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Docket No. S-20949A-16-0002

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STATE OF ARIZONA

County of Maricopa

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AFFIDAVIT OF SERVICE

AZ CORP COMMISSION  
DOCKET CONTROL

I, Senior Special Investigator Michael D. Brokaw, for the Securities Division of the Arizona Corporation Commission, hereby certify that on the 20<sup>th</sup> day of January, 2016 at 11:26 AM, I served a copy of **Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, Order for Restitution, Order for Administrative Penalties, and Order for Other Affirmative Action**, Docket No. S-20949-A-16-0002, upon Bart J. Ellis at 8800 N. Gainey Center Dr. Ste. 276 Scottsdale, Arizona 85258, by: handing Bart J. Ellis the Notice.

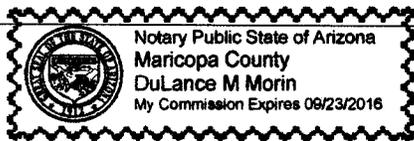
Michael D. Brokaw  
AFFIANT

January 20, 2016  
DATE

SUBSCRIBED AND SWORN TO BEFORE me this 20<sup>th</sup> day of January, 2016.

  
NOTARY PUBLIC

My Commission Expires:



Arizona Corporation Commission

DOCKETED

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DOCKETED BY