

ORIGINAL



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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

SUSAN BITTER SMITH, Chairman  
BOB STUMP  
BOB BURNS  
DOUG LITTLE  
TOM FORESE

2015 FEB -5 P 4:49

Arizona Corporation Commission

DOCKETED

AZ CORP COMMISSION  
DOCKET CONTROL

FEB - 5 2015

DOCKETED BY

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DOCKET NO. S-20906A-14-0063

In the matter of:

CONCORDIA FINANCING  
COMPANY, LTD, a/k/a  
"CONCORDIA FINANCE,"

ER FINANCIAL & ADVISORY  
SERVICES, L.L.C.,

LANCE MICHAEL BERSCH, and

DAVID JOHN WANZEK and LINDA  
WANZEK, husband and wife,

Respondents.

SECURITIES DIVISION'S NOTICE  
OF ERRATA REGARDING ITS  
REPLY IN SUPPORT OF MOTION TO  
QUASH DISCOVERY DEMANDS BY  
THE ER RESPONDENTS

The Securities Division ("Division") of the Arizona Corporation Commission ("Commission") respectfully submits this Notice of Errata regarding its Reply to the ER Respondents' Response to the Division's Motion to Quash filed on February 3, 2015 ("Reply").

At page 4, lines 17-18 of the Reply, the citation to *Patterson v. Maricopa County Sheriff's Office* should read: "177 Ariz. 153, 156, 865 P.2d 814, 817 (App. 1993)." (Correction underlined).

At page 7, lines 2-3 of the Reply, the sentence should read: "The ER Respondents' arguments that they have 'reasonable need' under the APA for their onerous and improper Discovery Demands fail for several reasons." (Correction underlined).

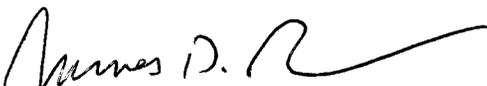
1 At page 8, line 4 of the Reply, the quote should begin: "I am writing regarding  
2 the subpoena responses..." (Correction underlined).

3 At page 11, lines 10-11 of the Reply, the sentence should read: "The Motion to  
4 Quash explained why much of the information sought by the ER Respondents is  
5 work product and is not subject to disclosure." (Correction underlined).

6 At page 12, line 6 of the Reply, the sentence should begin: "With respect to  
7 the Securities Act's confidentiality statute..." (Correction underlined).

8 Undersigned counsel apologizes to the Hearing Officer and to Respondents for  
9 any confusion his errors may have caused.

10  
11 RESPECTFULLY SUBMITTED this 5<sup>th</sup> day of February, 2015.

12  
13 By   
14 James D. Burgess  
15 Attorney for the Securities Division  
16 of the Arizona Corporation Commission  
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1 ORIGINAL and 8 copies of the foregoing  
2 Motion to Quash  
3 filed this 5<sup>th</sup> day of February, 2015, with:

4 Docket Control  
5 Arizona Corporation Commission  
6 1200 W. Washington St.  
7 Phoenix, AZ 85007

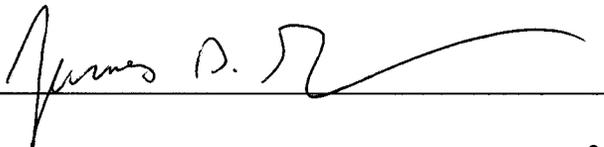
8 COPY of the foregoing hand-delivered  
9 this 5<sup>th</sup> day of February, 2015, to:

10 The Honorable Mark H. Preny  
11 Administrative Law Judge  
12 Arizona Corporation Commission  
13 1200 W. Washington St.  
14 Phoenix, AZ 85007

15 COPIES of the foregoing sent via  
16 U.S. Mail this 5<sup>th</sup> day of February, 2015, to:

17 Paul J. Roshka, Jr.  
18 Timothy J. Sabo  
19 Roshka DeWulf & Patten, PLC  
20 One Arizona Center  
21 400 East Van Buren, Suite 800  
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24 Lance Michael Bersch, David John Wanzek, and Linda Wanzek

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Attorneys for Concordia Financing Company, Ltd.



A handwritten signature in black ink, appearing to read "James D. Wood", is written over a horizontal line.