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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

BOB STUMP, Chairman  
GARY PIERCE  
BRENDA BURNS  
BOB BURNS  
SUSAN BITTER SMITH

2014 OCT -6 A 8:23

SECURITIES DIVISION  
DOCKET CONTROL

Arizona Corporation Commission

DOCKETED

OCT 06 2014

DOCKETED BY

In the matter of:  
  
Anthony Ray Stacy (CRD 1772484), and  
April Stacy, Husband and Wife  
  
Respondents.

) DOCKET NO. S-20909A-14-0226

) **NOTICE OF COMPLIANCE WITH  
PROCEDURAL ORDER**

ORIGINAL

On August 20, 2014, by procedural order, the Securities Division of the Arizona Corporation Commission (the "Division") and Respondent Anthony Ray Stacy, were ordered to exchange their witness list and exhibits by September 29, 2014. The Division hereby gives notice that, on September 29, copies of the Division's witness list and intended exhibits were submitted to Respondent Stacy and April Stacy. A courtesy copy of any additional or supplementary exhibits was also provided to the Administrative Law Judge Mark Preny.

Respectfully submitted this 3 day of October 3, 2014.

By:

Steven Briggs  
Attorney for the Securities Division of the  
Arizona Corporation Commission

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ORIGINAL AND eight (8) COPIES of the foregoing  
filed this 6 day of October, 2014 with:

Docket Control  
Arizona Corporation Commission  
1200 W. Washington St.  
Phoenix, AZ 85007

COPY of the foregoing hand-delivered this  
6 day of October, 2014 to:

Mr. Mark Preny  
Administrative Law Judge  
Arizona Corporation Commission/Hearing Division  
1200 W. Washington St.  
Phoenix, AZ 85007

COPY of the foregoing mailed this  
6 day of October, 2014 to:

Anthony Ray Stacy  
*Pro per*  
20865 North 90<sup>th</sup> Place, Suite 125  
Scottsdale, Arizona 85255

April Stacy  
*Pro per*  
9233 E Canyon View Rd,  
Scottsdale, AZ 85255

Karen Howe  
By: