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ORIGINAL

Docket No. S-20956A-16-0090

1 STATE OF ARIZONA )  
2 County of Maricopa )  
3 )

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AZ CORP COMMISSION  
DOCKET CONTROL

4  
5 I, Special Investigator Jerry Lowe, for the Securities Division of the Arizona Corporation  
6 Commission, hereby certify that on the 17<sup>th</sup> day of March, 2016 at 1012 hours, I served a copy of  
7 Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, Order for  
8 Restitution, Order for Administrative Penalties, Order for Revocation, Order of Denial, and Order  
9 for Other Affirmative Action, Docket No. S-20956A-16-0090, upon David J. Escarcega, at 1300  
10 West Washington St, Phoenix, AZ, by: Mailing a copy (U.S. Certified Mail number 7007 1490 0000  
11 2605 4702) to Polsinelli P.C., Attention Paul Roshka, One East Washington Street, Suite 1200,  
12 Phoenix, AZ 85004-2568.

14 Arizona Corporation Commission  
15 DOCKETED

MAR 24 2016

DOCKETED BY

16 AFFIANT DATE 24 March 2016

18 SUBSCRIBED AND SWORN TO BEFORE me this 24<sup>TH</sup> day of  
19 March, 2016.

21  
22  
23 NOTARY PUBLIC

24 My Commission Expires:  
25 10/06/19



26

English

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DATE & TIME	STATUS OF ITEM	LOCATION
March 17, 2016 , 10:12 am	Delivered	PHOENIX, AZ 85004

Your item was delivered at 10:12 am on March 17, 2016 in PHOENIX, AZ 85004.

March 17, 2016 , 6:58 am	Arrived at Unit	PHOENIX, AZ 85034
March 16, 2016 , 11:53 pm	Departed USPS Facility	PHOENIX, AZ 85026
March 16, 2016 , 12:26 am	Arrived at USPS Facility	PHOENIX, AZ 85026

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