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COMMISSIONERS

SUSAN BITTER SMITH, Chairman
BOB STUMP
BOB BURNS
DOUG LITTLE
TOM FORESE

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AZ CORP COMMISSION
DOCKET CONTROL

BEFORE THE ARIZONA CORPORATION COMMISSION

In the matter of:

CONCORDIA FINANCING COMPANY, LTD,
a/k/a "CONCORDIA FINANCE,"

ER FINANCIAL & ADVISORY SERVICES,
LLC,

LANCE MICHAEL BERSCH, and

DAVID JOHN WANZEK and LINDA
WANZEK, husband and wife,

Respondents.

Docket No. S-20906A-14-0063

**NOTICE OF FILING AFFIDAVITS
OF SERVICE**

ORIGINAL

SNELL & WILMER
L.L.P.
ONE ARIZONA CENTER
400 E. VAN BUREN, SUITE 1900
PHOENIX, ARIZONA 85004-2202

Respondents, ER Financial & Advisory Services, LLC, Lance Michael Bersch, David John Wanzek, and Linda Wanzek (the "ER Respondents") file the attached Affidavits of Service of Administrative Subpoenas on Gary R. Clapper and the Expert Accounting Witness.

RESPECTFULLY SUBMITTED this 6th day of March 2015.

By

Timothy J. Sabo
SNELL & WILMER L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, AZ 85004-2202
Phone: 602.382.6347
E-mail: tsabo@swlaw.com

Anzona Corporation Commission

DOCKETED

MAR 06 2015

DOCKETED BY

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Paul J. Roshka, Jr.
POLSINELLI, P.C.
One East Washington St., Suite 1200
Phoenix, AZ 85004-2568
Phone: 602.650.2098
Email: proshka@polsinelli.com

Attorneys for the ER Respondents

Original + 13 copies of the foregoing
filed this 6th day of February 2015, with:

Docket Control
Arizona Corporation Commission
1200 West Washington
Phoenix, Arizona 85007

Copies of the foregoing hand-delivered/mailed
this 6th day of March 2015, to:

Mark H. Preny, Esq.
Administrative Law Judge
Hearing Division
Arizona Corporation Commission
1200 West Washington
Phoenix, Arizona 85007

James D. Burgess, Esq.
Securities Division
Arizona Corporation Commission
1300 West Washington, 3rd Floor
Phoenix, Arizona 85007

Alan S. Baskin, Esq.
David E. Wood, Esq.
Baskin Richards, PLC
80 East Rio Salado Parkway, Suite 511
Tempe, Arizona 85281
Attorneys for Concordia Finance Company, LTD.

By 



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1-877-277-3188

Order #: 12282131

Client: 68303.00001

IN THE ARIZONA CORPORATION COMMISSION

IN THE MATTER OF: CONCORDIA FINANCING COMPANY, LTD; et al.,

CASE #: S-20906A-14-0063

AFFIDAVIT OF SERVICE

Jason Stoor, the undersigned, certifies under penalty of perjury: I am a certified private process server in the COUNTY OF MARICOPA, I am fully qualified pursuant to the regulations governing Service of Process in the State of Arizona, to serve process in this case, and I received for service the following documents in this action:

SUBPOENA

from TIMOTHY SABO with SNELL & WILMER, LLP located in Phoenix, AZ on 2/24/2015. I personally served copies of the documents listed above on those named below at the place shown, in the manner shown, and on the date and time shown. All services, except where noted, were made in PHOENIX, ARIZONA.

NAME: GARY R. CLAPPER

DATE & TIME*: 2/25/2015 @ 13:53
ADDRESS: 1300 W Washington St 3rd Floor, Phoenix, Arizona 85007,
MANNER: by serving Gary Clapper.

STATEMENT OF COSTS

Table with 2 columns: SERVICE, COST. Rows include SERVICE (\$16.00), MILEAGE (\$16.00), RUSH FEES (\$), ADD. MILEAGES (\$), LOCATE (\$), POSTAL (\$), MVD (\$), SKIP TRACE (\$), SPEC HANDLING (\$), STAKEOUT/WAIT (\$), COPIES (\$), FEE ADVANCE (\$), AFFIDAVIT PREP (\$10.00), TOTAL (\$42.00).

Handwritten signature of Jason Stoor, #8469

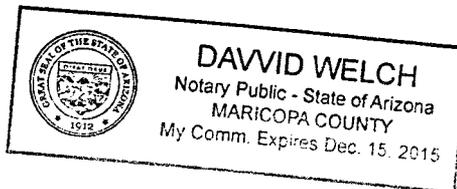
State of ARIZONA)
County of MARICOPA) ss.

Subscribed and sworn before me on March 2, 2015.

Handwritten signature of Notary Public

NOTARY PUBLIC

My Commission expires:



* Time of service is reflected in Military Time.

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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

BOB STUMP - Chairman
GARY PIERCE
BRENDA BURNS
BOB BURNS
SUSAN BITTER SMITH

In the matter of:

CONCORDIA FINANCING COMPANY, LTD,
a/k/a "CONCORDIA FINANCE,"

ER FINANCIAL & ADVISORY SERVICES,
LLC,

LANCE MICHAEL BERSCH, and

DAVID JOHN WANZEK and LINDA
WANZEK, husband and wife,

Respondents.

Docket No. S-20906A-14-0063

SUBPOENA

TO: **Gary R. Clapper**
Securities Division
Arizona Corporation Commission
1300 West Washington, 3rd Floor
Phoenix, Arizona 85007

YOU ARE HEREBY COMMANDED, pursuant to A.R.S. § 44-1823 and A.A.C. R14-3-109, to appear before to appear before an officer authorized by law to administer oaths for a deposition taken upon oral examination, continuing from day to day until such deposition(s) have been completed, on the 6th day of April, 2015, at 10 o'clock a.m., to testify under oath.

YOU HAVE BEEN SUBPOENED BY:

Timothy J. Sabo
SNELL & WILMER, L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, AZ 85004-2202
Phone: 602.382.6347
E-mail: tsabo@swlaw.com

and

Paul J. Roshka, Jr.

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POLSINELLI, P.C.
One East Washington St., Suite 1200
Phoenix, AZ 85004-2568
Phone: 602.650.2098
Email: proshka@polsinelli.com

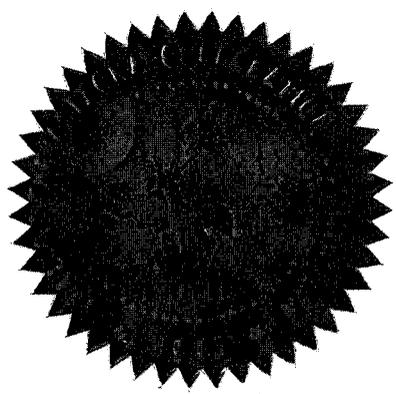
Who are the attorneys for Respondents ER Financial & Advisory Services, LLC,
Lance Michael Bersch, David John Wanzek, and Linda Wanzek

DISOBEDIENCE OF THIS SUBPOENA constitutes contempt of the Arizona Corporation
Commission and may subject you to further proceedings and penalties under law, pursuant to
A.R.S. § 44-1825.

Given under by hand the seal of the Arizona Corporation Commission this 20th day
of February, 2015.



Jodi A. Jerich, Executive Director
Arizona Corporation Commission



1 **BEFORE THE ARIZONA CORPORATION COMMISSION**

2 **COMMISSIONERS**

3 BOB STUMP - Chairman
4 GARY PIERCE
5 BRENDA BURNS
6 BOB BURNS
7 SUSAN BITTER SMITH

8 In the matter of:

9 CONCORDIA FINANCING COMPANY, LTD,
10 a/k/a "CONCORDIA FINANCE,"

11 ER FINANCIAL & ADVISORY SERVICES,
12 LLC,

13 LANCE MICHAEL BERSCH, and

14 DAVID JOHN WANZEK and LINDA
15 WANZEK, husband and wife,

16 Respondents.

Docket No. S-20906A-14-0063

SUBPOENA

17 TO: **Expert Accounting Witness** to be designated by the
18 Securities Division
19 Arizona Corporation Commission
20 1300 West Washington, 3rd Floor
21 Phoenix, Arizona 85007

22 YOU ARE HEREBY COMMANDED, pursuant to A.R.S. § 44-1823 and A.A.C. R14-3-
23 109, to appear before to appear before an officer authorized by law to administer oaths for a
24 deposition taken upon oral examination, continuing from day to day until such deposition(s) have
25 been completed, on the 7th day of April, 2015, at 10 o'clock a.m., to testify under oath and to produce
26 the documents listed in Exhibit "A."

27 YOU HAVE BEEN SUBPOENED BY:

28 Timothy J. Sabo
SNELL & WILMER, L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, AZ 85004-2202
Phone: 602.382.6347
E-mail: tsabo@swlaw.com

Persons with a disability may request a reasonable accommodation such as a sign language interpreter, as well as request this document in an alternative format, by contacting Shaylin A. Bernal, Executive Assistant to the Executive Director, voice phone number 602-542-3931, e-mail sabernal@azcc.gov. Requests should be made as early as possible to allow time to arrange the accommodation.

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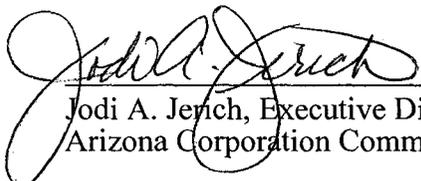
and

Paul J. Roshka, Jr.
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One East Washington St., Suite 1200
Phoenix, AZ 85004-2568
Phone: 602.650.2098
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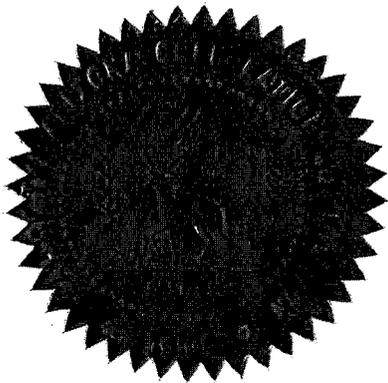
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Jodi A. Jerich, Executive Director
Arizona Corporation Commission



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Exhibit A

Documents to be produced

1. The testifying expert's entire file, pursuant to *Slate v. Schneider*, 212 Ariz. 176, 129 P.3d 465 (App. 2006).
2. All spreadsheets, electronic reports, or databases used by the testifying expert to prepare their testimony or to reach their conclusions, in native electronic format.
3. All accounting records received from Respondent Concordia Financing Company, Ltd., in native electronic format if applicable.
4. All reports generated by the testifying expert.
5. Supporting calculations for each conclusion of the testifying expert.