pearce, AZ 85625

1-520-400-4011

DOCKETED BY	<i>MA</i>
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B. If doing business under a name other than the Transferor (Company) name, specify:

N/A

C. The Transferor is a:

<input type="checkbox"/> Corporation: <input type="checkbox"/> "C", <input type="checkbox"/> "S", <input type="checkbox"/> Non-Profit <input type="checkbox"/> Arizona, <input type="checkbox"/> Foreign	<input type="checkbox"/> Partnership <input type="checkbox"/> Limited, <input type="checkbox"/> General <input type="checkbox"/> Arizona, <input type="checkbox"/> Foreign
<input checked="" type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Other (Specify)	

D. List the name, address and telephone number of the attorney for the Transferor.

N/A

E. List the name, address and telephone number of management contact:

ND Sales
5416 E. Hwy 181
Pearce, AZ 85625 1-520-400-4011

F. The name, address and telephone number of the Transferee (Company) is:

Gene Gregory 1-252-370-5536
161 Cobles Drive
Roanoke Rapids, NC 27870

G. If doing business under a name other than the Transferee (Company) name, specify:

N/A

H. List the name, address and telephone number of the attorney for the Transferee.

N/A

I. List the name, address and telephone number of management contact:

ND Sales
5416 E. Hwy 181
Pearce, AZ 85625 1-520-400-4011

J. (Transferee) List the name, address and telephone number of the on-site manager of the utility:

ND Sales

5416 E. HWY 181
 Pearce, AZ 85625 1-520-400-4011

K.(Transferee) List the name, address and telephone number of the certified operator as authorized by the Arizona Department of Environmental Quality:

Yan L Technology
 PO Box 390

Sahuarita, AZ 85629 Attn Karen Hartwell
 520-625-1671

L. The Transferee is a:

<input type="checkbox"/> Corporation: <input type="checkbox"/> "C", <input type="checkbox"/> "S", <input type="checkbox"/> Non-Profit <input type="checkbox"/> Arizona, <input type="checkbox"/> Foreign	<input type="checkbox"/> Partnership <input type="checkbox"/> Limited, <input type="checkbox"/> General <input type="checkbox"/> Arizona, <input type="checkbox"/> Foreign
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Limited Liability Company
<input checked="" type="checkbox"/> Other (Specify) Private Buyer	

M. If Transferee is a corporation:

1. List names of Officers and Directors:

Officers
 N/A

Directors
 N/A

2. Indicate the number of shares of stock authorized to issue:

N/A

3. If stock has been issued, indicate the number of shares issued and the date of issue:

N/A

N. If Transferee is a partnership:

1. List the names of general partners:

N/A

2. List name, address and telephone number of managing partner:

N/A

◆ If Applicant is a foreign limited partnership, provide a copy of the Partnership's "Certificate of Registration" with the Arizona Secretary of State

O. If Transferee is a sole proprietor, list name, address and telephone number of individual:

N/A

P. Have all customer security deposits been refunded? Yes ___ No . If no, mark the block below which describes the proposed disposition of security deposits.

All security deposits will be refunded at time of closing.

All security deposits will be transferred to the Transferee.

Other (explain).

Q. Are there any refunds due on Main Extension Agreements? Yes ___ No . If Yes, mark the block below which describes the proposed disposition of the refunds.

Transferor will continue to refund after the transfer.

Transferee will assume the refunding obligations.

A full refund will be made at closing by Transferor.

Other (explain).

R. (WATER ONLY) Are there any refunds due on meter and service line installations?
Yes ___ No . If Yes, mark the block below that describes the proposed disposition of refunds.

Transferor will continue to refund after the transfer.

Transferee will assume the refunding obligations.

A full refund will be made at closing by Transferor.

Other (explain).

BILL OF SALE

This is the sale of Sunizona Water Co., 5416 East Highway 181, Pearce, AZ. 85625 to Gene Gregory., 161 Cobler Drive, Roanoke Rapids, NC., 27870 for the sum of \$250.00 on this date of January 12, 2014.

PAID IN FULL

Assets included with the sale of Sunizona Water Co. are as follows:

- 1) Back Hoe
- 2) Army Trencher and Ditch Witch Trencher
- 3) All Inventory associated with Sunizona Water Co., ie, plumbing supplies, pipe, valves
- 4) Mowers, weed eaters, pressure washers and welding equipment
- 5) All tools in the two buildings on Sunizona Water Co. property

N.D. Baker 2-19-14

S. (Transferee) Attach the following exhibit(s):

1. Copy of bill of sale, purchase contract or other instrument, which conveys the assets to the transferee.
2. Articles of Incorporation (if corporation)
3. By-Laws (if corporation)
4. Certificate of Good Standing (if corporation)
5. Articles of Partnership (if partnership)
6. Articles of Organization (if limited liability company)
7. Corporate Resolution if required by Articles of Incorporation
8. Attach a copy of the transfer of City or County Franchise from the Transferor to Transferee.

T. List names and addresses of any other public utility interest Transferee has:

1. N/A
2. _____

U. Indicate the date that notice of the application was sent, or will be sent to the customers.

March 24, 2016.

DATED the 11 day of March, 2016

Marvot Dean Baker

(Signature of Authorized Representative of Transferor)

(Type Name Here)

(Title)

SUBSCRIBED AND SWORN to before me on this 11th day of March 2016

Terri Elliott-Sampel AKA Terri Elliott

NOTARY PUBLIC

My Commission Expires June 12, 2018



Gene Gregory

(Signature of Authorized Representative of Transferee)

Gene Gregory

(Type Name Here)

Owner

(Title)

SUBSCRIBED AND SWORN to before me on this 7th day of march 2016

Alicia P. Judon

NOTARY PUBLIC

My Commission Expires 12-08-19

ATTACHMENT "A"

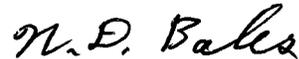
Sunizona Water Co.
5416 E. Hwy 181
Pearce, AZ. 85625

March 18, 2016

Docket Control
Arizona Corporation Commission
1200 W. Washington St.
Phoenix, Arizona 85007

Attached is an application by Sunizona Water Company for Sale of Assets and/or Transfer of the Certificate of Convenience and Necessity.
The purpose of this application is to sell the water system to another party because I'm doing other things.

Narvol Dean Bales



ATTACHMENT "B"

PUBLIC NOTICE OF AN APPLICATION FOR THE SALE OF ASSETS AND/OR TRANSFER OF THE CERTIFICATE OF CONVENIENCE AND NECESSITY BY

Sunizona Water Company

Sunizona Water Company has filed with the Arizona Corporation Commission ("ACC") an application for authority to sell its assets and/or transfer its Certificate of Convenience and Necessity to provide Water Service to Gene Gregory. If the application is granted, Gene Gregory would be the exclusive provider of Water service to your area. Gene Gregory is under the jurisdiction of the Commission.

If you have any claims against Sunizona Water Company, including claims for refunds of security deposits, service line and meter installations or main extension agreements and you have not already been contacted by the Company, you must present your claim to Sunizona Water Company on or before April 15, 2016. Direct your claims to N D Bales, 5416 E. Hwy 181, Pearce, AZ. 85625., 1-520-400-4011.

The Commission will hold a hearing on this matter. As a property owner or customer you may have the right to intervene in the proceeding. If you do not want to intervene, you may appear at the hearing and make a statement on your own behalf. You may contact the Commission at the address and telephone number listed below for the date and time of the hearing and for more information on intervention. You may not receive any further notice of the proceeding unless requested by you.

If you have any questions or concerns about this application, have any objections to its approval, or wish to make a statement in support of it, you may contact the Consumer Services Section of the Commission at 1200 West Washington Street, Phoenix, Arizona 85007 or call 1-800-222-7000/400 West Congress, North Building, Room 218, Tucson Arizona 85701 or call 1-800-535-0148.