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Transcript Exhibit(s)

Docket #(s): L-00000D-14-0292-00169

Arizona Corporation Commission
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SEP 19 2014
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Exhibit #: Chair 1, R-1 through R-7, APS-1 Part 1

Part 1 of 2

For Part 2, see barcode 0000156018

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ARIZONA CORPORATION COMMISSION

2014 SEP 15 P 2: 02

AZ CORP COMMISSION
DOCKET CONTROL

September 15, 2014

RE: APS Application for a CEC for the Ocotillo Modernization Project
Docket No. L-00000D-14-0292-00169

On Friday, September 12, 2014, the Residential Utility Consumer Office ("RUCO") filed the witness summary of Mr. Lon Huber and the direct testimony of Mr. Riley Rhorer. Upon Arizona Corporation Commission ("Commission") Utilities Division Staff's ("Staff") review of the filing, Staff became aware that Mr. Rhorer is an employee of K.R. Saline and Associates. In the interests of full disclosure, Staff would take this opportunity to notify parties and potential parties that K.R. Saline and Associates has been retained by Staff to produce the current 8th Biennial Transmission Assessment ("BTA"). Staff is not by this filing requesting any particular treatment with respect to Mr. Rhorer's participation in the proceedings scheduled to commence on Tuesday September 16.

Respectfully,

Steven M. Olea
Director, Utilities Division
Arizona Corporation Commission

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AZ CORP COMM
Director - Utilities

EXHIBIT
CHAIR
ADMITTED

SERVICE LIST FOR: ARIZONA PUBLIC SERVICE COMPANY

DOCKET NO. L-00000D-14-0292-00169

Original and thirteen (13) copies of the foregoing filed this 15th day of September, 2014, with:

Docket Control
Arizona Corporation Commission
1200 West Washington Street
Phoenix, Arizona 85007

Copy of the foregoing mailed this 15th day of September, 2014, to:

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EXHIBIT
R-1
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DIRECT TESTIMONY OF

RILEY RHORER

RELATING TO APS' PROPOSED OCOTILLO MODERNIZATION PROJECT

Q. PLEASE STATE YOUR NAME AND BUSINESS ADDRESS.

A. My name is Riley Rhorer. My business address is 160 N. Pasadena, Suite101, Mesa, Arizona 85201.

Q. WHAT IS YOUR OCCUPATION AND BY WHOM ARE YOU EMPLOYED?

A. I am an electric utility consultant with the firm of K. R. Saline & Associates, PLC.

Q. PLEASE STATE YOUR EDUCATIONAL BACKGROUND AND GENERAL PROFESSIONAL ENGINEERING EXPERIENCE.

A. I graduated from Texas A&M University in May 1969, receiving a Bachelor of Science Degree in Electrical Engineering. I am a registered professional engineer in the states of California and Arizona. I have 42 years of experience in the electric utility industry, including 30 years as a consultant.

Q. PLEASE STATE YOUR EXPERIENCE IN POWER SUPPLY AND ELECTRIC TRANSMISSION.

A. I have worked as an employee of two utilities, the Los Angeles Department of Water and Power ("LADWP") and the Public Utilities Board of Brownsville, Texas

("BPUB"). At the LADWP, I was employed as a transmission engineer and planner. The LADWP transmission system includes extensive AC and DC transmission facilities. My experience as a transmission engineer included transmission design work, as well as responsibility for planning transmission systems improvements.

Following my years as a transmission engineer, I joined a newly formed planning group whose special purpose was to study power pooling and various power interchange arrangements between interconnected utilities and to initiate and provide support for the LADWP's contractual arrangements for power interchanges with other utilities. While in this group, I evaluated power purchase and sales opportunities for LADWP, as well as opportunities to jointly participate in generating projects remote from the LADWP's service area.

At BPUB, I served as Director of Engineering and Planning, where my duties included management and supervision of all planning and engineering activities related to BPUB's electric power and water supply, transmission and distribution facilities, and its wastewater collection and treatment facilities. I also had management responsibilities for the power plant, and I represented BPUB in its participation in various committee meetings of the Electric Reliability Council of Texas ("ERCOT").

As a consultant, I have performed engineering services for clients in the states of Texas, New Mexico, Louisiana, Oklahoma, Missouri, Kansas, Utah, Colorado, South Dakota, Arizona, California and Florida. These services have included a variety of economic analyses, planning studies, contract analyses, power

supply recommendations and negotiations related to power supply and transmission arrangements.

I have presented testimony before the Federal Energy Regulatory Commission, the Public Utilities Commission of Texas ("PUCT"), the New Mexico Public Utilities Commission and the California Energy Resources Conservation and Development Commission.

In 2007, I presented testimony before the PUCT on the establishment of Competitive Renewable Energy Zones ("CREZs"). My testimony was provided on behalf of several large wind developers and included recommendations and support for transmission solutions that would enable my clients to development specific CREZs.

Q. ON WHOSE BEHALF ARE YOU APPEARING?

A. I am appearing on behalf of the Residential Utility Consumers Office ("RUCO").

Q. WHAT IS THE PURPOSE OF YOUR TESTIMONY?

A. The purpose of my testimony is to highlight concerns with the power supply planning upon which Arizona Public Service ("APS") has relied to identify and evaluate alternatives to the proposed Ocotillo Modernization Project and to recommend what APS should do to address those concerns. Until these concerns are addressed and the need for the additional 290 MW clearly established as well as all alternatives exhausted RUCO cannot recommend anything beyond replacing the 220 MW steam turbines.

Q. WHAT DO YOU MEAN BY ADDRESSING THESE CONCERNS?

A. I mean APS needs to do more than explain away resource options such as energy storage and to do more than just screen out unit options such as the Wartsila 18V50 because it does not meet a questionable size requirement or because APS has failed to consider important beneficial characteristics of competing options while ignoring detrimental characteristics of the selected LMS100s.

Q. HAVE YOU PERFORMED ANY ANALYSES IN SUPPORT OF YOUR TESTIMONY?

A. While I have performed some high-level calculations, using the tabulated data from APS presentation materials, I have not performed any independent analyses, sufficient to recommend alternatives to the Ocotillo Modernization Project. The compressed time-line for reviewing the APS presentation materials and preparing pre-filed testimony has precluded my doing more than making some general observations and recommending areas that deserve further analysis by APS. To illustrate the limitations, we just received a bulk of data requests back from APS on the 10th of September. In any regard, my review of the APS presentation materials has led me to conclude that APS has not (or at least has not shown that it has) evaluated certain alternatives to the Ocotillo Modernization Project.

Q. WHAT APS PRESENTAION MATERIALS DID YOU REVIEW IN SUPPORT OF YOUR TESTIMONY?

A. For my testimony, I reviewed portions of the following documents:

- APS Ocotillo Modernization Project Ten Year Plan Filing, Ocotillo Modernization Project Load Flow, Transient Stability, Post-Transient, Short Circuit, and MLSC Analysis, April 2014
- APS 2014 IRP, April 2014
- APS 2012 IRP, March 2012
- APS Ocotillo Modernization Project Reliability, Location, Technology Technical Review Packet, July 2014
- APS Combustion Turbine Expansion Plan, March 2012
- APS Ocotillo CT 3-7 Expansion Study
- APS' presentation, entitled "Ocotillo Expansion Technology Selection for Peaking Service Duty"
- Revised Attachment D.3 of APS 2014 IRP
-

Q. COULD YOU PROVIDE A BRIEF DESCRIPTION OF THE POWER SUPPLY PLANNING PROCESS?

A. In its most basic form, power supply planning involves the identification of power supply needs and the evaluation of the various means to satisfy those needs with the goal of developing a resource plan that is estimated to provide maximum benefit to APS' ratepayers. Because most of the resource options available to APS require lead times, the resource plan must identify power supply needs for future years. The resource plan also should take into account APS' interaction with the market on behalf of its ratepayers.

Q. DO YOU HAVE CONCERNS WITH THE WAY APS HAS IDENTIFIED ITS POWER SUPPLY NEEDS?

A. Yes. In its 2012 IRP, APS projected its total peak load requirements in 2014 to be 8,644 MW, whereas the 2014 IRP projects 2014 total peak load to be only 8,124 MW.[1,2] Moreover, taking into account additional emphasis on Energy Efficiency ("EE") Standards and distributed generation ("DG") programs, APS' forecasted growth rate of over 3% per year appears to be too high.[3] APS has also identified 1,400 MW of expiring power purchase contracts.[4] This magnitude of contract retirements will free a lot of capacity on the market and likely places APS in a good position to either renew such contracts or arrange new contracts under favorable conditions. APS should evaluate (which apparently has it has not done[5]) and present the potential for securing favorable purchase power contracts to replace those that are expiring. Finally, APS has asserted a number of resource-specific needs that require more scrutiny.

Q. COULD YOU PLEASE IDENTIFY AND DISCUSS THE RESOURCE-SPECIFIC NEEDS WITH WHICH YOU TAKE ISSUE?

A. The resource specific needs with which I take issue are enumerated and discussed below.

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[1] APS 2012 IRP, Attachment F.1(a)
[2] APS 2014 IRP, Attachment F.1(a)(1)
[3] The 3% growth rate is calculated from APS 2014 IRP, Attachment F.1(a)(1)
[4] APS 2014 IRP, page XVI.
[5] APS 2014 IRP at page 77. APS' "plans to deploy a combination of market-based solutions, along with additional capacity at Ocotillo" is not a substitute for assessing the potential of securing favorable purchase power contracts.

(1) First, APS has focused its evaluations on resources that can be added within the Phoenix Valley Load Pocket ("PVLP"). I believe that this should be considered as a positive factor in evaluating resource options, not as a "need" that precludes consideration of resource options outside the PVLP. It is my understanding that: (i) the currently planned transmission system, provides adequate import capability in the form of maximum load serving capability ("MLSC") well into the future;[6] (ii) APS and others have plans to improve future transmission import capability; (iii) the additional MWs of the Ocotillo Modernization Project apparently reduces the MLSC in 2023;[7] and (iv) voltage support, if needed, can be provided by other means such as converting one or more retiring Ocotillo units to synchronous generator duty or adding a quick-response variable voltage device.

(2) Another "need" that APS has asserted is that construction of all five proposed LMS100's must be completed in a relatively short period of time (by summer 2018) because the costs increase dramatically if the schedule of the last three units is delayed either for 18 months or three years.[8] Again, I believe that this construction requirements should not be evaluated as a "need' but, rather, as a negative factor in evaluating resource options. APS should evaluate the estimated capital costs of delaying other resource options in a similar manner, including in this evaluation such options as the Wartsila unit listed in Table 1, page 2 of the Technical Review

[6] The Phoenix Valley is a constrained area meaning there is not enough transmission capacity to bring in all of the load requirements, thereby requiring some generation to operate. The MLSC is the maximum amount of load that can be served in a constrained area with the highest combined use of transmission imports and generation is utilized.

[7] See APS – Ocotillo Modernization Project Ten Year Plan Filing, , Exhibit B "Ocotillo Modernization Project Load Flow, Transient Stability, Post-Transient, Short Circuit, and MLSC Analysis", page 20, Table 15, filed in Docket No. IE-00000D-13-0002, linked at http://images.edocket.azcc.gov/docketpdf/0000153362.pdf

[8] See Ocotillo Modernization Project Reliability, Location, Technology Technical Review Packet ("Technical Review Packet"), dated July 2014, at page 13.

Packet. Moreover, while APS has evaluated the costs of construction delays, it is not evident that APS has evaluated the benefits to ratepayers of delaying the construction of the last three units or, for that matter, the entire Ocotillo Modernization Project. These potential benefits could include cost savings from delaying construction until APS could more fully utilize the entire amount of capacity being constructed. This is critical information that should be considered before approving a six to seven hundred million dollar project.

(3) APS identifies over-generation as a concern or "need" that the proposed Ocotillo Modernization Project will supposedly help to address.[9] This problem generally occurs when loads are low, renewable generation output is high and thermal generation (needed for system stability) is at a minimum. Although the LMS100 units can be turned off, re-started and ramp quickly, their role appears to be one of staying off-line until the over-generation condition is corrected by increased loads. System stability during such periods requires on-line resources that are contributing to system inertia that can react in seconds not minutes. Consequently, assuming the LMS100s are operated in the start/stop mode suggested by APS, they will not mitigate the over-generation condition; they will simply not exacerbate it. Moreover, APS asserts that "highly flexible generation [is] needed to facilitate market purchases" during low load periods where Palo Verde market prices are low and may even be negative during non-summer periods.[10] I believe this is misleading since APS may have little ability to purchase when loads are as low as

[9] *Id.* at page 6 and 7.
[10] *Id.* At page 6.

APS has indicated they may be.[11] APS should fairly and fully evaluate energy storage resource options that actually mitigate the over-generation condition and, in fact, do facilitate market purchases when prices are low or even negative.[12] Energy storage would add load when it is most needed, reduce the ramping requirement and improve the efficiency of thermal units that are otherwise operated at their minimum levels. Assuming the types of pricing suggested by APS, especially negative pricing, the savings in energy costs could easily outweigh the higher capital costs for energy storage.

(4) APS asserts that "system reliability and projected growth suggest an optimum size for additions in the range of 50 to 125 MW."[13] How this "need" for an optimal sized unit relates to growth is unclear since APS wants to install all 500 MW by 2018 even though it is in excess of the capacity that is needed for growth out to 2018. APS' growth assertion is even more unclear since smaller units can be added incrementally to closer align with resource needs over time. As for reliability, smaller units increase reliability by presenting a smaller impact when any unit is out of service whether for maintenance or forced outage. Finally, smaller units provide even more flexibility and efficiency (at least, in the case of the Wartsila units) in dealing with the type of solar variability that APS suggests is possible, "depending on cloud cover".[14] I believe that APS has unfairly penalized the smaller units in its evaluations.[15]

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[11] *Id.* At page 6.
[12] APS would be paid to store the energy when prices are negative at Palo Verde.
[13] See APS' presentation, entitled "Ocotillo Expansion Technology Selection for Peaking Service Duty" at page 4.
[14] Technical Review Packet at page 7.
[15] See APS' presentation, entitled "Ocotillo Expansion Technology Selection for Peaking Service Duty" at page 7.

(5) APS has listed pumped storage as requiring a 10-year lead time. Surely, APS is aware of the ongoing Longview Energy Exchange ("LEE") project scheduled to be in service by 2021.[16] Ostensibly, the LEE project would provide many of the generating characteristics APS identified as desirable. APS could likely serve its interim resource needs by any number of other means such as contract extensions, delayed retirements and/or market purchases during the summer months.

(6) APS has penalized the Wartsila units for air emissions even though their data shows that CO_2 emissions for the LMS 100s and the Wartsila 18V50 are 1,115 lbs/MWh and 1,021 lbs/MWh, respectively.[17,18] Also, APS notes that has the Wartsila units consume no water, but apparently did not consider this fact in screening out the Wartsila units from further evaluation.[19,20]

Q. WHAT ARE YOUR CONCERNS WITH THE WAY APS PLANS TO MEET ITS POWER SUPPLY NEEDS?

A. I've addressed APS' needs assessment above, including resource-specific needs. Of equal concern is APS' approach to addressing alternatives to the Ocotillo Modernization project (i.e, eliminating them without evaluation) and presenting the case for the Ocotillo Modernization Project. For instance, APS' presentations selectively take into account APS' interaction with the market. On the one hand, APS provides a "stand-alone" load duration curve of its system load requirements

[16] See http://www.westconnect.com/filestorage/2152012_Longview_Energy_Exchange_SWAT_Presentation_Final.pdf.
[17] APS Revised Appendix D.3 – Generation Technologies from APS 2014 IRP, page 286
[18] APS Ocotillo CT3-7 Expansion Report, Table 1 – Combustion Turbine Screening Results
[19] APS Revised Appendix D.3 – Generation Technologies from APS 2014 IRP, page 286
[20] APS Ocotillo CT3-7 Expansion Report, Table 1 – Combustion Turbine Screening Results

to demonstrate a need for peaking capacity.[21] Then, on the other hand, APS asserts that "highly flexible generation [is] needed to facilitate market purchases" at the Palo Verde market hub.[22] It would be better if APS evaluated (if it has not done so) and presented preferred and alternative resource plans in a way that addresses these two "needs" in a more unified manner. Essentially, APS' system is not isolated and, in my view, it makes no sense to evaluate or to present "needs" as if it were. APS' evaluations should include a realistic expectation of how APS' resource decisions will take into account the market on behalf of its ratepayers.

Q. WHAT ALTERNATIVES ARE YOU RECOMMENDING THAT APS FULLY AND FAIRLY EVALUATE?

A. APS' selection of LMS100s may turn out to be the best resource option; but I am not convinced, based on the concerns stated above. I am recommending that APS evaluate the following alternatives to the Ocotillo Modernization Project:

(1) Given the over-generation circumstances that APS has described, APS should evaluate energy storage options, including the LEE pumped storage project discussed above. Also, other energy storage technologies should be given further consideration. For example, Liquid Air Energy Storage ("LAES") which is also known as Cryogenic Energy Storage ("CES") is an option. "Although novel at a system level, the components and sub-systems of LAES systems are mature technologies available from major OEMs and, as a whole, the technology draws

[21] Technical Review Packet at page 4.
[22] *Id.* At page 6.

heavily on established processes from the power generation and industrial gas sectors, with known costs, performance, and life cycles."[23]

(2) From my review, as discussed above, APS may have: (i) unjustly penalized the Wartsila 18V50 units, (ii) not considered some of their benefits and (iii) possibly ignored "penalty factors" that should have been applied to the LMS100 units. APS should re-assess the Wartsila units, and especially the possibility of staging their deployment over time to more closely align with APS' growing needs.

(3) Given the rapidly changing environment in the electric power industry (e.g., Energy Imbalance Market implementation, emphasis on renewables and energy storage, etc.), APS should evaluate resource plans that postpone thermal resource additions at this time. These "postponement plans" could include any combination of delayed retirements, transmission improvements, contract renewals and interim market purchases in lieu of the Ocotillo Modernization Project as proposed. It is critically important to understand the cost consequences to the ratepayers of constructing more capacity than is needed, especially with respect to sensitivities such as lower than expected load growth. Also, APS has described possible over-generation conditions that energy storage is more suitable at addressing as discussed above. It is therefore important to understand how resource technology decisions now can adversely affect APS' ability to make more appropriate resource technology decisions (e.g., energy storage) in the not-too-distant future.

[23] See http://energystorage.org/energy-storage/technologies/liquid-air-energy-storage-laes.

Q. COULD YOU PLEASE SUMMARIZE YOUR CONCLUSIONS AND RECOMMENDATIONS?

A. The following are my conclusions, based on a review of APS presentation materials.

(1) APS' forecasted growth rate of over 3% per year appears be too high.

(2) APS has the opportunity and, therefore, should evaluate and present the potential for securing favorable purchase power contracts to replace those that are expiring.

(3) APS should not exclude consideration of resource options outside the Phoenix Valley Load Pocket.

(4) APS should consider resource options that do not have as severe cost consequences as the proposed LMS100s when staged over a longer period of time; and APS should evaluate the cost benefits to ratepayers of delaying construction of new thermal additions until APS could more fully utilize the entire amount of capacity being constructed.

(5) APS should fairly and fully evaluate energy storage resource options that actually mitigate the potential over-generation condition that APS has identified and facilitate market purchases when prices are low or even negative at the Palo Verde hub.

(6) APS has unfairly penalized smaller units in its evaluations.

(7) APS' assertion that pumped-storage requires a 10-year lead time does not apply to the ongoing Longview Energy Exchange project; therefore APS should evaluate participation in this energy storage project along with suitable means of meeting APS' interim requirements until its projected in-service date of 2021.

(8) APS' evaluations should include a realistic expectation of how APS' resource decisions will take into account the market on behalf of its ratepayers.

(9) APS should re-assess the Wartsila 18V50 units, and especially the possibility of staging their deployment over time to more closely align with APS' growing needs.

(10) APS should evaluate resource plans that postpone thermal resource additions at this time.

Q. DOES THIS CONCLUDE YOUR DIRECT TESTIMONY?

A. Yes.

EXHIBIT
R-2
not offered

Summary Testimony
RUCO witness Mr. Lon Huber

I plan to provide an overview of RUCO's approach to resource planning and the policy implications associated with such an approach. My testimony will touch on how RUCO views the changing electric utility landscape and the opportunities and risks consumers may face in the years ahead. It will conclude with a discussion on the proposed Ocotillo Modernization Project.

I will begin my testimony with a high level discussion on the following subjects:

- Emerging energy technologies
- System adaptability
- Consumer choice and empowerment
- Stranded costs

Following the above overview, I plan to comment on assumptions that may become more significant in current and future resource planning decisions than in years past. These include:

- Load growth projections
- Proper cost comparisons
- Projections around technology development and cost
- Consumer participation

Next, I will touch on the policy implications of RUCO's approach to resource planning in the changing utility environment. Topics will be:

- Resource procurement strategies
- Risk mitigation strategies

Finally, I plan to discuss why the proposed Ocotillo Modernization Project may not be the optimal choice for ratepayers given the above views on resource planning in a changing electric utility landscape.

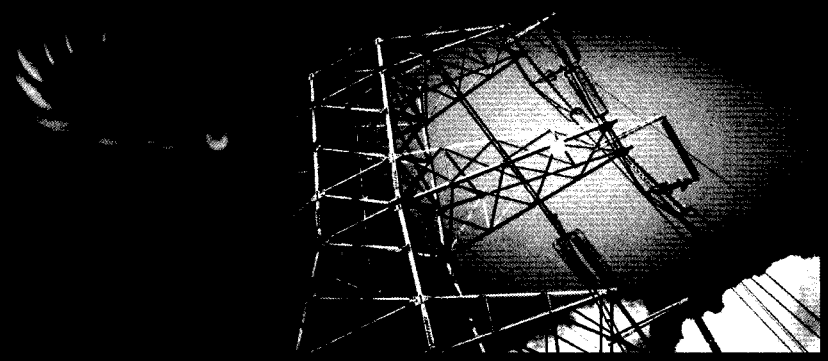
I may supplement my oral testimony with a PowerPoint presentation.

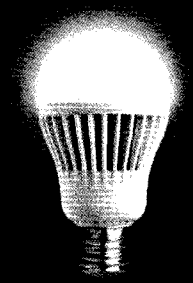
Residential Utility Consumer Office (RUCO)

Ocotillo Modernization Project:

Docket No. L-00000D-14-0292-00169

Lon Huber





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Background

Education

- Masters of Business Administration, *Eller College of Management - University of Arizona*
- Bachelor of Science - Public Policy and Management - *University of Arizona*

Professional

- Academia
- Multinational Solar Panel Manufacturer
- Energy Policy Consulting
- Economic Development
- RUCO

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Outline of Testimony

- The selection process, or lack thereof, for the Ocotillo expansion (the 290 MW) was not designed to minimize economic or environmental impact.
- Not only was the process deficient under traditional market conditions but the application is particularly lacking given the changing dynamics in the utility industry.

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New Resource Planning Considerations

The future may not be as forgiving as the past was to forecasting errors

Therefore careful attention must be paid to the following:

- Load growth projections
- Cost comparisons
- Projections around technology development and cost
- Consumer trends, participation and habits

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The Changing Utility System

- Emerging energy technologies
  - Demand response
  - Storage technologies
  - Distributed generation
- System adaptability
  - Intermittency
  - Environmental rules
- Consumer trends, choice and empowerment
- Stranded costs

The Changing Utility System

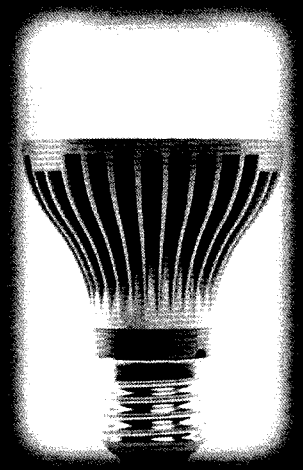
"Across the country and throughout the world, the electric utility industry is changing. Technological advancements, regulatory requirements and increasing levels of variable generation are reshaping not only how and where APS generates energy, but also how customers use it."

"Now, and increasingly in the future, power is not only flowing to customers, but is also flowing from customers and is challenging the scope of today's grid."

-APS 2014 IRP

Implications

- Resource procurement strategies
  - All-source RFPs
  - Incrementalism
  - Resource diversity
- Risk mitigation strategies
  - Market based contracts
  - Flexible technology
  - Understanding customer desires
  - Conservative projections to minimize stranded costs



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Ocotillo Modernization Project

Five major deficiencies:

1. Procurement strategy
   - Broad cost comparisons
   - Market based contracts
2. Resource diversity
3. Flexible technology
4. Incrementalism
5. Conservative projections to minimize stranded costs

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1. Resource Procurement

- There are adequate generation resources in the load pocket.

APS RMR analysis 2012:

Table ES3
Phoenix Area Reserve Capacity

Year	Local Generation	Peak Demand (MW)	Import @ Peak (MW)	RMR @ Peak (MW)	Projected Reserves[1]	Required Reserves
2014	4,159	11,885	11,489	396	3,763	800
2021	4,159	14,209	11,934	2,275	1,884	800

Table Key:
[1]**Projected Reserves** – The amount of local generation minus the amount of RMR @ Peak.

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1. Public Service Company of Colorado's 2013 All-Source Solicitation

- "The solicitation was successful in attracting low-cost bids from wind and solar photovoltaic generation resources and from new and existing gas-fired generation. In fact, the bid pricing received represents a watershed event for Colorado. For the first time, the Company received bids for utility-scale solar PV resources that are cost-effective head to head with natural-gas-fired generation under base-gas price forecasts and no carbon emission cost adders."

- http://www.xcelenergy.com/staticfiles/xe/Corporate/Corporate%20PDFs/Redacted_Version_120DayReport_REVISED_FINAL.pdf

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1. Best Practices for Procurement

- ACC DECISION NO. 70032
- The Commission sought to develop a flexible, timely, and fair competitive procurement process.
- The adopted best practices for procurement aimed to: "Facilitate competitive wholesale market options for the acquisition of resources to serve electric consumers."
- Codified in rulemaking from ACC DECISION NO. 71722
- "A fair and transparent procurement process will encourage the lowest prices for the acquisition of resources"

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2. Resource Diversity

- Resource diversity
  - APS lists in the 2014 IRP the need to procure over 4,000 MW of natural gas over the next 15 years
  - By 2029 natural gas plants will be the largest source of energy
  - Nearly double that of any other resource
  - In terms of capacity, natural gas represents 60% of APS resources
- APS anticipates negative pricing

2. Resource Diversity

- "One of the single best, and most simple, means of managing risk in sources of power is resource diversity (i.e., not being overly reliant on one fuel source). Utilities with diverse sources of power supply are situated better when unforeseen problems emerge because they have other alternative sources of power to rely upon." *-2012 APS IRP*

3. Resource Flexibility

- No firm technical definition of "Flexibility"
  - CPUC decision on the definition and procurement of flexible resources

"Combustion turbine pollutant emission rates are typically higher on a part per million (ppm) basis at part load operation than at full load. This limitation has an effect on how much plant output can be decreased without exceeding pollutant emissions limits. In general, combustion turbines can operate at a minimum load of about 50 percent of the unit's full load capacity while maintaining emission levels within required limits."

Black & Veatch for Alaska Energy Authority

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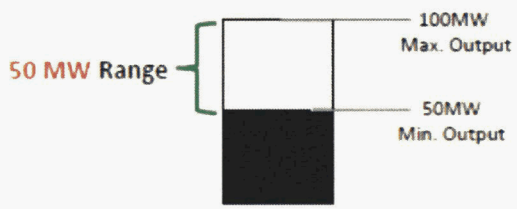
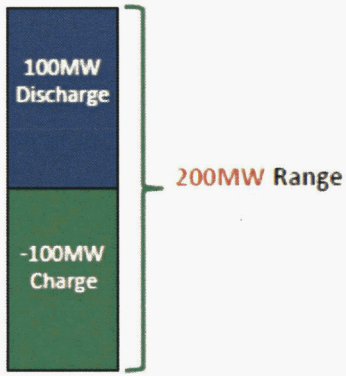
3. Resource Flexibility

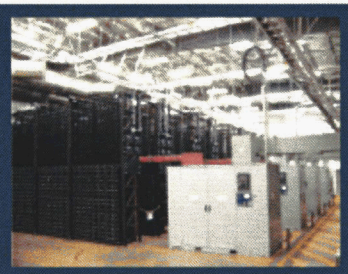
Energy Storage: Four Times the Flexible Range

Important to compare benefits, not megawatts





100MW LMS 100 Gas Peaker Plant[1]



100MW Energy Storage System

1. Source: http://yosemite.epa.gov/R9/air/EPSS.NSF/e0c49a10c792e06f8825657e007654a3/8a153d8ab24cb6868825723400679b82/$FILE/WCE%20Evaluation.pdf

CESA and Strategen Consulting

3. Resource Flexibility

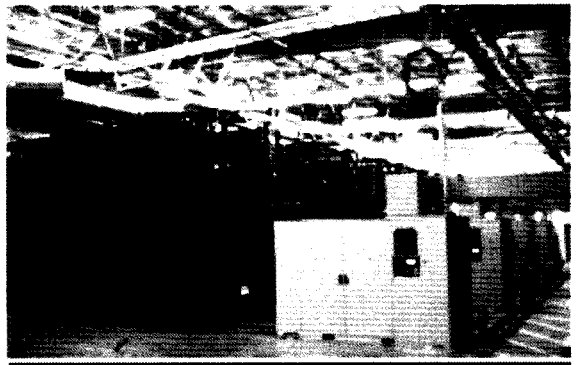
100 MW Gas Turbine

10 minute ramp
50 MW flexible range
2768 useable hours/year[1]
6500 gallons per hour
Status quo GHG emissions

vs.

Energy Storage Benefits

>600x the ramp rate
>4x the flexible range
>3x the operational hours
Less water usage on many sites
Lower GHG emissions



100 MW Energy Storage

<1 second ramp
200 MW of flexible range
>8300 useable hours/year
Little to no water usage
Reduces GHG emissions by up to 90%[2]

(1)Excluding start-up and shutdown time
(2)http://www.energy.ca.gov/2011_energypolicy/documents/2011-02-15_workshop/comments/California_Energy_Storage_Alliance_03032011_TN-59863.pdf

CESA and Strategen Consulting

4. Incrementalism

- "APS anticipates the reserve margin for 2014 will be approximately 34% or 24% excluding contractual rights to call on additional capacity." *-2013 APS 10-K*
- Most utility scale renewables are online already
  - IRP does not show meaningful additions of utility scale solar until around 2021
- Wartsila 18V50 units could be a less risky proposition

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5. Conservative projections

- APS is not critical enough on load projections, cost comparisons, technology options
  - ~2% per year after EE and DG compared to ~1% for TEP and ~0% for AEPCO. – *September 11th 2014 ACC IRP workshop*
- $650 million in capital investment translates to around $1.8 billion in non-fuel revenue requirement over 20 years
  - Rough estimate based on 2012 *WECC Capital Cost Model*

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5. Retail Sales Growth (After EE and DG)

Pinnacle West statistical supplements/2014 APS IRP (does not include off system sales)

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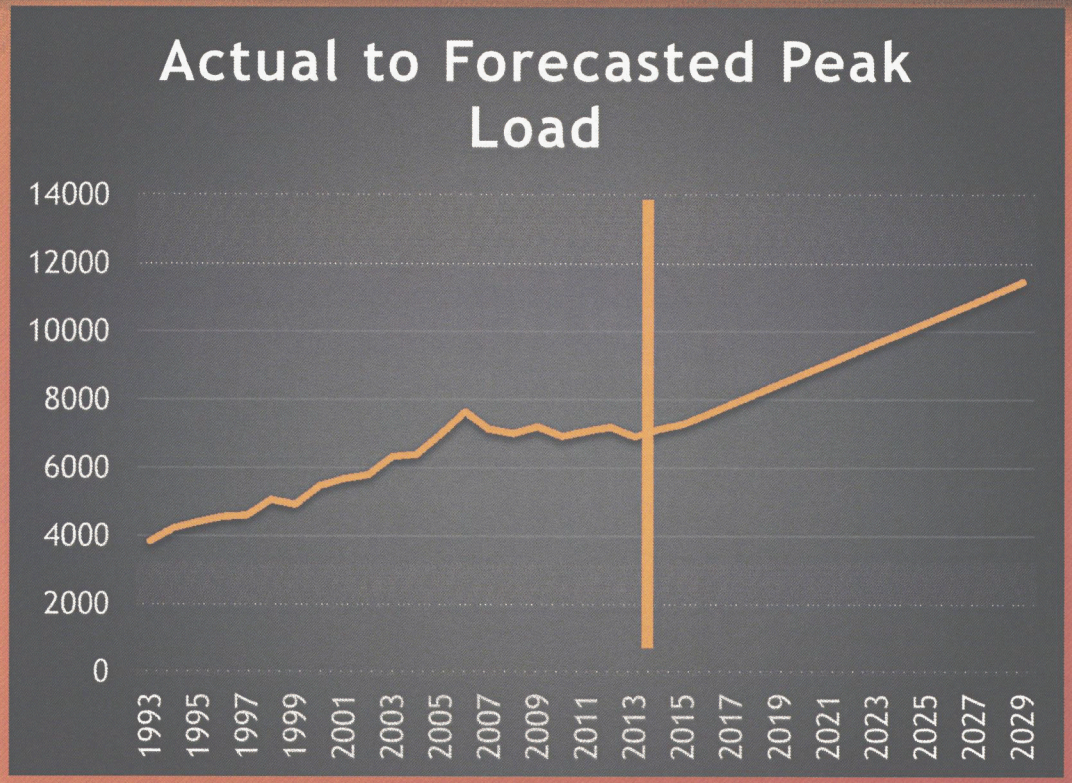
5. Peak Load Growth



Pinnacle West statistical supplements/data requests/2014 APS IRP

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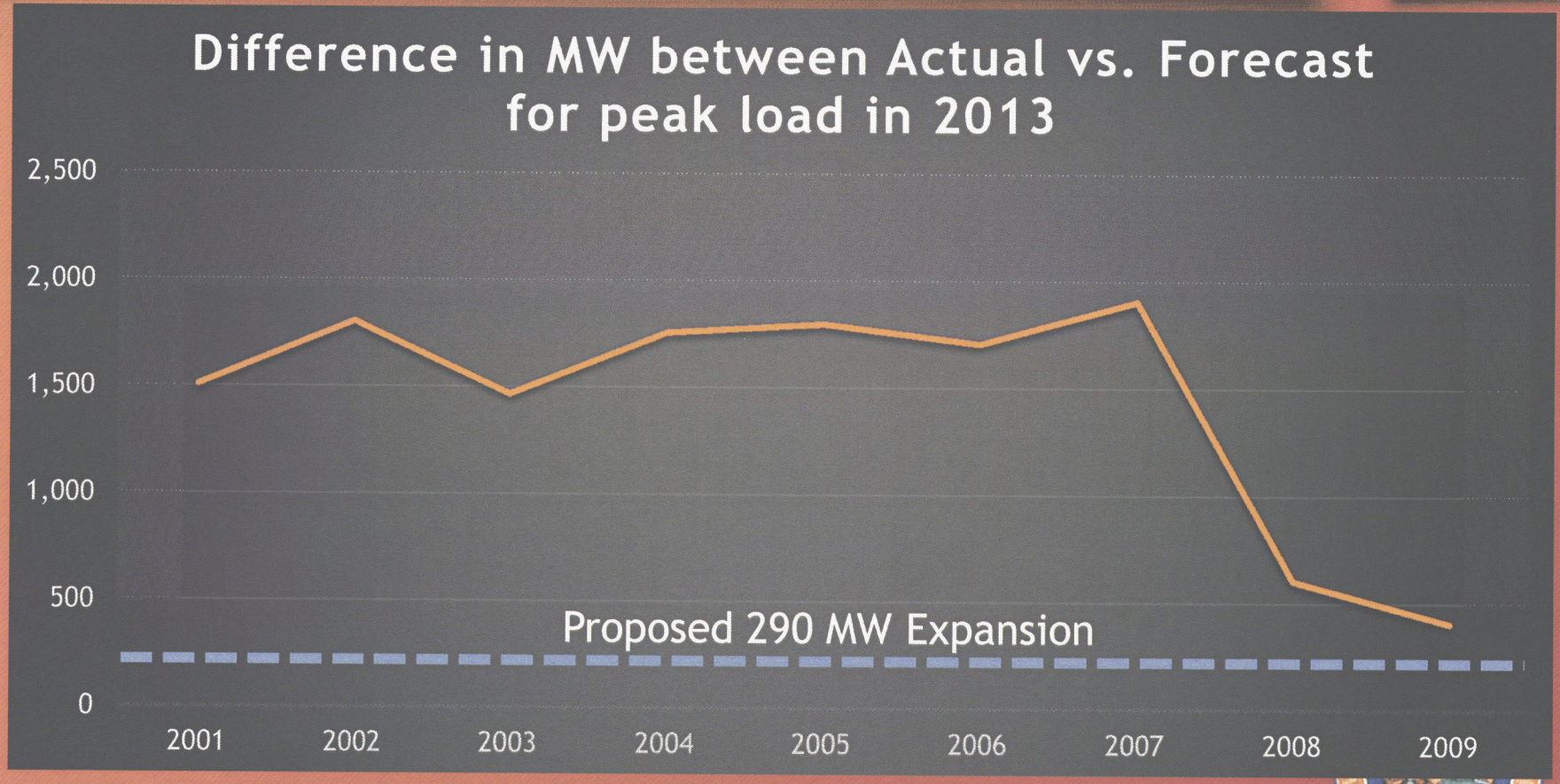
5. Forecasting Accuracy



Pinnacle West statistical supplements/data requests/2014 APS IRP

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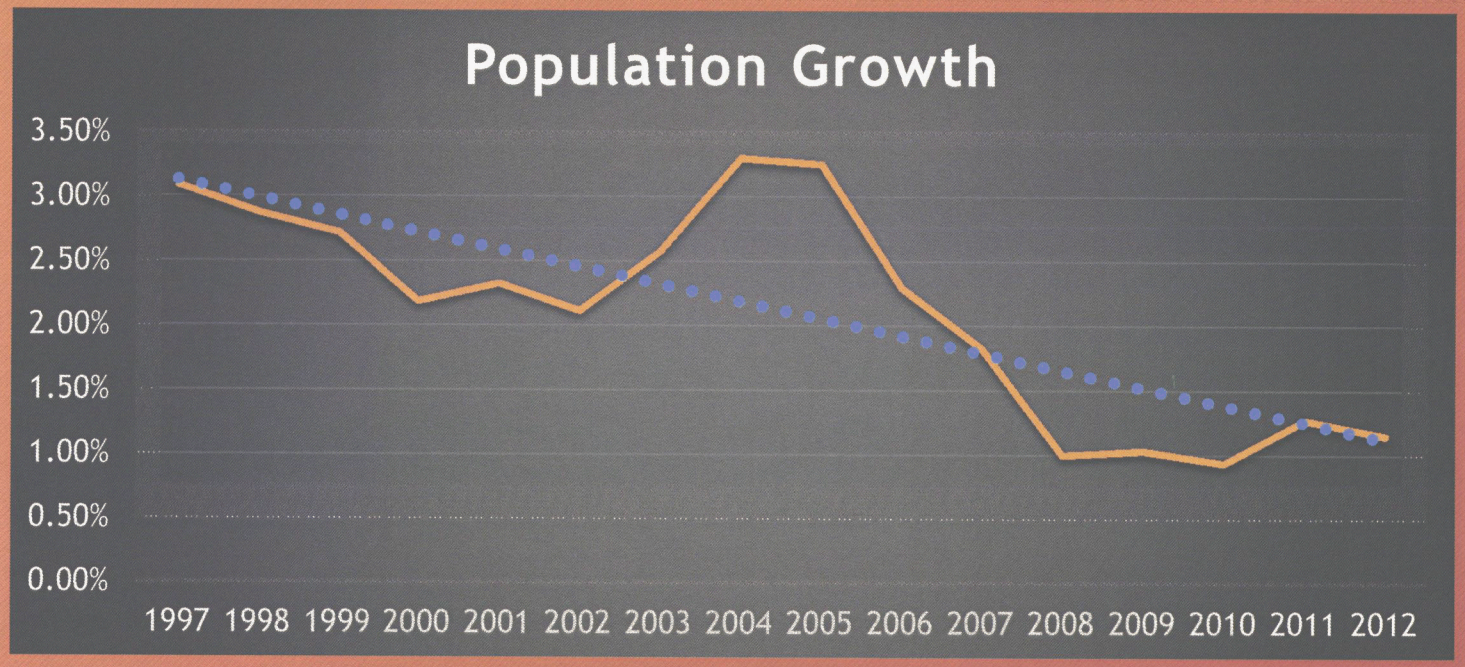
5. Demographic Trends



Historical population data (US Census)

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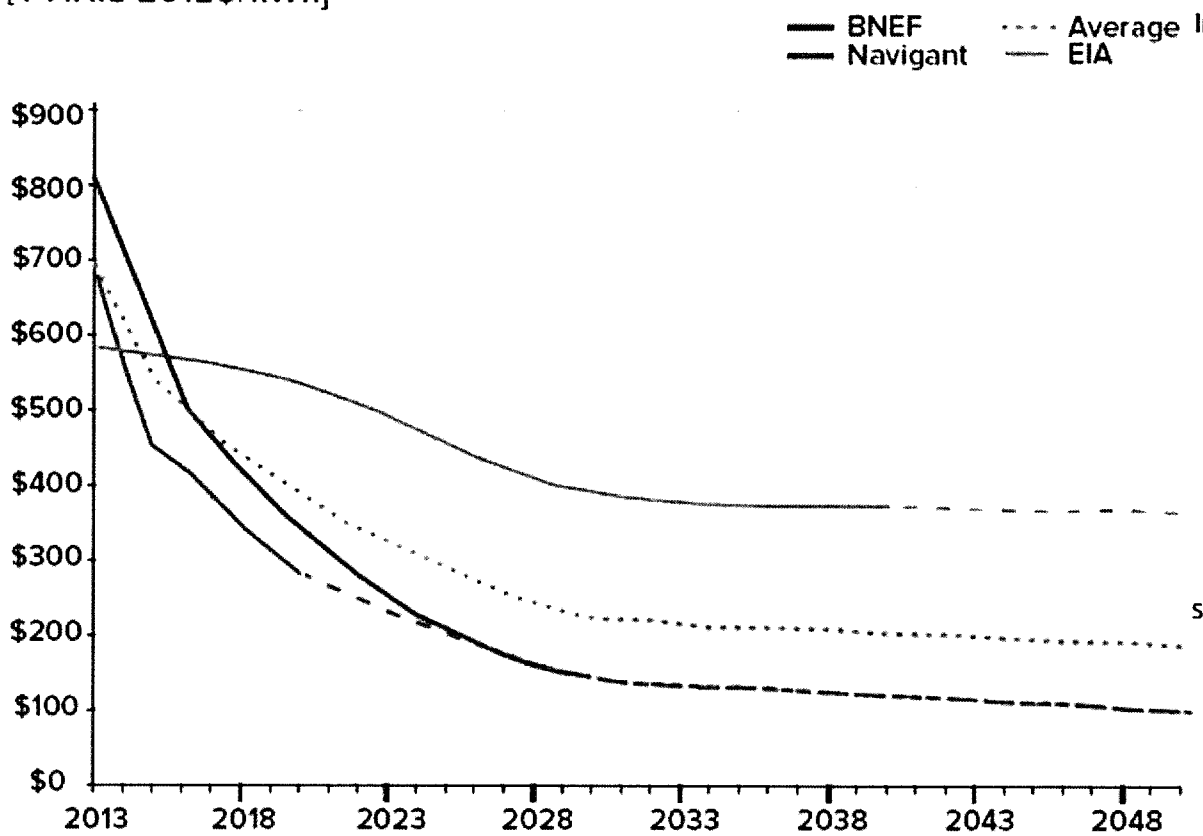
5. Technology Price Projections

FIGURE 19: BATTERY PRICE PROJECTIONS

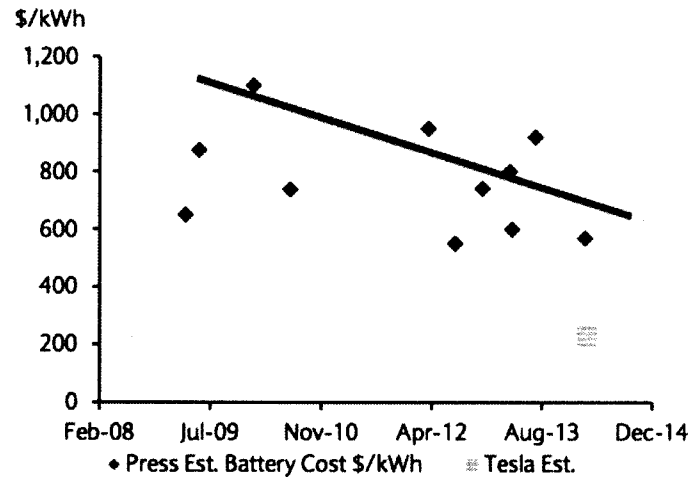
[Y-AXIS 2012$/kWh]



(DASHED LINES REPRESENT EXTRAPOLATIONS)

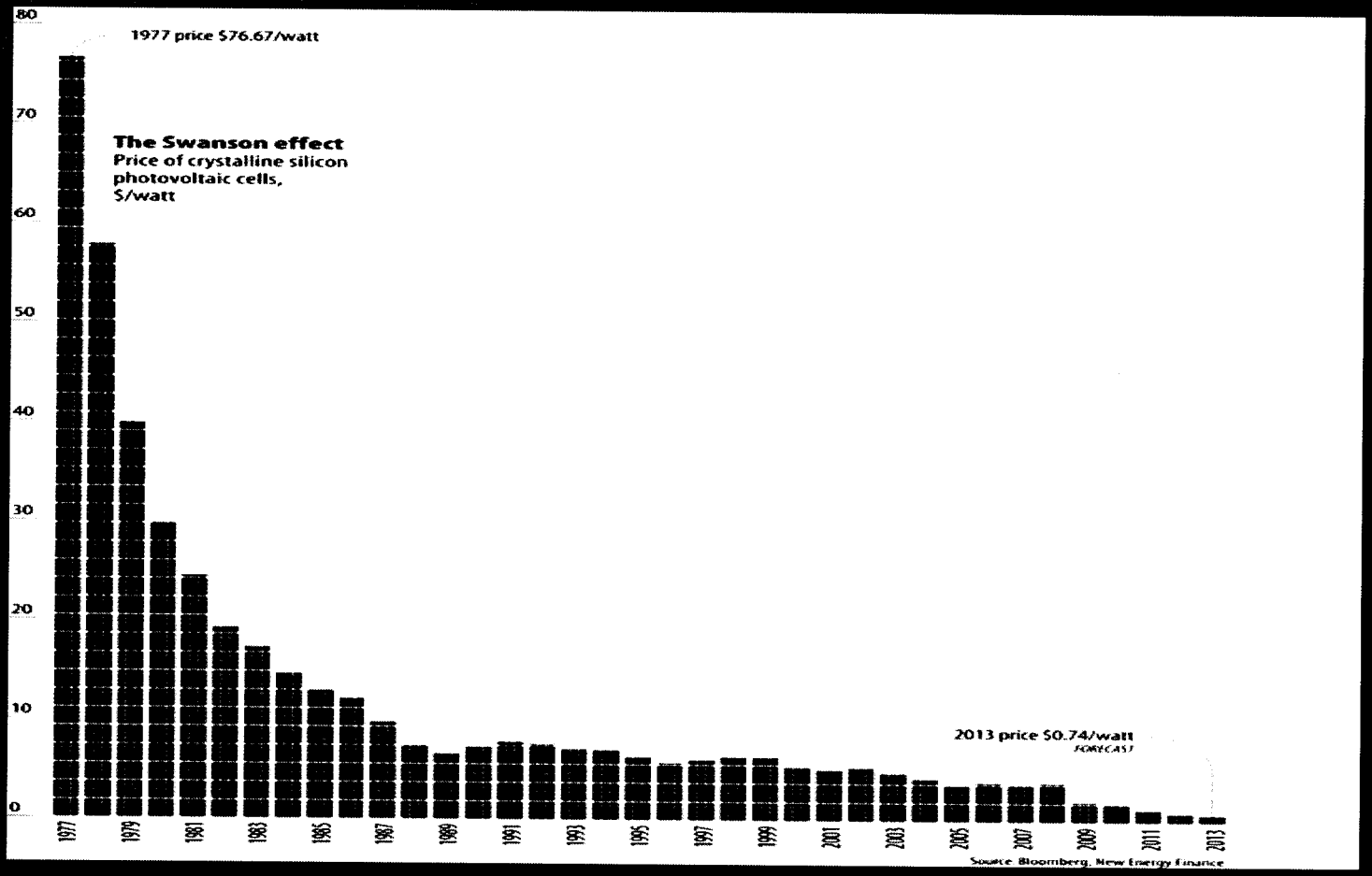
FIGURE 3

Industry Estimates for Battery Costs in 2013-14



Source: Bloomberg, Barclays Research

5. Technology Price Projections



Conclusion

- No all-source RFP was conducted
  - Therefore no comparison on costs or environmental impact
- Questionable selection criteria that does not fit with the changing times
- Adequate import capability that does not warrant a load pocket location for expansion
- Minimal amount of drivers to justify timetable
- No compelling reason to lock-in an expensive addition at this time for an asset that will run only a fraction of the time - *RUCO data response*

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RUCO'S PROPOSED ADDITIONS TO THE CEC

EXHIBIT
tabbies
R-4
not offered

CONDITION 17 and FINDING OF FACT 4

Approval of this Certificate is conditioned upon the Commission's approval of the Ocotillo Modernization Project in APS' 2014 Integrated Resource Plan (IRP).

EXHIBIT
R-5
ADMITTED

CONVENTIONAL GENERATION TECHNOLOGIES

PLANT	Location	Annual Capacity MW	Summer Capacity MW	Winter Capacity MW	Capital Costs $ Million	Capital Costs $/kW	Fixed O&M $/kW-Yr	Var O&M $/MWh	Heat Rate BTU/kWh	Lead Time Years	Capacity Factor %	CO_2 Emission lbs/MWh	Water Consumption gal/MWh
Coal													
Cholla 5 450MW Hybrid	Cholla	477	472	481	1,357	2,846	15.65	4.90	9,008	9	75%	1,847	491
Cholla 6 450MW Hybrid	Cholla	477	472	481	1,142	2,396	13.01	4.90	9,008	5	75%	1,847	491
Cholla 5&6 900MW Hybrid	Cholla	953	944	962	2,499	2,622	15.65	4.90	9,008	9	75%	1,847	491
Cholla 5 750MW Hybrid	Cholla	776	771	779	2,059	2,654	11.63	4.88	8,905	9	75%	1,826	491
Cholla 5 490MW IGCC	Cholla	490	459	518	2,130	4,347	20.27	3.30	9,136	9	75%	1,964	491
Gas Greenfield													
One 7FA, Chilled Inlet	Maricopa	203	200	209	142.9	716	3.85	5.18	10,073	3	10%	1,229	0
Two 7FA, Chilled Inlet	Maricopa	406	399	418	245.4	615	3.85	5.18	10,073	3	10%	1,229	0
Four 7EA, Chilled Inlet	Maricopa	327	319	342	275.4	863	5.49	5.50	11,911	3	10%	1,453	0
Six LM6000PC Sprint, Chilled Inlet	Maricopa	283	277	295	297.1	1,073	7.44	5.37	9,723	3	10%	1,186	54
Six LM6000PF Sprint DLN, Chilled Inlet	Maricopa	269	266	274	303.2	1,138	7.44	5.37	9,373	3	10%	1,144	28
Three LMS100PA High Temp, Low Water	Maricopa	310	306	318	310.0	1,012	5.20	4.86	9,072	3	10%	1,107	33
Three LMS100PA, Chilled Inlet	Maricopa	306	301	314	296.7	985	5.20	4.86	8,932	3	10%	1,107	197
One 2X1 CC 7FA, Chilled Inlet, DB on, CT (Wet)	Maricopa	694	681	719	543.5	798	4.88	4.32	6,473	4	75%	880	302
2x1 CC 7FA, Chilled Inlet, DB On, ACC	Maricopa	684	665	716	593.4	892	4.99	4.05	7,311	4	75%	902	7
Gas Brownfield													
One Redhawk 7FA, Chilled Inlet	Redhawk	203	200	209	135.5	679	3.85	5.18	10,073	3	10%	1,229	0
Two Redhawk 7FA, Chilled Inlet	Redhawk	406	399	418	237.1	594	3.85	5.18	10,073	3	10%	1,229	0
Two Redhawk 7EA, Chilled Inlet	Redhawk	164	159	171	149.9	940	5.49	5.50	11,911	3	10%	1,453	0
Two Sundance LM6000PC Sprint, Chilled Inlet	Sundance	94	92	98	120.7	1,308	7.44	5.37	9,723	3	10%	1,186	54
Two Sundance LM6000PF Sprint DLN, Chilled Inlet	Sundance	90	89	91	123.0	1,384	7.44	5.37	9,373	3	10%	1,144	28
Two Yucca LM6000PC Sprint, Chilled Inlet	Yucca	94	92	98	122.1	1,323	7.44	5.37	9,723	3	10%	1,186	54
Two Yucca LM6000PF Sprint DLN, Chilled Inlet	Yucca	90	89	91	124.4	1,400	7.44	5.37	9,373	3	10%	1,144	28
Three RH LMS100PA High Temp, Low Water	Redhawk	310	306	318	299.9	979	5.20	4.86	9,072	3	10%	1,107	33
Three Redhawk LMS100PA, Chilled Inlet, Wet Secondary	Redhawk	306	301	314	285.9	949	5.20	4.86	8,932	3	10%	1,107	197
2X0 P&W SP60 FT8-3 Mech Chillers	Maricopa	59	57	62	91.0	805	14.41	4.97	10,662	3	10%	1,301	85
Six Unit Wartsila 18V50	Maricopa	110	110	110	139.0	1,262	16.04	5.53	8,365	3	10%	984	0
Nuclear AP 1000 Hybrid	Palo Verde	400	400	400	1812.5	4531	52.01	0.34	10,386	10	75%	0.00	552

Notes:
1 Costs are in year-2011 dollars.
2 Capital costs are overnight construction costs; $/kW is based on summer capacity rating.
3 Capital cost for IGCC does not include CO_2 capture.
4 Lead time for Cholla 6 is contingent on Cholla 5 already built.
5 Lead time is based on construction schedule.
6 The following two conventional technologies were included in the 2012 Resource Plan:
Three LMS100PA High Temp, Low Water
2x1 CC 7FA, Chilled Inlet, DB On, ACC

allocated by 42% to the APS zones and 58% to the SRP zones. Once the load is scaled, the increase (or decrease) of load is made up by generation resources outside of the Phoenix Metropolitan area. For this study, available generation at the Palo Verde hub is utilized.

Results of the MLSC study are shown in Tables 14 and 15. For both study years, the all lines in service (ALIS) study resulted in MLSC values above the forecasted peak load plus margin for the Phoenix-Metro Load Pocket. With regard to the initially out of service (IOS) cases, increased generation at Ocotillo reduces the impact of the MLSC reduction due to the IOS condition. Power flow maps of the MLSC readings are provided in Appendix B.

TABLE 14: 2018 MLSC STUDY RESULTS

PEAK LOAD + MARGIN	13,900 MW			
SCENARIO	**OCOTILLO DISPATCH**	**MLSC**	**OUTAGE**	**LIMITING ELEMENT**
ALIS	0 MW	14,792 MW	N-0	Pinnacle Peak-Cactus 230kV
	330 MW	16,259 MW	West Phoenix - Lincoln Street 230kV	Agua Fria- Glendale 230kV
	620 MW	16,256 MW	Agua Fria- Glendale 230kV	Lincoln Street - Country Club 230kV
JOJOBA – KYRENE 500KV IOS	0 MW	12,815 MW	Pinal C - Duke 500kV	Test Track - Santa Rosa 230kV
	330 MW	13,005 MW	Pinal C - Duke 500kV	Test Track - Santa Rosa 230kV
	620 MW	13,255 MW	Pinal C - Duke 500kV	Test Track - Santa Rosa 230kV
PV-RUDD 500KV IOS	0 MW	13,781 MW	N-0	Pinnacle Peak-Cactus 230kV
	330 MW	15,156 MW	N-0	Pinnacle Peak-Cactus 230kV
	620 MW	15,353 MW	Palm Valley - Rudd 230kV	Liberty - Rudd 230kV

EXHIBIT
tabbies
R-6
ADMITTED

TABLE 15. 2023 MLSC STUDY RESULTS				
PEAK LOAD + MARGIN	14,850 MW			
SCENARIO	OCOTILLO DISPATCH	MLSC	OUTAGE	LIMITING ELEMENT
ALIS	0 MW	14,901 MW	West Phoenix - Lincoln Street 230kV	Agua Fria - Glendale 230kV
	330 MW	16,058 MW	Cactus - Ocotillo 230kV	Pinnacle Peak - Cactus 230kV
	620 MW	15,572 MW	N-0	Lincoln Street - Country Club 230kV
JOJOBA – KYRENE 500KV IOS	0 MW	12,703 MW	Pinal C - Duke 500kV	Test Track - Santa Rosa - 230kV
	330 MW	12,906 MW	Pinal C - Duke 500kV	Test Track - Santa Rosa - 230kV
	620 MW	13,131 MW	Pinal C - Duke 500kV	Test Track - Santa Rosa - 230kV
PV-RUDD 500KV IOS	0 MW	14,122 MW	N-0	Pinnacle Peak - Cactus 230kV
	330 MW	15,287 MW	N-0	Pinnacle Peak - Cactus 230kV
	620 MW	15,470 MW	Palm Valley - Rudd 230kV	Liberty - Rudd 230kV

R14-2-705. Procurement

A. Except as provided in subsection (B), a load-serving entity may use the following procurement methods for the wholesale acquisition of energy, capacity, and physical power hedge transactions:
   1. Purchase through a third-party online trading system;
   2. Purchase from a third-party independent energy broker;
   3. Purchase from a non-affiliated entity through auction or an RFP process;
   4. Bilateral contract with a non-affiliated entity;
   5. Bilateral contract with an affiliated entity, provided that non-affiliated entities were provided notice and an opportunity to compete against the affiliated entity's proposal before the transaction was executed; and
   6. Any other competitive procurement process approved by the Commission.

B. A load-serving entity shall use an RFP process as its primary acquisition process for the wholesale acquisition of energy and capacity, unless one of the following exceptions applies:
   1. The load-serving entity is experiencing an emergency;
   2. The load-serving entity needs to make a short-term acquisition to maintain system reliability;
   3. The load-serving entity needs to acquire other components of energy procurement, such as fuel, fuel transportation, and transmission projects;
   4. The load-serving entity's planning horizon is two years or less;
   5. The transaction presents the load-serving entity a genuine, unanticipated opportunity to acquire a power supply resource at a clear and significant discount, compared to the cost of acquiring new generating facilities, and will provide unique value to the load-serving entity's customers;
   6. The transaction is necessary for the load-serving entity to satisfy an obligation under the Renewable Energy Standard rules; or
   7. The transaction is necessary for the load-serving entity's demand-side management or demand response programs.

C. A load-serving entity shall engage an independent monitor to oversee all RFP processes for procurement of new resources.

Historical Note

New Section made by final rulemaking at 16 A.A.R. 2150, effective December 20, 2010 (Supp. 10-4).

EXHIBIT
R-7
not offered

Ocotillo Modernization Project

APPLICATION FOR CERTIFICATE OF ENVIRONMENTAL COMPATIBILITY

EXHIBIT
APS-1
ADMITTED

Prepared for

State of Arizona Power Plant and Transmission Line Siting Committee

Arizona Corporation Commission

Submitted by

Arizona Public Service

aps

EXECUTIVE SUMMARY

Arizona Public Service Company ("APS") owns and operates the Ocotillo Power Plant ("Power Plant") in Tempe, Arizona. The Power Plant includes two (2) steam turbine generators that began commercial operations in 1960. The steam generators have become increasingly costly and difficult to maintain, and as a result, APS is proposing to modernize the Ocotillo Power Plant by installing five (5) new gas turbines ("GTs"), and subsequently removing the two (2) steam units, which collectively comprise the Ocotillo Modernization Project ("Project").

PROJECT PURPOSE

Given the Power Plant's key location on the transmission system, having reliable, and flexible, generation at that location is critical. The need to upgrade the Power Plant stems from the key role the Power Plant plays within APS's transmission system and the Phoenix area load-pocket. The existing steam turbine generators played a significant role in bringing power to the Phoenix area over the past 54 years; however, they are relatively inefficient, less responsive, and less flexible than the modern generating technologies now available. All of this, coupled with the evolving landscape of integrating renewable energy into the grid, makes the need to modernize the Power Plant with fast-starting, fast-ramping technology compelling on many levels.

- Resource need: By 2021, APS anticipates needing over 3,800 megawatts ("MW") of additional resources to replace expiring purchase contracts and meet expected growth. This additional capacity is anticipated to come from a diverse portfolio of resources including energy efficiency, renewables, and natural gas combined-cycle and simple-cycle gas turbines.
- Enhanced flexibility of APS portfolio: The state-of-the-art combustion turbine technology proposed for this Project will provide APS added flexibility to further integrate increasing levels of renewable energy and quickly respond to system contingencies. The new combustion turbine technology would add operational flexibility to respond to the variability of renewable energy because the units can connect to the grid in less than six (6) minutes.
- Use of existing infrastructure: The Power Plant has the available land as well as capability through existing transmission and natural gas pipeline infrastructure to support the additional generation.
- Uniquely situated: Valley load-serving capability is enhanced by the Power Plant due to its location on the transmission system and proximity to the Metro Phoenix area. This proximity affords dynamic voltage support, reduced system energy losses, and impact mitigation from transmission line contingencies.

PROJECT DESCRIPTION

The Power Plant is currently comprised of two (2) steam generators which each produce 110 MW; and two (2) GTs, each producing 55 MW, for a total output of 330 MW. The Power Plant operates on natural gas supplied via Kinder Morgan's El Paso Natural Gas pipeline system. The Power Plant is located on approximately 126 acres at 1500 East University Drive in Tempe, Arizona. Within the bounds of the existing Ocotillo Site, APS proposes to install additional generation comprised of five (5) approximately 102 MW (net) GTs fueled by natural gas. The five (5) new GTs would be aligned from south to north along the western edge of the Ocotillo Site, where three (3) large abandoned fuel oil tanks currently are located (the plant no longer uses fuel oil). The Project's proposed new GT units, if approved, would be constructed and put into service consecutively, with all units placed into commercial operation before Summer of 2018. The removal of the two (2) steam generators is anticipated to commence by the Fall of

2018. Once complete, the Ocotillo Power Plant would be capable of generating approximately 620 MW with five (5) new and two (2) existing GTs.

The proposed new GT units are a hybrid of a conventional industrial gas turbine and an aero-derivative gas turbine, which improves efficiency and increases capacity. These advanced technology gas turbines would be cooled with a hybrid cooling system that utilizes both dry air-to-air heat exchangers and conventional wet-cooling towers. As a result, the combination of new GTs and decommissioning of the aging steam units is expected to reduce water use at the Power Plant from an average of 1,007 gallons per megawatt-hour ("g/MWh") to approximately 141 g/MWh.

In addition to the new GTs, the Project will be connected to the existing 230-kilovolt substation on the Project site with two new "Generation Interconnections". Other ancillary facilities include the cooling towers, GT Collector Substation, support buildings, and water treatment facilities. Similar to the GTs, the additional Project facilities would be located onsite.

ENVIRONMENTAL STUDIES AND PUBLIC OUTREACH OVERVIEW

The process of evaluating the Project began in 2012. This process included evaluation of potential environmental impacts on existing and future land uses (Exhibit A), air and water quality (Exhibit B), biological resources (Exhibits C and D), visual and cultural resources (Exhibit E), recreation (Exhibit F), noise levels (Exhibit I), and existing plans (Exhibit H).

The environmental studies and impact conclusions in the attached exhibits demonstrate that the Project is environmentally compatible as outlined below:

- Land use impacts are not expected because the existing site is already an operational power plant within its industrial land use designation. In addition, the Project is compatible with existing plans and future developments in the vicinity of the Ocotillo Site.
- Emission rates from the power plant (measured in pounds per kilowatt-hour) will decrease as a result of the more efficient GTs.
- The rate of water use (measured in gallons per kilowatt-hour of power produced) will decrease.
- There will be no impacts on special status species or unique habitats; none occur within the site.
- The lower profile of the GT units and removal of steam units will decrease the overall visual dominance of the Power Plant. There are no designated scenic areas in the vicinity; therefore, no impacts on scenic areas would occur.
- Historic sites and structures, and archaeological sites are not expected to be adversely impacted by the Project.
- Noise conditions associated with Power Plant operations are not expected to significantly change, and may be improved to some extent. The Project will meet all applicable noise ordinances.

A public outreach and participation process was conducted to communicate with the general public and agencies, and consider their comments and concerns about the Project. The public participation process has included communication with various tribal, state, and local agencies, planning jurisdictions, landowners, and elected officials. No material environmental concerns have been identified through comments received on the Project.

CONCLUSION

The Project will create a cleaner-running, more efficient Power Plant by installing advanced technology GTs and decommissioning the two 1960s-era, natural gas-fired steam generators. The Project will help APS integrate renewable energy and meet increasing customer demand by nearly doubling the Power Plant's total capacity to approximately 620 MW from its current 330 MW with quick starting and fast ramping GTs. By using the best-available commercial technology, the new GTs will use natural gas more efficiently, reducing emission rates for NOx and CO and decreasing water use rates at the Power Plant. The modernized Power Plant will also have nearly twice its current generating capacity without increasing noise levels. In essence, the Project, once approved, provides benefits for APS electric service reliability that other resources cannot provide. Accordingly, APS requests that the Arizona Transmission Line and Power Plant Siting Committee and the Arizona Corporation Commission grant a Certificate of Environmental Compatibility for the Project.

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Attachment J-7 Social Media

Attachment J-8 Newspaper Articles

APPLICATION

1. ***"Name and address of the applicant, or in the case of a joint project, the applicants."***

Arizona Public Service Company ("APS")
400 North Fifth Street
Phoenix, Arizona 85004

2. ***"Name, address and telephone number of a representative of an applicant who has access to technical knowledge and background information concerning the application in question and who will be available to answer questions or furnish additional information."***

Applicant:

Brent Gifford
Manager of Major Projects, Generation Engineering
Arizona Public Service Company
400 North 5th Street
Phoenix, Arizona 85004
Telephone: (602) 250-5160

3. ***"State each date on which applicant has filed a ten-year plan in compliance with A.R.S. § 40-360.02 and designate each such filing in which the facilities for which this application is made were described. If they have not been previously described in a ten-year plan, state the reasons therefore."***

APS files ten-year transmission plans in compliance with A.R.S. § 40-360.02 annually, with the latest plan filed on January 31, 2014. APS filed a supplement to the ten-year plan on May 13, 2014 to include the 230 kilovolt (kV) Generation Interconnections associated with the Ocotillo Modernization Project (the "Project") and consistent with the 90-day pre-application plan filed on April 28, 2014. These additional facilities would be entirely located within the existing Ocotillo Power Plant site ("Ocotillo Site").

4. ***"Description of the proposed facility, including:"***

4.a. ***"With respect to an electric generating plant:"***

4.a.i. ***"Type of generating facilities (nuclear, hydro, fossil-fueled, etc.):"***

The Ocotillo Power Plant ("Power Plant") is currently comprised of two (2) steam generators (54 years old) which produce 110 net megawatts ("MW") each; and two (2) gas turbine generators ("GTs"), 55 MW net each, for a total net output of 330 MW. The Power Plant is located on approximately 126 acres at 1500 East University Drive in Tempe, Arizona (Figure 1). The Power Plant operates on natural gas supplied via Kinder Morgan's El Paso Natural Gas pipeline system. Additional generation proposed for the site also would operate on natural gas.

4.a.ii. ***"Number and size of the proposed units:"***

APS proposes to install additional generation at the existing Power Plant; the additional generation will be comprised of five (5) approximately 102 MW (net) GTs, that would be fueled by natural gas. Once approved, the Project's five (5) GT units would be constructed and put into service consecutively. The first unit's commercial operation is expected in the Fall of 2017. All new GT units are anticipated to be completed before Summer of 2018.

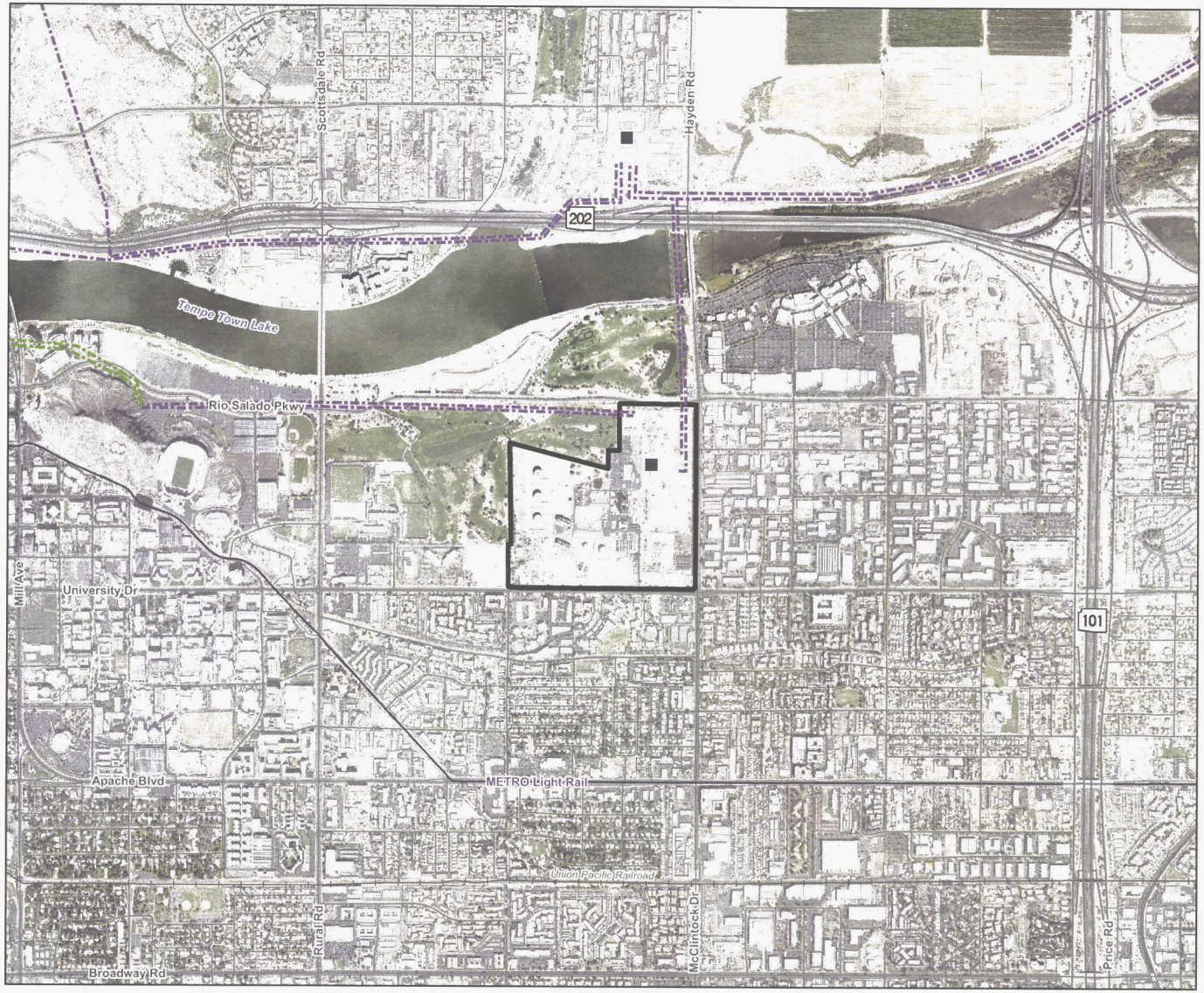

Figure 1

Project Location

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Existing Overhead Transmission Line
- Existing Underground Transmission Line
- Existing Substation \ Switchyard
- Road
- Railroad

Source:
Transmission Lines: URS 2014
Base Map: ALRIS 1997 - 2014, ADOT 2014,
Valley Metro 2014, BTS 2013
Imagery: FCDMC 2012

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Feet
1:18,000

aps

The removal of the two (2) steam generators is anticipated to commence by the Fall of 2018. The existing and proposed future power-generating capacity at the Power Plant is summarized in Table 1.

Table 1. Ocotillo Power Plant: Existing and Future Proposed Power-Generating Capacity

	Existing Ocotillo Power Plant		Future Ocotillo Power Plant	
Type of Generating Unit	**Number**	**Power-Generating Capacity**	**Number**	**Power-Generating Capacity**
110 MW Steam Generator (generation to be removed)	2	220 MW	0	–
55 MW Gas Turbine Generator	2	110 MW	2	110 MW
Approximately 102 MW (net) Gas Turbine Generator (new generation to be added)[1]	0	–	5	510 MW
TOTAL	**4**	**330 MW**	**7**	**620 MW**

[1] Capacity of each GT may increase as GT technology continuously evolves through innovation, research, and development—yielding increased performance and efficiency.

Site Layout and Arrangement

The Project would be contained entirely within the existing Ocotillo Site, including the new facility equipment (including GTs); Generation Interconnections; construction office, laydown areas, and parking areas; and tie-in points for generation interconnections, natural gas, well water supply, and wastewater discharge. To accommodate construction needs at the site, two (2) smaller fuel tanks located south of steam units will be removed. The existing Ocotillo Site was selected due to the available physical space and existing supporting utilities, such as transmission line capacity out of the plant, natural gas fuel supply, raw well water supply, potable water supply, wastewater interconnection, and other existing owner offices, buildings and power generation facilities, as well as being a key location on the transmission system to support grid reliability.

The five (5) new GTs would be located along the western edge of the Ocotillo Site, where three (3) large abandoned tanks currently are located (the plant no longer uses fuel oil). Figure 2 shows the primary areas where construction activities would occur (excluding the onsite Generation Interconnections) and Figure 3 depicts the proposed site layout and arrangement of the Power Plant, including the potential alignment for the Generation Interconnections (over an aerial photograph of existing conditions). The five (5) new GTs would be aligned and numbered from south to north (identified as GT3 through GT7, respectively).

Figure 2

Primary Construction Areas

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant

Primary Construction Areas

- Temporary Construction Offices, Laydown and Parking Areas
- Main Construction Area for New Generating Units
- Cooling Towers Removal Area
- Steam Turbine Generating Unit Removal Area
- Internal Access Road

GT = Gas Turbine Generator

Source:
Project Features: APS 2013 - 2014
Base Map: ADOT 2014
Imagery: FCDMC 2012

N

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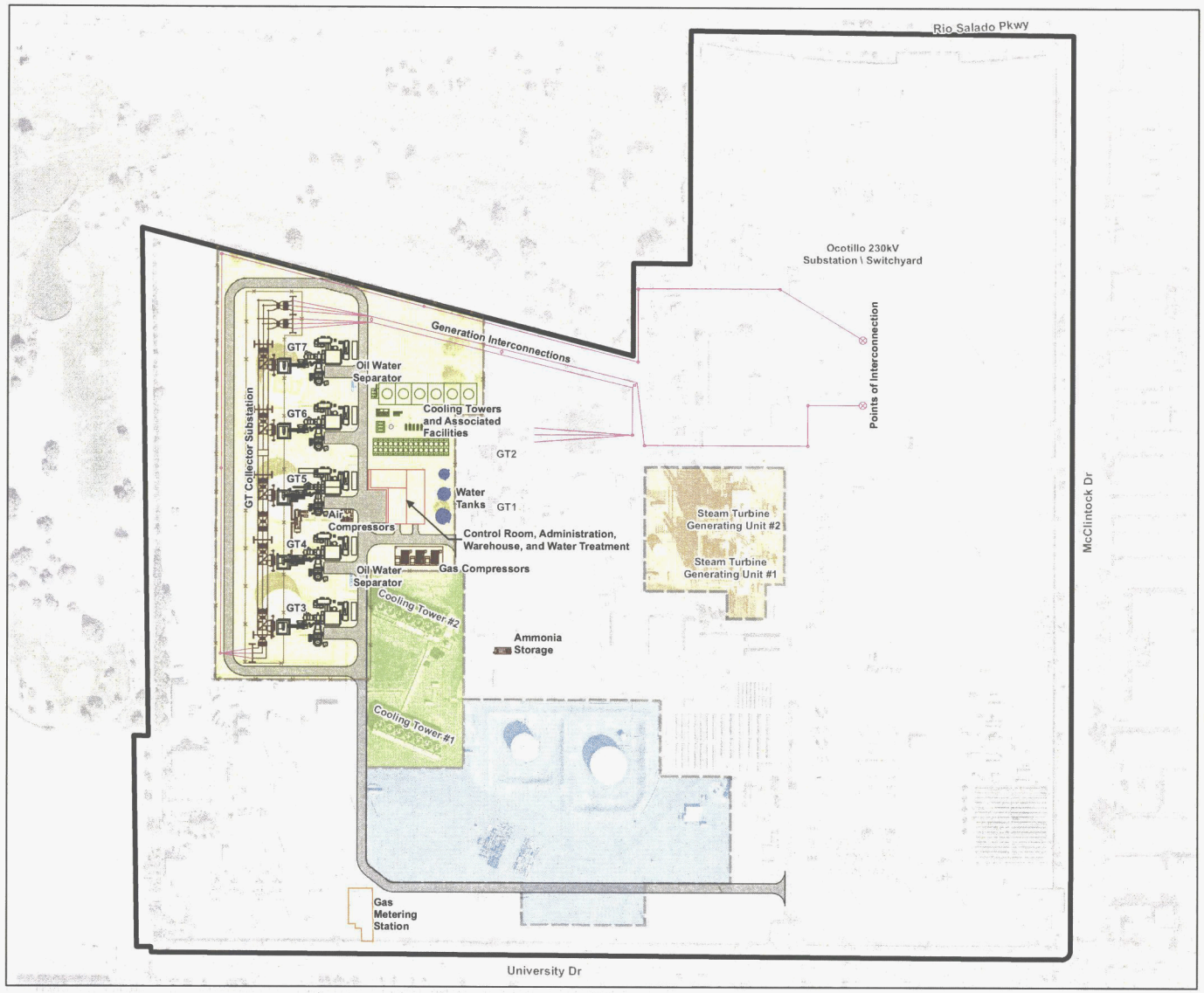

Figure 3

Proposed Site Layout

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant

Proposed Site Layout

- Proposed GT
- Control Room, Administration, Warehouse, and Water Treatment
- Cooling Towers and Associated Facilities
- Oil Water Separator
- Generation Interconnections
- Gas Metering Station
- Water Tanks
- Plant Features
- Fence

Primary Construction

- Temporary Construction Offices, Laydown and Parking Areas
- Main Construction Area for New Generating Units
- Cooling Towers Removal Area
- Steam Turbine Generating Unit Removal Area
- Internal Access Road

GT = Gas Turbine Generator

Source:
Project Features: APS 2013 - 2014
Base Map: ADOT 2014
Imagery: FCDMC 2012

N

0 150 300
Feet
1:3,600

aps

Gas Turbine Equipment

New gas turbine units would be the General Electric Model LMS100PA ("LMS100") GTs.[1] These units are a hybrid of a conventional industrial gas turbine and an aero-derivative gas turbine, which improves efficiency and increases capacity. This is achieved by compressing and cooling air with a conventional gas turbine compressor and an intercooler prior to directing the air into the aero-derivative gas turbine compressor where the air is further compressed and directed to the combustors where the natural gas is burned. The resulting hot compressed air then drives the power turbine which drives the electric generator. These advanced technology gas turbines would be cooled with a hybrid cooling system that utilizes both dry air-to-air heat exchangers and conventional wet-cooling towers which reduces water use compared to 100-percent wet-cooling and reduces impacts from the high cost and low efficiency that naturally come with 100-percent dry-cooling technologies.

Emissions Control Equipment

Each GT would be equipped with water injection to the combustors to reduce the production of NOx during the combustion phase. Turbine exhaust gasses would then pass through a selective catalytic reduction (SCR) system to reduce NOx and CO emissions. The SCR system will require the use of aqueous ammonia (19% concentration).

Continuous emissions monitoring equipment would be provided to monitor emissions on all stacks including O_2, CO, and NOx. A detailed air quality impact analysis was conducted for the Project in support of the Air Pollution Control Permit Application submitted to Maricopa County Air Quality Department. A summary of that air quality analysis, including the emissions profile, is presented in **Exhibit B1** of this Application and the complete Air Pollution Control Permit Application is included as an attachment to Exhibit B1 (under separate cover).

Electrical Systems

Each GT would have an associated 13.8kV generator switchgear module. Each switchgear bus would have a generator circuit breaker, auxiliary circuit breaker, and direct connection to a generator step-up transformer. The Project would have five (5) 230-13.8kV two-winding generator step-up transformers, which would each be connected directly to GT switchgear buses. This equipment would be located within the GT Collector Substation, immediately west of the GTs (Figure 3). The high-voltage side of the generator step-up transformers would connect, through two (2) 230 kilovolt (kV) Generation Interconnections, to the existing onsite substation ("Ocotillo 230kV Substation" located near the northeast corner of the site). The Generation Interconnection lines primarily would be single-circuit, generally routed around the western and northern boundaries of the Ocotillo Site (Figure 3). Segments of the Generation Interconnection lines also may include double circuiting, where possible.

Fire Protection System

The fire protection system would be designed and installed in accordance with applicable codes and standards of the National Fire Protection Association and City of Tempe, as applicable.

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[1] APS plans on purchasing the latest LMS100PA GT technology which could result in a higher output. For the purposes of this Application, APS has assumed the performance currently available which yields 102 MW (net) generating capacity include ambient temperature of 105 degrees Fahrenheit with 19 percent relative humidity at an elevation of 1,178 feet above mean sea level.

4.a.iii. "The source and type of fuel to be utilized, including proximate analysis of fossil fuels:"

The new GT units would only combust pipeline quality natural gas, which has a typical heat content of 1,020 Btu/ft^3 (equivalent to 0.00102MMBtu/ft^3). Natural gas would be provided by Kinder Morgan. No changes to the existing off-site Kinder Morgan Natural Gas fuel supply infrastructure would be needed for the Project. The Ocotillo Site metering station (Figure 3) would undergo an upgrade to accept delivery at a pressure of 400 pounds per square inch gauge (psig), and onsite compression would be added to boost pipeline pressure from 400 psig to 850 psig.

4.a.iv. "Amount of fuel to be utilized daily, monthly and yearly:"

Within its Air Permit Application submitted to Maricopa County Air Quality Department, APS has proposed an annual fuel use limit of 18,800,000 MMBtu combined across the new GTs. Based on that annual limit, the following average monthly and daily utilization of fuel may be anticipated:

Annual: 18,800,000 MMBtu/0.00102MMBtu/ft^3 = 18,431 million cubic feet [MCF]

Daily: 18,431 MCF/365 days = 50.5 MCF

Monthly: 18,431 MCF/ 12 months = 1,536 MCF

The daily and monthly fuel utilization could vary significantly based on load demand influencing operations.

4.a.v. "Type of cooling to be utilized and source of any water to be utilized:"

Cooling

APS proposes to utilize a hybrid cooling system that would include a combination of dry and wet cooling components because such systems are estimated to reduce water use compared to wet cooling, while having minimal power generation performance impacts compared to dry cooling, and reasonable capital and delivered electricity costs. The new GT units would use a refrigeration-based inlet combustion air cooling system (air-to-air heat exchangers). In addition, the hybrid system would employ conventional wet-cooling towers.

In addition to cooling, water also would be injected directly into the combustion zone of the turbine to cool the flame, to reduce the formation of NOx.

Water Use Requirements

Due to the decommissioning of the steam generators, and the use of hybrid cooling technology for the new GTs, there would be a net reduction in the amount of cooling water consumed per MWh generated. Because these units are simple-cycle technology, the new GTs would not employ cooling for purposes of condensing steam. The water use would be materially less than wet-cooled thermal technologies. The water use per MWh of power produced is anticipated to decrease from approximately 1,007 gallons per MWh for the existing steam generators to approximately 141 gallons per MWh for the new GTs. The historical average total plant annual water use with the steam units from 2000 thru 2013 has been 737 acre-ft/year. The anticipated water use would be 638 acre-ft/year. The anticipated water use reduction

would be 99 acre-ft/year. A water use and quality analysis was conducted to determine potential impact from the Project. The water resources analysis is presented in **Exhibit B2** of this Application.

Water Supply

Raw water would be the primary source of service water makeup. Service water would be supplied by connecting to the existing water supply wells, via a new service water / fire water storage tank.

The Power Plant currently utilizes three existing wells to supply all the generators. These wells are described in Table 2 below.

Table 2. Water Supply Wells for the Ocotillo Power Plant

Well Number	Year Drilled	Estimated Capacity	Current Status
OC-P-01	1957	1,800-2,100 gpm	In Service
OC-P-02	1959[1]	1,900-2,400 gpm	In Service
OC-P-04	1986	1,400-1,600 gpm	In Service
TOTAL		**5,100-6,100 gpm**	

[1] Located approximately 0.5 mile east of the Ocotillo Site and conveyed by underground pipe.

APS has 7,450 AFY (acre-feet per year) of Type 2 rights within the Phoenix Active Management Area ("AMA"). A Type 2 water right is a grandfathered right to pump groundwater from wells for non-irrigation purposes, and can be utilized at any point or points within the AMA. APS's usage of this water from multiple locations in 2013 was 2,677 acre-feet.

Potable water for human consumption, eyewash stations, and safety showers would continue to be provided through connection with the City of Tempe municipal system. In addition, APS plans to tie into the City of Tempe for fire water protection which is currently provided by well water.

4.a.vi. "Proposed height of stacks and number of stacks, if any:"

The exhaust stack on each of the five (5) GTs would be approximately 85 feet tall (existing steam generators are approximately 180 feet tall). The five (5) exhaust stacks would be aligned from south to north.

4.a.vii. "Dates for scheduled start-up and firm operation of each unit, and date construction must commence in order to meet schedules:"

The start-up for the first GT would begin during the third calendar quarter of 2017 and the last GT would be put into service before summer of 2018. Based on the anticipated construction schedule of 106 weeks, construction of these five units should commence no later than the first calendar quarter of 2016.

4.a.viii. "To the extent available, the estimated costs of the proposed facilities and the site, stated separately. (If application contains alternate sites, furnish an estimate for each site and a brief description of reasons for any variations in estimates.)"

The estimated cost of the Project is $600 million to $700 million. APS owns the site and there would be no additional cost to obtain land or easements for this Project.

4.a.ix. "Legal description of the proposed site. (If application contains alternative sites, list sites in order of applicant's preference with a summary of reasons for such order of preference and any changes such alternative sites would require in the plans reflected in (i) through (vii) hereof.)"

The Power Plant is located on approximately 126 acres within the southeast ¼ of Section 14, Township 1 North, Range 4 East, of the Gila and Salt River Baseline and Meridian, Maricopa County, Arizona.

4.b. "With respect to proposed transmission line:"

4.b.i. "Nominal voltage for which the line is designed; description of the proposed structures and switchyards or substations associated therewith; and purpose for constructing said transmission line."

The Project would include two (2) onsite 230-kilovolt (kV) Generation Interconnections with the purpose of interconnecting the new GT units to the existing substation. The Generation Interconnections would initiate from the new GT Collector Substation, immediately west of the GTs, and connect to the existing Ocotillo 230kV Substation (Figure 3). One of the circuits would be routed along a portion of the northern boundary of the site, connecting from immediately north of GT7 to the Ocotillo 230kV Substation. The second circuit would be routed along portions of the western and northern boundaries of the Ocotillo Site, connecting from immediately south of GT3 to the Ocotillo 230kV Substation. In addition to these new Generation Interconnections, the existing interconnection from the existing GT2 would be rerouted to connect near GT7. The structures supporting the Generation Interconnections would be monopoles with a dulled gray finish approximately 140 feet tall. At least one of the Generation Interconnections would be designed to accommodate a 69kV line on the same structures, though a 69kV is not proposed, nor required, as part of the Project.

4.b.ii "Description of geographical points between which the transmission line will run, the straight-line distance between such points and the length of the transmission line for each alternative route for which application is made."

The Generation Interconnection routed along a portion of the northern boundary of the site, connecting from immediately north of GT7 to the existing Ocotillo 230kV Substation, would be approximately 1,900 feet long (straight line distance is approximately 1,600 feet). The second Generation Interconnection, routed along portions of the western and northern boundaries and connecting from immediately south of GT3 to the existing Ocotillo 230kV Substation, would be approximately 3,200 feet long (straight line distance is approximately 2,000 feet).

4.b.iii "Nominal width of right-of-way required, nominal length of spans, maximum height of supporting structures and minimum height of conductor above ground."

No right-of way would be required for this Project. No off-site transmission lines would be developed due to the Project being located wholly on the existing Ocotillo Site.

Spans would range from approximately 300 to 1,200 feet; typical spans would be 800 feet. The steel monopole structures typically would be 140 feet tall, with a maximum height of 195 feet. The minimum height of the 230kV conductor above ground would be a minimum of 25.5 feet above the ground.

4.b.iv "To the extent available, the estimated costs of the proposed transmission line and route, stated separately. (If application contains alternative routes, furnish an estimate for each route and a brief description of the reasons for any variations in such estimates.)"

The cost of the Generation Interconnection system is estimated at approximately $3M, and has been included in the Project cost provided in section 4.a.viii above.

4.b.v "Description of proposed route and switchyard locations. (If application contains alternative routes, list routes in order of applicant's preference with a summary of reasons for such order of preference and any changes such alternative routes would require in the plans reflected in (i) through (iv) hereof)."

One of the Generation Interconnection lines would be routed along a portion of the northern boundary of the site, connecting from immediately north of GT7 to the Ocotillo 230kV Substation. The second Generation Interconnection line would be routed along portions of the western and northern boundaries of the Ocotillo Site, connecting from immediately south of GT3 to the Ocotillo 230kV Substation. The locations of the proposed Generation Interconnection lines are shown on Figure 3.

4.b.vi "For each alternative route for which application is made, list the ownership percentages of land traversed by the entire route (federal, state, Indian, private, etc.)."

Only one route has been identified for each of the Generation Interconnection lines. Each route is entirely within the APS wholly owned Ocotillo Site.

5. "List the areas of jurisdiction [as defined in A.R.S. § 40-360(1)] affected by each alternative site or route and designate those proposed sites or routes, if any, which are contrary to the zoning ordinances or master plans of any of such areas of jurisdiction."

The Project is located wholly within the City of Tempe on private lands owned by APS within an area of the City zoned for industrial uses. The Ocotillo Site is zoned General Industrial (GID) and is located within the Rio Salado Overlay District. The purpose of the Rio Salado Overlay District is to encourage optimum development of land along the Salt River; objectives for the District specifically include industrial uses. Given the Ocotillo Site includes an operating power plant and is designated for industrial uses, the City of Tempe has indicated that neither rezoning nor a special use permit is required.

6. "Describe any environmental studies applicant has performed or caused to be performed in connection with this application or intends to perform or cause to be performed in such connection, including the contemplated date of completion."

APS has engaged consultants who have conducted environmental studies and impact evaluation for the Project. Specifically, analyses were conducted to support APS's Air Pollution Control Permit Application, which was submitted to Maricopa County Air Quality Department; those analyses are included in the Air Pollution Control Permit Application. APS also sponsored a cultural resource records and literature review, an evaluation of the eligibility of the Ocotillo Power Plant for inclusion in the Arizona Register of Historic Places, archaeological monitoring of geotechnical investigations, and archaeological testing at the Ocotillo Site, which are summarized in Exhibit E, with the complete reports

provided to the Arizona State Historic Preservation Office on May 20, 2014 and these reports are included as attachments to Exhibit E.

Evaluation of the existing environment, and potential environmental effects of implementation of the Project, also were performed to address land use; water resources; biological resources; scenic, historic, and archaeological resources; recreational resources; and noise. Though separate reports have not been prepared, potential environmental effects from construction and operation of the Project are discussed in Exhibits A through F and Exhibit I.

EXHIBIT A – LOCATION MAP AND LAND USE INFORMATION

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(1) stipulates "existing plans of the state, local government and private entities for other developments at or in the vicinity of the proposed site" are among the factors the Siting Committee must consider in reviewing CEC applications. The Arizona Corporation Commission ("ACC") Rules of Practice and Procedure R14-3-219 that implement ARS §40-360 et seq. stipulate that applicant provides the following location maps and land use information:

1. *"Where commercially available, a topographic map, 1:250,000 scale, showing the proposed plant site and the adjacent area within 20 miles thereof. If application is made for alternative plant sites, all sites may be shown on the same map, if practicable, designated by applicant's order of preference."*

2. *"Where commercially available, a topographic map, 1:62,500 scale, of each proposed plant site, showing the area within two miles thereof. The general land use plan within this area shall be shown on the map, which shall also show the areas of jurisdiction affected and any boundaries between such areas of jurisdiction. If the general land use plan is uniform throughout the area depicted, it may be described in the legend in lieu of an overlay."*

3. *"Where commercially available, a topographic map, 1:250,000 scale, showing any proposed transmission line route of more than 50 miles in length and the adjacent area. For routes of less than 50 miles in length, use a scale of 1:62,500. If application is made for alternative transmission line routes, all routes may be shown on the same map, if practicable, designated by applicant's order of preference."*

4. *"Where commercially available, a topographic map, 1:62,500 scale, of each proposed transmission line route of more than 50 miles in length showing that portion of the route within two miles of any subdivided area. The general land use plan within the area shall be shown on a 1:62,500 map required for Exhibit A-3, and for the map required by this Exhibit A-4, which shall also show the areas of jurisdiction affected and any boundaries between such areas of jurisdiction. If the general land use plan is uniform throughout the area depicted, it may be described in the legend in lieu of on an overlay."*

INTRODUCTION

The proposed Ocotillo Modernization Project ("Project") is located at the existing Ocotillo Power Plant site in Tempe, Arizona. The existing Ocotillo Power Plant is located on approximately 126 acres ("Ocotillo Site") entirely within the City of Tempe, proximate to neighboring jurisdictions of the City of Scottsdale, City of Mesa, portions of unincorporated Maricopa County, as well as the Salt River Pima-Maricopa Indian Community. The Ocotillo Site and surrounding areas include primarily developed land uses.

The following required figures are included to support the land use studies conducted for this application:

- Figure A-1 illustrates the Ocotillo Site on a 1:250,000 scale map to show the project vicinity, including areas within 20 miles.
- Figure A-2 illustrates the Ocotillo Site on a 1:62,500 scale map to show the jurisdiction of the area within 2 miles of the Project, identified as the "study area" for the purposes of this exhibit.
- Figure A-3 illustrates the Ocotillo Site on a 1:62,500 scale map to show the existing land use of the area. Due to the scale of Figure A-3 and short distance required for generation interconnections, please refer to Figure 3 in the Application, which illustrates the proposed site layout within the Ocotillo Site.
- Figure A-4 illustrates the Ocotillo Site on a 1:62,500 scale map to show the future (planned) land use of the area. Due to the scale of Figure A-4 and short distance required for generation interconnections, please refer to Figure 3 which illustrates the proposed site layout within the Ocotillo Site.

Figures A-2 through A-4 illustrate the data collected for land use study. The following describes the inventory methods and impact assessment results of the land use study for the Project.

INVENTORY METHODS

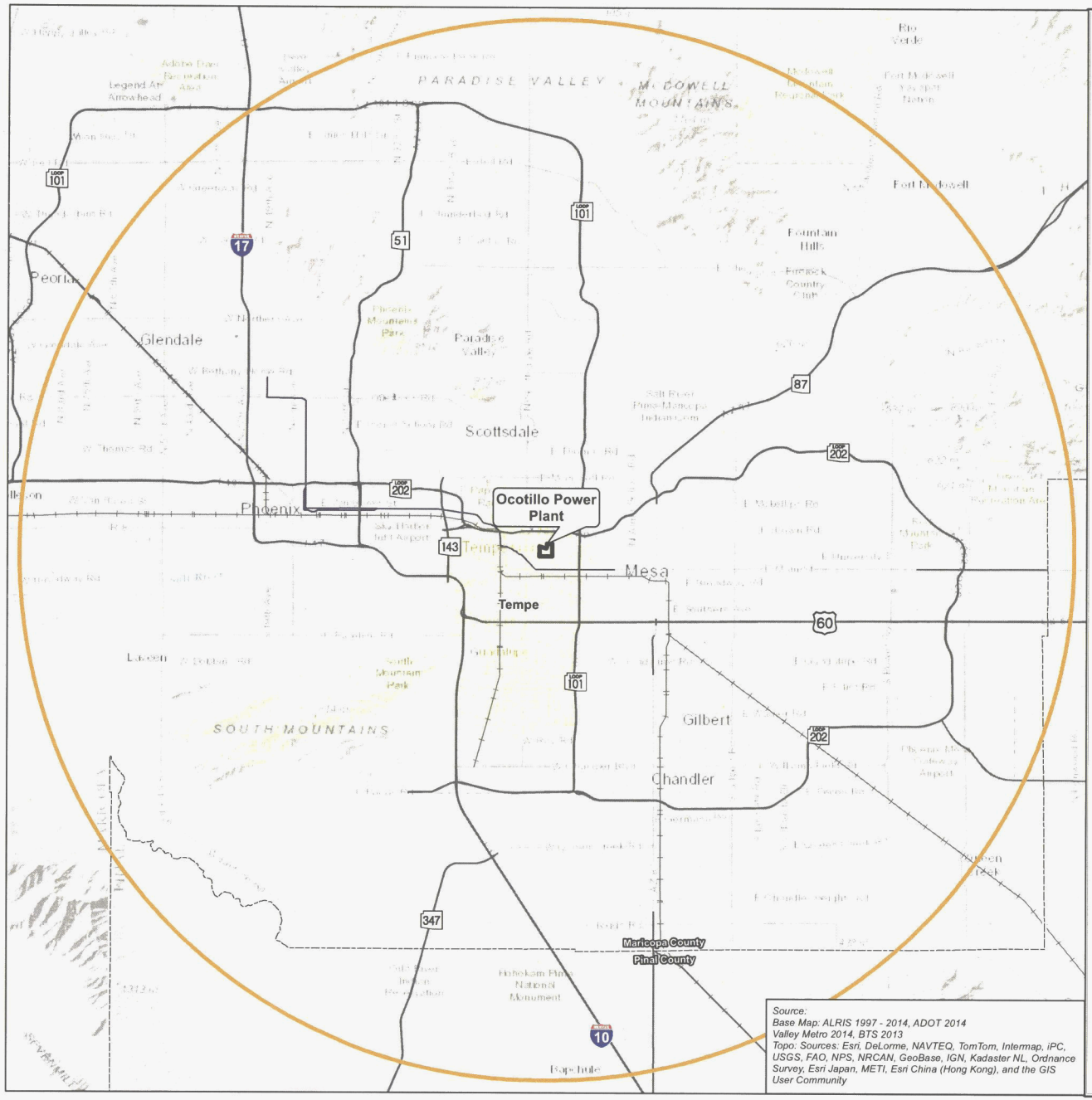
Existing and future land use data were collected from aerial photography, agency maps, and from existing general plans including City of Tempe, City of Mesa, City of Scottsdale, Salt River Pima-Maricopa Indian Community, Maricopa County, and through coordination with Arizona State University regarding their future development plans. Maricopa Association of Governments (MAG) data also were acquired. These data were used to document the existing and future land use conditions in the study area. Detailed future (planned) land use data were obtained from the City of Tempe, in which the Ocotillo Site is entirely located, and MAG data were used for the cities of Mesa, Scottsdale, Phoenix, and the Salt River Pima-Maricopa Indian Community. In addition, limited field surveys were conducted to validate existing land uses data.

INVENTORY RESULTS

Jurisdiction and Land Ownership

Lands in the study area are managed by a mix of local jurisdictions. A majority of the land, including the Ocotillo Site, falls under the jurisdiction of the City of Tempe. A small portion of the study area lies under the jurisdiction of the City of Scottsdale and the eastern portion under the City of Mesa (Figure A-2). The Salt River Pima-Maricopa Indian Community and unincorporated Maricopa County also each have jurisdiction over a portion of the study area.

Land ownership in the study area includes primarily private parcels, with notable exceptions of the land within the Salt River Pima-Maricopa Indian Community and the State-owned property of Papago Park. In addition, various parcels in Tempe are owned by the State of Arizona (Arizona Board of Regents) as Arizona State University (ASU).



Source:
Base Map: ALRIS 1997 - 2014, ADOT 2014
Valley Metro 2014, BTS 2013
Topo: Sources: Esri, DeLorme, NAVTEQ, TomTom, Intermap, iPC, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), and the GIS User Community

Figure A-1

Project Vicinity (within 20 miles)

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Project Vicinity Boundary (20-mile Buffer)
- City of Tempe

General Features

- Highway
- Railroad
- County Boundary

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Miles

1:250,000

aps

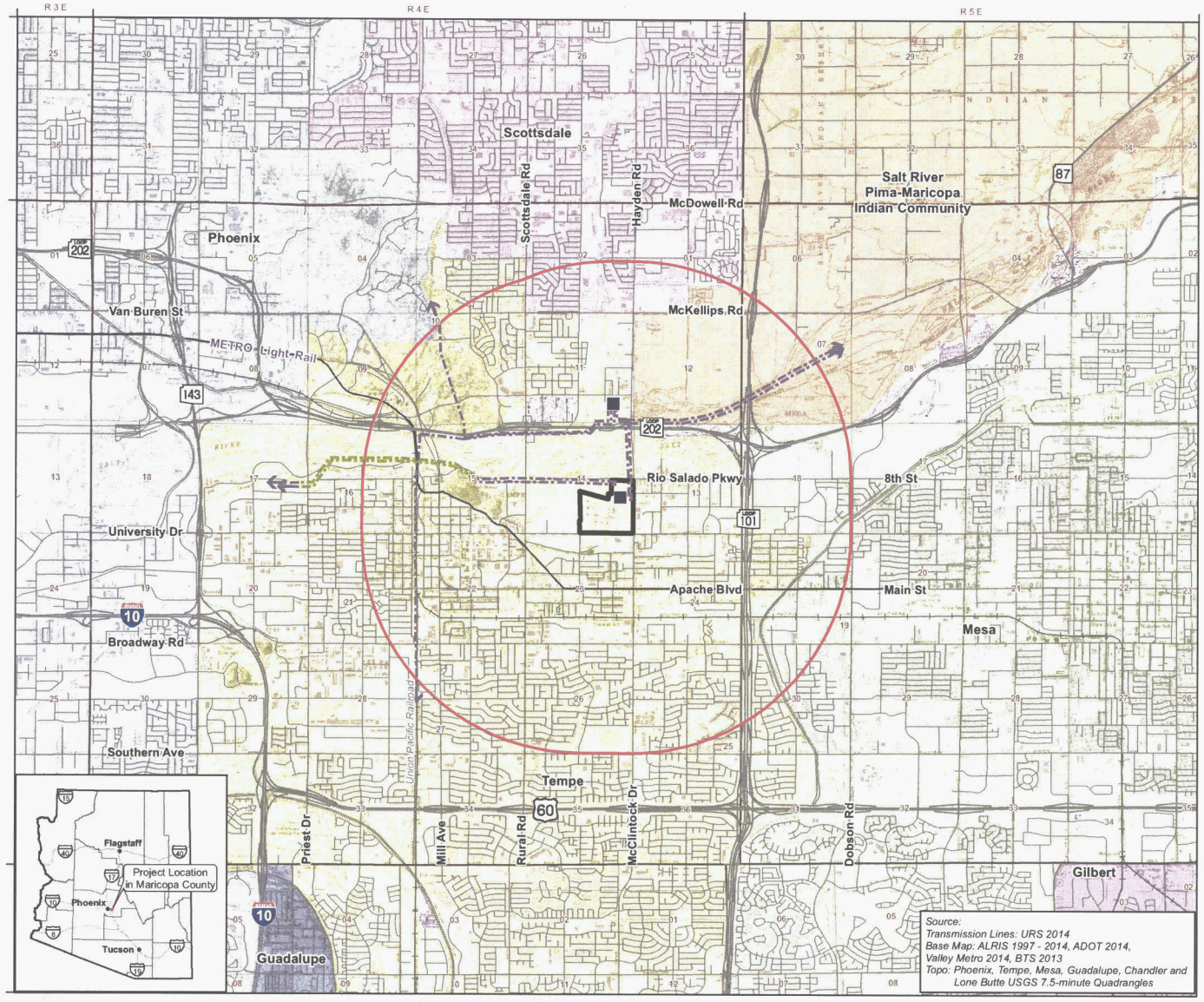

Figure A-2

Jurisdiction

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Project Study Area (2-mile Buffer)
- Existing Overhead Transmission Line
- Existing Underground Transmission Line
- Existing Substation \ Switchyard

Jurisdiction

- City of Tempe
- City of Mesa
- City of Phoenix
- City of Scottsdale
- Town of Gilbert
- Town of Guadalupe
- Salt River Pima-Maricopa Indian Community
- Unincorporated Maricopa County

General Features

- Road
- Railroad
- Township and Range Boundary
- 22 Section Boundary

N

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Miles
1:62,500

aps

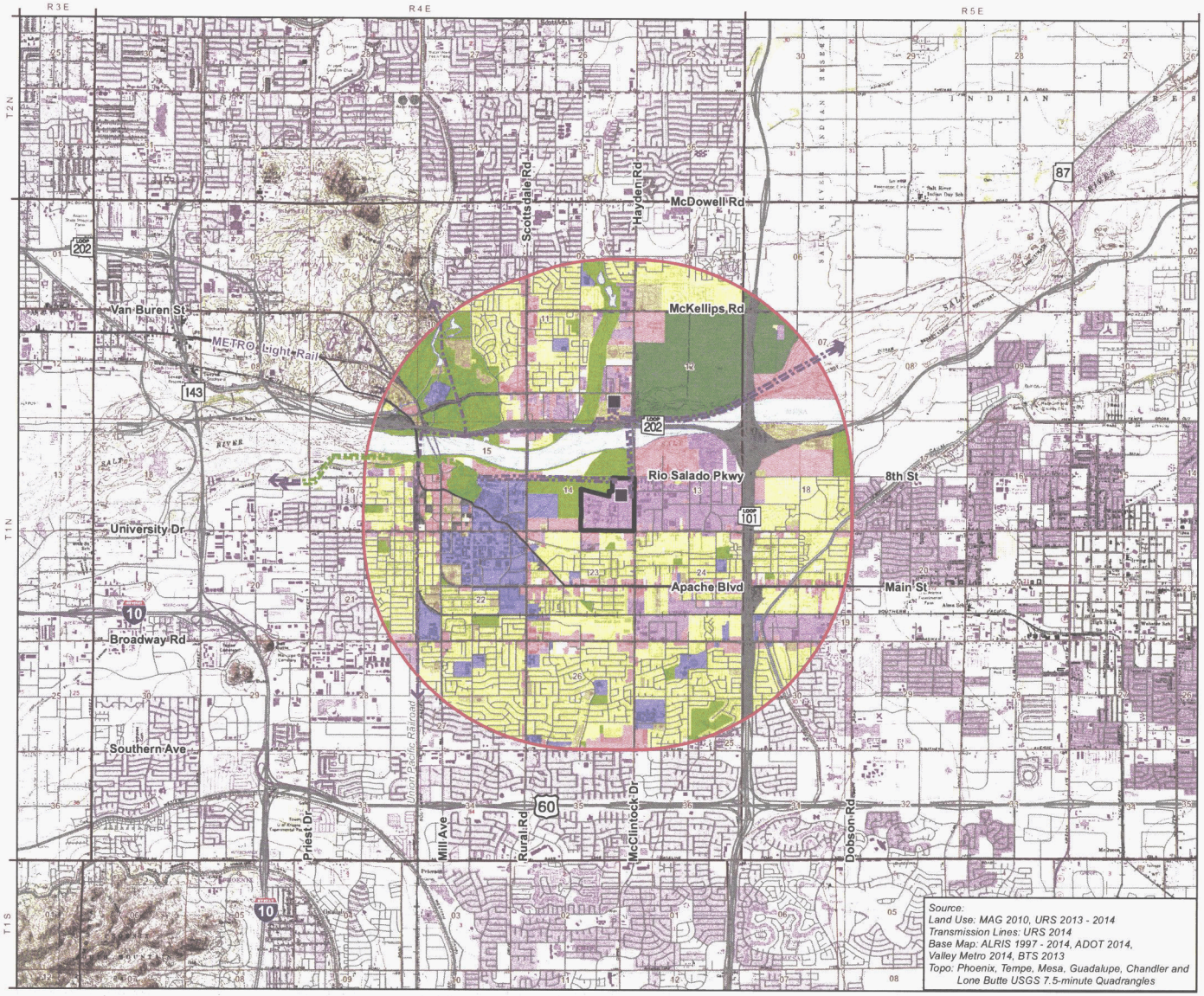

Figure A-3

Existing Land Use

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Project Study Area (2-mile Buffer)
- Existing Overhead Transmission Line
- Existing Underground Transmission Line
- Existing Substation \ Switchyard

Existing Land Use

- Residential
- Commerical / Mixed Use
- Industrial
- Educational
- Public or Quasi-public / Military
- Agriculture
- Transportation
- Open Space (including Golf Course)
- Vacant / Undeveloped
- Water

General Features

- Road
- Railroad
- Township and Range Boundary
- 22 Section Boundary

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Miles
1:62,500

aps

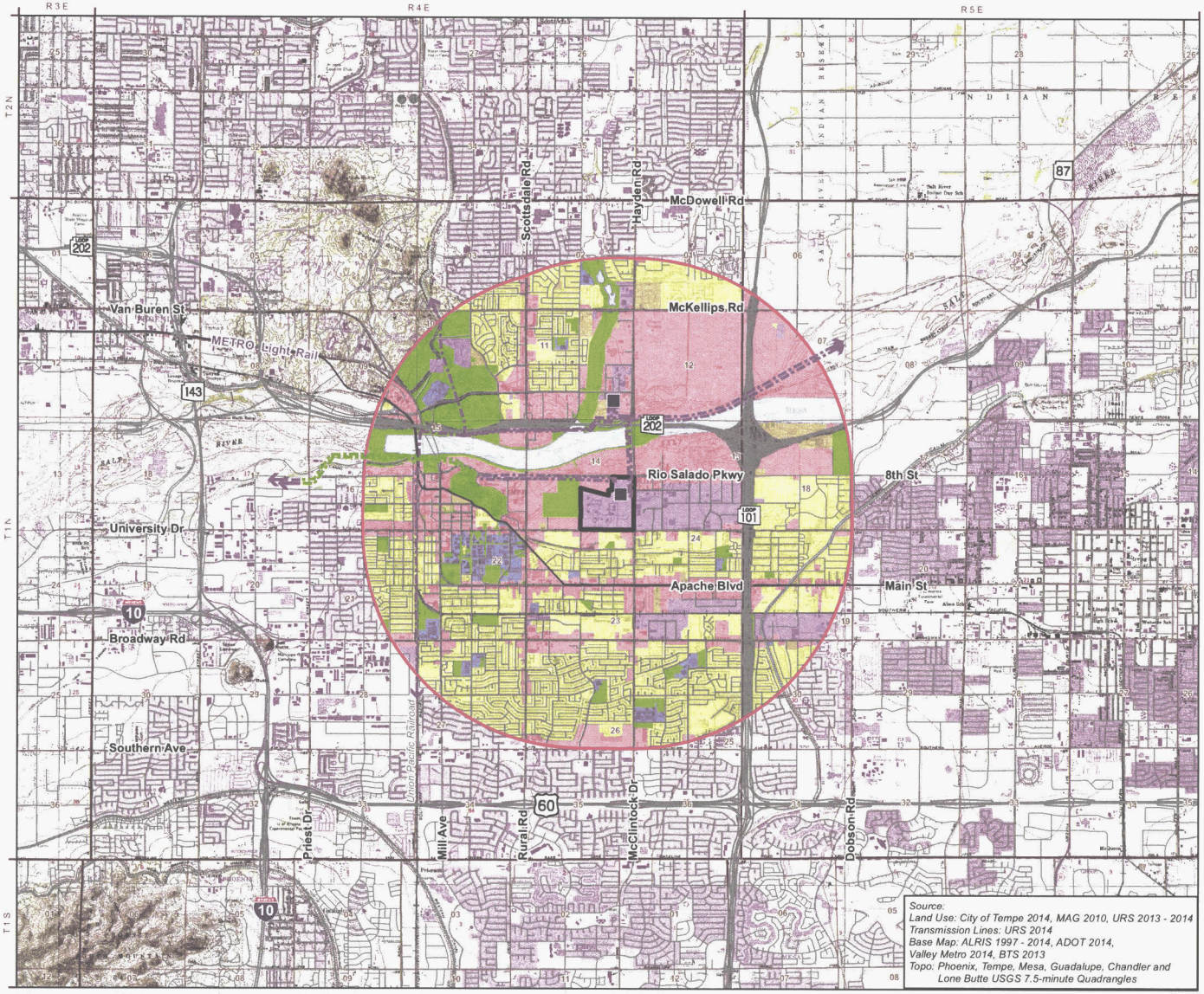

Figure A-4

Future Land Use

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Project Study Area (2-mile Buffer)
- Existing Overhead Transmission Line
- Existing Underground Transmission Line
- Existing Substation \ Switchyard

Future Land Use

- Residential
- Commerical / Mixed Use
- Industrial
- Educational
- Public or Quasi-public / Military
- Transportation
- Open Space (including Golf Course)
- Water

General Features

- Road
- Railroad
- Township and Range Boundary
- 22 Section Boundary

N

0 1 2

Miles

1:62,500

aps

The land under the jurisdiction of the City of Tempe is subject to the policies set forth in the City of Tempe General Plan 2040, adopted in May 2014. ASU is one of the largest land owners within the City of Tempe and there are several parcels of land owned by ASU near the Ocotillo Site; ASU parcels used solely for academic purposes do not fall under the jurisdiction of the City of Tempe. ASU's current Campus Master Plan was developed in 2006; however, a Master Plan Update was released in 2011 to update existing needs and define new projects and priorities.

Existing Land Use

The primary land uses within the study area include residential, commercial/mixed use, industrial, open space, and educational facilities are depicted on Figure A-3.

Residential – Residential land use includes many types of residential housing, such as single-family (detached and attached), multi-family, and group homes. High density residential properties, primarily apartment complexes, are somewhat concentrated south of University Drive between Rural Road and Loop 101, with commercial uses along the major road corridors in that area. Isolated residences also are located within the industrial area immediately east of the Project. Additional single- and multi-family residential uses are concentrated north of the Salt River within the municipal limits of both Tempe and Scottsdale. Overall, residential land uses comprise the majority of the study area and notably several multi-family residences are in close proximity to the Ocotillo Site. A parcel west of Dorsey Lane on the north side of University Boulevard is currently being developed as a multi-family residential property.

Commercial – The commercial land use designation includes various retail/services including offices, restaurants, gas stations, banks, private and charter schools. Commercial developments occur throughout the study area and are concentrated along major arterial roads such as University Drive, Scottsdale Road, Rural Road, McClintock Road, Apache Boulevard, and Broadway Road and near ASU. Near the Ocotillo Site, commercial land uses include Tempe Marketplace, which is located east of McClintock Drive and north of Rio Salado Parkway. Tempe Marketplace is occupied by larger retail chains mixed with smaller retail stores and an entertainment district that includes several restaurants and a movie theatre. Commercial development immediately south of the Ocotillo Site includes gas stations, restaurants, a hotel, fitness centers, and other retail shops. Aside from the commercial uses proximate to the Ocotillo Site and concentrated along major roadways, several parcels of commercial land are interspersed with industrial uses just north of the Salt River and Loop 202. In addition, just southeast of the intersection of Loop 101 and Loop 202, there are baseball stadiums as another large commercial use (Cactus League fields). Notable new development includes the State Farm Insurance regional headquarters, currently under construction, located approximately 1 mile northwest of the Ocotillo Site (on the north side of Rio Salado Parkway). That office development will include dining, retail, and plaza space and is consistent with a mixed-use designation.

Industrial – Industrial land uses may include research, refining, manufacturing, assembly, processing, demolition, wholesaling or distribution, and utilities such as transmission line corridors and substations. There are several industrial designations in the study area. An industrial corridor occurs south of the Ocotillo Site on Broadway Road just east of McClintock Drive. This industrial area is primarily comprised of warehouses and truck terminals. North of the Salt River and north of Loop 202, a variety of industrial facilities such as warehouses, self-storage units, auto repair shops, and shipping facilities are interspersed with commercial areas. The Ocotillo Site itself is designated as industrial and the proposed Project occurs within the current footprint of the existing power plant property. Another concentrated industrial area occurs east of the Ocotillo Site and south of Tempe Marketplace. This area includes a variety of smaller industrial facilities including several auto repair shops, self-storage units, and warehouses.

Educational – The educational land uses include primary, secondary, or college education including public schools and Arizona State University. Educational facilities within the study area include Tempe High School and McClintock High School, which are south of the Ocotillo Site. ASU comprises the largest educational land use within the study area. ASU's primary facilities are located west of Rural Road and generally north of Apache Boulevard, though some facilities are closer to the Ocotillo Site.

Public or Quasi-public/Military – Public, quasi-public, and military uses in the study area include public or civic facilities such as libraries, museums, municipal courts, fire stations, community centers, and other public buildings. There are several public quasi-public facilities within the study area. For the City of Tempe this includes the Tempe Municipal Building, the Arizona Historical Society Museum, police stations, and fire stations, and several other facilities. Near the Ocotillo Site, military uses include a National Guard office which is located along University Drive west of the Ocotillo Site. The Fire Training Center (jointly operated by ASU and Tempe) is located on the Ocotillo Site although access is separate from the Ocotillo Power Plant.

Agriculture – Agricultural lands associated with the Salt River Pima-Maricopa Indian Community are primarily designated to accommodate agricultural use but also allows for low density residential development.

Transportation – Major arterials in the study area include Loop 101, Loop 202, Rural Road, Scottsdale Road, McClintock Drive, Rio Salado Parkway, University Drive, Broadway Road, and Apache Boulevard. University Drive, McClintock Road, and Rio Salado Parkway are immediately adjacent to the Ocotillo Site.

Open Space – Open spaces in the study area are primarily used for outdoor recreation, events, and preservation of natural or cultural resources. This includes parks, recreation facilities, plazas, golf courses, and retention basins. A number of open space areas are located throughout the study area including Tempe Town Lake, Tempe Beach Park, Papago Park, Rolling Hills Golf Course, Rio Salado Golf Course, and Hayden Butte Preserve, and several neighborhood parks. The Karsten Golf Course is immediately adjacent to the Ocotillo Site, bordering its north and west sides, and is a part of ASU's existing athletic facilities district.

Vacant/Undeveloped Land – In general, there are small dispersed areas of vacant/undeveloped land throughout the study area. North of the Ocotillo Site, there is a larger parcel of land that is vacant along Rio Salado Parkway to Tempe Town Lake.

Future Land Use

Future land uses, based on Tempe's General Plan, input from ASU, and MAG data for neighboring jurisdictions (which was validated for consistency with respective jurisdiction's General Plan) are depicted on Figure A-4. Additional information pertaining to future development plans are described in Exhibit H.

Based on the 2040 General Plan for the City of Tempe, residential, mixed use, and industrial land uses within the study area are anticipated to increase during this time (City of Tempe 2040). The Master Campus Plan Update for ASU indicates an increase in mixed use development for ASU land and that portions of the property will be the subject of a separate master plan prepared in the future assuming a 30-year build out (identified as the "Rio Salado EcoDistrict").

Residential – Based on the General Plan for the City of Tempe (2040), the future land uses in the study area will continue to include primarily mixed use which will accommodate residential uses in addition to commercial uses. Overall, high density residential development is expected to increase throughout the study area. High density residential developments are projected along Rural Road near ASU. The quantity and variety of multi-family housing also is anticipated to increase in the mixed-use developments along Rio Salado Parkway, Rural Road, and University Drive which is projected to be high density residential development. There is a development that is fully entitled, The Villas at Southbank, an assisted living facility and mixed-use complex that will likely be developed within this mixed use area north of Rio Salado Parkway (see Exhibit H).

Commercial – Commercial and/or Mixed Use areas are anticipated to increase throughout the study area and in particular near ASU and Tempe Town Lake. University/Hayden Butte and Apache Boulevard have been identified by the City of Tempe as future redevelopment areas. The University/Hayden Butte redevelopment area is located north of the Ocotillo Site along the Salt River and includes ASU. The Apache Boulevard redevelopment area is located south of the Ocotillo Site between Rural Road and the Loop 101 and between University Drive and Broadway Boulevard. Redevelopment areas allow for the removal of existing structures with the intent to rebuild or redevelop, thereby encouraging revitalization and reinvestment of adjacent areas and in nearby properties. Future mixed use developments associated with ASU land is described under Educational uses.

Industrial – The Ocotillo Site will remain an industrial land use. City of Tempe has identified the area east of the Ocotillo Site, located between Rio Salado Parkway and University Drive, as a potential industrial revitalization area. According to the City of Tempe General Plan, revitalization areas promote infill and the reuse and investment of existing structures as opposed to the removal of buildings and provide increased flexibility for development. The intent is for the concentrated industrial area east of the Ocotillo Site to remain industrial.

Educational – The ASU Master Plan recommends development of mixed use areas within the Rio Salado EcoDistrict to support employment opportunities, multi-modal transit, dining and shopping opportunities, open space connectivity, and other urban amenities near the ASU campus in Tempe. In addition to development along Rio Salado Parkway, the ASU Master Plan recognizes an opportunity to develop a gateway along Rural Road and University Drive. ASU's intent appears to be consolidation of what is identified as the "Athletic Facilities District" through closure and redevelopment of the Karsten Golf Course to accommodate athletic facilities (Master Plan Update 2011). The Campus Master Plan identifies portions of the Karsten Golf Course, parcels located north of the Ocotillo Site, as a future development opportunity. However, detailed plans are not available at this time. ASU is currently in the process of retaining a master plan developer to assist with the planning and development of ASU's properties over the next 10 to 15 years.

Public or Quasi-public/Military – Future land use for public, quasi-public and military facilities is expected to be similar to existing land uses.

Agriculture – Based on the general plan for the Salt River Pima-Maricopa Indian Community, the existing agriculture land north of Tempe Marketplace is part of the "Southern Gateway" zone that may accommodate future commercial opportunities and connectivity in this region.

Transportation – Future transportation uses are expected to be similar to existing land uses as the study area is primarily developed. The City of Tempe plans to develop a street car along Rio Salado Parkway to enhance multi-modal transportation within the City and ASU.

Open Space – Future land use for open space are expected to be similar to existing land uses because the study area is primarily developed. The future redevelopment of the Karsten Golf Course is an exception and will help to achieve ASU's Master Plan development goals for consolidating athletic facilities as previously discussed.

IMPACT ASSESSMENT METHODOLOGY

Land use impacts may be defined primarily as:

- Project elements would conflict with the adopted land use or development plans.
- Project elements would restrict or interfere with existing or future designated land uses within the study area, including industrial, commercial, residential, educational, and open space uses.

IMPACT ASSESSMENT RESULTS

Jurisdiction and Land Ownership

The proposed Project is located within an existing industrial area and would not result in impacts or changes in jurisdiction or land ownership.

Existing Land Use

The proposed Project is located within the current footprint of the existing power plant property with no plans for expanding into the additional surrounding areas. The proposed Project is within an existing industrial land use area as designated in the City of Tempe General Plan and would not conflict with the General Plan, ASU's Master Plan, or plans of neighboring jurisdictions. Activities associated with the construction, operation, and maintenance of the proposed facilities would be located on the presently developed power plant site and would be compatible with existing onsite uses. No displacement or permanent impacts on surrounding land uses would be anticipated. Therefore, no impacts would occur on existing land uses as a result of the proposed Project.

Construction and future decommissioning activities could temporarily restrict or delay access to areas adjacent to the Ocotillo Site, including residential areas to the south and the industrial area to the east. Such restrictions would be temporary and intermittent; no long-term or permanent impacts on adjacent areas would be anticipated.

Future Land Use

The future land use designation of the proposed power plant is industrial. Therefore, no impacts would occur to future land uses at the Ocotillo Site. The continued industrial land use is anticipated to be compatible with future mixed use development immediately adjacent to the Ocotillo Site, given both the City of Tempe and ASU have accounted for the ongoing power plant operations in their respective plans to date.

CONCLUSION

- The Project would not displace any existing land uses and would be compatible with future land uses based on current plans for the study area. Land use impacts are not expected because the existing Ocotillo Site is already an operational power plant within its industrial land use designation. In addition, the Project aligns with existing plans and future developments in the vicinity of the Ocotillo Site.

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EXHIBIT B – AIR QUALITY AND WATER RESOURCES

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(6) stipulates "the total environment of the area" are among the factors the Siting Committee must consider in reviewing CEC applications. As stated in Arizona Corporation Commission Rules of Practice and Procedure R14-3-219:

> *"Attach any environmental studies which applicant has made or obtained in connection with the proposed site(s) or route(s). If an environmental report has been prepared for any federal agency or if a federal agency has prepared an environmental statement pursuant to Section 102 of the National Environmental Policy Act, a copy shall be included as part of this exhibit."*

The studies in the following sections represent design information for the Ocotillo Site facilities and transmission line structures.

EXHIBIT B1 – AIR QUALITY

EXHIBIT B2 – WATER RESOURCES

EXHIBIT B1 – AIR QUALITY

INTRODUCTION

The following sections describe the current emission sources, the emissions that will be generated during construction of the Project, and the final emissions when the turbines are operating and the steam boilers have ceased operation. The following information has been summarized from the Title V Operating Permit Revision and Prevention of Significant Deterioration Air Pollution Control Permit Application ("Air Permit Application") submitted by Arizona Public Service ("APS") to the Maricopa County Air Quality Department ("MCAQD").

The following regulated air pollutants would be emitted during both the construction and operational phases of the Ocotillo Modernization Project (the "Project") at the Ocotillo Power Plant ("Power Plant"):

- Criteria air pollutants including particulate matter ("PM"), PM less than 10 microns in diameter ("PM_{10}"), PM less than 2.5 microns in diameter ("$PM_{2.5}$"); nitrogen oxides ("NOx"); carbon monoxide ("CO"); sulfur dioxide ("SO_2"); sulfuric acid ("H_2SO_4"), volatile organic compounds ("VOC"), and lead ("Pb"); and
- The greenhouse gas ("GHG") pollutants are carbon dioxide ("CO_2"), methane ("CH_4"), and nitrous oxide ("N_2O").

AIR POLLUTANT EMISSIONS ASSOCIATED WITH THE PROJECT

Existing Generating Unit Operations

The current operating units at the Power Plant include two steam generators with a combined generating capacity of 220 megawatts ("MW"), two cooling towers associated with the steam generators, and two gas turbine ("GT") generators with a combined generating capacity of 110 MW. Under the Ocotillo Modernization Project (the "Project"), the steam generators and their associated cooling towers will cease operation before any of the five proposed new GTs commence operation. As a result of the cessation of steam generator operations, creditable contemporaneous emission decreases will be accrued under the Prevention of Significant Deterioration ("PSD") program, resulting in lower project-wide net emission increases. The existing GTs will continue to operate during and after the Project.

Site Preparation and Construction of the New Turbines

During construction at the Power Plant site ("Ocotillo Site"), the primary sources of air pollutant emissions would include earthmoving activity (grading and excavation), demolition of existing abandoned fuel tanks, fugitive dust caused by the movement of vehicles and heavy equipment at the site, fugitive dust from wind erosion on material storage piles, and the tailpipe emissions from various construction equipment and vehicles at the site. The engineering, procurement and construction contractor would be responsible for obtaining and complying with a dust control permit, including implementation of an enforceable dust control plan. Fugitive dust would be controlled with frequent watering of exposed soil and material surfaces. The duration of this activity is anticipated to last about ten months. The air pollutant emitting activities that would occur during the subsequent construction of the new generating units and associated equipment include welding, painting, solvent use, installation of site pavement and ground covers, and construction equipment and vehicle tailpipe emissions.

Total Project emissions anticipated during the construction phase are summarized in Table B1-1. Additional detail is provided in Attachment B1-A, Tables B1-1-1 through B1-1-6, which show the air pollutant emissions from various sources during the construction phase of the Project.

Table B1-1. Summary of Construction Phase Air Pollutant Emissions

Pollutant	Tons Emitted
Carbon Monoxide (CO)	162
Nitrogen Oxides (NOx)	150
Particulate Matter (PM)	39
Sulfur Dioxide (SO_2)	10
Volatile Organic Compounds (VOC)	13
GHG (CO_2e) [1]	9,684

[1] Includes carbon dioxide (CO_2), methane (CH_4) and nitrous oxide (N_2O)

Operational Phase Emissions

During the operational phase of the Project, the primary sources of air pollutant emissions would include the existing GTs, the new GTs, and the new cooling tower system for the new GTs.

The existing GTs are each anticipated to operate less than 1,600 hours per year per turbine; this value equates to a maximum annual capacity factor of 18 percent for each existing GT. For the new GTs, APS has requested from MCAQD that each GT be permitted to operate the equivalent of up to 3,876 hours at the full rated capacity of the GTs, including up to 730 startup and shutdown ("SUSD") events each year. Although each GT can startup and sync to the grid in approximately 10 minutes, the calculated worst-case emissions during startup/shutdown events are based upon 30 minutes for each startup and 11 minutes for each shutdown for a maximum total of 499 SUSD hours per year. These longer startup and shutdown times have been used in these emission calculations to reflect possible longer startup and shutdown times required due to various potential operational conditions which can extend startup and shutdown durations.

The new, high-efficiency GTs will be designed to achieve an initial heat rate of no more than 8,742 British Thermal Units per kilowatt hour ("Btu/KWh") of gross electrical output at 100 percent load based on the higher heating value of natural gas, and at a dry bulb temperature of 73° F and with the use of inlet air chilling. For the purposes of this Application, APS has assumed installation of the current technology available (LMS100PA) and presented information and analyses relative to anticipated operating conditions of that model. Operating conditions yielding gross 109.9 MW generating capacity include ambient temperature of 105° F with 19 percent relative humidity at an elevation of 1,178 feet above mean sea level. Within its Air Pollution Control Permit Application submitted to the MCAQD, APS proposed an annual fuel use limit of 18,800,000 MMBtu combined across all five new GTs to limit potential emissions of hazardous air pollutants, SO_2, and CO_2e.

Total annual potential-to-emit ("PTE") project emissions during the operational phase are summarized in Table B1-2, as follows:

Table B1-2. Summary of Operational Phase Annual Air Pollutant Emissions

Pollutant	Two Existing GTs (tons/year) [1]	Five New GTs (tons/year) [2]	New Cooling Tower (tons/year) [2]	Total (tons/year)
Carbon Monoxide (CO)	122.9	239.2	0.0	362.1
Nitrogen Oxides (NOx)	479.7	125.5 [3]	0.0	605.2
Particulate Matter (PM_{10} / $PM_{2.5}$)	12.4 / 12.4	54.9 / 54.9	2.5 / 1.5	69.8 / 68.8
Sulfur Dioxide (SO_2)	0.9	5.9	0.0	6.8
Volatile Organic Compounds (VOC)	3.1	43.1	0.0	46.3
Sulfuric Acid Mist (H_2SO_4)	0.1	0.59	0.0	0.68
Fluorides (as HF)	0.0	0.0	0.0	0.0
Lead (Pb)	0.0007	0.0047	0.0	0.006
Carbon Dioxide (CO_2)	174,873	1,098,675 [3]	0.0	1,273,548
GHG (CO_2e) [4]	175,044	1,099,753 [3]	0.0	1,274,797

[1] From RTP Environmental Associates
[2] Emissions anticipated from the new GTs taken from Tables 3-7 and 4-9 in the updated Air Pollution Control Permit Application
[3] The values for NOx, CO_2, CO_2e are the requested limit to be placed into the permit
[4] Includes CO_2, methane (CH_4) and nitrous oxide (N_2O)

Net Facility Emissions Following Completion of the Project

As mentioned previously, creditable emission decreases would result from the cessation of existing steam generators and their associated cooling tower operations. Table B1-3 shows the net emissions increases when the decreases (from steam generator decommissioning) are applied against the total emissions from the new GTs and the new cooling tower.

Table B1-3. Net Annual Emission Increases Associated with the Project [1]

Pollutant	Five New GTs and New Cooling Tower (tons/year)	Creditable Emission Decreases (tons/year)	Net Emissions Increase (tons/year)
Carbon Monoxide (CO)	239.2	14.6	224.6
Nitrogen Oxides (NOx)	125.5	85.9	39.6
Particulate Matter (PM_{10} / $PM_{2.5}$)	57.5 / 56.4	6.8/5.9	50.7/50.5
Sulfur Dioxide (SO_2)	5.9	0.4	5.5
Volatile Organic Compounds (VOC)	43.1	3.4	39.7
Sulfuric Acid Mist (H_2SO_4)	0.6	0.0	0.6
Fluorides (as HF)	0.0	0.0	0.0
Lead (Pb)	0.005	0.0	0.005
Carbon Dioxide (CO_2)	1,098,675	73,972	1,024,703
GHG (CO_2e) [2]	1,099,753	74,045	1,025,708

[1] From Table 4-9 in the updated Air Pollution Control Permit Application
[2] Includes CO_2, methane (CH_4) and nitrous oxide (N_2O)

AIR QUALITY PERMIT APPLICATION

APS and its consultant (RTP Environmental Associates, Inc.) prepared an application to the MCAQD for a "significant revision" to the existing Title V Air Quality Operating Permit No. V95-007 previously issued to APS by the agency. The changes to annual emissions of air pollutants, and commitments to install emissions control equipment, associated with the cessation of steam generator operations and installation of the new GTs must be made enforceable through the permit. In addition, the changes trigger the federal New Source Review ("NSR") regulations for CO, PM, $PM_{2.5}$, and GHG emissions. The NSR regulations require pre-construction approval of the proposed changes by demonstrating the effectiveness of emissions control technologies, the maximum impacts to ambient air quality, and the acquisition of emission offsets, as applicable. Emission control technologies that are anticipated to be installed on the new GTs include selective catalytic reduction ("SCR") for NOx control, oxidation catalysts for CO control, and the use of highly efficient simple cycle gas turbines for GHG emission control.

The NSR requirements with respect to pollutants for which an area is currently attaining the National Ambient Air Quality Standards ("NAAQS") are referred to as the PSD program, which requires installation of Best Available Control Technology ("BACT") for those pollutants and a dispersion modeling analysis of ambient impacts. A recent change to the PSD program rules pertains to emissions of GHGs. The PSD rules are triggered, thus BACT is required, if GHG emissions at an existing source increase by 75,000 tons/year.

The NSR requirements with respect to pollutants for which an area is not currently attaining the NAAQS are referred to as the Nonattainment New Source Review ("NNSR") program, which requires the installation of Lowest Achievable Emission Rate ("LAER") technology and the acquisition of emission offsets.

For the Air Permit Application revision, emissions of NOx associated with the new GTs will be "netted out" by the contemporaneous reduction in NOx emissions resulting from the cessation of steam generator operations, resulting in a net emission increase less than 40 tons/year, which is the significance level identified in the NSR regulations for that pollutant. Thus NSR will be avoided for NOx. Emissions of GHG are anticipated to increase by approximately 1.0 million tons per year (1.0 MMtpy). Therefore, a CO_2 BACT analysis was required by MCAQD, resulting in the use of highly efficient simple cycle gas turbines and minimum efficiency requirements, CO_2 emission limits, and work practice standards as proposed in the permit application. PM_{10} emissions associated with the new GTs and cooling towers are anticipated to increase by 50.7 tpy (Table 4-9 of the updated Air Pollution Control Permit Application). In its air permit application, APS is proposing a plant-wide emission cap in accordance with MCAQD Rule 201 ("Emission Caps"), which would limit the total potential PM_{10} emissions for the entire Ocotillo Power Plant to less than the major source applicability threshold (for serious nonattainment areas) of 70 tpy. Therefore, the project will not be subject to Nonattainment Area New Source Review or PSD for PM_{10} emissions.

PREDICTED AMBIENT IMPACTS

Air quality analyses use atmospheric dispersion computer models along with background air quality monitoring data to evaluate compliance with NAAQS and maximum allowable degradation "increments". Air modeling analyses are typically conducted in two steps: a "project emissions only" significant impact analysis, and (if required, based on the results of that analysis) a cumulative or "full impact" analysis of the project plus other permitted "background" sources.

The EPA-approved AERMOD dispersion model was used for the ambient air quality impacts analyses. AERMOD is a steady-state plume dispersion model that simulates transport and dispersion from multiple point, area, or volume emission sources. Meteorological data from the Phoenix Sky Harbor airport for the 5-year period of 2009 through 2013 were used, along with upper air data from Tucson to generate the AERMOD input data.

One consideration for the analysis is the initial presence of the existing steam generator structures as potential sources of plume-disturbing air turbulence. Structures and terrain located close to the emission sources being evaluated can cause emission plumes to be disrupted from normal dispersion patterns, including premature impacts with ground surfaces due to "downwash" behind the structure, relative to the source of emissions and wind direction. The new GTs would begin operation before the existing steam generator structures are completely dismantled, so two sets of analyses were performed, one with and one without the existing steam generator structures. The predicted impacts are the same for these two scenarios, indicating that the structures would not significantly influence emission plumes from the new GTs and cooling tower. The Project impacts are below the USEPA guidelines for a "project emissions only" significant impact analysis. *Therefore, the ambient air quality impacts of the Project are considered insignificant and cannot cause or contribute to an exceedance of any NAAQS or degradation increment.* Thus, a cumulative NAAQS and increment analysis was not required for air permitting purposes.

In accordance with USEPA's March 4, 2013 "Draft Guidance for $PM_{2.5}$ Permit Modeling," the project emissions of $PM_{2.5}$ precursors, SO_2 and NOx, are below the PSD Significant Emission Rates; therefore, only the direct $PM_{2.5}$ emissions were modeled for the Project's air quality impact analysis. In addition, no NAAQS for CO_2 has been established by the United States Environmental Protection Agency ("USEPA"), thus no dispersion modeling analysis of ambient impacts has been required. The Project-only impacts (i.e., the impacts from the proposed GTs and cooling tower) related to $PM_{2.5}$ and CO are summarized below.

- The maximum predicted annual ambient $PM_{2.5}$ concentration attributable to the Project is 0.15 $\mu g/m^3$, well below the annual NAAQS limit of 15.0 $\mu g/m^3$. The maximum predicted 24-hour ambient $PM_{2.5}$ concentration attributable to the Project is 1.08 $\mu g/m^3$, well below the 24-hour NAAQS of 35.0 $\mu g/m^3$. These impacts are also well below the degradation increments of 4 $\mu g/m^3$and 9 $\mu g/m^3$, respectively.

- The maximum predicted 8-hour ambient CO concentration attributable to the Project is 59 $\mu g/m^3$, well below the NAAQS limit of 10,000 $\mu g/m^3$. The maximum predicted 1-hour CO concentration attributable to the Project is 217 $\mu g/m^3$, well below the 1-hour NAAQS limit of 40,000 $\mu g/m^3$.

ATTACHMENT B1-1 – CONSTRUCTION EMISSION TABLES

Table B1-1-1

Particulate Matter (PM_{10}) Emissions Associated with Earthmoving Activity During Construction of the Ocotillo Modernization Project

Segment	Length (feet)	Excavation Width (feet)	Total Segment Work Area (acres) [4]	Total Duration of Earthmoving Activity (weeks)	$T_{EA}M$: Total Duration of Earthmoving Activity (months)	$M_{AVG}A$: Average Earthmoving Work Area per Month (acres)	EF: PM_{10} Emission Factor (tons/acre/month) [1]	EM_U: Total Segment Uncontrolled PM_{10} Emission (tons) [2]	EM_C: Total Segment Controlled PM_{10} Emission (tons) [3]	Maximum Annual Controlled PM_{10} Emissions
Site Grading										
GT Area	NA	NA	7.00	7.0	1.63	4.30	0.11	0.47	0.18	0.18
Area Between Existing and New CTs	NA	NA	3.50	7.0	1.63	2.15	0.11	0.24	0.09	0.09
Cooling Towers and Related Equip.	NA	NA	4.00	7.0	1.63	2.46	0.11	0.27	0.11	0.11
Old Steam Units	NA	NA	2.00	7.0	1.63	1.23	0.11	0.14	0.05	0.05
Subtotal			**16.5**					**1.11**	**0.43**	**0.43**
Excavation and Trenching										
Trenching for UG Utilities	6400.0	2.0	0.29	9.0	2.09	0.14	0.42	0.26	0.10	0.10
GT Foundation Excavations	NA	NA	0.52	11.0	2.56	0.20	0.42	0.56	0.22	0.22
Subtotal			**0.8**					**0.82**	**0.32**	**0.32**
Total Earthmoving Emissions										**0.75**

NOTES:

[1] From Midwest Research Institute, 1999. *Estimating Particulate Matter Emissions From Construction Operations.* Emission Factor of 0.11 ton/acre/month for general construction activities such as grading and road construction (average conditions) and 0.42 ton/acre/month for major cut and fill operations such as trenching and foundation excavations (worst-case conditions).

[2] PM_{10} EM = Average Acres per Month x PM_{10} Emission Factor (tons/acre/month)

[3] Application of water on exposed areas at 3.2-hour watering interval would control emissions by at least 61 % from *Particulate Emissions From Controlled Construction Activities,* EPA-600/R-01-031. Thus $EM_C = EM_U * 0.39$.

[4] The work area disturbed during construction of the project, and the duration of earthmoving activities, were identified by Kiewit (August 2013).

Table B1-1-2: Storage Piles

Source	Pollutants	(1) No. of Piles	(2) Hours Stored hours/year	(3) Emission Factor pounds/hour/pile	Emissions = (1)x(2)x(3)/2000 tons/year
Wind erosion - active aggregate pile	PM_{10}	4	1800	0.00005	0.0001800
	PM			0.0001	0.0003600
Wind erosion - active dirt/sand pile	PM_{10}	12	1800	0.0006	0.0064800
	PM			0.0012	0.0129600
			Process Totals	PM_{10}	0.0066600
				PM	0.0133200

Table B1-1-3: Haul Roads - Vehicle Traffic

Source	Pollutants	(1) Vehicle Miles Traveled miles/year	(2) Emission Factor pounds/VMT	Emissions = (1)x(2)/2000 tons/year
Skidsteer and Wheeled Loaders	PM_{10}	100	0.19	0.0095
	PM		0.73	0.0365
Ready Mix Trucks	PM_{10}	50	0.17	0.0043
	PM		0.66	0.0165
		Process Totals	PM_{10}	0.0138
			PM	0.0530

Note: these tables, including the emission factors, are from the ADEQ General Permit Application for Concrete Batch Plants (CBP), although the specific emission sources are storage piles and vehicle traffic. The Ocotillo project will not involve the installation or operation of an on-site CBP

Table B1-1-4
Diesel-Fired Construction Vehicle and Equipment Emission Factors

Equipment [1]	SCC	Power (HP)	Load Factor	Hydrocarbons (VOC)					CO					NOx					PM_{10}						BSFC			SO_2 [8]
				EFss [2]	TAF [3]	A Factor [4]	DF [5]	EF adj [6]	EFss [2]	TAF [3]	A Factor [4]	DF [5]	EF adj [6]	EFss [2]	TAF [3]	A Factor [4]	DF [5]	EF adj [6]	EFss [2]	TAF [3]	A Factor [4]	DF [5]	S_{PM} [7]	EF adj [6]	BSFC	TAF	BSFC adj	EF adj
2-Ton Trucks	2270002051	225	0.8	0.184	1.05	0.036	1.018	**0.20**	0.748	1.53	0.101	1.051	**1.20**	2.500	0.95	0.024	1.012	**2.40**	0.150	1.23	0.473	1.237	0.07405	**0.15**	0.367	1.01	0.37067	**0.16**
5-15 Ton Trucks	2270002051	50	0.8	0.167	1.05	0.036	1.018	**0.18**	0.843	1.53	0.101	1.051	**1.35**	2.500	0.95	0.024	1.012	**2.40**	0.150	1.23	0.473	1.237	0.07405	**0.15**	0.367	1.01	0.37067	**0.16**
Sideboom (other)	2270002081	45	0.59	0.167	1.05	0.036	1.018	**0.18**	0.843	1.53	0.101	1.051	**1.35**	2.500	0.95	0.024	1.012	**2.40**	0.150	1.23	0.473	1.237	0.07405	**0.15**	0.367	1.01	0.37067	**0.16**
Dozer (rubber tire)	2270002063	130	0.59	0.167	1.05	0.036	1.018	**0.18**	0.764	1.53	0.101	1.051	**1.23**	4.100	0.95	0.024	1.012	**3.94**	0.132	1.23	0.473	1.237	0.07405	**0.13**	0.367	1.01	0.37067	**0.16**
Large Shovel	2270002063	250	0.59	0.167	1.05	0.036	1.018	**0.18**	0.764	1.53	0.101	1.051	**1.23**	4.100	0.95	0.024	1.012	**3.94**	0.132	1.23	0.473	1.237	0.07405	**0.13**	0.367	1.01	0.37067	**0.16**
Grader	2270002048	85	0.59	0.167	1.05	0.036	1.018	**0.18**	0.843	1.53	0.101	1.051	**1.35**	2.500	0.95	0.024	1.012	**2.40**	0.150	1.23	0.473	1.237	0.07405	**0.15**	0.367	1.01	0.37067	**0.16**
Tractor / Backhoe / Loader	2270002066	100	0.21	0.184	2.29	0.036	1.018	**0.43**	2.366	2.57	0.101	1.051	**6.39**	3.000	1.10	0.024	1.012	**3.34**	0.200	1.97	0.473	1.237	0.09618	**0.39**	0.408	1.18	0.48144	**0.21**
Welder / Air Compressor / Generator	2270006025	100	0.43	0.184	1.00	0.036	1.018	**0.19**	0.748	1.00	0.101	1.051	**0.79**	2.500	1.00	0.024	1.012	**2.53**	0.150	1.00	0.473	1.237	0.07332	**0.11**	0.367	1.00	0.3670	**0.16**
Crane	2270006015	420	0.43	0.167	1.00	0.036	1.018	**0.17**	0.843	1.00	0.101	1.051	**0.89**	2.500	1.00	0.024	1.012	**2.53**	0.150	1.00	0.473	1.237	0.07332	**0.11**	0.367	1.00	0.3670	**0.16**
Bore / Drill Rig	2270002033	400	0.43	0.167	1.00	0.036	1.018	**0.17**	0.843	1.00	0.101	1.051	**0.89**	2.500	1.00	0.024	1.012	**2.53**	0.150	1.00	0.473	1.237	0.07332	**0.11**	0.367	1.00	0.3670	**0.16**

NOTES:
VOC = volatile organic compounds
CO = carbon monoxide
NOx = nitrogen oxides
PM_{10} = particulate matter with aerodynamic diameter less than or equal to 10 micrometers
SO_2 = sulfur dioxide
BSCF = Brake Specific Fuel Consumption

[1] Tier 2 values are used for all equipment (for engines manufactured between 2003 and 2008).

[2] EFss (steady-state emission factors) values for Tier 1 are from Table A4 (page A10) of EPA report "Exhaust and Crankcase Emission Factors for Nonroad Engine Modeling-Compression-Ignition", EPA/420-R-10-018, July, 2010.

[3] TAF (transient adjustment factor) values are from Table A5 of EPA/420-R-10-018.

[4] A factors are from Table A6 of EPA/420-R-10-018.

[5] DF (deterioration factor for nonroad diesel engine [% increase/% useful life]) values are calculated assuming half of the median life of a given equipment.

[6] EF adj (final adjustment factor used in model after adjustments for TAF and DF) = Efss * TAF * DF

[7] S_{PM} (adjustment to PM emission factor to account for variations in fuel sulfur content) values are calculated assuming 0.05% of sulfur content for the local "nonroad" diesel fuel (the Tier 1 sulfur content). SO_2 emissions from diesel-fueled vehicles likely to be driven on public roads are corrected for 0.0015% ppm sulfur content for local "on-road" diesel.

[8] See footnotes 4 and 5 under Table B1-1-5 for explanation of EF adj (correction for SO_2 emissions based on fuel used).

Table B1-1-5
Construction Vehicle/Equipment Tailpipe Emissions

Vehicle / Equipment	Qty [1]	Fuel	Months in Use	Wks in Use [2]	Total Hours of Use [3]	Total Miles of Use [4]	Avg. Eng. Pwr (hp)	Emission Factors [5,6,7]					Total Emissions (tons/year) [8,9]				
								VOC	CO	NO_x	PM_{10}	SO_2	VOC	CO	NOx	PM_{10}	SO_2
Construction Vehicles and Equipment																	
Conc. Saw	1	Diesel	10	43	2150	N/A	50	0.390	2.515	2.530	0.154	0.0049	0.046	0.298	0.300	0.018	0.0006
Grout Pump	1	Diesel	10	43	2150	N/A	50	0.390	2.515	2.530	0.154	0.0049	0.046	0.298	0.300	0.018	0.0006
Skidsteer Loader	2	Diesel	10	43	4300	N/A	45	0.390	2.515	2.530	0.154	0.0049	0.166	1.073	1.079	0.066	0.0021
Wheel Loader	2	Diesel	10	43	4300	N/A	130	0.178	1.228	3.942	0.126	0.1642	0.220	1.514	4.858	0.155	0.2023
Excavator	1	Diesel	10	43	2150	N/A	250	0.178	1.228	3.942	0.127	0.1642	0.106	0.728	2.335	0.075	0.0973
Elec. Generator	10	Diesel	22	94.6	47300	N/A	20	0.178	1.354	2.530	0.154	0.1642	1.860	14.121	26.382	1.607	1.7120
Manlifts	6	Diesel	16	68.8	20640	N/A	85	0.428	6.386	2.530	0.391	0.2130	4.966	74.103	29.357	4.537	2.4721
Welders	10	Diesel	18	77.4	38700	N/A	22	0.187	0.785	2.530	0.112	0.1625	1.754	7.370	23.744	1.053	1.5255
75-ton Crane	2	Diesel	19	81.7	8170	N/A	250	0.170	0.885	2.530	0.112	0.1626	0.765	3.985	11.392	0.505	0.7320
250-ton Crane	2	Diesel	19	81.7	8170	N/A	420	0.170	0.885	2.530	0.112	0.1626	1.285	6.695	19.139	0.848	1.2298
Forklifts	4	Diesel	21	90.3	18060	N/A	100	0.178	1.228	3.942	0.126	0.1642	1.421	51.349	31.388	1.004	1.307
Light Duty Construction Vehicle Tailpipe Emissions																	
LDGV	1	Gasoline	8	34.4	N/A	1720	N/A	0.903	13.222	0.903	0.093	0.113	0.002	0.025	0.002	0.000	0.0002
LDGT2 (GVW > 6000 lbs)	3	Gasoline	13	55.9	N/A	8385	N/A	1.250	18.943	1.250	0.093	0.113	0.012	0.175	0.012	0.001	0.0010
LDDT	4	Diesel	15	64.5	N/A	12900	200	0.264	0.675	0.264	0.154	0.0049	0.004	0.010	0.004	28.265	0.903
Subtotal Emissions:													**12.65**	**161.74**	**150.29**	**38.15**	**10.1862**

NOTES:

VOC = volatile organic compounds
CO = carbon monoxide
NOx = nitrogen oxides
PM_{10} = particulate matter with aerodynamic diameter less than or equal to 10 micrometers
SO_2 = sulfur dioxide

LDGV = Light Duty Gasoline Vehicles
LDGT2 = Light Duty Gasoline Trucks (GVW > 6,000 lbs)
LDDT = Light Duty Diesel Trucks

[1] Quantities of construction vehicles and equipment based on typical industrial site construction rosters.

[2] Equipment use durations estimated based on construction equipment schedule provided by Kiewit (August 2013).

[3] Total Hours of Use for all vehicles and equipment were calculated based on 50 hours of operation per week for the duration of each project component. Values only appear in this column if they are used to calculate emissions (all emissions for non-road heavy equipment, and PM_{10} and SO_2 emissions for on-road heavy-duty vehicles).

[4] Total Miles of Use for all on-road vehicles were calculated based on a 5-day work week, 50 miles driven on site per week, and the number of weeks each vehicle type will be in use.

[5] VOC, CO and NOx emission factors for on-road heavy-duty diesel trucks (HDDT) are in grams per mile (g/mi) and are based on Chapter 5 of the "2002 Periodic Ozone Emission Inventory", by the Maricopa Association of Governments (MAG), assuming highway travel in rural areas. PM_{10} and SO_2 emission factors for on-road HDDT vehicles are in grams per horsepower-hour (g/hp-hr) and were calculated following the method outlined in the USEPA report "Exhaust and Crankcase Emission Factors for Nonroad Engine Modeling-Compression-Ignition," USEPA420-R-10-018, July, 2010; (these same values are presented in Table 4-[i]). Tier 3 emission factors were used for engines with hp values of 600 or less. The SO_2 emissions from the HDDT vehicles are also corrected for 15 ppm fuel sulfur content ("on-road" diesel).

[6] All emission factors for diesel fueled, non-road construction equipment are in grams per horsepower-hour (g/hp-hr) and were calculated following the method outlined in the USEPA report "Exhaust and Crankcase Emission Factors for Nonroad Engine Modeling-Compression-Ignition," USEPA420-R-10-018, July, 2010; (these same values are presented in Table 4-[i]). Tier 3 emission factors were used for engines with hp values of 600 or less. Tier 2 emission factors were used for engines with hp values > 600.

[7] VOC, CO and NOx emission factors for all light duty vehicles (LDGV, LDGT2 and LDDT) are in grams per mile (g/mi), and are based on Chapter 5 of the "2002 Periodic Ozone Emission Inventory", by the Maricopa Association of Governments (MAG), assuming highway travel in rural areas. PM_{10} and SO_2 emission factors for gasoline-fueled LDGV and LDGT2 vehicles are in grams per mile (g/mi) and are based on a MOBILE5 model run by the U.S. EPA, based on national averaged fleet conditions, at a speed of 15 miles per hour and an ambient temperature of 60 degrees Fahrenheit (°F); (no other factors were available, but this represents worst-case conditions). PM_{10} and SO_2 emission factors for LDDT vehicles are based on the factors for 2-ton trucks from EPA420-R-10-018 (see footnote 5), as no other factors were available (conservative worst case), and assuming 50 hours of operation per vehicle per week. The mix of light duty vehicles types is based on the "Technical Guidance on the Use of MOBILE6.2 for Emission Inventory Preparation", EPA420-R-04-013, August, 2004, but modified to reflect anticipated local demographics (e.g. construction workers in rural Arizona).

[8] Total emissions for diesel-fueled non-road construction equipment were calculated based on the corresponding emission factors, the average engine horsepower for each type of vehicle/equipment, and the total operating hours for each equipment type. The denominator of 907,184.74 converts grams per year to tons per year.

[9] Total VOC, CO and NOx emissions from all on-road vehicles (LDGV, LDGT2, LDDT and HDDT) were calculated based the corresponding emission factor and the total miles travelled. The total PM_{10} and SO_2 emissions from all on-road, diesel-fueled vehicles (LDDT and HDDT) were calculated based on the corresponding emission factor, the total operating hours and horsepower rating shown in the table. The total PM_{10} and SO_2 emissions from all gasoline-fueled on-road vehicles (LDGV and LDGT2) were calculated based on the corresponding emission factor and total miles travelled shown in the table.

Table B1-1-6
Summary of Greenhouse Gas (GHG) Emissions from Non-Road Construction Equipment/Vehicles

Vehicle/Equipment	Quantity	Hours/ Week	Months in Use	Total Weeks	Total Hours	Miles/ Hour	Miles/ Gallon	Fuel	Average Engine Power (hp)	Power Output to Energy Input (Btu/ hp-hr)	LHV of Fuel (Btu/bbl)	Unit of Emission Factors	Emission Factors [1, 2]			Total Emissions (tons) [3, 4]			
													CO_2	CH_4	N_2O	CO_2	CH_4	N_2O	Total GWP [5]
Conc. Saw	1	50	10	43.0	2150.0	N/A	N/A	Diesel	50	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	64.51	0.13	0.01	70.11
Grout Pump	1	50	10	43.0	2150.0	N/A	N/A	Diesel	50	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	64.51	0.13	0.01	70.11
Skidsteer Loader	2	50	10	43.0	2150.0	N/A	N/A	Diesel	45	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	116.12	0.24	0.02	126.19
Wheel Loader	2	50	10	43.0	2150.0	N/A	N/A	Diesel	130	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	335.47	0.69	0.05	364.55
Excavator	1	50	10	43.0	2150.0	N/A	N/A	Diesel	250	7,684	5.46E+06	ton/MMBtu	7.81E-02	3.90E-09	2.20E-06	322.56	0.00	0.01	325.38
Elec. Generator	10	50	22	94.6	4730.0	N/A	N/A	Diesel	20	7,684	5.46E+06	ton/MMBtu	7.81E-02	3.90E-09	2.20E-06	567.71	0.00	0.02	572.67
Manlifts	6	50	16	68.8	3440.0	N/A	N/A	Diesel	85	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	1052.85	2.16	0.15	1144.12
Welders	10	50	18	77.4	3870.0	N/A	N/A	Diesel	22	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	510.94	1.05	0.07	555.23
75-ton Crane	2	50	19	81.7	4085.0	N/A	N/A	Diesel	250	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	1225.75	2.51	0.17	1332.00
250-ton Crane	2	50	19	81.7	4085.0	N/A	N/A	Diesel	420	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	2059.25	4.22	0.29	2237.76
Forklifts	4	50	21	90.3	4515.0	N/A	N/A	Diesel	100	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	1083.82	2.22	0.15	1177.77
Diesel engine pickups	4	50	15	64.5	3225.0	N/A	N/A	Diesel	200	7,684	5.46E+06	ton/MMBtu	7.81E-02	1.60E-04	1.10E-05	1548.31	3.17	0.22	1682.52
Gasoline Cars and Trucks [6, 7]	4	50	12	51.6	2580.0	4.0	15.0	Gasoline	175	6,650	5.19E+06	ton/MMBtu & ton/ 1000 gal	7.46E-02	1.45E-03	3.80E-03	25.37	0.00	0.00	25.45
Total Emissions																**8977.19**	**16.52**	**1.16**	**9683.84**

[1] Carbon Dioxide Emission factors (ton/MMBtu) for gasoline fired vehicles/equipment were obtained from Table 4-1 CO_2 Combustion Emission Factors (Fuel Basis) for Common Industry Fuel Types: Compendium of Greenhouse Gas Emissions Methodologies for the Oil and Gas Industry dated February 2004. (API 2004)

[2] Methane and Nitrous Oxide Emission factors for Light-Duty Gasoline Trucks (ton/1000 gal) were obtained from Table 4-9 Mobile Source Combustion Emission Factors: Compendium of Greenhouse Gas Emissions Methodologies for the Oil and Gas Industry dated February 2004. (API 2004)

[3] Maximum annual emissions are based on the weeks/year shown.

[4] Total Emissions based on Total Weeks shown

[5] Total GWP assumes GWP of 1 for CO_2, 21 for CH_4 and 310 for N_2O, which were obtained from Table 3-1 Greenhouse Gas and Global Warming Potentials: Compendium of Greenhouse Gas Emissions Methodologies for the Oil and Gas Industry dated February 2004. (API 2004)

[6] CO_2 emissions were calculated by determining the total gallons of gasoline consumed (# vehicles * total miles * (1 mi / 15 gallons), then converting to barrels (* 1 bbl/42 gallons), then multiplying times the emission factor (tons/MMBtu), then converting Btus to MMBtus (1MMBtu / 1,000,000 Btu).

[7] CH_4 and N_2O emissions were calculated by determining the total gallons of gasoline consumed (# vehicles * total miles * (1 mi / 15 gallons), then multiplying times the emission factor (tons/1000 gal), then correcting gallons to 10^3 gallons (* 1 x 10^3 gallons / 1,000 gallons).

ATTACHMENT B1-2 – AIR POLLUTION CONTROL PERMIT APPLICATION

Ocotillo Modernization Project

APPLICATION FOR CERTIFICATE OF ENVIRONMENTAL COMPATIBILITY

Exhibit B1, Attachment B1-2
Air Pollution Control Permit Application

Prepared for

State of Arizona Power Plant and Transmission Line Siting Committee

Arizona Corporation Commission

Submitted by

Arizona Public Service

aps

July 18, 2014

JUL 18 2014

Hand Delivered

Air Quality Director
Maricopa County Air Quality Department
1001 North Central Ave, Suite 125
Phoenix, AZ 85004

Subject: Resubmittal of the Significant Permit Modification for Air Quality Permit V95-007 – Ocotillo Power Plant

Mr. Wiley,

This document is being resubmitted pursuant to Rule 210, Section 406 of the Maricopa County Air Pollution Control Regulations, and constitutes an application by Arizona Public Service (APS) for a significant permit modification to the Ocotillo Title V Air Quality Operating Permit (V95-007).

This application seeks to construct five new natural gas-fired General Electric LMS100 simple cycle gas turbines. Two existing steam electric generators and the associated cooling towers will be decommissioned as part of the project.

If you require additional information or have any questions regarding the application, please contact Anne Carlton at (602) 250-5153.

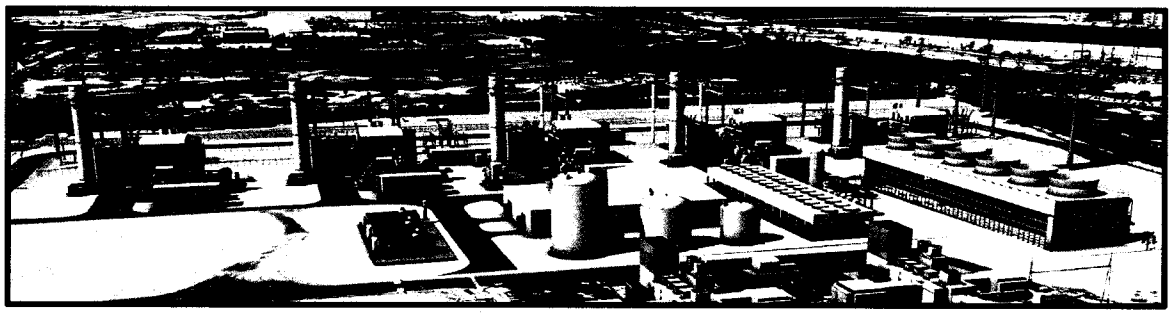
Based on the information and belief formed after reasonable inquiry, the statements and information in this document are true, accurate, and complete.

Sincerely,

Thomas Livingston
Plant Manager
Ocotillo Power Plant
Arizona Public Service Company

cc: US EPA, Region IX
Air Permits Office
75 Hawthorne St
San Francisco, CA 94105

Title V Operating Permit Revision and Prevention of Significant Deterioration
Air Pollution Control Permit Application



Ocotillo Power Plant Modernization Project

Application to construct five (5) new natural gas-fired General Electric LMS100 simple cycle gas turbines

Original Date: April, 2014
Updated: July 14, 2014

Prepared for:

Arizona Public Service
400 North 5th Street
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Prepared By:

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2027 Broadway, Suite B
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Executive Summary.

This document is submitted pursuant to Rules 210 and 240 of the Maricopa County Air Pollution Control Regulations (MCAPCR), and constitutes an application by Arizona Public Services Company (APS) for a significant permit revision to construct and operate new electric power generation equipment at the existing Ocotillo Power Plant in Tempe, Maricopa County, Arizona.

APS plans a major modernization project at the Ocotillo Power Plant (the Project). APS plans to install five General Electric Model LMS100 102-megawatts net (summer rating) simple-cycle gas turbine generators (GTs), powered by clean pipeline-quality natural gas. Two existing 1960s-era steam electric generators and the associated cooling towers will be decommissioned as part of the Project. The Project will provide many benefits for customers and the surrounding area. The Project creates a cleaner-running, more efficient plant; supports service reliability for customers in the Phoenix metro area; and creates jobs and additional tax revenue for the local economy.

The Project will utilize state-of-the-art gas turbine technology to generate electricity. APS is continuing to add renewable energy, especially solar energy, to the electric power grid. However, because renewable energy is an intermittent source of electricity, a balanced resource mix is essential to maintain reliable electric service. This means that APS must have firm electric capacity which can be quickly and reliably dispatched when renewable power, or other distributed energy sources are unavailable. In addition, because customers use energy in different ways and at different times, this can create multiple times of peak demand throughout the day. The LMS100 GTs have the quick start and power escalation capability that is necessary to meet changing power demands and mitigate grid instability caused by the intermittency of renewable energy generation. The new units need the ability to start quickly, change load quickly, and idle at low load. This capability is very important for normal grid stability, but absolutely necessary to integrate with and fully realize the benefits of distributed energy such as solar power and other renewable resources. To achieve these requirements, these GTs will be designed to meet the proposed air emission limits at steady state loads as low as 25% of the maximum output capability of the turbines.

This permit revision application describes the proposed Project equipment and schedule, the Project air emissions and proposed control technologies, the regulatory programs that apply to the GTs, an air quality impact analysis, and the proposed permit conditions and compliance demonstration methods. The conclusions presented in this air permit application for the Ocotillo Modernization Project are that:

- The Ocotillo plant will utilize highly efficient simple-cycle gas turbines.
- The PSD permitting requirements apply to the Project only for CO, PM, $PM_{2.5}$, and GHG emissions. The proposed control technologies and emission limits for these pollutants represent the Best Available Control Technology (BACT) for simple-cycle gas turbines.
- After completion of the Project, the Ocotillo Plant will no longer be a major source of PM_{10}.
- The nonattainment NSR permitting requirements do not apply to the Project.
- The air quality impacts of the Project are insignificant when compared to EPA impact thresholds.

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Chapter 1. Introduction.

This document is submitted pursuant to Rules 210 and 240 of the Maricopa County Air Pollution Control Regulations (MCAPCR), and constitutes an application by Arizona Public Services Company (APS) for a significant permit revision to construct and operate new electric power generation equipment at the existing APS Ocotillo Power Plant in Tempe, Maricopa County, Arizona. The Ocotillo Modernization Project (the Project) is being proposed because of the need for additional electrical generation in the Phoenix area. The Project will utilize state-of-the-art gas turbine technology to generate electricity.

The Ocotillo Power Plant is located at 1500 East University Drive, Tempe Arizona, 85281, in Maricopa County. The APS Ocotillo Power Plant and the proposed Project are classified under SIC code 4911. The plant latitude is 33.425 and longitude is 111.909 at a base elevation of 1,175 feet above mean sea level (AMSL). The Plant has been in operation since 1960. The facility consists of two steam boiler generating units and two simple cycle gas turbine generators (GTs). The steam boiler generating units have a rated heat input capacity of 1,210 MMBtu/hr and an electric power output capacity of 110 MW each. Two cooling towers are used to supply cooled circulating water to the steam unit condensers, with rated capacities of 58,800 gallons per minute (gpm). The existing GTs are General Electric (GE) Model 501-AA units installed in 1972 and 1973. Each turbine has a rated heat input capacity of 915 MMBtu/hr and an electric output capacity of 55 MW. A GENRAC 125 hp propane-fired emergency generator is also installed at Ocotillo. This unit is limited to no more than 500 operating hours per year. The Ocotillo Power Plant is a major stationary air emission source as defined in MCAPCR Rules 210 and 240, and operates under Title V Operating Permit V95-007.

APS is planning to install five (5) new natural gas-fired GE Model LMS100 simple cycle GTs and associated equipment at the Ocotillo Power Plant. As part of the Project, APS plans to retire the existing steam electric generating units 1 and 2 and associated cooling towers before commencing commercial operation of the proposed new GTs. This document is an application by APS for a significant permit revision to allow for construction and operation of the proposed Project. Chapter 1 is this Introduction. Chapter 2 presents a description of the proposed Project equipment and schedule. Chapter 3 presents a summary of Project emissions and proposed emission limits. Chapter 4 describes regulatory programs that apply to the GTs, including two sets of New Source Review (NSR) regulatory applicability analyses, one that addresses the Prevention of Significant Deterioration (PSD) rules and a second that address Non-Attainment NSR (NANSR) rules. Chapter 5 summarizes the proposed control technologies and proposed emission limits. Chapter 6 and 7 present air quality impact analyses and additional impact analyses. Chapter 8 presents the proposed permit conditions, limits, and compliance demonstration methods.

1.1 Permit Application Forms.

Included in Appendix A of this application are the Maricopa County Air Quality Department STANDARD PERMIT APPLICATION FORM and the EMISSION SOURCES FORM for each emissions unit. Also attached is the information requirements identified in the STANDARD PERMIT APPLICATION FORM AND FILING INSTRUCTIONS. Table 1-1 summarizes the location of this required information in the permit application.

TABLE 1-1. Summary of the Maricopa County Air Quality Department's permit application additional 19 information items, and the location of this information in this application.

Item	Description	Location of Information in this Application
1	Description of process to be carried out in each unit (include Source Class. Code, if known).	Chapter 2
2	Description of product.	Chapter 2 (Product is electricity.)
3	Description of alternate operating scenario, if desired by applicant.	NONE REQUESTED
4	Description of alternate operating scenario product, if applicable.	NONE REQUESTED
5	A flow diagram for all processes.	Chapter 2
6	A material balance for all processes (only if emission calcs are based on a material balance).	Chapter 2 and Appendix B (for GHG emissions).
7	Emissions related information: a. Potential emissions of regulated air pollutants. b. Identify and describe all points of emissions.	Chapter 2, Chapter 6, and Appendix A.
8	Citation and description of all applicable requirements.	Chapter 4
9	Explanation of any voluntarily accepted limits established pursuant to Rule 220 and any proposed exemptions from applicable requirements.	Chapters 3, 4, 5, and 8
10.	The following information to the extent it is needed to determine or regulate emissions or to comply with the requirements of Rule 220:	
10a.	Maximum annual process rate for each piece of equipment which generates air emissions.	Chapter 2 and Chapter 3
10b.	Maximum annual process rate for the whole plant.	Based on voluntarily accepted limits described in Chapters 4 and 5.
10c.	Maximum rated hourly process rate for each piece of equipment which generates air emissions.	Chapter 2 and Chapter 3 (The maximum process rate is based on the maximum capacity of each emissions unit).
10d.	Maximum rated hourly process rate for the whole plant.	The maximum rated hourly process rate for the whole plant is based on all emissions units operating simultaneously at their maximum rated capacities.
10e.	For all fuel burning equipment, a description of fuel use, including type, quantity per year, quantity per hour, and HHV of the fuel.	Chapter 2 and Chapter 3
10f.	Description of all raw materials used and the maximum annual, hourly, monthly, or quarterly quantities of each material used.	Chapter 2. Raw materials include natural gas fuel, water for cooling and NO_X control, and ammonia (NH3) for SCR NO_X control.
10g.	Anticipated operating schedules: 1. Percent of annual production by season. 2. Days of the week normally in operation. 3. Shifts or hours of the day normally in operation. 4. Number of days per year in operation.	The units will be operated on an "as-needed" basis 365 days per year

TABLE 1-1. Summary of the Maricopa County Air Quality Department's permit application additional 19 information items, and the location of this information in this application.

Item	Description	Location of Information in this Application
10h.	Limitations on source operations and any work practice standards affecting emissions.	Based on voluntarily accepted limits described in Chapters 3, 4, 5, and 8.
10i.	A demonstration of how the source will meet any limits accepted voluntarily pursuant to Rule 220.	Chapters 3 and 8.
11	A description of all process and control equipment for which permits are required including: Name, Make, Model, Serial number, Date of manufacture, Size/production capacity, and Type.	Chapter 2 and Chapter 3.
12	Stack Information, including Identification, Description, Building dimensions, Exit gas temperature, Exit gas velocity, Height, and Inside dimensions.	Chapter 2 and Chapter 6, and attached Standard Forms.
13	Site diagram which includes Property boundaries, Adjacent streets, Directional arrow, Elevation, Closest distance between equipment and property boundary, Equipment layout, Location of emission sources or points, Location of emission points and areas, Location of air pollution control equipment.	Chapter 2 and Chapter 6.
14	Air pollution control information:	
14a.	Description of test method for determining compliance with each applicable requirement.	Chapter 8.
14b.	Identification, description and location of air pollution control equipment, and compliance monitoring devices or activities.	Chapters 2 and 3 and Appendix B.
14c.	The rated and operating efficiency of air pollution control equipment.	Chapters 2 and 3 and Appendix B.
14d.	Data necessary to establish required efficiency for air pollution control equipment (warranty information).	Chapters 2 and 3 and Appendices B and C.
14e.	Evidence that operation of the equipment will not violate any ambient air quality standards, or maximum allowable increases.	Chapter 6.
15	Equipment manufacturer's bulletins and shop drawings may be acceptable where appropriate.	Not applicable.
16	Compliance Plan	Chapter 4.
17	Compliance Certification	Appendix A.
18	Rule 240 submittal information	Chapters 4 and 8.
19	Calculations on which all information requested in this Appendix is based.	Chapters 2, 3, and 6.

Chapter 2. Project and Process Description.

2.1 Project Overview.

APS is planning to install five (5) new natural gas-fired General Electric Model LMS100 simple cycle gas turbine generators, a hybrid cooling system, and associated equipment at the Ocotillo Power Plant in Tempe, Maricopa County, Arizona. Figure 2-1 presents the general location of the Ocotillo Power Plant, and Figure 2-2 presents an aerial image of the existing plant.

FIGURE 2-1. Locus map showing the general location of the Ocotillo Power Plant.

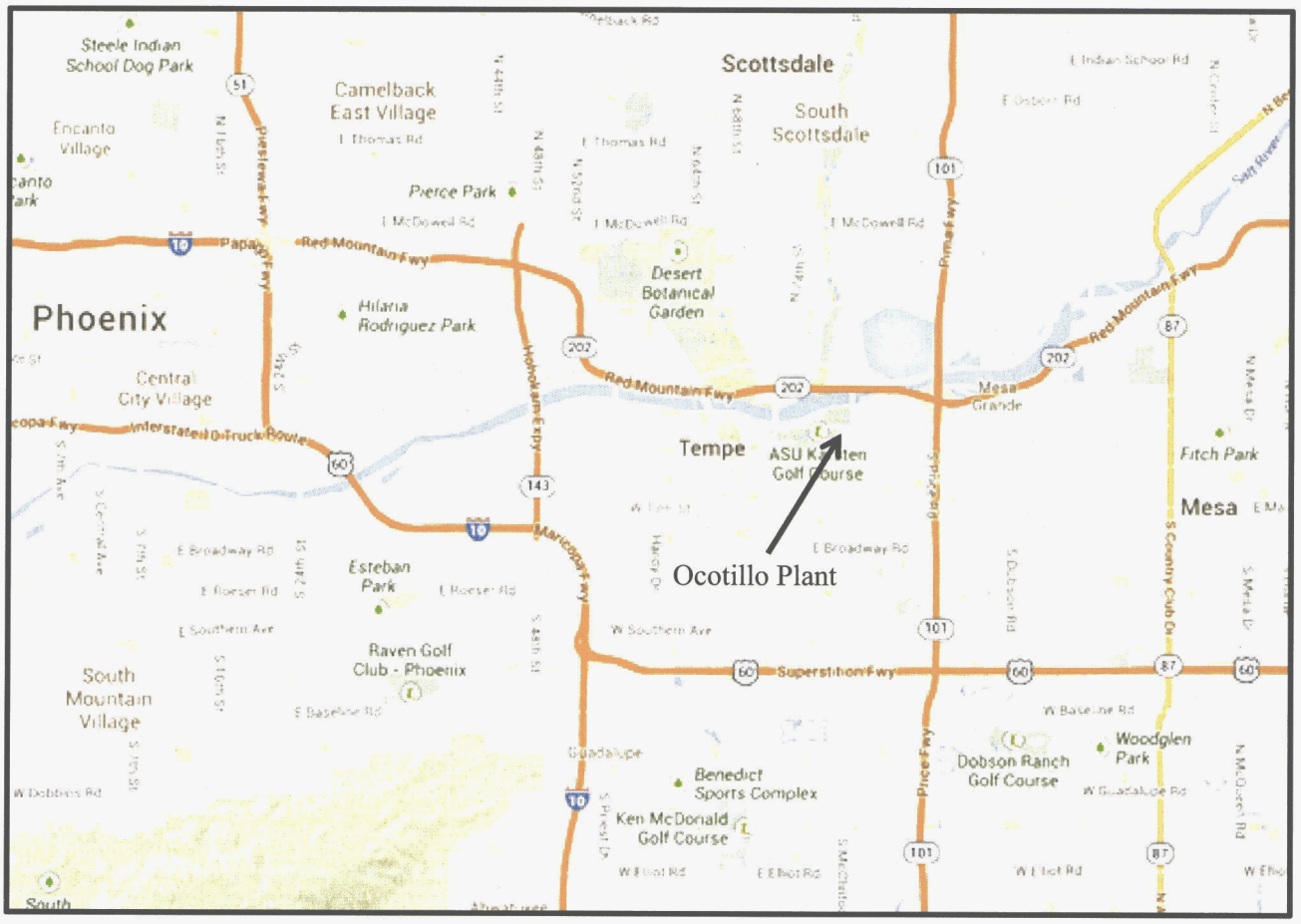


FIGURE 2-2. Aerial image of the existing Ocotillo Power Plant.

2.2 GE LMS 100 Gas Turbine Generators

The General Electric Model LMS100 simple cycle gas turbine (GT) generator utilizes an aero derivative gas turbine coupled to an electric generator to produce electric energy. A gas turbine is an internal combustion system which uses air as a working fluid to produce mechanical power and consists of an air inlet system, a compressor section, a combustion section, and a power section. The compressor section includes an air filter, inlet chiller, noise silencer, and a multistage axial compressor. During operation, ambient air is drawn into the compressor section. The air is compressed and heated by the combustion of fuel in the combustor section. The expansion of the high pressure, high temperature gas expands through the turbine blades which rotate the turbine shaft in the power section of the turbine, and the rotating shaft powers the electric generator.

Figure 2-3 presents a process flow diagram for the LMS 100 turbine. The LMS100 GTs are equipped with inlet air filters which remove dust and particulate matter from the inlet air. During hot weather, the filtered air may also be cooled by contacting the air with an inlet chiller. The filtered and cooled air is drawn into the low-pressure compressor section of the gas turbine where the air is compressed. The air temperature rises along with the increase in pressure. The LMS100 then uses an innovative intercooling system which takes the air out of the turbine, cools it to an optimum temperature in an external water-cooled heat exchanger (the intercooler), and then redelivers it to the high-pressure compressor. The near constant stream of low temperature air to the high pressure compressor reduces the work of compression, resulting in a higher pressure ratio (42:1), increased mass flow, and increased power output. This reduced work of compression also improves the overall gas turbine thermal efficiency.

The high-pressure compressed air from the high-pressure compressor discharge flows to the combustion section of the turbine where high-pressure natural gas is injected into the turbine and the air/fuel mixture is ignited. Water is also injected into the combustion section of the turbine which reduces flame temperatures and reduces thermal NO_X formation. The heated air, water, and combustion gases pass through the power or expansion section of the turbine which consists of blades attached to a rotating shaft, and fixed blades or buckets. The expanding gases cause the blades and shaft to rotate. The power section of the turbine extracts energy from the hot compressed gases which cools and reduces the pressure of the exhausted gases. The power section of the turbine produces the power to drive the electric generator. The use of the intercooler combined with higher combustor firing temperatures allows the LMS100 to achieve a simple cycle thermal efficiency of 43%.

A typical LMS 100 installation is shown in Figure 2-4. The general specifications for these turbines are summarized in Table 2-2. Note that the specifications in Table 2-2 are for new turbines which have not undergone any performance degradation due to normal operation, and also do not account for efficiency reductions due to additional post combustion emission control systems.

FIGURE 2-3. Diagram of a General Electric Model LMS100 simple cycle gas turbine (from General Electric Company).

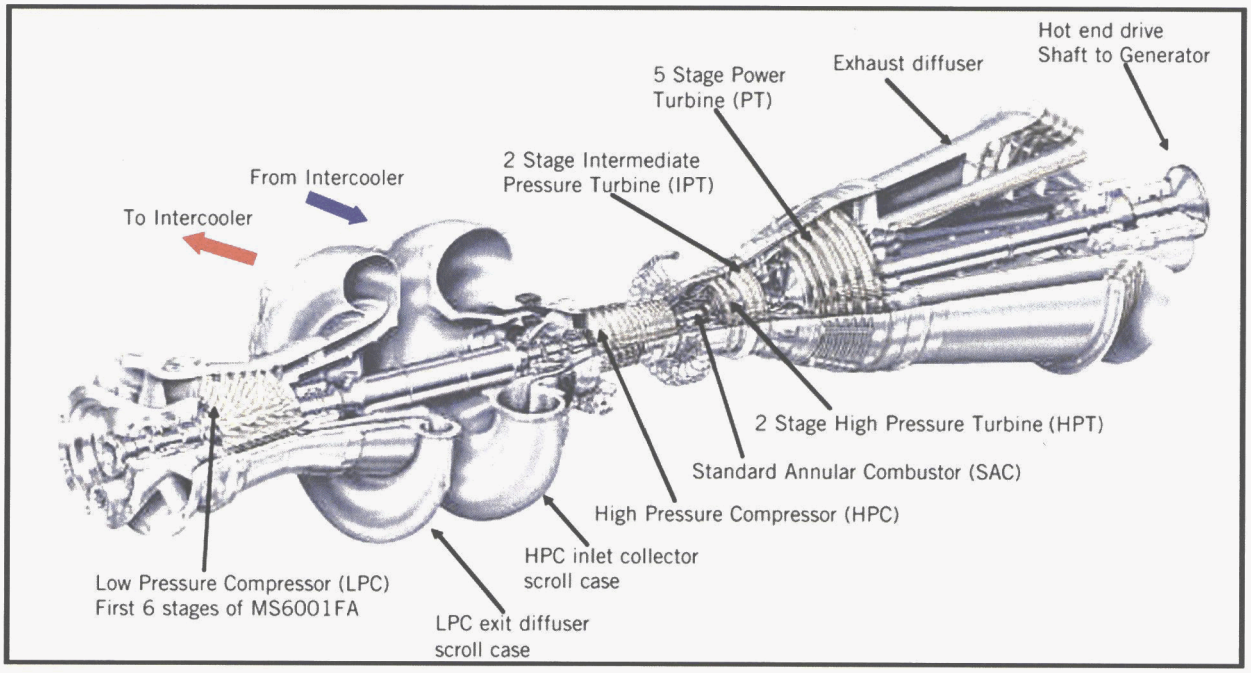


FIGURE 2-4. Typical installation of a General Electric Model LMS100 simple cycle gas turbine (from General Electric Company).

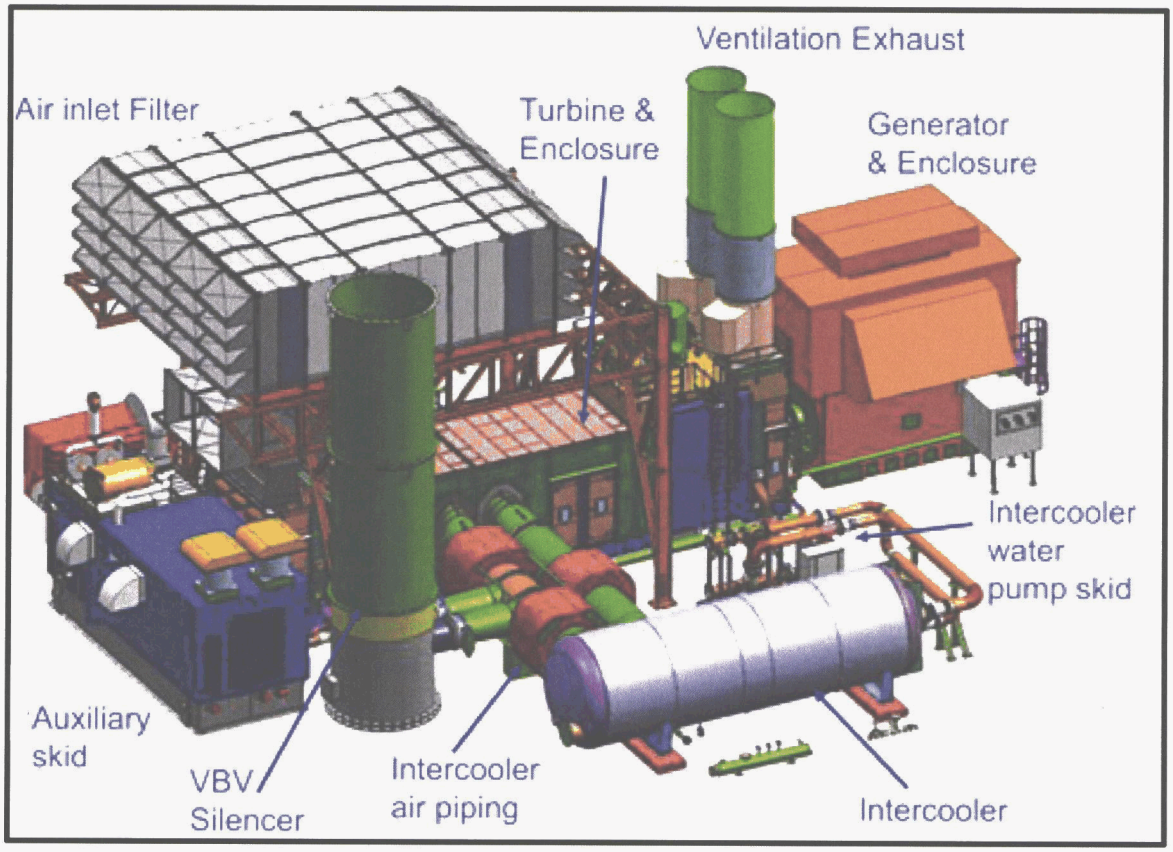


TABLE 2-2. General specifications for the proposed General Electric Model LMS100 simple cycle gas turbines.

LMS100 Model	PA - 60 Hz
Output Power (gross)	111MW
Efficiency	43%
LPT Speed	3,600 RPM
Heat Rate ISO Full Load (gross)	8,939 Btu/kWh HHV

The gas turbine and generator will be enclosed in a metal acoustical enclosure which will also contain accessory equipment. The GTs will be equipped with the following equipment:

- Inlet air filters
- Inlet air chillers
- Metal acoustical enclosure to reduce sound emissions
- Duplex shell and tube lube oil coolers for the turbine and generator
- Annular standard combustor combustion system
- Water injection system for NO_X control
- Compressor intercooler system
- Water saving hybrid intercooler cooling system
- Compressor wash system to clean compressor blades
- Fire detection and protection system
- Hydraulic starting system
- Compressor variable bleed valve vent to prevent compressor surge in off-design operation.

2.2.1 Post Combustion Air Quality Control Systems.

The combustion gases exit the turbine at approximately 760°F. The exhaust gases will then pass through two post combustion air quality control systems, including oxidation catalysts for the control of carbon monoxide (CO) and volatile organic compounds (VOC), and selective catalytic reduction (SCR) systems for the control of nitrogen oxides (NO_X) emissions.

For natural gas-fired gas turbines applications, CO and VOC emission may be controlled using oxidation catalysts installed as a post combustion control system. A typical oxidation catalyst is a rhodium or platinum (noble metal) catalyst on an alumina support material. The catalyst is typically installed in a reactor with flue gas inlet and outlet distribution plates. CO and VOC react with oxygen (O_2) in the presence of the catalyst to form carbon dioxide (CO_2) and water (H_2O). Oxidation catalysts have the potential to achieve 90% reduction in uncontrolled CO emissions at steady state operation. VOC reduction capabilities are expected to be less.

Selective Catalytic Reduction (SCR) is a flue gas treatment technique for the reduction of NO_X emissions which uses an ammonia (NH_3) injection system and a catalytic reactor. An SCR system utilizes an injection grid which disperses NH_3 in the flue gas upstream of the catalyst. NH_3 reacts with NO_X in the presence of the catalyst to form nitrogen (gas) and water vapor. For this simple cycle gas turbine

application, the SCR system will be a hot SCR which operates at relatively high flue gas temperatures in excess of approximately 750 °F.

During operation, a 19% aqueous solution of ammonia will be vaporized and injected into the turbine exhaust gas stream upstream of the SCR catalyst. The ammonia will react with NO_X, with expected NO_X reduction efficiencies of approximately 90%. After passing through the SCR, the exhaust gases exit through a separate stack for each GT.

2.3 Hybrid Cooling Tower.

The closed-loop cooling system provides water cooling for the High Temperature Intercooler (HTIC) at each LMS100 GT. The HTIC water flow requirements for all GTs are combined into a common system that uses a hybrid Partial Dry Cooling System (PDCS) closed cycle cooling water rated at 52,500 gallons per minute (gpm) and wet cooling of 61,500 gpm to provide the cooling necessary for maximum performance and efficiency of the GTs.

In this hybrid PDCS system, the heat is rejected using ambient air in a dry cooling system followed by a conventional wet cooling tower. This PDCS reduces water consumption in two ways. The dry-cooling section reduces the amount of heat going to the wet cooling tower which reduced water use. The dry cooling portion has no air emissions. The mechanical induced-draft cooling tower will have emissions of particulate matter (PM). The plant design specifies a Marley model F454A45E4.006A 6-cell counter flow cooling tower with the TU12 Drift Eliminator system.

2.4 Summary of the Project Emission Units.

Table 2-3 is a summary of the proposed new emission units for the Ocotillo Modernization Project.

TABLE 2-3. Proposed emission units for the Ocotillo Modernization Project

Emission Unit	Designation	Description
1	GT3	GE Model LMS100 simple cycle gas turbine Unit 3
2	GT4	GE Model LMS100 simple cycle gas turbine Unit 4
3	GT5	GE Model LMS100 simple cycle gas turbine Unit 5
4	GT6	GE Model LMS100 simple cycle gas turbine Unit 6
5	GT7	GE Model LMS100 simple cycle gas turbine Unit 7
6	GTCT	Cooling Tower

Chapter 3. Project Emissions.

3.1 GE LMS 100 Gas Turbine Generators

3.1.1 Normal Operation

The manufacturer's emissions data are presented in Appendix C for a wide range of unit operating load and ambient air conditions. The potential emissions for each GT are based on the maximum rated heat input for the gas turbines of 970 mmBtu per hour (higher heating value or HHV), and the proposed BACT emission limits and manufacturer's maximum hourly emission rates. In this application, APS is not proposing limits on the hours of turbine operation nor the numbers of startup/shutdown events. Instead, to increase operational flexibility and allow APS to adjust the operating hours and startup/shutdowns to best meet the variable power demands, APS is proposing the following enforceable emission and operating limits which will limit the potential emissions of each regulated pollutant:

- Emission caps across the proposed new gas turbines GT3 - GT7 of 125.5 tons per year (TPY) for NO_x and 40.7 TPY for VOC so that the Project (in combination with the contemporaneous emission decreases from retiring of the steam units) does not result in a net emission increase greater than 40 TPY for each pollutant. These emission caps ensure that the Project does not trigger PSD or Non-attainment NSR permitting requirements for NO_X and VOC emissions,
- A plant-wide PM_{10} emission cap of 69.8 TPY to reclassify the Ocotillo Plant as a minor source of PM_{10} emissions under the PM_{10} Non-attainment NSR rules, so that the Project does not trigger Non-attainment NSR permitting requirements for PM_{10},
- An annual fuel use limit of 18,800,000 MMBtu/year (HHV) combined across the new gas turbines GT3 - GT7 to limit the potential emissions of HAPs, SO_2, and Greenhouse Gases (GHG),
- An emission cap across the new gas turbines GT3 - GT7 of 239.2 TPY for CO to limit potential emissions of CO from normal operations and startup/shutdown,
- An annual fuel use limit of 2,928,000 MMBtu/year (HHV) (1,600 hours per year per turbine) combined across the existing gas turbines GT1 - GT2 to limit the potential emissions for HAPs, and
- Combustion of only pipeline quality natural gas in all of the existing and new gas turbines GT1 through GT7.

Compliance with these limits will be demonstrated using a combination of Continuous Emission Monitoring (CEM) data, fuel use data (as measured by a certified fuel flow meter), and emission factors. Refer to Section 8 of this application for a detailed summary of the proposed permit emission limits and compliance demonstration methods.

The potential emissions for GT3 - GT7, based on the proposed annual fuel use limit of 18,800,000 MMBtu/year are summarized in Table 3-1.

TABLE 3-1. Potential emissions for the proposed new Model LMS100 gas turbines GT3-GT7 during normal operation.

POLLUTANT		NORMAL OPERATION					
		Heat Input per GT	Maximum Emission Rate		Fuel Use Limit	Emissions per GT	Emissions for GT3-GT7
		mmBtu /hr	ppmdv @ 15% O_2	lb/hr	10^6 MMBtu/yr	ton/year	ton/year
Carbon Monoxide	CO	970	6.0	13.5	18.8	24.1	120.7
Nitrogen Oxides	NOx	970	2.5	9.3	18.8	16.5	82.6
Particulate Matter	PM	970	NA	5.4	18.8	9.6	48.2
Particulate Matter	PM_{10}	970	NA	5.4	18.8	9.6	48.2
Particulate Matter	$PM_{2.5}$	970	NA	5.4	18.8	9.6	48.2
Sulfur Dioxide	SO_2	970	NA	0.6	18.8	1.0	5.2
Volatile Organic Compounds	VOC	970	2.0	2.6	18.8	4.7	23.6
Sulfuric Acid Mist	H_2SO_4	970	NA	0.06	18.8	0.10	0.52
Fluorides (as HF)	HF	970	NA	0.00	18.8	0.0000	0.0000
Lead	Pb	970	NA	0.0005	18.8	0.0009	0.0043
Carbon Dioxide	CO_2	970	NA	113,381	18.8	202,285	1,011,427
Greenhouse Gases	CO_2e	970	NA	113,492	18.8	202,484	1,012,419

Footnotes

1. Normal operation emissions are based on the total fuel use limit of 18.8 x 10^6 MMBtu/yr **LESS** fuel use during startup/shutdown of 1.49 x 10^6 MMBtu/yr.
2. The SO_2 emission factor of 0.0006 lb/MMBtu is based on pipeline quality natural gas. Sulfuric acid mist is estimated as 10% of the SO_2 emissions.
3. The emission factors for the greenhouse gases are from 40 CFR 98, Tables C-1 and C-2 and 40 CFR 98, Subpart A, Table A-1.

Pollutant		Emission Factor	Total GHG Emission Factor	
		lb/mmBtu	CO_2e Factor[4]	lb/mmBtu
Carbon Dioxide	CO_2	116.89	1	116.888
Methane	CH_4	0.0022	21	0.046
Nitrous Oxide	N_2O	0.00022	310	0.068
TOTAL GHG EMISSIONS, AS CO_2e				**117.0**

3.1.2 Startup and Shutdown Emissions.

The gas turbine air pollution control systems including selective catalytic reduction (SCR) and oxidation catalysts are not operational during the startup and shutdown of these gas turbines. Water injection is used to reduce NO_x emissions from these GTs before the SCR systems. The earlier that water injection can be initiated during the startup process, the lower NO_X emissions will be during startup. However, if injection is initiated at very low loads, it can impact flame stability and combustion dynamics, and it may increase CO emissions. These concerns must be carefully balanced when determining when to initiate water injection. Oxidation catalysts and SCR pollution control systems are not functional during periods of startup and shutdown because the exhaust gas temperatures are too low for these systems to function as designed.

For simple cycle gas turbines, the time required for startup is much shorter than gas turbines used in combined cycle applications. The expected emissions during a normal startup and shutdown are summarized in Table 3-2. For these LMS100 GTs, the length of time for a normal startup (the time from initial fuel firing to when the unit goes on line and water injection begins) is approximately 30 minutes. The length of time for a normal shutdown, that is, the time from the cessation of water injection to the time when the flame is out, is normally 11 minutes. Therefore, the normal duration for a normal startup and shutdown cycle or "event" is 41 minutes. In Table 3-2, the startup and shutdown emissions are detailed for one event, and the maximum emissions in one hour, assuming that the remaining 19 minutes in the hour are with the GT operating at its maximum rated capacity and maximum emission rate. The startup and shutdown annual emissions have been calculated using an assumption of two startup/shutdown events per day. In addition, the fuel use during startup and shutdown is estimated based on 366 MMBtu per startup sequence and 43 MMBtu per shutdown sequence for a total of 409 MMBtu per 41 minute event. This equates to 1.49×10^6 MMBtu per year for all startup/shutdown events for all 5 turbines combined.

3.1.3 Potential Emissions for GTs.

The total potential emissions for the GTs are the sum of emissions during estimated normal operations and the estimated numbers of startup/shutdown, and are presented in Table 3-3.

3.2 Hazardous Air Pollutant (HAP) Emissions.

Gas turbines are also a source of hazardous air pollutants (HAPs). However, natural gas-fired GTs are a relatively small source of HAPs. Potential emissions for the proposed new GE Model LMS100 gas turbines are detailed in Table 3-4. The HAP emission factors are from the U.S. EPA's WebFIRE database and *Compilation of Air Pollutant Emission Factors, AP-42*, Volume 1: Stationary Point and Area Sources, Section 3.1, Stationary Gas Turbines for Electricity Generation. Under 40 CFR Part 63, a major source of HAPs is any facility which emits, or has the potential to emit, of 10 tons per year or more of any single HAP, or 25 tons per year or more of all HAPs combined. From Table 3-4, the proposed new GTs will not have emissions in excess of these major source levels. The Ocotillo Power Plant is currently a minor (area) source of HAPs, and the proposed modification in this application will not change the minor HAP source status of this facility.

TABLE 3-2. Potential emissions for the proposed new Model LMS100 gas turbines GT3-GT7 during periods of startup and shutdown.

POLLUTANT		STARTUP/SHUTDOWN EMISSIONS										
		Startup		Shutdown		Normal Operation		Total		Estimated SU/SD per GT	Emissions per GT	Emissions GT3 - GT7 Combined
		minutes	lb per event	minutes	lb per event	minutes	lb per event	lb per event	lb per hour	events per year	ton/year	ton/year
Carbon Monoxide	CO	30	17.9	11	47.0	19	4.3	64.9	69.2	730	23.7	118.4
Nitrogen Oxides	NO_x	30	22.5	11	6.0	19	2.9	28.5	31.4	730	10.4	52.0
Particulate Matter	PM	30	2.7	11	1.0	19	1.7	3.7	5.4	730	1.3	6.7
Particulate Matter	PM_{10}	30	2.7	11	1.0	19	1.7	3.7	5.4	730	1.3	6.7
Particulate Matter	$PM_{2.5}$	30	2.7	11	1.0	19	1.7	3.7	5.4	730	1.3	6.7
Sulfur Dioxide	SO_2	30	0.3	11	0.1	19	0.2	0.4	0.6	730	0.1	0.7
Volatile Organic Cmds	VOC	30	5.8	11	4.9	19	0.8	10.7	11.5	730	3.9	19.5
Sulfuric Acid Mist	H_2SO_4	30	0.0	11	0.0	19	0.0	0.0	0.1	730	0.0	0.1
Fluorides (as HF)	HF	30	0.0	11	0.0	19	0.0	0.0	0.0	730	0.0	0.0
Lead	Pb	30	0.0	11	0.0	19	0.0	0.0	0.0	730	0.0	0.0
Carbon Dioxide	CO_2	30	42,781	11	5,026	19	35,904	47,807	83,711	730	17,450	87,248
Greenhouse Gases	CO_2e	30	42,823	11	5,031	19	35,939	47,854	83,793	730	17,467	87,334

Footnotes

The fuel use during startup and shutdown is estimated based on 366 MMBtu per startup sequence and 43 MMBtu per shutdown sequence for a total of 409 MMBtu per 41 minute event. This equates to 1.49 x 106 MMBtu per year for all startup/shutdown events for all 5 turbines combined.

TABLE 3-3. Total potential emissions for the General Electric Model LMS100 gas turbines for all periods of operation, including startup and shutdown.

POLLUTANT		TOTAL POTENTIAL TO EMIT			
		Normal Operation GT3-GT7 ton/year	Startup/Shutdown GT3-GT7 ton/year	Total Emissions ton/year	Requested Allowable Limit tons/year
Carbon Monoxide	CO	120.7	118.4	239.2	239.2
Nitrogen Oxides	NO_X	82.6	52.0	134.6	125.5
Particulate Matter	PM	48.2	6.7	54.9	54.9
Particulate Matter	PM_{10}	48.2	6.7	54.9	54.9
Particulate Matter	$PM_{2.5}$	48.2	6.7	54.9	54.9
Sulfur Dioxide	SO_2	5.2	0.7	5.9	5.9
Vol. Org. Compounds	VOC	23.6	19.5	43.1	43.1
Sulfuric Acid Mist	H_2SO_4	0.5	0.1	0.6	0.6
Fluorides (as HF)	HF	0.0	0.0	0.0	0.0
Lead	Pb	0.0	0.0	0.0	0.0
Carbon Dioxide	CO_2	1,011,427	87,248	1,098,675	1,098,675
Greenhouse Gases	CO_2e	1,012,419	87,334	1,099,753	1,099,753

Footnotes

TABLE 3-4. Potential hazardous air pollutant (HAP) emission for GT3-GT7.

POLLUTANT	CAS No.	Emission Factor lb/mmBtu	Maximum Heat Input mmBtu/hr	Potential to Emit, each turbine tons/year	Potential to Emit, all 5 turbines tons/year
Acetaldehyde	75-07-0	4.0E-05	970	0.075	0.38
Acrolein	107-02-8	6.4E-06	970	0.012	0.06
Benzene	71-43-2	1.2E-05	970	0.023	0.11
Benzene	71-43-2	9.1E-07	970	0.002	0.01
1,3-Butadiene	106-99-0	4.3E-07	970	0.001	0.00
Ethylbenzene	100-41-4	3.2E-05	970	0.060	0.30
Formaldehyde	50-00-0	7.1E-04	970	1.335	6.67
Xylene	1330-20-7	6.4E-05	970	0.120	0.60
Naphthalene	91-20-3	1.3E-06	970	0.002	0.01
PAH		2.2E-06	970	0.004	0.02
Propylene oxide	75-56-9	2.9E-05	970	0.055	0.27
Toluene	108-88-3	1.3E-04	970	0.244	1.22
TOTAL				**1.93**	**9.67**

Footnotes

1. The emission factors are from the U.S. EPA's WebFIRE database. These factors are from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42*, Volume 1: Stationary Point and Area Sources, Section 3.1, Stationary Gas Turbines for Electricity Generation.
2. The emission factor for formaldehyde (CH_2O) emissions are based on the uncontrolled factor, i.e., without the additional reduction from oxidation catalysts.
3. Potential emissions in tons per year are based on the following fuel use limit for all 5 turbines combined:

   Annual heat input limit of 18,800,000 MMBtu/year (HHV)

3.3 Cooling Tower Emissions.

A new mechanical draft cooling tower will be installed as part of the Ocotillo Power Plant Modernization Project. The specifications for the new cooling tower are summarized in Table 3-5.

TABLE 3-5. Specifications for the new mechanical draft cooling tower.

Total Circulating Water Flow to Cooling Tower, gpm	61,500
Number of Cells	6
Maximum Total Dissolved Solids, ppm	12,000
Design Drift Loss, %	0.0005%
Release Height, feet	42.5
Tower Enclosure Height, feet	29
Exit Diameter per cell, feet	30

3.3.1 Cooling Tower Emissions.

In a mechanical draft cooling tower, the circulating cooling water is introduced into the top of the tower. As the water falls through the tower, an air flow is induced in a countercurrent flow using an induced draft fan. A portion of the circulating water evaporates, cooling the remaining water. A small amount of the water is entrained in the induced air flow in the form of liquid phase droplets or mist. Demisters are used at the outlet of cooling towers to reduce the amount of water droplets entrained in the air. The water droplets that pass through the demisters and are emitted to the atmosphere are called *drift loss*. When these droplets evaporate, the dissolved solids in the droplet become particulate matter. Therefore, cooling towers are sources of PM, PM_{10}, and $PM_{2.5}$ emissions.

Cooling tower PM emissions are calculated based on the circulating water flow rate, the total dissolved solids (TDS) in the circulating water, and the design drift loss according to the following AP-42 equation:

$$E = kQ(60\ \text{min/hr})(8.345\ \text{lb water/gal})\left[\frac{C_{TDS}}{10^6}\right]\left[\frac{\%DL}{100}\right] \qquad \textbf{\textit{Equation 1}}$$

Where,

E	=	Particulate matter emissions, pounds per hour
Q	=	Circulating water flow rate, gallons per minute = 61,500 gpm
C_{TDS}	=	Circulating water total dissolved solids, parts per million = 12,000 ppm
DL	=	Drift loss, % = 0.0005%
k	=	particle size multiplier, dimensionless

The particle size multiplier "k" has been added to the AP-42 equation to calculate emissions for various PM size ranges, including PM_{10} and $PM_{2.5}$. AP-42 Section 13.4 presents data that suggests the PM_{10} fraction is 1% of the total PM emission rate, however no information is provided on $PM_{2.5}$ emissions. Maricopa County had developed a "k" emission factor of 31.5% to convert total cooling tower PM emissions to PM_{10} emissions based on tests performed at the Gila Bend Power Plant. During the PSD permitting of the Hydrogen Energy California (HECA) project by the San Joaquin Valley Air Pollution Control District (SJVAPCD), the applicant used a ratio of 0.6 to convert cooling tower PM_{10} emissions to $PM_{2.5}$ emissions. This ratio was based on data in the California Emission Inventory Development and

Reporting System (CEIDARS) data base, along with further documentation including an analysis of the emission data that formed the basis of the CEIDARS ratio, and discussions with various California Air Resources Board and EPA research staff. This PSD permit was reviewed and commented upon by the California Energy Commission and EPA Region 9, and these agencies accepted this factor for use in cooling tower $PM_{2.5}$ emission estimates.

Table 4 presents the calculated PM, PM_{10}, and $PM_{2.5}$ emissions for the cooling tower, using particle size multipliers of 0.315 for PM_{10} emissions and 0.189 (0.315 * 0.6) for $PM_{2.5}$ emissions, based on multipliers that have been previously approved in PSD permitting actions.

TABLE 3-6. Potential emissions for the new mechanical draft cooling tower.

POLLUTANT		*Q* Flowrate gallon/min	C_{TDS} Blowdown TDS Conc. ppm	%DL Drift Loss %	*k* Particle Size Multiplier	Potential to Emit lb/hr	Potential to Emit ton/yr
Particulate Matter	PM	61,500	12,000	0.0005%	1.00	1.85	8.09
Particulate Matter	PM_{10}	61,500	12,000	0.0005%	0.315	0.58	2.55
Particulate Matter	$PM_{2.5}$	61,500	12,000	0.0005%	0.189	0.35	1.53

3.4 Total Project Emissions.

Table 3-7 summarizes the total potential emissions for the Ocotillo Power Plant Modernization Project.

TABLE 3-7. Summary of the total potential emissions for the Ocotillo Modernization Project.

POLLUTANT		Requested Allowable Emissions, tons per year		
		GT3 - GT7	GTCT	TOTAL
Carbon Monoxide	CO	239.2		239.2
Nitrogen Oxides	NO_x	125.5		125.5
Particulate Matter	PM	54.9	8.09	63.0
Particulate Matter	PM_{10}	54.9	2.55	57.5
Particulate Matter	$PM_{2.5}$	54.9	1.53	56.4
Sulfur Dioxide	SO_2	5.6		5.9
Volatile Organic Cmpds	VOC	40.7		40.7
Sulfuric Acid Mist	H_2SO_4	0.6		0.6
Fluorides (as HF)	HF	0.000		0.000
Lead	Pb	0.005		0.005
Carbon Dioxide	CO_2	1,098,675		1,098,675
Greenhouse Gases	CO_2e	1,099,753		1,099,753

Chapter 4. Applicable Requirements

4.1 Standards of Performance for Stationary Combustion Turbines, 40 CFR Part 60, Subpart KKKK.

On July 6, 2006, the U.S. EPA published final rules revising the standards of performance for stationary combustion turbines under 40 CFR Part 60, Subpart KKKK. These standards are incorporated by reference in County Rule 360 § 301.84. In accordance with 40 CFR § 60.4315, the pollutants regulated by this subpart are nitrogen oxides (NO_X) and sulfur dioxide (SO_2).

4.1.1 Sulfur Dioxide (SO_2) Emission Limits.

For SO_2 emissions under 40 CFR § 60.4330, if your turbine is located in a continental area, you must either:

(1) Limit SO_2 emissions to 0.90 pounds per megawatt-hour gross output, or
(2) Not burn any fuel which contains emissions in excess of 0.060 lb SO_2/mmBtu heat input.

4.1.2 Nitrogen Oxides (NO_x) Emission Limits.

For NO_X emissions under 40 CFR § 60.4325, you must meet the emission limits specified in Table 1. Each of the proposed new natural gas-fired GE Model LMS100 simple cycle Gas turbines has a maximum design heat input capacity of 970 mmBtu per hour. The applicable standards in Table 1 are summarized below.

Excerpts from Table 1 to 40 CFR Part 60, Subpart KKKK: NO_X emission limits for new stationary combustion turbines.

Combustion turbine type	Combustion turbine heat input at peak load (HHV)	NO_X emission standard
New, modified, or reconstructed turbine firing natural gas.	Greater than 850 mmBtu/hr	15 ppm at 15 percent O_2 or 0.43 lb/MWh

4.1.3 General Compliance Requirement (40 CFR § 60.4333).

The simple cycle gas turbines, the SCR and oxidation catalysts air pollution control equipment, and monitoring equipment must be operated and maintained in a manner consistent with good air pollution control practices for minimizing emissions at all times including during startup, shutdown, and malfunction.

4.1.4 NO_x Monitoring Requirements (40 CFR § 60.4335).

Subpart KKKK allows for a variety of acceptable monitoring methods to demonstrate compliance with the NO_x emission limits. APS has elected to install, certify, maintain, and operate a continuous emission monitoring system (CEMS) consisting of a NO_x monitor and a diluent gas (either oxygen (O_2) or carbon dioxide (CO_2)) monitor to determine the hourly NO_x emission rate in parts per million (ppm) corrected to 15% O_2. The CEMS will be installed and certified according to Appendix A of 40 CFR Part 75, and the relative accuracy test audit (RATA) of the CEMS will be performed on a lb/MMBtu basis. APS is requesting Maricopa County Air Quality Department approval to satisfy the 40 CFR 60 Subpart KKKK quality assurance (QA) plan requirements by implementing the QA program and plan described in Section 1 of Appendix B to Part 75. Subpart KKKK excess emissions will be identified according to 40 CFR §60.4350 procedures.

4.1.5 SO_2 Monitoring Requirements (40 CFR § 60.4360 and § 60.4365).

Subpart KKKK allows for a variety of acceptable monitoring methods to demonstrate compliance with the SO_2 emission limits. To be exempted from fuel sulfur monitoring requirements, APS must demonstrate that the potential sulfur emissions expressed as SO_2 are less than 0.060 lb/MMBtu for continental US areas. The demonstration can be made by providing information from a current, valid purchase contract, tariff sheet or transportation contract for the fuel, specifying that the total sulfur content for natural gas use in continental areas is 20 grains of sulfur or less per 100 standard cubic feet. Because the new GTs will combust only pipeline quality natural gas with a typical SO2 emission rate of 0.0006 lb/MMBtu, this is the method that APS proposes to meet the Subpart KKKK SO_2 monitoring requirements.

4.1.6 Performance Tests (40 CFR § 60.4400).

Initial performance testing is required in accordance with 40 CFR§60.8. Subsequent performance tests must be conducted on an annual basis. As described in §60.4405, the NO_x CEMS RATA tests may be used as the initial NO_x performance test. The SO_2 performance test may be a fuel analysis of the natural gas, performed by the operator, fuel vendor, or other qualified agency (§60.4415 provides the required ASTM test methods).

4.1.7 Reporting Requirements (40 CFR § 60.4375).

For each affected unit required to continuously monitor parameters or emissions, or to periodically determine the fuel sulfur content under this subpart, reports of excess emissions and monitor downtime must be submitted in accordance with 40 CFR § 60.7(c). Excess emissions must be reported for all periods of unit operation, including start-up, shutdown, and malfunction. Paragraphs § 60.4380 and § 60.4385 describe how excess emissions are defined for Subpart KKKK.

For each affected unit that performs annual performance tests in accordance with § 60.4340(a), a written report of the results of each performance test must be submitted before the close of business on the 60th day following the completion of the performance test.

4.2 Proposed Standards of Performance for Greenhouse Gas Emissions from New Electric Utility Generating Units.

The U.S. EPA published proposed Standards of Performance for Greenhouse Gas Emissions from New Electric Utility Generating Units in the Federal Register, Vol. 79, No.5, on Jan. 8, 2014. These proposed rules include performance standards for new combustion turbines under 40 CFR 60, Subpart KKKK.

If this rule is finalized and promulgated, APS will address the applicability requirements in a permit application revision for the Project.

4.3 Federal Acid Rain Program, 40 CFR 72.6

The federal Acid Rain Program regulations in 40 CFR 72.6(a)(3)(i) state that a utility unit that is a new unit shall be an affected unit, and any source that includes such a unit shall be an affected source, subject to the requirements of the Acid Rain Program. A "utility unit" means a unit owned or operated by a utility that serves a generator in any State that produces electricity for sale. Finally, "Unit" means a fossil fuel-fired combustion device. Because the new gas turbine generators fire natural gas and produce electricity for sale, these new GTs are affected units under the federal Acid Rain Program. A copy of the Acid Rain Permit application has been submitted to EPA, and is included with this application as Appendix D.

4.4 National Emission Standards for Hazardous Air Pollutants.

Hazardous air pollutant (HAP) emissions are regulated under section 112 of the Clean Air Act. The U.S. EPA's National Emission Standards for Hazardous Air Pollutants for Stationary Combustion Turbines (NESHAP), 40 CFR Part 63, Subpart YYYY, were published on March 5, 2004. Under 40 CFR § 63.6085, "you are subject to this subpart if you own or operate a stationary combustion turbine ***located at a major source of HAP emissions***." Under 40 CFR § 63.2, Major source means:

> ***Major source*** means any stationary source or group of stationary sources located within a contiguous area and under common control that emits or has the potential to emit considering controls, in the aggregate, 10 tons per year or more of any hazardous air pollutant or 25 tons per year or more of any combination of hazardous air pollutants, unless the Administrator establishes a lesser quantity, or in the case of radionuclides, different criteria from those specified in this sentence.

Potential emissions for the proposed new GE Model LMS100 gas turbines are detailed in Table 3-4. The HAP emission factors are from the U.S. EPA's WebFIRE database. These factors are from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42*, Volume 1: Stationary Point and Area Sources, Section 3.1, Stationary Gas Turbines for Electricity Generation. Based on the emissions in Table 3-4, these gas turbines will be a minor source of HAP emissions under 40 CFR § 63.2. Please note

that the potential emissions for formaldehyde (CH_2O) emissions in Table 3-4 are based on the *uncontrolled* emission factor from the U.S. EPA's WebFIRE database.

Table 4-1 is a summary of potential HAP emissions for the existing General Electric Model 501 gas turbines. The potential emissions for these existing gas turbines are based on the operational limits for natural gas and distillate fuel oil operation as proposed in this application. Table 4-2 is a summary of the total potential HAP emissions for the Ocotillo Power Plant after the Modernization Project, based on the operational limits for the new and existing gas turbines as proposed in this application. From Table 4-2, total potential emissions of each individual HAP are less than 10 tons per year, and total potential emissions of all HAPs combined are also less than 25 tons per year. Therefore, the Ocotillo Power Plant will remain a minor source of HAP emissions after the Modernization Project and these new gas turbines will not be subject to the NESHAP requirements of 40 CFR Part 63, Subpart YYYY.

TABLE 4-1. Hazardous air pollutant (HAP) emissions for the existing gas turbines GT1 and GT2 based on the operational limits as proposed in this permit application.

POLLUTANT	CAS No.	Emission Factor lb/mmBtu	Maximum Heat Input mmBtu/hr	Potential to Emit, each turbine tons/year	Potential to Emit, both turbines combined tons/year
Acetaldehyde	75-07-0	4.0E-05	915	0.029	0.06
Acrolein	107-02-8	6.4E-06	915	0.005	0.01
Benzene	71-43-2	1.2E-05	915	0.009	0.02
Benzene	71-43-2	9.1E-07	915	0.001	0.00
1,3-Butadiene	106-99-0	4.3E-07	915	0.000	0.00
Ethylbenzene	100-41-4	3.2E-05	915	0.023	0.05
Formaldehyde	50-00-0	7.1E-04	915	0.520	1.04
Xylene	1330-20-7	6.4E-05	915	0.047	0.09
Naphthalene	91-20-3	1.3E-06	915	0.001	0.00
PAH		2.2E-06	915	0.002	0.00
Propylene oxide	75-56-9	2.9E-05	915	0.021	0.04
Toluene	108-88-3	1.3E-04	915	0.095	0.19
TOTAL				**0.75**	**1.51**

Footnotes

1. The emission factors are from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42*, Volume 1: Stationary Point and Area Sources, Section 3.1, Stationary Gas Turbines for Electricity Generation.
2. The emission factor for formaldehyde (CH2O) emissions are based on the uncontrolled factor, i.e., without the additional reduction from oxidation catalysts.
3. Potential emissions in tons per year are based on the following fuel use limit for both turbines combined of 2,928,000 MMBtu (HHV) per year

TABLE 4-2. Total hazardous air pollutant (HAP) emissions for the Ocotillo Power Plant after the Modernization Project.

POLLUTANT	CAS No.	Potential to Emit, tons per year		
		GT1-GT2	GT3-GT7	TOTAL
Acetaldehyde	75-07-0	0.059	0.376	0.435
Acrolein	107-02-8	0.009	0.060	0.070
Benzene	71-43-2	0.018	0.113	0.130
Benzene	71-43-2	0.001	0.009	0.010
1,3-Butadiene	106-99-0	0.001	0.004	0.005
Ethylbenzene	100-41-4	0.047	0.301	0.348
Formaldehyde	50-00-0	1.039	6.674	7.713
Xylene	1330-20-7	0.094	0.602	0.695
Naphthalene	91-20-3	0.002	0.012	0.014
PAH		0.003	0.021	0.024
Propylene oxide	75-56-9	0.042	0.273	0.315
Toluene	108-88-3	0.190	1.222	1.412
TOTAL		**1.51**	**9.67**	**11.17**

4.5 New Source Review (NSR)

In the Clean Air Act Amendments of 1977, Congress established two preconstruction permitting programs which are commonly referred to as New Source Review. Title I, Part C of the Act includes the PREVENTION OF SIGNIFICANT DETERIORATION OF AIR QUALITY (PSD) program. Title I, Part D of the Clean Air Act includes the PLAN REQUIREMENTS FOR NONATTAINMENT AREAS. This program is often called the Non-attainment Area New Source Review (NANSR) program.

In accordance with the delegation agreement with US EPA dated Nov 22, 1993, MCAQD administers the PSD program pursuant to requirements under 40 CFR §52.21. Therefore, the requirements of both 40 CFR §52.21 and County Rule 240 §308 are applicable to new major stationary sources and major modifications for attainment pollutants. This application is intended to meet both the requirements of 40 CFR 52.21 and County Rule 240 as applicable. The provisions of County Rule 240 §305 – 308 are applicable to new major stationary sources and major modifications at existing sources for pollutants for which the area is designated as nonattainment.

The Ocotillo Power Plant is located in the City of Tempe, Maricopa County, Arizona. The location of the power plant is currently designated nonattainment for particulate matter less than 10 microns (PM_{10}) (classification of serious) and the 1997 and 2008 8-hour ozone standards (classification of marginal). The area is designated as a maintenance area for CO. The area is designated attainment/unclassifiable for all other criteria pollutants.

4.5.1 Prevention of Significant Deterioration of Air Quality (PSD).

The PSD program applies to new major sources or major modifications to existing sources for pollutants where the area is designated attainment/unclassifiable with National Ambient Air Quality Standards (NAAQS). The PSD program requires:

1. Installation of the Best Available Control Technology (BACT) for each regulated pollutant which exceeds the significant levels.
2. An air quality analysis to demonstrate that new emissions will not cause or contribute to a violation of any applicable NAAQS or PSD increment.
3. Class I area impacts analysis.
4. An additional impacts analysis.
5. Public involvement and participation.

4.5.2 Nonattainment Area New Source Review (NANSR).

NANSR applies to new major sources or major modifications at existing sources for criteria pollutants for which the area is designated nonattainment. NANSR requirements are customized for the nonattainment area. However, all NANSR programs require:

1. Installation of the Lowest Achievable Emission Rate (LAER) for each pollutant which exceeds the significant levels in the nonattainment area.
2. Emission offsets.
3. Alternatives Analysis
4. Public involvement and participation.

4.6 Major New Source Review (NSR) Applicability.

The New Source Review (NSR) programs are applicable to new major stationary sources and major modifications at existing sources. Because the existing Ocotillo Power Plant is a fossil fuel-fired steam electric plant with a heat input of more than 250 million Btu per hour, the major source thresholds under the PSD program are 100 tons per year of any pollutant (other than GHG emissions) and 100,000 tons per year of GHG emissions. Note that after the Ocotillo Modernization Project, the electrical generating units will consist of only simple-cycle gas turbines, and Ocotillo therefore will no longer be classified as a steam electric plant. Therefore, after the Project is completed, the major source thresholds under the PSD program will be 250 tons per year of any pollutant and 100,000 tons per year of GHG emissions. However, the Ocotillo Power Plant GHG emissions, both before and after the Project, will be greater than the major source threshold, and therefore the facility will continue to be classified as a major source with respect to the PSD rules.

The location of the Ocotillo Power Plant is currently classified as a serious nonattainment area for particulate matter equal to or less than 10 microns (PM_{10}), and is also classified as a marginal nonattainment area for ozone. The regulated pollutant for PM_{10} non-attainment areas is PM_{10}; the regulated pollutants for ozone nonattainment areas include NO_X and VOC emissions. The major source threshold levels under Maricopa County Rule 240, section 210.1 for stationary sources located in a nonattainment area are:

210.1 *Any stationary source located in a nonattainment area that emits, or has the potential to emit, 100 tons per year or more of any conventional air pollutant, except as follows:*

Pollutant Emitted	***Nonattainment Pollutant And Classification***	***Quantity Threshold Tons/Year Or More***
Carbon Monoxide (CO)	*CO, Serious, with stationary sources as more than 25% of source inventory*	*50*
Volatile Organic Compounds (VOC)	*Ozone, Serious*	*50*
VOC	*Ozone, Severe*	*25*
PM_{10}	*PM_{10}, Serious*	*70*
NO_X	*Ozone, Serious*	*50*
NO_X	*Ozone, Severe*	*25*

210.8 *A major source that is major for oxides of nitrogen shall be considered major for ozone in nonattainment areas classified as marginal, moderate, serious or severe.*

From the above, the major source threshold in serious nonattainment areas for PM_{10} is 70 tons per year, and the major source threshold for the ozone nonattainment area pollutants (NO_X and VOC emissions) is 100 tons per year.

Because the current potential PM_{10} and NO_x emissions from the Ocotillo Power Plant are greater than the nonattainment major stationary source thresholds, the Ocotillo Power Plant is an existing major stationary source for PM_{10} and ozone under the NANSR program. ***However, with this application, APS is proposing a plant-wide emission cap in accordance with County Rule 201, (EMISSION CAPS) which limits the total potential emissions for the entire Ocotillo Power Plant below the major source threshold level of 70 tons per year for PM_{10} emissions.*** Therefore, the Project will not be subject to the NANSR or PSD programs for PM_{10} emissions.

4.6.1 Two-steps for determining NANSR and PSD applicability for modifications.

Determining the applicability of NANSR and PSD for modifications at an existing stationary major source is a two-step process in accordance with the provisions in 40 CFR § 52.21(a)(2)(iv)(a):

> (*a*) Except as otherwise provided in paragraphs (a)(2)(v) and (vi) of this section, and consistent with the definition of major modification contained in paragraph (b)(2) of this section, a project is a major modification for a regulated NSR pollutant if it causes two types of emissions increases—**a significant emissions increase** (as defined in paragraph (b)(40) of this section), and a **significant net emissions increase** (as defined in paragraphs (b)(3) and (b)(23) of this section). The project is not a major modification if it does not cause a significant emissions increase. If the project causes a significant emissions increase, then the project is a major modification only if it also results in a significant net emissions increase.

4.6.1.1 STEP 1: Project emission increases.

The first step is the calculation of the project emission increases in accordance with the methods specified in 40 CFR § 52.21(a)(2)(iv)(b) – (d). If the project emissions increase is less than the regulated NSR pollutant significant emission rate in 40 CFR § 52.21(b)(23)(i) and County Rule 100 §200.99, then the project is not a major modification and is not subject to review for that pollutant. The significant emission rates are summarized below. If the project causes a significant emissions increase, then the project is a major modification **only** if it also results in a significant net emissions increase.

NANSR and PSD significant emission rates for the Ocotillo Power Plant, tons per year.

Pollutant	PSD Significant Threshold
Carbon Monoxide	100
Nitrogen Oxides	40
Particulate Matter	25
PM_{10}	15
$PM_{2.5}$	10
Sulfur Dioxide	40
VOC	40
Lead	0.6
Fluorides (as HF)	3
Sulfuric Acid Mist	7
Greenhouse Gases	75,000*

*The threshold for determining whether GHGs are "subject to regulation" is pursuant to 40 CFR 52.21(b)(49).

4.6.1.2 STEP 2: Net Emissions Increase.

In accordance with 40 CFR § 52.21(a)(2)(iv)(a), if the project causes a significant emissions increase, then the project is a major modification only if it also results in a significant net emissions increase. This second step in determining PSD applicability is commonly called *netting*. Netting involves accounting for source-wide contemporaneous and creditable emissions increases and decreases to demonstrate that the total changes to emissions at the source will not result in a significant net emission increase for that pollutant. *Net emissions increase* in 40 CFR § 52.21(b)(3)(i) and County Rule 100 § 200.66 means the amount by which the sum of the following exceeds zero:

(1) Any increase in actual emissions from a particular physical change or change in the method of operation at a stationary source; and

(2) Any other increases and decreases in actual emissions at the source that are contemporaneous with the particular change and are otherwise creditable.

An increase or decrease in actual emissions is contemporaneous with the increase from the particular change only if it occurs between: 1) the date five years before construction on the particular change commences, and 2) The date that the increase from the particular change occurs.

With this application, APS is proposing to permanently retire the existing Ocotillo steam electric generating units 1 and 2 before commencing commercial operation of the proposed new gas turbines. The PSD and NANSR applicability determinations in this permit application are therefore based on the net emissions increases for this Project, considering the contemporaneous decreases in emissions from the permanent shutdown of the Ocotillo Steamers Units 1 and 2 which have been netted against the increase in emissions from the proposed new emissions units.

4.6.2 STEP 1: Project emission increases.

The Ocotillo Power Plant Modernization Project will involve the construction of five (5) new gas turbines, a cooling tower, and other associated equipment. The first step in determining NANSR and PSD applicability for this Project is the calculation of the project emissions increases in accordance with the applicability procedures specified in 40 CFR § 52.21(a)(2)(iv)(d):

> (*d*) *Actual-to-potential test for projects that only involve construction of a new emissions unit(s).* A significant emissions increase of a regulated NSR pollutant is projected to occur if the sum of the difference between the potential to emit (as defined in paragraph (b)(4) of this section) from each new emissions unit following completion of the project and the baseline actual emissions (as defined in paragraph (b)(48)(iii) of this section) of these units before the project equals or exceeds the significant amount for that pollutant (as defined in paragraph (b)(23) of this section).

The total potential emissions for the Ocotillo Power Plant Modernization Project are compared to the NANSR and PSD significant emission rates in Table 4-4. If the project emission increase is less than the PSD pollutant significant emission rates in 40 CFR § 52.21(b)(23)(i), then the project is not a major modification and is not subject to PSD review for that pollutant. From Table 4-4, the Project will not result in a significant emissions increase for sulfur dioxide (SO_2), sulfuric acid mist (H_2SO_4), and fluorides. Therefore, the Project is not a major modification for these pollutants.

TABLE 4-4. Project emissions compared to the significant levels for the Ocotillo Modernization Project. All emissions in tons per year.

POLLUTANT		New Project Emissions	PSD/NANSR Significant Level	Over?
Carbon Monoxide	CO	239.2	100	YES
Nitrogen Oxides	NO_X	125.5	40	YES
Particulate Matter	PM	63.0	25	YES
Particulate Matter	PM_{10}	57.5	15	YES
Particulate Matter	$PM_{2.5}$	56.4	10	YES
Sulfur Dioxide	SO_2	5.9	40	NO
Volatile Organic Cmpds	VOC	43.1	40	YES
Sulfuric Acid Mist	H_2SO_4	0.6	7	NO
Fluorides (as HF)	HF	0.0	3	NO
Lead	Pb	0.0	0.6	NO
Carbon Dioxide	CO_2	1,098,675	75,000	YES
Greenhouse Gases	CO_2e	1,099,753	75,000	YES

4.6.3 STEP 2: Contemporaneous decreases in emissions from the permanent shutdown of the Ocotillo Steamers Units 1 and 2.

In accordance with 40 CFR § 52.21(a)(2)(iv)(a), if the project causes a significant emissions increase, then the project is a major modification only if it also results in a significant net emissions increase. This second step results in the calculation of a net emissions increase.

4.6.3.1 Baseline Actual Emissions.

Under the definition of *net emissions increase* in 40 CFR § 52.21(b)(3)(i)(b), *baseline actual emissions* for calculating increases and decreases shall be determined as provided in 40 CFR § 52.21(b)(48), except that paragraphs (b)(48)(i)(c) and (b)(48)(ii)(d) of this section shall not apply. Under 40 CFR § 52.21(b)(48), for any existing electric utility steam generating unit baseline actual emissions means the average rate, in tons per year, at which the unit actually emitted the pollutant during any consecutive 24-month period selected by the owner or operator within the 5-year period immediately preceding when the owner or operator begins actual construction of the project.

Note that County Rule 240 § 305.7 states that "A decrease in actual emissions shall be considered in determining the potential of a new source or modification to emit only to the extent that the Control Officer has not relied on it in issuing any permit or permit revision under these rules, or the State has not relied on it in demonstrating attainment or reasonable further progress." Under County Rule 100 § 200.3, actual emissions means "the average rate, in tons per year, at which the emissions unit actually emitted the pollutant during a 2-year period that precedes the particular date and that is representative of normal source operation. The Control Officer may allow the use of a different time period upon a demonstration that it is more representative of normal source operation." In this NANSR/PSD applicability analysis, the baseline period for all pollutants is the 24-month period from March 2012 to February 2014, which meets the definition of both *baseline actual emissions* and *actual emissions*.

The baseline actual emissions for the Unit 1 and 2 steamers and associated cooling towers are presented in Appendix E, and summarized in Tables 4-5, 4-6, 4-7, and 4-8. The NO_x and CO_2 baseline actual emissions and the unit heat input expressed in MMBtu are based on the data from the Acid Rain Program CEMS. PM, PM_{10}, and $PM_{2.5}$ emissions are based on the heat input from the CEMS, and measured emission rates from stack tests. All PM emissions are also assumed to be PM_{10} and $PM_{2.5}$ emissions. All other baseline actual emissions are based on the heat input from the CEMS, and AP-42 emission factors.

4.6.4 Calculation of the Net Emissions Increase for the Project.

For the Ocotillo Power Plant Modernization Project, the calculation of a net emission increase as defined in 40 CFR § 52.21(b)(3)(i) means the amount by which the sum of the following exceeds zero:

(a) The increase in Project emissions; and
(b) Decreases in actual emissions from the Unit 1 and 2 steamers.

These are the only contemporaneous and creditable changes at the Ocotillo Power Plant. Because APS is proposing to permanently shut down the existing Unit 1 and 2 steamers and associated cooling towers prior to the initial operation of the new Project emissions units, the creditable decrease in actual emissions is equal to the baseline actual emissions for these emission units.

Table 4-9 is a calculation of the net emissions increase for the Ocotillo Power Plant Modernization Project. From Table 4-9, the Project will result in a significant emissions increase and a significant net emissions increase in carbon monoxide (CO), PM, PM_{10}, $PM_{2.5}$, and greenhouse gas (GHG) emissions.

TABLE 4-5. Baseline actual emissions for the Ocotillo Power Plant Steamer Unit 1.

POLLUTANT		Baseline Heat Input mmBtu	Baseline Emission Rate lb/mmBtu	Baseline Actual Emissions ton/year
Carbon Monoxide	CO	609,861	0.0235	7.2
Nitrogen Oxides	NO_X	609,861	0.133	40.7
Particulate Matter	PM	609,861	0.0075	2.3
Particulate Matter	PM_{10}	609,861	0.0075	2.3
Particulate Matter	$PM_{2.5}$	609,861	0.0075	2.3
Sulfur Dioxide	SO_2	609,861	0.0006	0.2
Volatile Organic Cmpds	VOC	609,861	0.0055	1.7
Sulfuric Acid Mist	H_2SO_4	609,861	0.0000006	0.0002
Fluorides (as HF)	HF	609,861	0.0	0.0
Lead	Pb	609,861	0.0000005	0.0002
Carbon Dioxide	CO_2	609,861	118.9	36,243.5
Greenhouse Gases	CO_2e	609,861	119.0	36,279.0

TABLE 4-6. Baseline actual emissions for the Ocotillo Power Plant Steamer Unit 2.

POLLUTANT		Baseline Heat Input mmBtu	Baseline Emission Rate lb/mmBtu	Baseline Actual Emissions ton/year
Carbon Monoxide	CO	634,840	0.0235	7.5
Nitrogen Oxides	NO_X	634,840	0.142	45.2
Particulate Matter	PM	634,840	0.0075	2.4
Particulate Matter	PM_{10}	634,840	0.0075	2.4
Particulate Matter	$PM_{2.5}$	634,840	0.0075	2.4
Sulfur Dioxide	SO_2	634,840	0.0006	0.2
Volatile Organic Cmpds	VOC	634,840	0.0055	1.7
Sulfuric Acid Mist	H_2SO_4	634,840	0.0000006	0.0002
Fluorides (as HF)	HF	634,840	0.0	0.0
Lead	Pb	634,840	0.0000005	0.0002
Carbon Dioxide	CO_2	634,840	118.9	37,728.2
Greenhouse Gases	CO_2e	634,840	119.0	37,766.2

Footnotes for Tables 4-5 and 4-6

1. The baseline period for all pollutants is the 24-month period from March 2012 to February 2014.

TABLE 4-7. Total baseline actual emissions for the Ocotillo Power Plant Steamer Units 1 and 2.

POLLUTANT		Baseline Heat Input mmBtu	Baseline Emission Rate lb/mmBtu	Baseline Actual Emissions ton/year
Carbon Monoxide	CO	1,244,701	0.0235	14.6
Nitrogen Oxides	NO_X	1,244,701	0.138	85.9
Particulate Matter	PM	1,244,701	0.0075	4.6
Particulate Matter	PM_{10}	1,244,701	0.0075	4.6
Particulate Matter	$PM_{2.5}$	1,244,701	0.0075	4.6
Sulfur Dioxide	SO_2	1,244,701	0.0006	0.4
Volatile Organic Cmpds	VOC	1,244,701	0.0055	3.4
Sulfuric Acid Mist	H_2SO_4	1,244,701	0.0000006	0.0004
Fluorides (as HF)	HF	1,244,701	0.000000	0.0000
Lead	Pb	1,244,701	0.0000005	0.0003
Carbon Dioxide	CO_2	1,244,701	118.9	73,971.7
Greenhouse Gases	CO_2e	1,244,701	119.0	74,045.1

TABLE 4-8. Total baseline actual emissions for the Ocotillo Power Plant Steamer Units 1 and 2 and the associated cooling towers.

POLLUTANT		Unit 1 ton/year	Unit 2 ton/year	Cooling Towers ton/year	Baseline Actual Emissions ton/year
Carbon Monoxide	CO	7.2	7.5		14.6
Nitrogen Oxides	NO_X	40.7	45.2		85.9
Particulate Matter	PM	2.3	2.4	6.7	11.4
Particulate Matter	PM_{10}	2.3	2.4	2.1	6.8
Particulate Matter	$PM_{2.5}$	2.3	2.4	1.3	5.9
Sulfur Dioxide	SO_2	0.2	0.2		0.4
Volatile Organic Cmpds	VOC	1.7	1.7		3.4
Sulfuric Acid Mist	H_2SO_4	0.00018	0.00019		0.0004
Fluorides (as HF)	HF	0.00000	0.00000		0.0000
Lead	Pb	0.00015	0.00016		0.0003
Carbon Dioxide	CO_2	36,243.5	37,728.2		73,971.7
Greenhouse Gases	CO_2e	36,279.0	37,766.2		74,045.1

TABLE 4-9. Net emissions increase and PSD applicability. All emissions are tons per year.

POLLUTANT		New Project Emissions	Creditable Emission Decreases	Net Emission Increase	Significant Level	Over?
Carbon Monoxide	CO	239.2	14.6	224.6	100	**YES**
Nitrogen Oxides	NO_X	125.5	85.9	39.6	40	**NO**
Particulate Matter	PM	63.0	11.4	51.6	25	**YES**
Particulate Matter	PM_{10}	57.5	6.8	50.7	15	**YES**
Particulate Matter	$PM_{2.5}$	56.4	5.9	50.5	10	**YES**
Sulfur Dioxide	SO_2	5.9	0.4	5.5	40	**NO**
Volatile Organic Cmpds	VOC	43.1	3.4	39.7	40	**NO**
Sulfuric Acid Mist	H_2SO_4	0.6	0.0	0.6	7	**NO**
Fluorides (as HF)	HF	0.000	0.0	0.0	3	**NO**
Lead	Pb	0.005	0.000	0.005	0.6	**NO**
Carbon Dioxide	CO_2	1,098,675	73,972	1,024,703	75,000	**YES**
Greenhouse Gases	CO_2e	1,099,753	74,045	1,025,708	75,000	**YES**

Footnotes

1. In accordance with 40 CFR § 52.21(i)(2), since the area is nonattainment for ozone and PM_{10}, PSD does not apply to PM_{10} and VOC emissions.

4.6.5 Conclusions Regarding PSD Applicability.

Based on the total potential emissions for the Ocotillo Power Plant Modernization Project as proposed in this application, the Project will not result in a significant emissions increase for sulfur dioxide (SO_2), sulfuric acid mist (H_2SO_4), and fluorides. The project emission increases exceed the PSD significant increase levels for nitrogen oxides (NO_X), carbon monoxide (CO), volatile organic compounds (VOC), particulate matter (PM), $PM_{2.5}$, and greenhouse gas (GHG) emissions. <u>However, based on the proposed permanent shutdown and retirement of the Ocotillo Steamer Units 1 and 2, the Project will result in a significant net emissions increase only for carbon monoxide (CO), PM, $PM_{2.5}$, and greenhouse gas (GHG) emissions.</u> The Project will not result in a significant net emissions increase for nitrogen oxides (NO_X), SO_2, VOC, sulfuric acid mist, and fluoride emissions, and the Project does not trigger PSD review for these pollutants. Finally, because the Ocotillo Power Plant is located in an area designated as nonattainment for PM_{10} emissions, the Project is not subject to PSD review for PM_{10} emissions.

4.6.6 Conclusions Regarding Nonattainment Area New Source Review Applicability.

As noted above, APS is proposing a PM_{10} emission cap in accordance with County Rule 201 for the existing gas turbines which, in combination with the proposed limits for the new emissions units, will limit the total potential emissions for the entire Ocotillo Power Plant below the major source threshold level of 70 tons per year for PM_{10} emissions.

Note that in accordance with Rule 240, section 210.8, for NANSR applicability purposes, the net emissions increase for NO_X and VOC emissions must also be less than the significant increase level of 40 tons per year for the Project to not be subject to NANSR requirements. As shown in Table 4-8, the net emissions increase for NO_X and VOC emissions for the Project are less than the significant increase level of 40 tons per year for each pollutant.

Based on the proposed emission limits in this permit application, this Project is not subject to review for any nonattainment area pollutants.

4.7 Minor NSR Requirements.

Based on the proposed limits in this application, the Project will not result in a significant net emissions increase for NO_X or VOC emissions. Therefore, the Project is not subject to the PSD program. However, Maricopa County's Air Pollution Control Regulations, Rule 241, Section 301.1, requires the application of BACT to any new stationary source which emits more than 150 lbs/day or 25 tons/yr of NO_X or VOC emissions. Because the GTs would have maximum annual NO_X and VOC emissions which exceed these thresholds, this air pollution control construction permit application includes BACT analyses for NO_X and VOC emissions. These analyses are included in Appendix B of this application.

4.8 Title V Revision.

The proposed Ocotillo Modernization Project meets the criteria for requiring a Significant Permit Revision as described in Rule 210 section 406. Therefore, this permit application includes all information required by Rule 210, Section 406, Rule 240 and other applicable Maricopa Rules.

4.9 Other Applicable Maricopa County Air Regulations.

Rule 245 contains continuous monitoring requirements for various sources, including fossil fuel-fired steam generators. However, in Subsection 306.1, sources are exempted from the requirements if they are subject to an NSPS, which is the case for the GTs that comprise the proposed project. Therefore, Rule 245 is not applicable (Rule 245 monitoring requirements are effectively subsumed into the applicable NSPS and Acid Rain monitoring requirements).

Performance and compliance testing requirements are contained in Rule 270. The rule establishes the requirements for testing criteria, conditions, and facilities, as well as reporting of performance test results. The Maricopa County Control Officer has the authority to require testing in accordance with Rule 270, and so these provisions may be an applicable requirement in the permit.

Rule 300 requirements apply to visible emissions resulting from the discharge of any air contaminant with certain exceptions (i.e., except for visible emissions from start-up, shutdown, or unavoidable combustion irregularities as described in section 302.1). The applicable opacity limit is 20%. Rule 300 also contains opacity compliance monitoring provisions.

Rule 311 establishes PM emissions limits for process industries. Section 304 of Rule 311 contains specific PM emission limitations for fuel burning operations, which are applicable to the proposed project. The proposed emission limits are below the Rule 311 limitations. Rule 311 has provisions for Operation and Maintenance (O&M) plans at section 306. Since an approved emission control system is not required for particulate matter emissions from any unit that is part of the proposed project, these O&M requirements are not applicable. The recordkeeping and reporting requirements of Rule 311 are listed in section 502. Since an approved emission control system is not required for particulate matter emissions, the only applicable recordkeeping requirement is to maintain records of the total amount of fuel used on a daily basis.

Rule 322 establishes emissions limits for power plants. The proposed emission limits in this permit application and proposed monitoring and recordkeeping comply with Rule 322 requirements.

Compliance Assurance Monitoring (CAM) requirements, implementing the enhanced monitoring mandate in Section 114(a)(3) of the Clean Air Act, are codified at 40 CFR Part 64. APS is proposing to install CEMS both for CO and for NOx. The CO CEMS will meet the requirements set forth at 40 CFR 60.13; the NOx CEMS will meet the requirements set forth at 40 CFR Part 75. Thus, as specified at Section 64.3(d)(2) of the CAM rule, these CEMS will satisfy the monitoring design requirements in the CAM rule.

Chapter 5. Proposed Control Technologies and Emission Limits.

Appendix B of this permit application presents the control technology analysis for the proposed simple-cycle GTs and the hybrid cooling tower. The analyses address both the BACT requirements under the PSD rules, as well as the "County BACT" analysis required under Maricopa County Air Pollution Control Regulations, Rule 241, Section 301.1.

For the PSD BACT analysis for the pollutants CO, PM, $PM_{2.5}$, and GHG, the "top-down" approach was used as recommended by EPA. This method evaluates progressively less stringent control technologies until a level of control considered BACT is reached, based on the environmental, energy, and economic impacts. The five steps of a top-down BACT analysis are:

1. Identify all available control technologies with practical potential for application to the emission unit and regulated pollutant under evaluation;
2. Eliminate all technically infeasible control technologies;
3. Rank remaining control technologies by effectiveness and tabulate a control hierarchy;
4. Evaluate most effective controls and document results; and
5. Select BACT, which will be the most effective practical option not rejected, based on economic, environmental, and/or energy impacts.

The Maricopa County BACT analysis for the pollutants NO_X and VOC was performed in accordance with the Air Quality Department's memorandum "REQUIREMENTS, PROCEDURES AND GUIDANCE IN SELECTING BACT and RACT", revised July, 2010. In Section 8 of that memorandum, the guidance states: "To streamline the BACT selection process, the Department will accept a BACT control technology for the same category of industry as listed by the South Coast Air Quality Management District (SCAQMD), SJVACD, or the BAAQMD, or other regulatory agencies accepted by the Department as a viable alternative. Sources who opt to select control technology for the same or similar source category accepted by the air quality management districts in California may forgo the top-down analysis described above." Based on this guidance, the Ocotillo control technology analysis considered recent NO_X and VOC BACT determinations in California for similar simple-cycle gas turbines.

Table 5-1 summarizes the proposed BACT emission limits that are described in Appendix B of this permit application. These BACT emissions will be achieved through the use of high efficiency simple-cycle gas turbines, good combustion practices, water injection in combination with selective catalytic reduction (SCR), oxidation catalysts, and combustion of pipeline quality natural gas.

TABLE 5-1. BACT Emission Limits for the Ocotillo Modernization Project.

Pollutant	PSD or County BACT Requirement	Proposed BACT Emission Limit
Carbon Monoxide (CO)	PSD BACT	6.0 ppmdv at 15% O_2, based on a 3-hour average.
Nitrogen Oxides (NO_X)	County BACT	2.5 ppmdv at 15% O_2, based on a 3-hour average.
Particulate Matter PM and $PM_{2.5}$	PSD BACT	5.4 pounds per hour, combined filterable and condensable.
Volatile Organic Compounds (VOC)	County BACT	2 ppmdv at 15% O_2, based on a 3-hour average.
Greenhouse Gases (CO_2e)	PSD BACT	1. Achieve an initial heat rate of no more than 8,742 Btu/kWhr of gross electric output at 100% load. 2. 1,690 lb CO_2/MWh of gross electric output, based on a 12-month rolling average. 3. Prepare and follow a Maintenance Plan.

Chapter 6. Dispersion Modeling Analysis.

The Ocotillo Power Plant is located at 1500 East University Drive, Tempe Arizona, 85281, in Maricopa County. The plant latitude is 33.425 and longitude is 111.909 at a base elevation of 1,175 feet above mean sea level (AMSL). The Plant consists of two steam boiler generating units and two simple cycle gas turbine generators (GTs). APS is planning to install five (5) new natural gas-fired GE Model LMS100 simple cycle GTs and associated equipment at the Ocotillo Power Plant. As part of the Project, APS plans to retire the existing Ocotillo steam electric generating units 1 and 2 before commencing operation of the proposed new GTs. Figure 6-1 is an aerial photograph of the existing facility, and Figure 6-2 shows the general layout of the proposed emission units and structures relative to Universal Transverse Mercator (UTM) coordinates.

As part of this Title V and PSD construction permit application, a PSD air quality dispersion modeling analysis has been prepared for the two pollutants that trigger PSD review modeling requirements, carbon monoxide (CO) and particulate matter less than 2.5 microns ($PM_{2.5}$). This analysis demonstrates that the Project does not result in an air quality impact above the Significant Impact Levels (SILs), and therefore does not cause or contribute to an exceedance of any National Ambient Air Quality Standards (NAAQS) or PSD increment. The National Air Quality Standards (NAAQS), Class II PSD increments, and Class II Significant Impact Levels ("SILs") are summarized in Table 6-1.

This section of the permit application is a combined modeling protocol and report, which presents the data and procedures used for the air quality analyses and the results and conclusions. The procedures used for all air quality impact analyses were consistent with relevant EPA and Maricopa County guidance. This air quality analysis section presents an overview of the modeling procedures used, discusses the EPA approved near-field dispersion model, the meteorological data processing procedures, the development of the receptor network, the Good Engineering Practice (GEP) stack height analysis and generation of building downwash parameters for the facility, and the emissions and stack parameter data that were modeled. It also presents the dispersion modeling results, and compares them to the SILs, and if necessary the NAAQS and PSD increments.

FIGURE 6-1. Existing Ocotillo Generating Station Layout.

FIGURE 6-2. General Layout of Proposed Project Emission Units.

The proposed GTs are shown on western side of the property (the current oil storage tanks will be removed), and the proposed third cooling tower is shown to the east of the GTs.

TABLE 6-1. Significant Impact Levels, NAAQS, and PSD Class II Increments, µg/m3.

Pollutant	Averaging Period	Class II SIL	NAAQS	PSD Class II Increment
Carbon Monoxide (CO)	8-hour	500	10,000	n/a
	1-hour	2000	40,000	n/a
Particulate Matter ($PM_{2.5}$)	Annual	0.3	15	4
	24-hour	1.2	35	9

6.1 General Modeling Procedures.

EPA guidance for performing air quality analyses is described in Chapter C of EPA's "New Source Review Workshop Manual", Draft - October 1990, in EPA's "Guideline on Air Quality Models", 40 C.F.R. Part 51, Appendix W (herein referred to as Appendix W), in EPA's "AERMOD Users Guide" and related addendums, and in EPA's "AERMOD Implementation Guide", updated March 19, 2009. In addition, EPA has developed updated $PM_{2.5}$ analysis guidance and specific 1-hr NO_2 and SO_2 NAAQS modeling analysis guidance. All procedures used for the Ocotillo air quality impact analyses are consistent with this EPA and DNR guidance. Air modeling analyses are typically conducted in two steps: a "project-only" significant impact analysis, and if required a cumulative impact or "full" analysis. The significant impact analysis first estimates ambient impacts resulting from emissions from only the proposed Project, and only for those pollutants with Project emission increases above the PSD Significant Emission Rates. When the maximum ambient concentrations of a pollutant are below the Significant Impact Level ("SIL") for all averaging periods, the emissions from the proposed source are not expected to have a significant impact on ambient air concentrations and further air quality analysis is not required for that pollutant and averaging interval.

Because the Project net emissions of the $PM_{2.5}$ precursor pollutants SO_2 and NO_x are below the PSD Significant Emission Rates, in accordance with EPA's March 2014 "Guidance for $PM_{2.5}$ Permit Modeling" only the direct $PM_{2.5}$ emissions need to be modeled for the Project's air quality impact analysis. In addition, because it is unlikely that any other sources have triggered the $PM_{2.5}$ PSD increment date in the area and consumed increment, there is sufficient "headroom" for the SIL to be protective of the PSD increment.

6.2 Dispersion Model Selection.

There are two levels of sophistication of atmospheric dispersion computer models that can be used for the air quality analysis within 50 km of a facility (i.e., a "near-field" modeling analysis). The first level consists of "screening" models, such as EPA's SCREEN3 model, that conservatively estimate ambient impacts from the modeled source. The second level is referred to as "refined" models. These models,

such as EPA's AERMOD model, require more detailed and precise input data, including representative hourly meteorological data, and result in more accurate estimates of the source ambient air impacts.

The AERMOD model (version 14134) was used for the air quality analyses, with the regulatory default option set. AERMOD is a steady-state plume dispersion model that simulates transport and dispersion from multiple point, area, or volume sources based on an up-to-date characterization of the atmospheric boundary layer. AERMOD uses Gaussian distributions in the vertical and horizontal for stable conditions, and in the horizontal for convective conditions; the vertical distribution for convective conditions is based on a bi-Gaussian probability density function of the vertical velocity. For elevated terrain AERMOD incorporates the concept of the critical dividing streamline height, in which flow below this height remains horizontal, and flow above this height rises up and over terrain. AERMOD also uses the advanced PRIME algorithm to account for building wake effects.

The regulatory DFAULT option requires the use of terrain elevation data, stack-tip downwash, sequential date checking, and does not permit the use of the model in the SCREEN mode. In the regulatory default mode, pollutant half-life or decay options will not be employed. These regulatory default options will be employed for this AERMOD analysis.

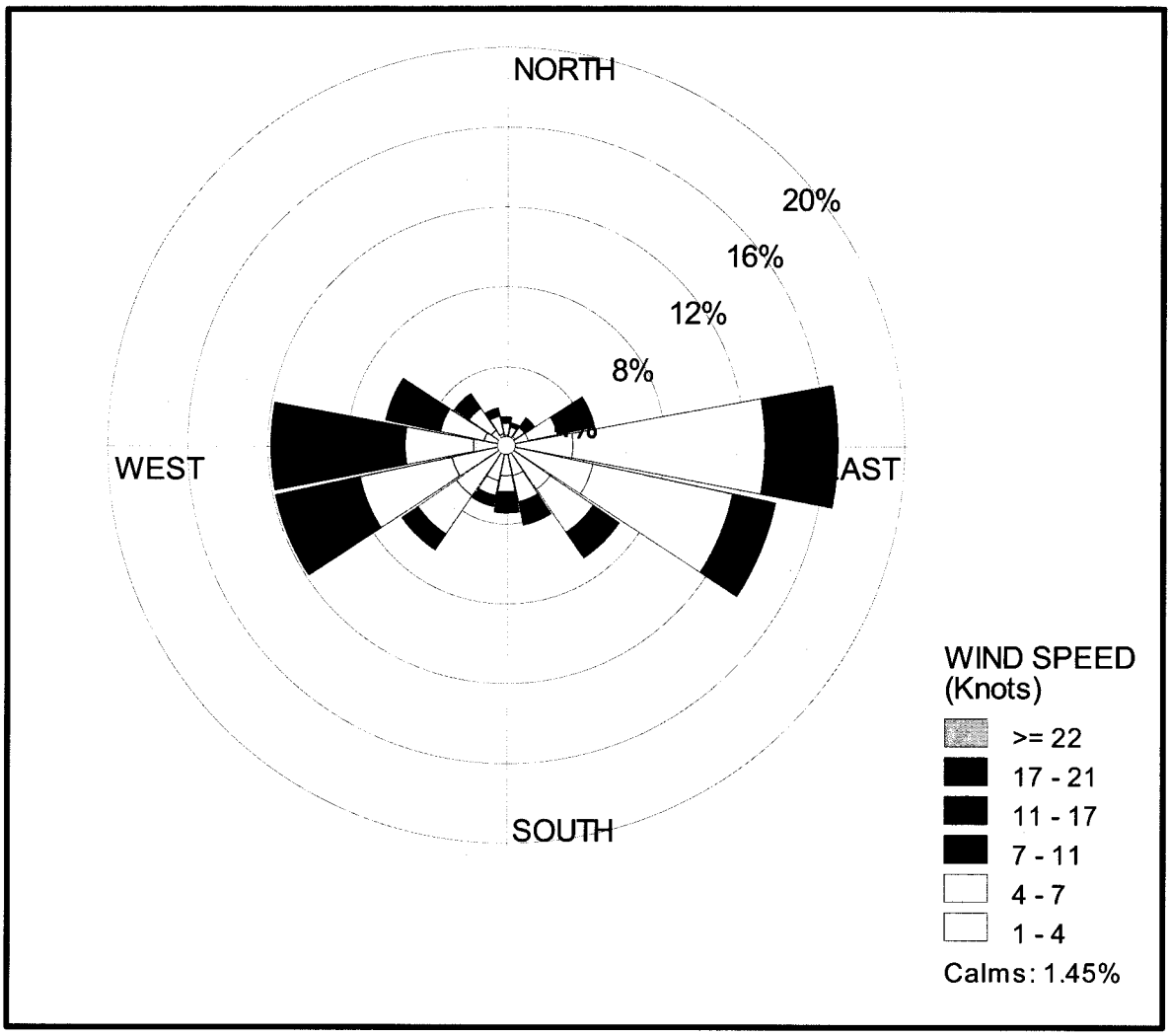
AERMOD incorporates both rural and urban processing options, which affect the dispersion rates used in calculating ground-level pollutant concentrations. Based on discussions with Maricopa County staff and a land use analysis, it has been determined that the AERMOD analysis will use the default rural dispersion algorithm option.

6.3 Meteorological Data.

Meteorological data from the Phoenix Sky Harbor airport for the 5-year period 2009 through 2013 was used along with upper air data from Tucson to generate the AERMOD input data. The Sky Harbor airport data was acquired in both the Integrated Surface Hourly (ISH) data format, as well the National Climactic Data Center two-minute averaged wind speed and direction ASOS format. EPAs AERMINUTE program was first used to process the minute data. AERMET version 14134 Stage 1 processing was performed, along with Stage 2 merging of the data sets. AERSURFACE was run to calculate surface characteristic, using dry conditions and "no snow" winter time conditions. Stage 3 final AERMET processing was then performed.

The overall data capture rate for the 5 year period is 95.7%, which meets EPA recommendations in Appendix W. Figure 6-3 presents the wind rose for this 5 year meteorological data set.

FIGURE 6-3. Wind Rose for Meteorological Data.



6.4 Receptor Data.

The latest version of the AERMAP (version 11103) program was used to develop the model receptor grids. USGS National Elevation Data (NED) at 1/3 Arc Second resolution was used as the elevation data source for the AERMAP processing. The selection of appropriate receptor locations is an important aspect of the dispersion modeling analyses, because the model estimates pollutant concentrations only at receptor locations.

The main receptor network used for the air modeling consisted of 8,638 receptors based on "discrete" rectangular grids (with UTM "x–y" coordinates and receptor "z" elevations above mean sea level [msl]) centered on the project as follows:

- 25-meter spaced grid on the facility boundary,
- 50-meter spaced grid to a distance of 150 meters in all directions,
- 100-meter spaced grid from 150 meters out to a distance of 1 km in all directions,
- 250-meter spaced grid from 1 km out to a distance of 2.5 km in all directions,
- 500-meter spaced grid from 2.5 km out to a distance of 5 km in all directions,
- 1000-meter spaced grid from 5 km out to a distance of 15 km in all directions.

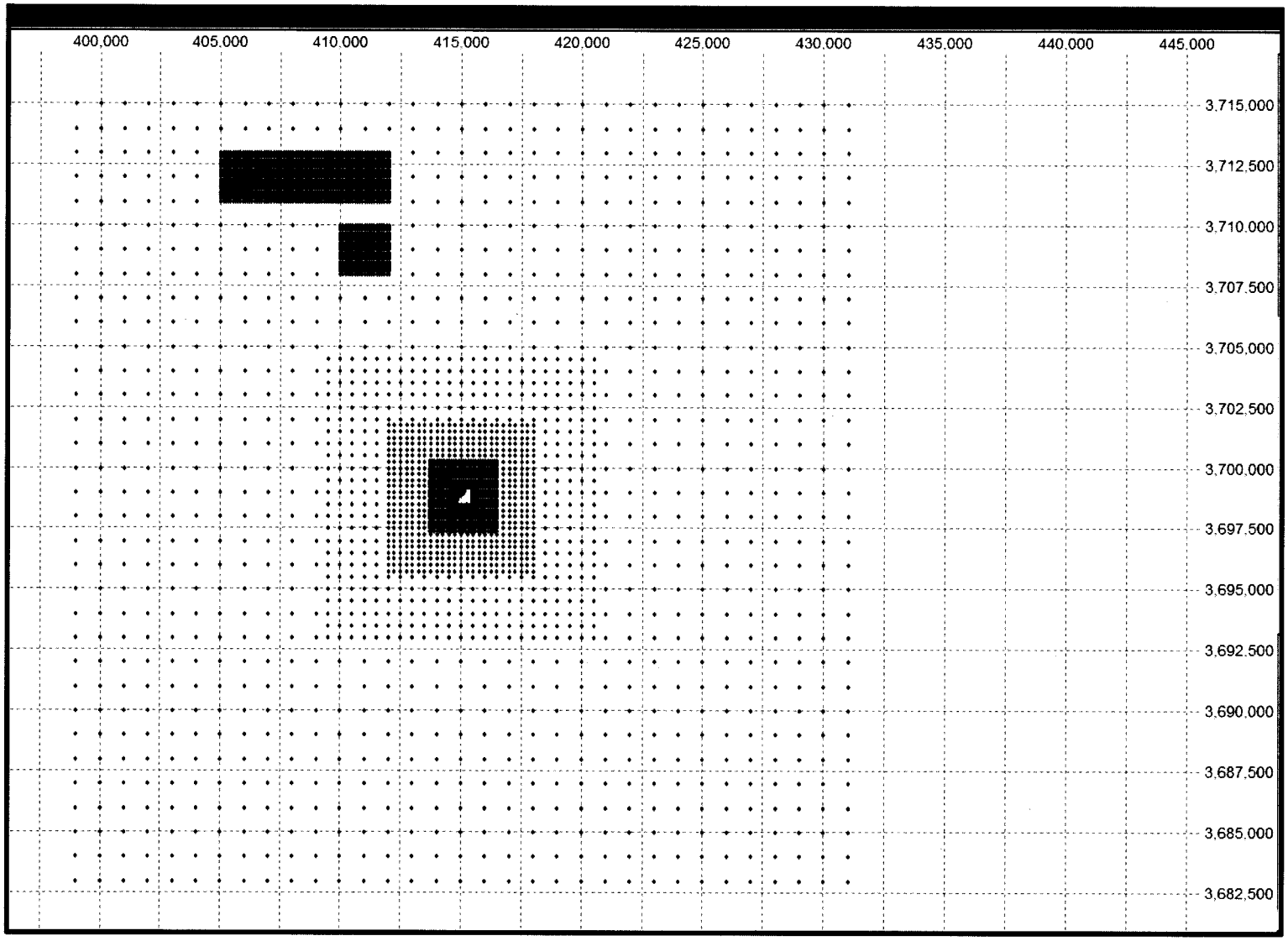
These rectangular grids were supplemented by 100 meter spaced grids at Camelback Mountain, a prominent terrain feature located to the north-northwest of the Ocotillo plant. Figures 6-4 and 6-5 present views of the main and close-in receptor grids.

6.5 Building Downwash Effects.

AERMOD can account for building downwash effects. The stack location, stack height, and structure locations and dimensions at the Project were input to EPA's "Building Profile Input Program – PRIME" (BPIP-PRIME) computer program. BPIP-PRIME processes this data in two steps. The first step determines and reports on whether or not a stack meets Good Engineering Practice (GEP) requirements and is subject to wake effects from a structure or structures. The second step calculated the "equivalent building dimensions" if a stack is influenced by structure wake effects in a format that is accepted by AERMOD. Since some stacks at the Project are influenced by wake effects, the BPIP-PRIME output for those stacks were input to the AERMOD model input file.

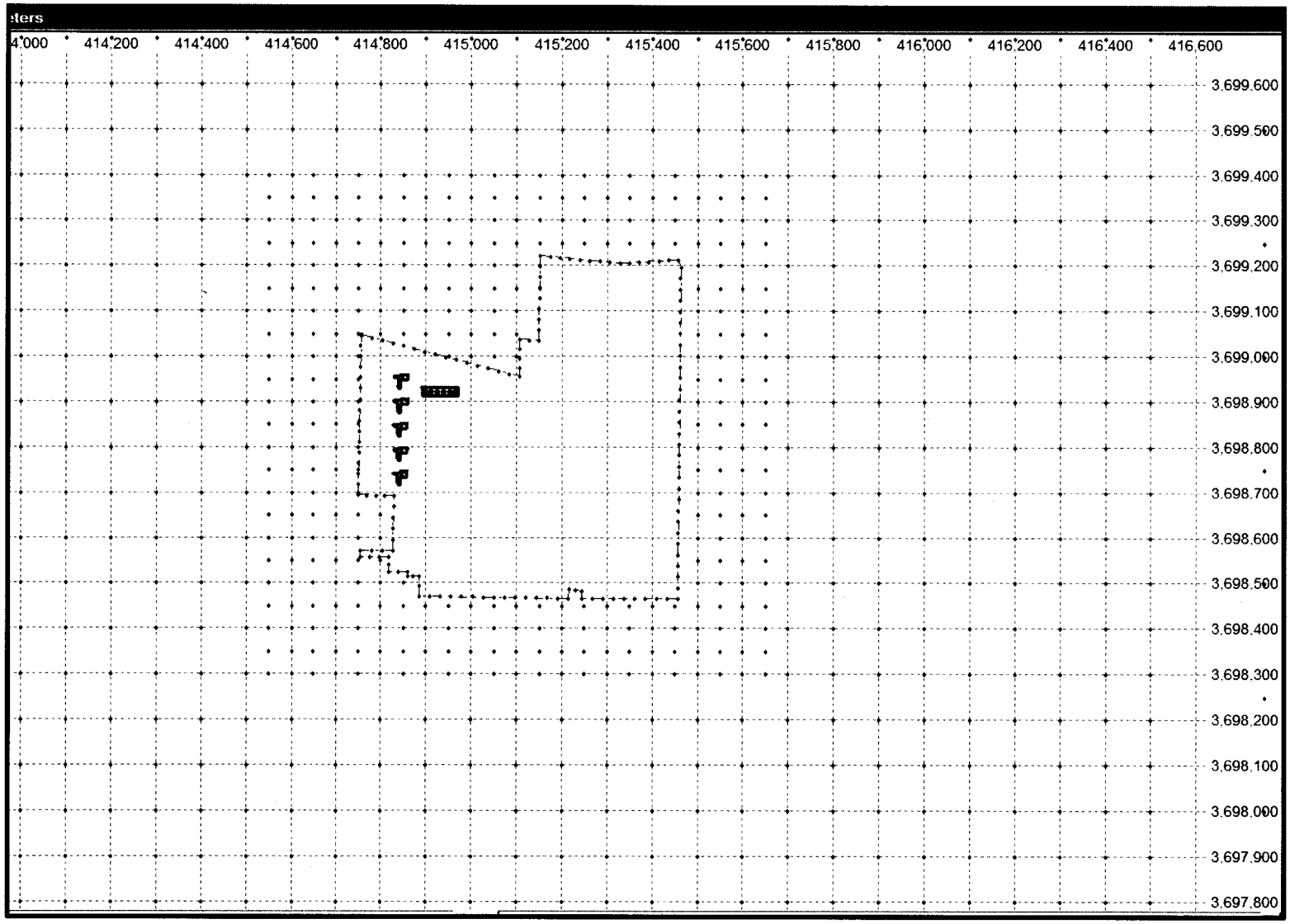
Because the new GTs may begin operation before the existing steam boiler structures are completely dismantled, two sets of BPIP-PRIME analyses were performed, both with and without the existing steam boiler structures. The predicted impacts are the same for these two BPIP-PRIME scenarios, indicating that the steam boiler structures are not controlling structures for the new GTs and cooling tower.

FIGURE 6-4. Main AERMAP Receptor Grid.



Coordinates are UTM NAD 83, Zone 12.

FIGURE 6-5. Close-in PM AERMAP Receptor Grid.



Coordinates are UTM NAD 83, Zone 12.

6.6 Emission and Stack Data.

Chapter 3 and Appendix C present emissions for the proposed GTs. Because the emission rates vary with load, a modeling analysis of various operating loads and ambient temperatures (a load screening analysis) was performed. The stack temperatures and flow rates used for the 100%, 75%, 50%, and 25% loads were the minimum values at each load across the range of ambient temperatures. Because emissions are directly related to heat input rates, normalized emissions of 1.0, 0.78, 0.59, and 0.38 were used for the four load scenarios, based on the relative heat input at these four loads. Table 6-2 summarizes the results of this load screening analysis using the model predicted "highest first high" concentrations across the complete 5 year meteorological data set. Table 6-2 demonstrates that the 100% load condition results in the maximum impacts for all averaging intervals, therefore it was used for the subsequent $PM_{2.5}$ modeling analysis. For the CO analyses, because the maximum short-term emission rates occur during startup /shutdown operation, the 25% load stack parameters were used to best simulate startup/shutdown turbine conditions and conservatively determine the CO ambient impacts. Table 6-3 presents a summary of the 100% load stack parameters and the emission rates that were modeled for the new GTs and cooling tower.

TABLE 6-2. Load screening modeling results.

Load Level	Annual Impact	1-Hr Impact	8-Hr Impact	24-Hr Impact
100%	0.037	3.70	1.01	0.45
75%	0.035	3.20	0.85	0.38
50%	0.028	2.47	0.65	0.29
25%	0.020	1.70	0.45	0.20

6.7 Air Quality Analysis Results.

Because the new GTs may begin operation before the existing steam boiler structures are completely dismantled, two sets of BPIP-PRIME analyses were performed, both with and without the existing steam boiler structures. The predicted impacts are the same for these two BPIP-PRIME scenarios, indicating that the steam boiler structures are not controlling structures for the new GTs and cooling tower.

The Project-only impacts (i.e., the impacts from the proposed GTs and cooling tower) are summarized in Table 6-4. All Project impacts are below the Significant Impact Levels, therefore the Project impacts are insignificant and a cumulative NAAQS and PSD increment analysis is not required.

TABLE 6-4. Significant impact modeling results for the proposed new emissions units.

Pollutant	Averaging Interval	Highest Modeled Conc.	SILs	Impacts Above SIL?
CO	8-hour	59	500	No
	1-hour	217	2,000	No
$PM_{2.5}$	Annual	0.15	0.3	No
	24-hour	1.08	1.2	No

TABLE 6-3. Gas Turbine and Cooling Tower Emissions and Stack Parameters.

Source ID	Source Description	Easting (X) (m)	Northing (Y) (m)	Base Elevation (ft)	Stack Height (ft)	Tempera-ture (°F)	Exit Velocity (fps)	Stack Diameter (ft)	CO (lb/hr)	PM25 (lb/hr)
GT3	CT3-LMS100	414839.88	3698721.2	1169	85	771	115.0	13.5	69.2	5.4
GT4	CT4-LMS101	414839.88	3698774.2	1170	85	771	115.0	13.5	69.2	5.4
GT5	CT5-LMS102	414840.18	3698826.8	1170	85	771	115.0	13.5	69.2	5.4
GT6	CT6-LMS103	414840.47	3698879.6	1170	85	771	115.0	13.5	69.2	5.4
GT7	CT7-LMS104	414841.07	3698932.5	1171	85	771	115.0	13.5	69.2	5.4
GTCT C1	CoolTwr Fan 1	414898.25	3698921.5	1170	42.5	87	33	30.0		5.82E-02
GTCT C2	CoolTwr Fan 2	414911.68	3698921.5	1171	42.5	87	33	30.0		5.82E-02
GTCT C3	CoolTwr Fan 3	414925.03	3698921.2	1171	42.5	87	33	30.0		5.82E-02
GTCT C4	CoolTwr Fan 4	414938.46	3698921.1	1171	42.5	87	33	30.0		5.82E-02
GTCT C5	CoolTwr Fan 5	414951.96	3698920.9	1171	42.5	87	33	30.0		5.82E-02
GTCT C6	CoolTwr Fan 6	414965.39	3698920.9	1171	42.5	87	33	30.0		5.82E-02

Chapter 7. Additional Impacts Analysis

The Prevention of Significant Deterioration (PSD) program requires an additional impact analysis for pollutants that trigger PSD review (for this Project, those pollutants are CO and $PM_{2.5}$). The purpose of this analysis is to assess the potential impact the proposed project will have on visibility, soils, and vegetation, as well as the impact of general commercial, residential, and industrial growth associated with the proposed project.

7.1 Analysis on Soils, Vegetation, and Visibility

The analysis of impacts on vegetation and soils is based on EPA guidance. The National Ambient Air Quality Standards (NAAQS) are designed to protect "health and welfare", including "welfare" effects on water, vegetation, and soils, and are a useful benchmark for evaluating soil and vegetation impacts. In addition, model predicted concentrations were compared to other available effects screening levels for sensitive species presented in EPA's "A Screening Procedure for the Impacts of Air Pollution Sources on Plants, Soils, and Animals," December 12, 1980, EPA 450/2-81-078. Since the ambient impacts from the Project for CO and $PM_{2.5}$ do not exceed the significant impact levels (SILs), are far below the screening levels for sensitive species for CO, and because the Project will combust only natural gas, it can be concluded that the Project will not result in harmful effects to vegetation and soils.

7.2 Associated Growth and Secondary Emissions

The emissions resulting from residential, commercial, and industrial growth associated with, but not directly a part of the project, must also be considered when conducting the air quality analysis. Given the large local population and the limited construction related activities associated with this Project, the construction associated with the Project will not have a significant impact to the local population. Further, since the Ocotillo Power Plant is an existing operation, the employees required to operate the facility are already largely hired and available, so that further impacts to the local area will be small. In addition, local municipal services will not be adversely impacted by this Project. Therefore, the Project is not expected to have a measurable effect on the residential, commercial, or industrial growth of the area.

Chapter 8. Proposed Permit Conditions

Tables 8-1 through 8-4 summarize the proposed enforceable emission limits for the Ocotillo Modernization Project gas turbines (GTs) and cooling tower. The proposed permit compliance requirements are described below, and consist of: Continuous Emission Monitoring (CEM) data for NO_x, CO, and carbon dioxide (CO_2) emissions; fuel use data; PM_{10}, $PM_{2.5}$, and VOC emission factors derived from the most recent stack test data; fuel specification data from the natural gas pipeline supplier; and data on the number of startup/shutdown events.

TABLE 8-1. Proposed rolling 12-month Average Limits (tons per year).

Emissions Unit(s)	SO_2	NOx	CO	PM_{10}	$PM_{2.5}$	VOC	CO_2e
GT3 - GT7	5.9	122.5	239.2	69.8	54.9	43.1	1,099,753
GTCT	NA	NA	NA		1.5	NA	NA
GT1-2	NA	NA	NA		NA	NA	NA

TABLE 8-2. Hourly Emission Limits for the new gas turbines GT3 - GT7 when turbines operate during periods other than startup/shutdown and tuning/testing mode, lb/hour, 3-hour average).

Emissions Unit(s)	SO_2	NOx	CO	PM_{10}	$PM_{2.5}$	VOC	CO_2e
GT3-GT7 individually	0.6	9.3	13.5	5.4	5.4	2.6	NA
GTCT	NA	NA	NA	0.11	0.004	NA	NA

TABLE 8-3. Hourly emission limits for Units GT3 - GT7 during periods when gas turbines operate in startup/shutdown (lb/hour, 1-hour average).

	NOx	CO	VOC
GT3-GT7	31.4	69.2	11.6

TABLE 8-4. Additional concentration or rate emission limits.

Emission Unit or Device	NO_x	CO	PM_{10} Total	$PM_{2.5}$ Total	VOC	CO_2e	Other
GT3 - GT7 during Normal Operation Other than Startup/ Shutdown or Tuning/Testing Mode	2.5 ppmdv at 15% O_2, based on a 3-hour average	6.0 ppmdv at 15% O_2, based on a 3-hour average	5.4 lbs/hr, based on a 3-hour average.	5.4 lbs/hr, based on a 3-hour average.	2 ppmdv at 15% O_2, based on a 3-hour average.	1,690 lbs CO_2/MWh gross output, based on a rolling 8,760-operating hour average.	Ammonia 10 ppmdv, Based on a 24-hour rolling average
Cooling Tower	NA	NA	Drift eliminators limiting drift to 0.0005% and Total Dissolved Solids (TDS) content of circulating cooling water less than 12,000 ppm	Drift eliminators limiting drift to 0.0005% and Total Dissolved Solids (TDS) content of circulating cooling water less than 12,000 ppm	NA	NA	NA
Pipeline Natural Gas Fuel Sulfur Content	NA	NA	NA	NA	NA	NA	NA

The following notes and compliance methods apply to Tables 8-1 through 8-4:

a) NA (Not Applicable) means that the device does not emit the indicated pollutant.

b) Startup is defined as the period between when a unit is initially started and fuel combustion is indicated and ending 30 minutes later.

c) "Shutdown" is defined as the period beginning with the initiation of gas turbine shutdown sequence and lasting until fuel combustion has ceased.

d) The rolling 12- month limits shall be calculated monthly using the data from the most recent 12 calendar months, with a new 12-month period beginning on the first day of each calendar month.

e) The 3-hour rolling average limits shall be calculated hourly using the data from the most recent 3 consecutive operating hours, with a new 3-hour period beginning each hour.

f) NO_x emissions during normal operations, startup/shutdown periods, and tuning/testing periods from GT3 through GT7 shall be calculated using CEMS data in accordance with 40 CFR Part 75, Appendix F.

g) CO emissions from Units GT3 through GT7 shall be calculated from CEMS data.

h) PM_{10} and VOC emissions during normal operations, startup/shutdown periods, and tuning/testing periods from Units GT3 through GT7 shall be calculated using monitored fuel flow and emission factors from the most recent performance test for each unit, unless an alternative emission factor can be demonstrated to the satisfaction of the Control Officer and the Administrator to be more representative of emissions.

i) PM_{10} and VOC emissions during normal operations, startup/shutdown periods, and tuning/testing periods from GT1 and GT2 shall be calculated using monitored fuel flow and emission factors from the U.S. EPA document AP-42, unless an alternative emission factor can be demonstrated to the satisfaction of the Control Officer and the Administrator to be more representative of emissions.

j) PM_{10} emissions from the Cooling Towers (GTCT) shall be calculated from the following equation: PM_{10} Emissions (tons/yr) = Total Recirculation Rate (gallons/minute) * TDS Concentration (milligrams/liter) * Operating Hours * 3.94E-13;

k) SO_2 emissions from all units shall be calculated from fuel usage during normal operations, startup/shutdown, and the sulfur content of the fuel as determined as specified in this permit.

l) Unless otherwise stated, the PM_{10} emission limits include both solid (filterable) and condensable particulate matter. Filterable PM_{10} is measured with 40 CFR Part 60

8.1 Operational Requirements for Units GT-3 through GT-7.

The following operational and monitoring and recordkeeping requirements are also proposed.

1) The Permittee shall operate and maintain Selective Catalytic Reduction (SRC) catalysts on Units GT3 through GT7. The Permittee shall maintain an Operations and Maintenance (O&M) Plan for the SCRs required by these Permit Conditions. The Plan shall be in a format acceptable to the Department and shall specify the procedures used to maintain the SCRs. The Permittee shall at all times during normal operation comply with the latest version of the O&M Plan approved in writing by the Control Officer. [County Rules 210 §302.1.b and 322 §306.2 and §306.3]
2) The Permittee shall operate and maintain CO Oxidation Emission Control Systems (OX-ECS) on GT3 through GT7. The Permittee shall maintain an O&M Plan for the OX-ECS required by these Permit Conditions. The Plan shall be in a format acceptable to the Department and shall specify the procedures used to maintain the OX-ECS. The Permittee shall comply at all times with the most recent version of the O&M Plan that has been approved in writing by the Control Officer. [County Rules 210 §302.1.b and 322 §306.2 and §306.3]
3) The Permittee shall use operational practices recommended by the manufacturer and parametric monitoring to ensure good combustion control. [County Rule 322 §301.3]
4) The Permittee shall not combust any fuel other than natural gas in units GT3 through GT7.

8.2 Monitoring and Recordkeeping Facility-Wide Requirements.

The Permittee shall hourly monitor and record the hours of operation and operating mode (startup, shutdown, or normal) of Units GT3 through GT7; exhaust temperature prior to entering the SCR systems and the OX-ECS; the amount of natural gas combusted in individual Units GT3 through GT7; and the actual heat input of Units GT3 through GT7. The Permittee may monitor the combined fuel usage in Units GT3 through GT7 instead of individually. The Permittee shall monthly calculate and record the emissions from Units GT1 and GT2, GT3 through GT7, and the Cooling Tower and shall monthly compare the calculated emissions to the limits contained in the permit.

8.3 Total Facility Emissions after the Modernization Project.

The total potential emissions for the Ocotillo Power Plant based on the proposed emission limitations in this application are summarized in Table 8-5.

TABLE 8-5. Total potential emissions for the Ocotillo Power Plant after the Project.

POLLUTANT		Potential to Emit, tons per year			
		GT1-2	GT3-GT7	Cooling Tower	TOTAL
Carbon Monoxide	CO	122.9	239.2		362.1
Nitrogen Oxides	NO_x	479.7	125.5		605.2
Particulate Matter	PM	12.4	54.9	8.1	75.4
Particulate Matter	PM_{10}	12.4	54.9	2.5	69.8
Particulate Matter	$PM_{2.5}$	12.4	54.9	1.5	68.8
Sulfur Dioxide	SO_2	0.9	5.9		6.8
Vol. Organic Cmpds	VOC	3.1	43.1		46.3
Sulfuric Acid Mist	H_2SO_4	0.1	0.59		0.68
Fluorides (as HF)	HF	0.0	0.0		0.0
Lead	Pb	0.001	0.005		0.006
Carbon Dioxide	CO_2	174,873	1,098,675		1,273,548
Greenhouse Gases	CO_2e	175,044	1,099,753		1,274,797

Footnotes
CTG means combustion turbine generator.

Appendix A.

Maricopa County Air Quality Department's STANDARD PERMIT APPLICATION FORM, EMISSION SOURCES FORM(s), and COMPLIANCE CERTIFICATION.

MARICOPA COUNTY
ENVIRONMENTAL SERVICES DEPARTMENT
DIVISION OF AIR POLLUTION CONTROL

1001 N. Central Ave., Ste 201
Phoenix, AZ 85004
(602) 506-6010

STANDARD PERMIT APPLICATION FORM

(As required by A.R.S. § 49-480, and Chapter 3, Article 3, Arizona Administrative Code)

1. Permit to be issued to: (Business license name of organization that is to receive permit)
Arizona Public Service Company

2. Mailing Address: **400 North 5th Street**

City: **Phoenix** State: **AZ** ZIP: **85004**

3. Plant Name (if different from item #1 above): **Ocotillo Power Plant**

4. Name (or names) of Owner or Operator: **Arizona Public Service Company**
Phone: **(602) 250-1375**

5. Name of Owner's Agent: **Not Applicable**
Phone: _____

5. Plant/Site Manager or Contact Person: **Anne Carlton**
Phone: **(602) 250-1375**

7. Proposed Equipment/Plant Location Address: **1500 East University Drive**

City: **Tempe** County: **Maricopa** ZIP: **85281**

Indian Reservation (if applicable): **Not Applicable**
Section/Township/Range: _____

Latitude: **33°25'32"N** Longitude: **111°54'48"W** Elevation: **1,178** ft.

8. General Nature of Business: **Electric Power Generation**
Standard Industrial Classification Code: **4911**

Type of Organization: X Corporation ___ Individual Owner
___ Partnership ___ Government Entity (Government Facility Code: _____)
___ Other: _____

10. Permit Application Basis: ___ New Source X Revision ___ Renewal of Existing Permit
___ Portable Source ___ General Permit (Check all that apply.)

For renewal or modification, include existing permit number: **Operation Permit No. V95-007**

Date of Commencement of Construction or Modification: **January 1, 2016**

Is any of the equipment to be leased to another individual or entity? ___ Yes X No

11. Signature of Responsible Official of Organization _____

Official Title of Signer: **Plant Manager**

12. Typed or Printed Name of Signer: **Tom Livingston**

Date: 7/18/2014 Phone Number: (480) 446-0131

COMPANY NAME & LOCATION **Arizona Public Service - Ocotillo Power Plant**

EMISSION SOURCES

DATE **7/14/14**

Estimated Potential to Emit as per Rule 100.
Review of applications and issuance of permits will ba expedited by supplying all necessary information on this Table.

REGULATED AIR POLLUTANT DATA					EMISSION POINT DISCHARGE PARAMETERS									
EMISSION POINT (1)		CHEMICAL COMPOSITION OF TOTAL STREAM	AIR POLLUTANT EMISSION RATE		UTM COORDINATES OF EMISSION PT. (5)			STACK SOURCES (6)					NONPOINT SOURCES (7)	
										EXIT DATA				
NUMBER	NAME	REGULATED AIR POLLUTANT NAME (2)	#/ HR. (3)	TONS/ YEAR (4)	ZONE	EAST (Mtrs)	NORTH (Mtrs)	HEIGHT ABOVE GROUND /feet	HEIGHT ABOVE STRUC. /feet	DIA. (ft)	VEL. (fps)	TEMP. (oF)	LENGTH (ft.)	WIDTH (ft.)
GT3, GT4, GT5, GT6, GT7	**General Electric Model LMS100 Simple Cycle Gas Turbine (5 total)**	**Carbon Monoxide**	**13.53**	**47.8**		**Refer to Table 6-2 of application.**		**85**		**13.5**	**60**	**844**		
		Nitrogen Oxides	**9.26**	**26.9**										
		Particulate Matter	**5.40**	**11.0**										
		PM10	**5.40**	**11.0**										
		PM2.5	**5.40**	**11.0**										
		Sulfur Dioxide	**0.58**	**1.2**										
		Vol. Org. Compounds	**2.64**	**8.6**										
		Sulfuric Acid Mist	**0.06**	**0.1**										
		Fluorides (as HF)	**0.00**	**0.0**										
		Lead	**0.00**	**0.0**										
		Carbon Dioxide	**113,381**	**219,735.0**										
		Greenhouse Gases	**113,492**	**219,950.5**										

GROUND ELEVATION OF FACILITY ABOVE MEAN SEA LI **1,178** feet
ADEQ STANDARD CONDITIONS ARE 293K AND 101.3 KILOPASCALS (A.A. C. RIB -2-101)
General Instructions:

****Please refer to the air permit application, Chapter 3, for detailed emissions data.**

COMPANY NAME & LOCATION **Arizona Public Service - Ocotillo Power Plant**

EMISSION SOURCES

DATE **7/14/14**

Estimated Potential to Emit as per Rule 100.
Review of applications and issuance of permits will ba expedited by supplying all necessary information on this Table.

REGULATED AIR POLLUTANT DATA					EMISSION POINT DISCHARGE PARAMETERS									
EMISSION POINT (1)		CHEMICAL COMPOSITION OF TOTAL STREAM	AIR POLLUTANT EMISSION RATE		UTM COORDINATES OF EMISSION PT. (5)			STACK SOURCES (6)					NONPOINT SOURCES (7)	
										EXIT DATA				
NUMBER	NAME	REGULATED AIR POLLUTANT NAME (2)	#/ HR. (3)	TONS/ YEAR (4)	ZONE	EAST (Mtrs)	NORTH (Mtrs)	HEIGHT ABOVE GROUND /feet	HEIGHT ABOVE STRUC. /feet	DIA. (ft)	VEL. (fps)	TEMP. (oF)	LENGTH (ft.)	WIDTH (ft.)
GTCT 3 - 7 Cooling Tower	**Six (6) Cell Cooling Tower**					**Refer to Table 6-2 of application.**		**42.5**		**30 (each cell)**	**33**	**87**		
		Particulate Matter	**1.85**	**8.09**										
		PM10	**0.58**	**2.55**										
		PM2.5	**0.35**	**1.53**										

GROUND ELEVATION OF FACILITY ABOVE MEAN SEA LE **1,178** feet
ADEQ STANDARD CONDITIONS ARE 293K AND 101.3 KILOPASCALS (A.A. C. RIB -2-101)
General Instructions:

****Please refer to the air permit application, Chapter 3, for detailed emissions data.**

Compliance Certification

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
GENERAL CONDITIONS				
Section 1	AIR POLLUTION PROHIBITED: The Permittee shall not discharge from any source whatever into the atmosphere regulated air pollutants which exceed in quantity or concentration that specified and allowed in the County or SIP Rules, the Arizona Administrative Code (AAC) or the Arizona Revised Statutes (ARS), or which cause damage to property or unreasonably interfere with the comfortable enjoyment of life or property of a substantial part of a community, or obscure visibility, or which in any way degrade the quality of the ambient air below the standards established by the Maricopa County Board of Supervisors or the Director of the Arizona Department of Environmental Quality (ADEQ). The Permittee shall not discharge from any source whatever into the atmosphere regulated air pollutants so as to create or maintain a nuisance.	Standard operating procedures; compliance reviews.	Continuous	No
Section 2	CIRCUMVENTION: The Permittee shall not build, erect, install, or use any article, machine, equipment, condition, or any contrivance, the use of which, without resulting in a reduction in the total release of regulated air pollutants to the atmosphere, conceals or dilutes an emission which would otherwise constitute a violation of this Permit or any Rule or any emission limitation or standard. The Permittee shall not circumvent the requirements concerning dilution of regulated air pollutants by using more emission openings than is considered normal practice by the industry or activity in question.	Standard operating procedures; compliance reviews.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 3	CERTIFICATION OF TRUTH, ACCURACY, AND COMPLETENESS: Any application form, report, or compliance certification submitted under County or Federal Rules or these Permit Conditions shall contain certification by a responsible official of truth, accuracy, and completeness of the application form or report as of the time of submittal. This certification and any other certification required under County or Federal Rules or these Permit Conditions shall state that, based on information and belief formed after reasonable inquiry, the statements and information in the document are true, accurate, and complete. The Permit Conditions contained herein are substantially based on information contained in the certified application submitted by the Permittee and all subsequent submittals. The information contained in such submittals was relied upon as being truthful, accurate, and complete for development of this Permit.	Standard operating procedures; compliance reviews.	Continuous	No
Section 4.A.1	COMPLIANCE WITH ALL CONDITIONS OF THE PERMIT, STATUTES, AND RULES: The Permittee must comply with all conditions of this permit and with all applicable requirements of Arizona air quality statutes and the air quality rules. Compliance with permit terms and conditions does not relieve, modify, or otherwise affect the Permittee's duty to comply with all applicable requirements of Arizona air quality statutes and the Maricopa County Air Pollution Control Regulations. Any permit noncompliance is grounds for enforcement action; for a permit termination, revocation and reissuance, or revision; or for denial of a permit renewal application. Noncompliance with any federally enforceable requirement in this Permit constitutes a violation of the Act.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 4.A.2	COMPLIANCE REQUIRED: The Permittee shall halt or reduce the permitted activity in order to maintain compliance with applicable requirements of Federal laws, Arizona laws, the County Rules, or other conditions of this Permit.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 4.A.3	COMPLIANCE – RACT: For any major source operating in a nonattainment area for any pollutant(s) for which the source is classified as a major source, the source shall comply with reasonably available control technology (RACT) as defined in County Rule 100.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 4.A.4	COMPLIANCE – BACT: For any major source operating in a nonattainment area designated as serious for PM10, for which the source is classified as a major source for PM10, the source shall comply with the best available control technology (BACT), as defined in County Rule 100 for PM10.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 4.B	COMPLIANCE CERTIFICATION REQUIREMENTS: The Permittee shall file an annual or semiannual Compliance Certification, as specified in the Specific Conditions section of this Permit, with the Control Officer and also with the Administrator of the USEPA. The report shall certify compliance with the terms and conditions contained in this Permit, including emission limitations, standards, or work practices and shall be submitted at such times as required by the Specific Conditions of this Permit. The Compliance Certification shall be on a form supplied or approved by the Control Officer and shall include the following: 1) The identification of each term or condition of the permit that is the basis of the certification; 2) The compliance status; 3) Whether compliance was continuous or intermittent; 4) The method(s) used for determining the compliance status of the source, currently and over the reporting period; and 5) Other facts as the Control Officer may require to determine the compliance status of the source.	Standard operating procedures; compliance reviews.	Continuous	No
Section 4.C	COMPLIANCE PLAN: Based on the certified information contained in the application for this Permit, the facility is in compliance with all applicable requirements in effect as of the first date of public notice of the proposed conditions for this Permit unless a Compliance Plan is included in the Specific Conditions of this Permit. The Permittee shall continue to comply with all applicable requirements and shall meet any applicable requirements that may become effective during the term of this permit on a timely basis.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 5	CONFIDENTIALITY CLAIMS: Any records, reports or information obtained from the Permittee under the County Rules or this Permit shall be available to the public, unless the Permittee files a claim of confidentiality in accordance with ARS §49-487(c) that: A. Precisely identifies the information in the permit(s), records, or reports that is considered confidential, and B. Provides sufficient supporting information to allow the Control Officer to evaluate whether such information satisfies the requirements related to trade secrets or, if applicable, how the information, if disclosed, could cause substantial harm to the person's competitive position. The claim of confidentiality is subject to the determination by the Control Officer as to whether the claim satisfies these requirements. A claim of confidentiality shall not excuse the Permittee from providing any and all information required or requested by the Control Officer and shall not be a defense for failure to provide such information. If the Permittee submits information with an application under a claim of confidentiality pursuant to ARS §49-487 and County Rule 200, the Permittee shall submit a copy of such information directly to the Administrator of the USEPA.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 6.A.1	CONTINGENT REQUIREMENTS – ACID RAIN: Where an applicable requirement of the Act is more stringent than an applicable requirement of regulations promulgated pursuant to Title IV of the CAA and incorporated pursuant to County Rule 371, both provisions shall be incorporated into this Permit and shall be enforceable by the Administrator.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 6.A.2	CONTINGENT REQUIREMENTS – ACID RAIN: The Permittee shall not allow emissions exceeding any allowances that the source lawfully holds pursuant to Title IV of the CAA or the regulations promulgated thereunder and incorporated pursuant to County Rule 371. a) No permit revision shall be required for increases in emissions that are authorized by allowances acquired pursuant to the acid rain program and incorporated pursuant to County Rule 371, provided that such increases do not require a permit revision pursuant to any other applicable requirement. b) No limit is placed on the number of allowances held by the Permittee. The Permittee may not, however, use allowances as a defense to noncompliance with any other applicable requirement. c) Any such allowance shall be accounted for according to the procedures established in regulations promulgated pursuant to Title IV of the CAA. d) All of the following prohibitions apply to any unit subject to the provisions of Title IV of the CAA and incorporated into this Permit pursuant to County Rule 371; (1) Annual emissions of sulfur dioxide in excess of the number of allowances to emit sulfur dioxide held by the owners or operators of the unit or the designated representative of the owners or operators. (2) Exceedances of applicable emission rates. (3) The use of any allowance prior to the year for which it was allocated. (4) Violation of any other provision of the permit.	Standard operating procedures; compliance reviews; company administrative procedures.	Continuous	No
Section 6.B	CONTINGENT REQUIREMENTS – ASBESTOS: The Permittee shall comply with the applicable requirements of Sections §§61.145 through 61.147 and §61.150 of the National Emission Standard for Asbestos and County Rule 370 for all demolition and renovation projects.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 6.C	CONTINGENT REQUIREMENTS – RISK MANAGEMENT PLAN (RMP): Should this stationary source, as defined in 40 CFR §68.3, be subject to the accidental release prevention regulations in Part 68, then the Permittee shall submit an RMP by the date specified in Section 68.10 and shall certify compliance with the requirements of Part 68 as part of the annual compliance certification as required by 40 CFR Part 70. However, neither the RMP nor modifications to the RMP shall be considered to be a part of this Permit.	Standard operating procedures; compliance reviews.	Continuous Term NA during this period.	No
Section 6.D	CONTINGENT REQUIREMENTS – STRATOSPHERIC OZONE PROTECTION: If applicable, the Permittee shall follow the requirements of 40 CFR §§82.106 through 82.124 with respect to the labeling of products using ozone depleting substances. If applicable, the Permittee shall comply with all of the following requirements with respect to recycling and emissions reductions: 1) Persons opening appliances for maintenance, service, repair, or disposal must comply with the required practices pursuant to 40 CFR §82.156. 2) Equipment used during maintenance, service, repair, or disposal of appliances must meet the standards for recycling and recovery equipment in accordance with 40 CFR §82.158. 3) Persons performing maintenance, service, repair, or disposal of appliances must be certified by a certified technician pursuant to 40 CFR §82.161. If applicable, the Permittee shall follow the requirements of 40 CFR Subpart G, including all Appendices, with respect to the safe alternatives policy on the acceptability of substitutes for ozone-depleting compounds.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 6.E.	CONTINGENT REQUIREMENTS – MANDATORY GREENHOUSE GAS REPORTING: The Permittee shall comply with 40 CFR Part 98, Mandatory Greenhouse Gas Reporting, and all subparts as applicable.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 7	DUTY TO SUPPLEMENT OR CORRECT APPLICATION: If the Permittee fails to submit any relevant facts or has submitted incorrect information in a permit application, the Permittee shall, upon becoming aware of such failure or incorrect submittal, promptly submit such supplementary facts or corrected information. In addition, the Permittee shall provide additional information as necessary to address any requirements that become applicable to the source after the date it filed a complete application but prior to release of a proposed permit.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No
Section 8	EMERGENCY EPISODES: If an air pollution alert, warning, or emergency has been declared, the Permittee shall comply with any applicable requirements of County Rule 600 §302.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 9	EMERGENCY PROVISIONS: An "emergency" means any situation arising from sudden and reasonably unforeseeable events beyond the control of the source, including acts of God, that requires immediate corrective action to restore normal operation, and that causes the source to exceed a technology-based emission limitation under this permit, due to unavoidable increases in emissions attributable to the emergency. An emergency shall not include noncompliance to the extent caused by improperly designed equipment, lack of preventative maintenance, careless or improper operation, or operator error. An emergency constitutes an affirmative defense to an action brought for noncompliance with such technology-based emission limitations if the requirements of this Permit Condition are met. The affirmative defense of emergency shall be demonstrated through properly signed, contemporaneous operating logs, or other relevant evidence that: A. An emergency occurred and that the Permittee can identify the cause or causes of the emergency; B. At the time of the emergency, the permitted source was being properly operated; C. During the period of the emergency the Permittee took all reasonable steps to minimize levels of emissions that exceeded the emissions standards or other requirements in this permit; and D. Fulfill the emergency reporting requirements contained in Permit Condition 16.D. In any enforcement proceeding, the Permittee seeking to establish the occurrence of an emergency has the burden of proof. This provision is in addition to any emergency or upset provision contained in any applicable requirement.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 10.A	EXCESS EMISSIONS – EXEMPTIONS: The excess emissions provisions of this Permit Condition do not apply to the following standards and limitations: 1) Promulgated pursuant to Section 111 (Standards of Performance for New Stationary Sources) of the Clean Air Act (Act) or Section 112 (National Emission Standards For Hazardous Air Pollutants) of the Act; 2) Promulgated pursuant to Title IV (Acid Deposition Control) of the Act or the regulations promulgated thereunder and incorporated under Rule 371 (Acid Rain) of these rules or Title VI (Stratospheric Ozone Protection) of the Act; 3) Contained in any Prevention of Significant Deterioration (PSD) or New Source Review (NSR) permit issued by Maricopa County Air Quality Department or the Environmental Protection Agency (EPA); 4) Included in a permit to meet the requirements of County Rule 240 (Permit Requirements for New Major Sources and Major Modifications to Existing Major Sources), Subsection 308.1(e) (Permit Requirements For Sources Located In Attainment And Unclassified Areas) of these rules.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 10.B	EXCESS EMISSIONS – AFFIRMATIVE DEFENSE FOR MALFUNCTIONS: Emissions in excess of an applicable emission limitation due to malfunction shall constitute a violation. The permitted source with emissions in excess of an applicable emission limitation due to malfunction has an affirmative defense to a civil or administrative enforcement proceeding based on that violation, other than a judicial action seeking injunctive relief, if the Permittee has complied with the excess emissions reporting requirements of these Permit Conditions and has demonstrated all of the following: 1) The excess emissions resulted from a sudden and unavoidable breakdown of the process equipment or the air pollution control equipment beyond the reasonable control of the operator; 2) The source's air pollution control equipment, process equipment, or processes were at all times maintained and operated in a manner consistent with good practice for minimizing emissions; 3) If repairs were required, the repairs were made in an expeditious fashion when the applicable emission limitations were being exceeded. Off-shift labor and overtime were utilized where practicable to ensure that the repairs were made as expeditiously as possible. If off-shift labor and overtime were not utilized, then the Permittee satisfactorily demonstrated that such measures were impractical; 4) The amount and duration of the excess emissions (including any bypass operation) were minimized to the maximum extent practicable during periods of such emissions; 5) All reasonable steps were taken to minimize the impact of the excess emissions on ambient air quality; 6) The excess emissions were not part of a recurring pattern indicative of inadequate design, operation, or maintenance; 7) During the period of excess emissions, there were no exceedances of the relevant ambient air quality standards established in County Rule 510 that could be attributed to the emitting source; 8) The excess emissions did not stem from any activity or event that could have been foreseen and avoided, or planned, and could not have been avoided by better operations and maintenance practices; 9) All emissions monitoring systems were kept in operation, if at all practicable; and 10) The Permittee's actions in response to the excess emissions were documented by contemporaneous records	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 10.C	EXCESS EMISSIONS – AFFIRMATIVE DEFENSE FOR STARTUP AND SHUTDOWN: 1) Except as provided in paragraph 2) below, and unless otherwise provided for in the applicable requirement, emissions in excess of an applicable emission limitation due to startup and shutdown shall constitute a violation. The permitted source with emissions in excess of an applicable emission limitation due to startup and shutdown has an affirmative defense to a civil or administrative enforcement proceeding based on that violation, other than a judicial action seeking injunctive relief, if the Permittee has complied with the excess emissions reporting requirements of these Permit Conditions and has demonstrated all of the following: a) The excess emissions could not have been prevented through careful and prudent planning and design; b) If the excess emissions were the result of a bypass of control equipment, the bypass was unavoidable to prevent loss of life, personal injury, or severe damage to air pollution control equipment, production equipment, or other property; c) The source's air pollution control equipment, process equipment, or processes were at all times maintained and operated in a manner consistent with good practice for minimizing emissions; d) The amount and duration of the excess emissions (including any bypass operation) were minimized to the maximum extent practicable, during periods of such emissions; e) All reasonable steps were taken to minimize the impact of the excess emissions on ambient air quality; f) During the period of excess emissions, there were no exceedances of the relevant ambient air quality standards established in County Rule 510 (Air Quality Standards) that could be attributed to the emitting source; g) All emissions monitoring systems were kept in operation, if at all practicable; and h) The Permittee's actions in response to the excess emissions were documented by contemporaneous records. 2) If excess emissions occur due to a malfunction during routine startup and shutdown, then those instances shall be treated as other malfunctions subject to paragraph B of this Permit Condition.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 10.D	EXCESS EMISSIONS – AFFIRMATIVE DEFENSE FOR MALFUNCTIONS DURING SCHEDULED MAINTENANCE: If excess emissions occur due to malfunction during scheduled maintenance, then those instances will be treated as other malfunctions subject to paragraph B of this Permit Condition.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 10.E	EXCESS EMISSIONS – DEMONSTRATION OF REASONABLE AND PRACTICABLE MEASURES: For an affirmative defense under paragraphs B and C of this Permit Condition, the Permittee shall demonstrate, through submission of the data and information required by this Permit Condition and the excess emissions reporting requirements of these Permit Conditions, that all reasonable and practicable measures within the Permittee's control were implemented to prevent the occurrence of the excess emissions.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 11	FEES: The Permittee shall pay fees to the Control Officer pursuant to ARS §49-480(D) and County Rule 280.	Standard operating procedures; compliance reviews.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 12	MODELING: Where the Control Officer requires the Permittee to perform air quality impact modeling, the Permittee shall perform the modeling in a manner consistent with the 40 CFR 51, Appendix W, "Guideline on Air Quality Models", as of July 1, 2004 (and no future amendments or additions), and is adopted by reference. Where the person can demonstrate that an air quality impact model specified in the guideline is inappropriate, the model may be modified or another model substituted if found to be acceptable to the Control Officer.	Standard operating procedures; compliance reviews.	Continuous Term NA during this period.	No
Section 13.A	MONITORING REQUIREMENTS: The Permittee shall monitor, sample, or perform other studies to quantify emissions of regulated air pollutants or levels of air pollution that may reasonably be attributable to the facility if required to do so by the Control Officer, either by Permit or by order in accordance with County Rule 200 §310.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 13.B	TESTING REQUIREMENTS: Except as otherwise specified in these Permit Conditions or by the Control Officer, the Permittee shall conduct required testing used to determine compliance with standards or permit conditions established pursuant to the County or SIP Rules or these Permit Conditions in accordance with County Rule 270 and the applicable testing procedures contained in the Arizona Testing Manual for Air Pollutant Emissions or other approved USEPA test methods.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 13.C	TESTING FACILITIES: The Permittee shall provide, or cause to be provided, performance testing facilities as follows: 1) Sampling ports adequate for test methods applicable to such source. 2) Safe sampling platform(s). 3) Safe access to sampling platforms(s). 4) Utilities for sampling and testing equipment.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 14.A	PERMITS – BASIC: This Permit may be revised, reopened, revoked and reissued, or terminated for cause. The filing of a request by the Permittee for a permit revision, revocation and reissuance, or termination, or of a notification of planned changes or anticipated noncompliance does not stay any Permit Condition.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 14.B	PERMITS – PERMITS AND PERMIT CHANGES, AMENDMENTS AND REVISIONS: 1) The Permittee shall comply with the Administrative Requirements of Section 400 of County Rule 210 for all changes, amendments and revisions at the facility for any source subject to regulation under County Rule 200, shall comply with all required time frames, and shall obtain any required preapproval from the Control Officer before making changes. All applications shall be filed in the manner and form prescribed by the Control Officer. The application shall contain all the information necessary to enable the Control Officer to make the determination to grant or to deny a permit or permit revision including information listed in County Rule 200 §309 and County Rule 210 §301. 2) The Permittee shall supply a complete copy of each application for a permit, a minor permit revision, or a significant permit revision directly to the Administrator of the USEPA. The Control Officer may require the application information to be submitted in a computer-readable format compatible with the Administrator's national database management system. 3) While processing an application, the Control Officer may require the applicant to provide additional information and may set a reasonable deadline for a response. 4) No permit revision shall be required pursuant to any approved economic incentives, marketable permits, emissions trading and other similar programs or processes for changes that are provided for in this permit.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 14.C	PERMITS – POSTING: 1) The Permittee shall keep a complete permit clearly visible and accessible on the site where the equipment is installed. 2) Any approved Dust Control Plan or Dust Control Permit required by County Rule 310 shall be posted in a conspicuous location at the work site, within on-site equipment, or in an on-site vehicle, or shall otherwise be kept available on site at all times.	Standard operating procedures; compliance reviews.	Continuous	No
Section 14.D	PERMITS – PROHIBITION ON PERMIT MODIFICTION: The Permittee shall not willfully deface, alter, forge, counterfeit, or falsify this permit.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 14.E	PERMITS – RENEWAL: 1) The Permittee shall submit an application for the renewal of this Permit in a timely and complete manner. The Permittee shall file all permit applications in the manner and form prescribed by the Control Officer. For purposes of permit renewal, a timely application is one that is submitted at least six months, but not more than 18 months, prior to the date of permit expiration. A complete application shall contain all of the information required by the County Rules including Rule 200 §309 and Rule 210 §§301 & 302.3. 2) The Control Officer may require the Permittee to provide additional information and may set a reasonable deadline for a response. 3) If the Permittee submits a timely and complete application for a permit renewal, but the Control Officer has failed to issue or deny the renewal permit before the end of the term of the previous permit, then the permit shall not expire until the renewal permit has been issued or denied. This protection shall cease to apply if, subsequent to the completeness determination, the Permittee fails to submit, by the deadline specified in writing by the Control Officer, any additional information identified as being needed to process the application.	Standard operating procedures; compliance reviews.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 14.F	PERMITS – REVISION/REOPENING/REVOCATION: 1) If the Permittee becomes subject to a standard promulgated by the Administrator under Section 112(d) of the CAA, the Permittee shall, within 12 months of the date on which the standard was promulgated, submit an application for a permit revision demonstrating how the source will comply with the standard. 2) This permit shall be reopened and revised to incorporate additional applicable requirements adopted by the Administrator pursuant to the CAA that become applicable to the facility if this permit has a remaining permit term of three or more years and the facility is a major source. Such a reopening shall be completed not later than 18 months after promulgation of the applicable requirement. No such reopening is required if the effective date of the requirement is later than the date on which this Permit is due to expire unless the original permit or any of its terms have been extended pursuant to Rule 200 §403.2. Any permit revision required pursuant to this Permit Condition, 14.G.1, shall reopen the entire permit, shall comply with provisions in County Rule 200 for permit renewal, and shall reset the five year permit term. 3) This permit shall be reopened and revised under any of the following circumstances: a) Additional requirements, including excess emissions requirements, become applicable to an affected source under the acid rain program. Upon approval by the Administrator, excess emissions offset plans shall be deemed to be incorporated into the Title V permit. b) The Control Officer or the Administrator determines that the permit contains a material mistake or that inaccurate statements were made in establishing the emissions standards or other terms or conditions of the permit. c) The Control Officer or the Administrator determines that the permit must be revised or revoked to assure compliance with the applicable requirements. Proceedings to reopen and issue a permit under this Permit Condition, 14.G.2, shall follow the same procedures as apply to initial permit issuance and shall affect only those parts of the Permit for which cause to reopen exists. 4) This permit shall be reopened by the Control Officer and any permit shield revised when it is determined that standards or conditions in the permit are based on incorrect information provided by the applicant	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 14.G.1	REQUIREMENTS FOR A PERMIT: No source may operate after the time that it is required to submit a timely and complete application except as noted in Sections 403 and 405 of County Rule 210. Permit expiration terminates the Permittee's right to operate. However, if a source submits a timely and complete application, as defined in County Rule 210 §301.4, for permit issuance or renewal, the source's failure to have a permit is not a violation of the County Rules until the Control Officer takes final action on the application. The Source's ability to operate without a permit as set forth in this paragraph shall be in effect from the date the application is determined to be complete until the final permit is issued. This protection shall cease to apply if, subsequent to the completeness determination, the applicant fails to submit, by the deadline specified in writing by the Control Officer, any additional information identified as being needed to process the application.	Standard operating procedures; compliance reviews.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 14.G.2	REQUIREMENTS FOR A PERMIT – DUST GENERATION ACTIVITIES If the Permittee engages in or allows any routine dust generating activities at the facility, the Permittee shall apply to have the routine dust generating activity covered as part of this Permit. Nonroutine activities, such as construction and revegetation, require a separate Dust Control Permit that must be obtained from the Control Officer before the activity may begin. a) The Permittee shall not commence any routine dust-generating operation that disturbs a surface area of 0.10 acre or greater without first submitting a Dust Control Plan to the Control Officer. b) The Permittee shall request a Dust Control Plan revision with a submittal in the manner and form prescribed by the Control Officer if: (1) The acreage of a project changes; (2) The permit holder changes; (3) The name(s), address(es), or phone numbers of person(s) responsible for the submittal and implementation of the Dust Control Plan and responsible for the dust-generating operation change; and (4) If the activities related to the purposes for which the Dust Control permit was obtained change. c) A subcontractor who is engaged in dust-generating operations at a site that is subject to a Dust Control Permit shall register with the Control Officer and follow those registration requirements in County Rule 200.	Standard operating procedures; compliance reviews.	Continuous	No
Section 14.G.3	REQUIREMENTS FOR A PERMIT – BURN PERMIT: The Permittee shall obtain a Permit To Burn from the Control Officer before conducting any open outdoor fire except for the activities listed in County Rule 314 §§302.1, 302.2, and 303.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 14.H	PERMITS – RIGHTS AND PRIVILEGES: This Permit does not convey any property rights nor exclusive privilege of any sort.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 14.I	PERMITS – SEVERABILITY: The provisions of this Permit are severable, and, if any provision of this Permit is held invalid, the remainder of this Permit shall not be affected thereby.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 14.J	PERMITS – SCOPE: The issuance of any permit or permit revision shall not relieve the Permittee from compliance with any Federal laws, Arizona laws, or the County or SIP Rules, nor does any other law, regulation or permit relieve the Permittee from obtaining a permit or permit revision required under the County Rules. Nothing in this permit shall alter or affect the following: 1) The provisions of Section 303 of the Act, including the authority of the Administrator pursuant to that section. 2) The liability of the Permittee for any violation of applicable requirements prior to or at the time of permit issuance. 3) The applicable requirements of the acid rain program, consistent with Section 408(a) of the Act. 4) The ability of the Administrator of the USEPA or of the Control Officer to obtain information from the Permittee pursuant to Section 114 of the Act, or any provision of State law. 5) The authority of the Control Officer to require compliance with new applicable requirements adopted after the permit is issued.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 14.K	TERMS OF PERMIT: This Permit shall remain in effect for no more than 5 years from the date of issuance.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 14.L	PERMITS – TRANSFER: Except as provided in ARS §49-429 and County Rule 200, this permit may be transferred to another person if the Permittee gives notice to the Control Officer in writing at least 30 days before the proposed transfer and complies with the permit transfer requirements of County Rule 200 and the administrative permit amendment procedures pursuant to County Rule 210.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 15.A	RECORDKEEPING – RECORDS REQUIRED: The Permittee shall maintain records of all emissions testing and monitoring, records detailing all malfunctions which may cause any applicable emission limitation to be exceeded, records detailing the implementation of approved control plans and compliance schedules, records required as a condition of any permit, records of materials used or produced and any other records relating to the emission of air contaminants which may be requested by the Control Officer.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 15.B	RECORDKEEPING – RETENTION OF RECORDS: Unless a longer time frame is specified by the Rules or these Permit Conditions, the Permittee shall retain information and records required by either the Control Officer or these Permit Conditions as well as copies of summarizing reports recorded by the Permittee and submitted to the Control Officer for 5 years after the date on which the pertinent report is submitted.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 15.C	RECORDKEEPING – MONITORING RECORDS: The Permittee shall retain records of all required monitoring data and support information for a period of at least five years from the date of the monitoring sample, measurement, report, or application. Support information includes all calibration and maintenance records and all original strip-chart recordings or physical records for continuous monitoring instrumentation, and copies of all reports required by the permit. Records of any monitoring required by this Permit shall include the following: 1) The date, place as defined in the permit, and time of sampling or measurements; 2) The date(s) analyses were performed; 3) The company or entity that performed the analyses; 4) The analytical techniques or methods used; 5) The results of such analyses; and 6) The operating conditions as existing at the time of sampling or measurement.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 15.D	RECORDKEEPING – RIGHT OF INSPECTION OF RECORDS: When the Control Officer has reasonable cause to believe that the Permittee has violated or is in violation of any provision of County Rule 100 or any County Rule adopted under County Rule 100, or any requirement of this permit, the Control Officer may request, in writing, that the Permittee produce all existing books, records, and other documents evidencing tests, inspections, or studies which may reasonably relate to compliance or noncompliance with County Rules adopted under County Rule 100. No person shall fail nor refuse to produce all existing documents required in such written request by the Control Officer.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 16.A	REPORTING – ANNUAL EMISSION INVENTORY REPORT: Upon request of the Control Officer and as directed by the Control Officer, the Permittee shall complete and shall submit to the Control Officer an annual emissions inventory report. The report is due by April 30th or 90 days after the Control Officer makes the inventory forms available, whichever occurs later. The annual emissions inventory report shall be in the format provided by the Control Officer. The Control Officer may require submittal of supplemental emissions inventory information forms for air contaminants under ARS §49-476.01, ARS §49-480.03 and County Rule 372.	Standard operating procedures; compliance reviews.	Continuous	No
Section 16.B	REPORTING – DATA REPORTING: When requested by the Control Officer, the Permittee shall furnish information to locate and classify air contaminant sources according to type, level, duration, frequency and other characteristics of emissions and such other information as may be necessary. This information shall be sufficient to evaluate the effect on air quality and compliance with the County or SIP Rules. The Permittee may be required to submit annually, or at such intervals specified by the Control Officer, reports detailing any changes in the nature of the source since the previous report and the total annual quantities of materials used or air contaminants emitted.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 16.C	REPORTING – DEVIATION REPORTING: The Permittee shall promptly report deviations from permit requirements, including those attributable to upset conditions. Unless specified otherwise elsewhere in these Permit Conditions, an upset for the purposes of this Permit Condition shall be defined as the operation of any process, equipment or air pollution control device outside of either its normal design criteria or operating conditions specified in this Permit and which results in an exceedance of any applicable emission limitation or standard. The Permittee shall submit the report to the Control Officer by certified mail, facsimile, or hand delivery within 2 working days of knowledge of the deviation; and the report shall contain a description of the probable cause of such deviations and any corrective actions or preventive measures taken. In addition, the Permittee shall report within a reasonable time of any long-term corrective actions or preventive actions taken as the result of any deviations from permit requirements. All instances of deviations from the requirements of this Permit shall also be clearly identified in the semiannual monitoring reports.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No
Section 16.D	REPORTING – EMERGENCY REPORTING: The Permittee shall, as soon as possible, telephone the Control Officer giving notice of the emergency and submit notice of the emergency to the Control Officer by certified mail, facsimile, or hand delivery within 2 working days of the time when emission limitations were exceeded due to the emergency. This notice shall contain a description of the emergency, any steps taken to mitigate emissions, and corrective actions taken.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 16.E	REPORTING – EMISSION STATEMENTS REQUIRED AS STATED IN THE ACT: Upon request of the Control Officer and as directed by the Control Officer, the Permittee shall provide the Control Officer with an annual emission statement, in such form as the Control Officer prescribes, showing measured actual emissions or estimated actual emissions. At a minimum the emission statement shall contain all information required by the Consolidated Emissions Reporting Rule in 40 CFR 51, Subpart A, Appendix A, Table 2A. The statement shall contain emissions for the time period specified by the Control Officer. The statement shall also contain a certification by a responsible official of the company that the information contained in the statement is accurate to the best knowledge of the individual certifying the statement.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 16.F	REPORTING – EXCESS EMISSIONS REPORTING: 1) The Permittee shall report to the Control Officer any emissions in excess of the limits established either by the County or SIP Rules or these Permit Conditions. The report shall be in two parts as specified below: a) Notification by telephone or facsimile within 24 hours of the time when the Permittee first learned of the occurrence of excess emissions. This notification shall include all available information listed in Permit Condition 16.F.2. b) A detailed written notification of an excess emissions report shall be submitted within 72 hours of the telephone notification in Permit Condition 16.F.1.a. 2) The excess emissions report shall contain the following information: a) The identity of each stack or other emission point where the excess emissions occurred. b) The magnitude of the excess emissions expressed in the units of the applicable emission limitation and the operating data and calculations used in determining the magnitude of the excess emissions. c) The time and duration or expected duration of the excess emissions. d) The identity of the equipment from which the excess emissions emanated. e) The nature and cause of such emissions. f) The steps taken if the excess emissions were the result of a malfunction to remedy the malfunction and the steps taken or planned to prevent the recurrence of such malfunction. g) The steps that were or are being taken to limit the excess emissions. h) If this Permit contains procedures governing source operation during periods of startup or malfunction and the excess emissions resulted from startup or malfunction, the report shall contain a list of the steps taken to comply with the Permit procedures. 3) In the case of continuous or recurring excess emissions, the notification requirements of this section shall be satisfied if the Permittee provides the required notification after excess emissions are first detected and includes in the notification an estimate of the time the excess emissions will continue. Excess emissions occurring after the estimated time period or changes in the nature of the emissions as originally reported shall require additional	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 16.G	REPORTING – OTHER REPORTING: The Permittee shall furnish to the Control Officer, within a reasonable time, any information that the Control Officer may request in writing to determine whether cause exists for revising, revoking and reissuing this permit, or terminating this permit, or to determine compliance with this permit. Upon request, the Permittee shall also furnish to the Control Officer copies of records required to be kept by this Permit. For information claimed to be confidential, the Permittee shall furnish a copy of such records directly to the Administrator along with a claim of confidentiality pursuant to Permit Condition 5.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 17	RIGHT TO ENTRY AND INSPECTION OF PERMISES: A. The Control Officer during reasonable hours, for the purpose of enforcing and administering County or SIP Rules or the Clean Air Act, or any provision of the Arizona Revised Statutes relating to the emission or control prescribed pursuant thereto, may enter every building, premises, or other place, except the interior of structures used as private residences. Every person is guilty of a petty offense under ARS §49-488 who in any way denies, obstructs or hampers such entrance or inspection that is lawfully authorized by warrant. B. The Permittee shall allow the Control Officer or his authorized representative, upon presentation of proper credentials and other documents as may be required by law, to: 1) Enter upon the Permittee's premises where a source is located or emissions-related activity is conducted, or where records are required to be kept pursuant to the conditions of the permit; 2) Have access to and copy, at reasonable times, any records that are required to be kept pursuant to the conditions of the permit; 3) Inspect, at reasonable times, any sources, equipment (including monitoring and air pollution control equipment), practices, or operations regulated or required pursuant to this permit; 4) Sample or monitor, at reasonable times, substances or parameters for the purpose of assuring compliance with the permit or other applicable requirements; and 5) To record any inspection by use of written, electronic, magnetic, and photographic media.	Standard operating procedures.	Continuous	No

SPECIFIC PERMIT CONDITIONS

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 18.A.1	ALLOWABLE EMISSION LIMITATIONS – OFFSITE SULFUR OXIDES LIMITS: The Permittee shall not emit into the ambient air any sulfur oxide in such manner and amounts as to result in ground level concentrations at any place beyond the premises on which the source is located exceeding those limits shown in Table 1. Sulfur Dioxide Ambient Concentration Limits described as follows: Averaging time 1 hour Concentration of Sulfur Dioxide is 850 μg/cubic m. Averaging time 24 hour Concentration of Sulfur Dioxide is 250 μg/cubic m. Averaging time 72 hour Concentration of Sulfur Dioxide is 120 μg/cubic m.	Standard operating procedures. Compliance demonstrated by ambient air quality modeling (Feb 98) and permitted operating scenarios.	Continuous	No
Section 18.A.2	ALLOWABLE EMISSION LIMITATIONS – OPACITY LIMITS: The Permittee shall not discharge into the ambient air from any single source of emissions any air contaminant, other than uncombined water in excess of 20 percent opacity, except as follows: a) Opacity may exceed the applicable limits established in Condition 18.A.2) for up to one hour during the start - up of switching fuels; however, opacity shall not exceed 40% for any six (6) minute averaging period in this one hour period, provided that the Control Officer finds that the owner or operator has, to the extent practicable, maintained and operated the source of emissions in a manner consistent with good air pollution control practices for minimizing emissions. The one hour period shall begin at the moment of startup of fuel switching.	RM 9 observations; standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 18.B	ALLOWABLE EMISSIONS FOR THE STEAM UNITS AND COMBUSTION TURBINES: The Permittee shall not cause, allow or permit the emission of particulate matter, caused by combustion of fuel, from any fuel burning equipment or stationary rotating machinery having a heat input rate of 4200 million Btu per hour or less in excess of the amounts calculated by the following equation: $E = 1.02\ Q^{0.769}$ where: E= the maximum allowable particulate emissions rate in pounds-mass per hour. Q= the heat output in million Btu per hour. Additional Allowable Emissions for the Steam Units: The Permittee shall not emit more than 2.2 pounds of sulfur dioxide, maximum two hours average, per million BTU heat input when combusting fuel oil.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 18.C	ALLOWABLE EMISSIONS FROM NON-RESALE GASOLINE STORAGE TANKS GREATER THAN 250 GALLONS. Vapor loss from the source at any point in time shall not exceed 10,000 ppm as methane as measured by an organic vapor analyzer or combustible gas detector.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 19.A.1	FACILITY-WIDE OPERATIONAL REQUIREMENTS: The Permittee shall combust only pipeline natural gas as defined in 40 CFR 72.2 except when combusting emergency fuel pursuant to County Rule 322 in the combustion turbines and boilers. a) If the Permittee demonstrates to the Control Officer that natural gas is not available due to a national natural gas emergency, natural gas curtailment, unavoidable interruption of supply (e.g., catastrophic pipeline failure), or other similar event; the Permittee shall be authorized to combust fuel oil with sulfur content 0.0015 percent by weight or less in the steam units and combustion turbines under such conditions as are justified. In cases where the Permittee is authorized to combust fuel oil, the Permittee shall submit monthly reports to the Control Officer detailing its efforts to obtain natural gas. When the conditions justifying the fuel oil no longer exist, the Permittee shall combust only pipeline quality natural gas. b) Combustion Units 1 and 2 and Steam Units 1 and 2 shall be exempt from County Rule 322 §§304 and 305 and §§301.1, 306.4, 401.4, and 501.4 for 36 cumulative hours of firing fuel oil per year, per unit for testing, reliability, training, and maintenance purposes.	Standard operating procedures; compliance reviews.	Continuous	No
Section 19.A.2	FACILITY-WIDE OPERATIONAL REQUIREMENTS: The Permittee shall not emit gaseous or odorous air contaminants from equipment, operations, or premises under his control in such quantities or concentrations as to cause air pollution.	Standard operating procedures; compliance reviews.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 19.A.3	FACILITY-WIDE OPERATIONAL REQUIREMENTS: Materials including, but not limited to solvents or other volatile compounds, paints, acids, alkalies, pesticides, fertilizer and manure shall be processed, stored, used and transported in such a manner and by such means that they will not unreasonably evaporate, leak, escape or be otherwise discharged into the ambient air so as to cause or contribute to air pollution. Where means are available to reduce effectively the contribution to air pollution from evaporation, leakage or discharge, the installation and use of such control methods, devices or equipment shall be mandatory.	Standard operating procedures; compliance reviews.	Continuous	No
Section 19.A.4	FACILITY-WIDE OPERATIONAL REQUIREMENTS: Where a stack, vent or other outlet is at such a level that air contaminants are discharged to adjoining property, the Control Officer may require the installation of abatement equipment or the alteration of such stack, vent, or other outlet to a degree that will adequately dilute, reduce or eliminate the discharge of air contaminants to adjoining property.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 19.B	OPERATIONAL REQUIREMENTS NON-RESALE GASOLINE STORAGE TANKS GREATER THAN 250 GALLONS: The Permittee shall prohibit concurrent delivery of gasoline to a tank with more than 1 fill pipe.	Standard operating procedures; compliance reviews.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 19.C.1	OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE: The Permittee shall limit the operation of the emergency engine(s) to no more than 100 hours each per calendar year for the purposes of maintenance checks and readiness testing.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 19.C.2	OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE: The Permittee shall limit the total hours of operation of the emergency engine(s) to no more than 500 hours each per any twelve consecutive months including the hours listed in Condition 19.C.I). The daily trigger of Best Available Control Technology (BACT) has been exempted for the emergency generator(s).	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 19.C.3	OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE: The emergency generator(s) shall not be used for peak shaving. The emergency generator(s) shall only be used for the following purposes: a) For power when normal power service fails from the serving utility or if onsite electrical transmission or onsite power generation equipment fails; b) Reliability-related activities such as engine readiness, calibration, or maintenance or to prevent the occurrence of an unsafe condition during electrical system maintenance as long as the total number of hours of the operation does not exceed 100 hours per calendar year per engine as evidenced by an installed non-resettable hour meter.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

<table>
<tr><th colspan="2">Permit Terms & Conditions</th><th>Methods Used for Compliance</th><th>Compliance Status</th><th>Deviations</th></tr>
<tr><td>Section 19.C.4</td><td>OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE:

The Permittee may not use any fuel that contains more than 0.05% sulfur by weight, alone or in combination with other fuels.</td><td>Standard operating procedures; compliance reviews; recordkeeping.</td><td>Continuous</td><td>No</td></tr>
<tr><td>Section 19.C.5</td><td>OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE:

NSPS Subpart JJJJ Emission Standards: The spark ignition emergency generators shall be certified by the engine manufacturer to meet the following emission standards.

Emission Standards (g/hp-hr)
<table><tr><th>NOx</th><th>CO</th><th>THC</th></tr><tr><td>4.32</td><td>129.14</td><td>0.20</td></tr></table></td><td>Standard operating procedures; compliance reviews; recordkeeping.</td><td>Continuous</td><td>No</td></tr>
<tr><td>Section 19.C.6</td><td>OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE:

Fuel Limitations: The Permittee may only use natural gas, butane and propane fuel for the natural gas fueled emergency engine.</td><td>Standard operating procedures; compliance reviews; recordkeeping.</td><td>Continuous</td><td>No</td></tr>
<tr><td>Section 19.C.7</td><td>OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE:

New Source Performance Standards: Natural Gas Emergency Engine: If the Permittee modifies or reconstructs a stationary (natural gas fueled) spark ignition combustion engine after June 12, 2006, that engine shall comply with all applicable requirements of 40 CFR 60 Subpart JJJJ.</td><td>NA Explanatory statement of law and therefore not amendable to compliance certification.</td><td>NA</td><td>NA</td></tr>
<tr><td>Section 19.C.8</td><td>OPERATIONAL REQUIREMENTS FOR THE GENERAC 125 HP ENGINE:

The Permittee shall operate and maintain the certified SI ICE according to the manufacturer's emission-related written instructions.</td><td>Standard operating procedures; compliance reviews; recordkeeping.</td><td>Continuous</td><td>No</td></tr>
</table>

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 20.A.1	MONITORING REQUIREMENTS FOR THE STEAM BOILERS, UNITS 1 AND 2, AND THE COMBUSTION TURBINES, UNITS 1 AND 2: The Permittee shall meet the monitoring requirements as specified in 40 CFR 75 §§10, 11 (d), 12 (a).	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 20.A.2	MONITORING REQUIREMENTS FOR THE STEAM BOILERS, UNITS 1 AND 2, AND THE COMBUSTION TURBINES, UNITS 1 AND 2: The Permittee shall install, calibrate, maintain, and operate in accordance with Rule 245 a continuous emission monitoring system for the measurement of opacity for the steam boilers, Units 1 and 2, which meet the performance specifications of Rule 245 §303.1 except as stated in Rule 245 § 302.1a.(1) if pipeline quality natural gas is the only fuel burned. This monitoring requirement will not apply if the Permittee is able to comply with the applicable particulate matter and opacity regulations without utilization of particulate matter collection equipment and the Permittee has never been found through any administrative or judicial proceedings to be in violation of any visible emission standard of the applicable plan.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 20.A.3	MONITORING REQUIREMENTS FOR THE STEAM BOILERS, UNITS 1 AND 2, AND THE COMBUSTION TURBINES, UNITS 1 AND 2: The Permittee shall monitor for compliance with the particulate matter emissions limits of the permit by taking a visual opacity inspection of the stack emissions from each steam unit and each combustion turbine each week of operation during which that equipment was used more than 10 hours. Reading shall not be taken during start-up, shut down or any other irregularities in the operation which do not aggregate to more than 3 minutes in any 60 minute period. If emissions are visible, the Permittee shall obtain an opacity reading conducted in accordance with EPA Reference Method 9 as modified by EPA Reference Method 203B by a certified reader. This reading shall be taken within 3 days of the visible emissions and taken thereafter weekly until there are no visible emissions. If the condition causing the visible emissions is eliminated before three days have passed, and no emissions are visible, the Permittee shall not be required to conduct the certified reading. If the reading exceeds 15 percent opacity, the Control Officer may require emissions testing by other EPA approved Reference Method such as Reference Method 5 to demonstrate compliance with the particulate matter emission limits of these Permit Conditions. For the purposes of these Permit Conditions, a certified Visible Emissions reader shall mean an individual who, at the time the reading is taken, is certified according to the County Rule Appendix C Section 3.4.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 20.B.1	RECORDKEEPING REQUIREMENTS FOR THE STEAM UNITS AND COMBUSTION TURBINES: The Permittee shall maintain a file of all measurements as required by Rule 210 §302.1.d, including continuous monitoring system (CO and NOx emission records), monitoring device (operating parameter record; all continuous monitoring system performance evaluations; all continuous monitoring system or monitoring device calibration checks; adjustments and maintenance performed on these systems or devices; and all other information required by 40 CFR Part 75 Subpart F recorded in a permanent form.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 20.B.2	RECORDKEEPING REQUIREMENTS FOR THE STEAM UNITS AND COMBUSTION TURBINES: The Permittee shall keep all the records of the fuel supplier certification of the sulfur content of the fuel oil being combusted in each steam unit and each combustion turbine. The supplier certification shall include: a) The name of the oil supplier; b) The sulfur content of the oil from which the shipment came (or of the shipment itself); and c) The method used to determine the sulfur content of the oil.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 20.B.3	RECORDKEEPING REQUIREMENTS FOR THE STEAM UNITS AND COMBUSTION TURBINES: If the Permittee performs the sampling procedure in order to determine the sulfur content of the fuel oil, than the Permittee shall also keep the records of the location of the oil when the sample was drawn for analysis, specifically including whether the oil was sampled as delivered to the affected facility, or whether the sample was drawn from oil in storage at the facility or another location.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No
Section 20.B.4	RECORDKEEPING REQUIREMENTS FOR THE STEAM UNITS AND COMBUSTION TURBINES: The Permittee shall keep records from the pipeline quality natural gas supplier to monitor for compliance with permit condition 19.A.1).	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 20.B.5	RECORDKEEPING REQUIREMENTS FOR THE STEAM UNITS AND COMBUSTION TURBINES: The Permittee shall keep daily records of the type, sulfur content and amount of fuel used along with the hours of operation in each steam unit and each combustion turbine.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 20.B.6	RECORDKEEPING REQUIREMENTS FOR THE STEAM UNITS AND COMBUSTION TURBINES: The Permittee shall log the opacity reading conducted in accordance with EPA Reference Method 22 and log the opacity reading conducted in accordance with EPA Reference Method 9 as modified by EPA Reference Method 203B. The Permittee shall record any deviations that were less than the 3 day period which would require a certified reading. This information should include the date and time, when that reading was taken, results of the reading, name of the person who took the reading and any other related information as required by the protocol for EPA Reference Method 9 as modified by EPA Reference Method 203B or Method 22 as applicable.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 20.B.7	RECORDKEEPING REQUIREMENTS FOR THE STEAM UNITS AND COMBUSTION TURBINES: The Permittee shall maintain a log of complaints of odors detected off-site. The log shall contain a description of the complaint, date and time that the complaint was received, and if given, name and/or phone number of the complainant. The logbook shall describe what actions were performed to investigate the complaint, the results of the investigation, and any corrective actions that were taken.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 20.C	MONITORING/RECORDKEEPING REQUIREMENTS FOR THE NON-RESALE GASOLINE TANKS GREATER THAN 250 GALLONS: The Permittee shall keep the following records and supporting information no less than five years from the date of such record: 1) Inspect spill containment receptacles weekly for cracks, defects, foreign material, and spilled gasoline. Records shall be maintained as specified below. 2) External fittings of the fill pipe assembly shall be inspected weekly to assure that the cap, gasket, and piping are intact and are not loose. 3) If deliveries are less than weekly, inspection and recording of the inspection at the time of each delivery will be considered an acceptable alternative to the weekly inspection and recordkeeping requirements of the rule. 4) The total amount of gasoline received each month shall be recorded by the end of the following month. 5) Weekly inspection records of the fill pipe and spill containment shall be recorded by the end of Saturday of the following week. 6) Records of the last 12 months shall be onsite and readily available to the Control Officer without delay.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 20.D	MONITORING/RECORDKEEPING REQUIREMENTS FOR THE GENERAC 125 HP ENGINE: 1) The Permittee shall maintain the following records for a period of at least five years from the date of the records and make them available to the Control Officer upon request: a) An initial one time entry listing the particular engine combustion type (compression or spark-ignition or rich or lean bum); manufacturer; model designation, rated brake horsepower, serial number and where the engine is located on the site. b) Fuel type and sulfur content of fuel; and an explanation for the use of the engine if it is used as an emergency engine. [Rule 324 §502] c) Emergency Provisions: The Permittee shall comply with all record keeping and reporting requirements of Rule 130 (Emergency Provisions) and Rule 140 (Excess Emissions) if the annual allowable hours of operation are exceeded. [Rule 130; Rule 140] d) The 12-month rolling total hours shall be calculated monthly within 28 days following the end of each calendar month by summing the hours over the most recent 12 calendar months, including hours of operation for testing, reliability, and maintenance. The hours used for testing, reliability, and maintenance shall also be calculated per calendar year within 28 days following the end of the calendar year. The Permittee shall keep this hourly report on-site for inspection or submittal upon request. [Rule 210 §302.1] e) Monitoring: The Permittee shall not operate the emergency generator(s) unless its cumulative run time meter is installed and working properly. f) Low Sulfur Oil Verification: If the Control Officer requests proof of the sulfur content of fuel burned in the engines, the Permittee shall submit fuel receipts, contract specifications, pipeline meter tickets, Material Safety Data Sheets (MSDS), fuel supplier information or purchase records, if applicable, from the fuel supplier, indicating the sulfur content of the fuel oil. In lieu of these, testing of the fuel oil for sulfur content to meet the applicable sulfur limit shall be permitted if so desired by the owner or	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 21.A	REPORTING REQUIREMENTS FOR THE STEAM UNITS ONLY: The Permittee shall electronically report to EPA the data and information as required by 40 CFR Part 75.64 on a quarterly basis. Quarterly submittals shall include facility data, unit emission data, monitoring data, control equipment data, monitoring plans and quality assurance data and results.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 21.B	REPORTING REQUIREMENTS: The Permittee shall file a semiannual Monitoring Report and Compliance Certification no later than April 30, and shall report the monitoring and compliance status of the source during the period between October 1 of the previous year and March 31 of the current year. The second report and certification shall be submitted no later than October 31 and shall report the monitoring and compliance status of the source during the period between April 1 and September 30 of the current year. The Monitoring Report and Compliance Certification shall be sent to the Compliance Division with attention to: Compliance Division Manager and shall contain the following information at a minimum: 1) Dates on which opacity readings were taken, the test method used, and the observed opacity; 2) Fuel Supplier Certification regarding sulfur content for all fuel oil delivered during reporting period; 3) A copy of the log of complaints of odors or air pollution, and the results of investigations performed in response to odor or air pollution complaints and any corrective actions taken. 4) Monthly usage reports of each volatile surface coating related to surface coating. 5) Material list and a list of the coatings which are exempt from the volatile organic compounds content requirements. 6) a) Summary of the monthly and 12-month rolling total records of the gasoline delivered. b) Records of the inspections of the submerged fill pipe required by these Permit Conditions. 7) Any deviations from the approved Dust Control Plan. 8) A summary of the opacity readings during external blasting and blasting with baghouse, control measures utilized for abrasive blasting and dates on which any blasting was performed. 9) The dates and description of any usage of cutback and emulsified asphalt. 10) Monthly records of the amount of each coating, adhesive, solvents and any other VOC-containing materials used.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 22	TESTING REQUIREMENT: The combustion units at the current facility were constructed and operational before the current testing regulations were put into effect and are exempt from the current testing requirements.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA
Section 23.A	OTHER REQUIREMENTS – PERMIT SHIELD: Compliance with the conditions of this Permit shall be deemed compliance with the applicable requirements identified in Appendix "B" of this Permit. The Permit Shield shall not extend to minor permit revisions.	NA Explanatory statement of law and therefore not amendable to compliance certification.	NA	NA

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 23.B	OTHER REQUIREMENTS – ACID RAIN PERMIT: 1) The Acid Rain Phase II Permit Application and Certificate of Representation signed by the Designated Representative and submitted to the Control Officer shall constitute the Permittee's Acid Rain Permit. 2) The Permittee shall comply with the Acid Rain Permit, 40 CFR Parts 72, 73, and 75, and the Acid Rain requirements of Permit Condition 6.A. 3) The relevant Conditions of this Permit and the Acid Rain Permit, including but not limited to, the Allowable Emission Limits, Operation Requirements, Monitoring/Recordkeeping Requirements, Reporting Requirements, and Testing Requirements shall constitute the Compliance Plan required by 40 CFR Part 72 Subpart D. 4) The Permittee shall hold SO2 Allowances as of the allowance transfer deadline in each Combined Cycle System compliance subaccount not less than the total annual actual emissions of SO2 for the previous calendar year from each combined Cycle System as required by the Acid Rain Program. 5) The SO2 Allowance Allocations for Affected Systems are shown in Table 2: Unit 1 2000-2009: 56; 2010 and thereafter: 40 Unit 2 2000-2009: 132; 2010 and thereafter: 129 None of these units are subject to a NOx limit pursuant to 40 CFR Part 76.	Standard operating procedures; compliance reviews.	Continuous	No
Section 24	SURFACE COATING OPERATIONS: If the Permittee engages in any surface coating operations, the Permittee shall comply with all applicable conditions from County Rule 336: Surface Coating Operations.	Standard operating procedures; compliance reviews.	Continuous Term NA during this period.	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 25	DEGREASERS: If the Permittee engages in any degreasing operations, the Permittee shall comply with all applicable conditions from County Rule 331: Solvent Cleaning.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 26	WIPE CLEANING: If the Permittee engages in any wipe cleaning operations, the Permittee shall comply with all applicable conditions from County Rule 331: Solvent Cleaning.	Standard operating procedures; compliance reviews.	Continuous	No
Section 27	ARCHITECTURAL COATINGS: If the Permittee applies any architectural coatings, the Permittee shall comply with the requirements of County Rule 335: Architectural Coatings.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No
Section 28.A	NON-RESALE GASOLINE STORAGE TANKS WITH CAPACITY GREATER THAN 250 GALLONS AND GASOLINE THROUGHPUT LESS THAN 120,000 GALLONS PER YEAR – ALLOWABLE THROUGHPUT: The Permittee shall limit the delivery of gasoline to the facility to less than 10,000 gallons per month and less than 120,000 gallons per year.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 28.B.1	NON-RESALE GASOLINE STORAGE TANKS WITH CAPACITY GREATER THAN 250 GALLONS AND GASOLINE THROUGHPUT LESS THAN 120,000 GALLONS PER YEAR – VOC EMISSION STANDARD: No vapor or liquid escapes are allowed through a dispensing tank's outer surfaces, nor from any of the joints where the tank is connected to pipe(s), wires, or other system. Tanks and their fittings shall be vapor tight except for the outlet of a pressure/vacuum relief valve on a dispensing tank's vent pipe. Specifically, this means that at a probe tip distance of 1 inch (2.5 cm) from a surface, no vapor escape shall exceed 1/5 of the lower explosive limit. This applies to tanks containing gasoline regardless of whether they are currently being filled, and to caps and other tank fittings.	Standard operating procedures; compliance reviews.	Continuous	No
Section 28.B.2	NON-RESALE GASOLINE STORAGE TANKS WITH CAPACITY GREATER THAN 250 GALLONS AND GASOLINE THROUGHPUT LESS THAN 120,000 GALLONS PER YEAR – LEAKAGE LIMITS-LIQUID LEAKS AND SPILLS: a) Gasoline storage and receiving operations shall be leak free. Specifically, no liquid gasoline escape of more than 3 drops per minute is allowed. This includes leaks through the walls of piping, fittings, fill hose(s), and vapor hose(s). b) All open gasoline containers shall be covered with a gasketed seal when not in use. c) There shall be no excess gasoline drainage from the end of a fill hose or a vapor hose. Specifically, not more than 2 teaspoonfuls of gasoline shall be lost in the course of a connect or disconnect process. d) Minimize gasoline sent to open waste collection systems that collect and transport gasoline to reclamation and recycling devices, such as oil/water separators.	Standard operating procedures; compliance reviews.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 28.B.3	NON-RESALE GASOLINE STORAGE TANKS WITH CAPACITY GREATER THAN 250 GALLONS AND GASOLINE THROUGHPUT LESS THAN 120,000 GALLONS PER YEAR – SPILL CONTAINMENT: The entire spill containment system including gaskets shall be kept vapor-tight. a) The Spill Containment Receptacle: (1) The outer surface of the spill containment receptacle shall have no holes or cracks and shall allow no vapors to pass from the dispensing tank through it to the atmosphere. (2) Spill containment receptacles shall be kept clean and free of foreign material at all times. b) If the spill containment is equipped with a passageway to allow material trapped by the containment system to flow into the interior of the dispensing tank: (1) The passageway shall be kept vapor tight at all times, except during the short period when a person opens the passageway to immediately drain material trapped by the containment system into the tank. (2) The bottom of the receptacle shall be designed and kept such that no puddles of gasoline are left after draining through the passageway has ceased. c) The dispensing tank owner/operator is responsible for assuring that before a delivery vessel leaves the premises after a delivery: (1) Any gasoline in the spill containment system and vault shall be cleaned up as expeditiously as practicable and shall be removed prior to delivery trucks leaving the site. (2) Any gasoline absorbed onto other materials shall be contained in order to minimize emissions prior to delivery trucks leaving the site.	Standard operating procedures; compliance reviews.	Continuous	No

	Permit Terms & Conditions	Methods Used for Compliance	Compliance Status	Deviations
Section 28.B.4	NON-RESALE GASOLINE STORAGE TANKS WITH CAPACITY GREATER THAN 250 GALLONS AND GASOLINE THROUGHPUT LESS THAN 120,000 GALLONS PER YEAR – FILL PIPE: a) The tank shall be equipped with a permanent submerged fill pipe, the end of which is totally submerged when the liquid level is 6 inches from the bottom of the tank; b) Threads and gaskets shall be kept vapor tight; c) Fill pipe caps shall have a secure, intact gasket which latches completely and has no structural defects; d) The fill pipe caps may only be removed to measure the gasoline depth in the tank, deliver gasoline, or for testing, maintenance, and inspection of the vapor recovery system; e) Overfill prevention equipment shall be kept vapor tight so that no emissions from the tank can penetrate into the fill-pipe or atmosphere; f) Fill Pipe Obstructions: (1) Any type of screen or obstruction in fill-pipe assemblies shall be removed as of November 1, 1999 unless it is approved in writing by the Control Officer or is CARB-certified per Rule 353 §503.4. (2) A screen or other obstruction, allowed by Air Pollution Permit or CARB, shall be temporarily removed by the owner/operator of a dispensing tank prior to inspection by the Control Officer to allow measurements pursuant to this rule.	Standard operating procedures; compliance reviews.	Continuous	No
Section 29	ABRASIVE BLASTING OPERATIONS: If the Permittee engages in abrasive blasting activities, the Permittee shall comply with the requirements of County Rule 312: Abrasive Blasting.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No

Permit Terms & Conditions		Methods Used for Compliance	Compliance Status	Deviations
Section 30	CUTBACK AND EMULSIFIED ASPHALT: If the Permittee applies cutback and emulsified asphalt and other bitumens to roads, parking lots, driveways or other surfaces, the Permittee shall comply with the requirements of County Rule 340: Cutback and Emulsified asphalt.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous Term NA during this period.	No
Section 31	VOLATILE ORGANIC COMPOUNDS: The Permittee shall comply with all applicable conditions from County Rule 330: Volatile Organic Compounds.	Standard operating procedures; compliance reviews; recordkeeping.	Continuous	No

I, Thomas Livingston, as Responsible Official, Plant Manager for the APS Ocotillo Power Plant, hereby certify that:

1. The applicable requirements for the Ocotillo Power Plant that are the basis of this certification are set forth in the Ocotillo Title V Permit.

2. The Ocotillo Power Plant is in compliance with the applicable requirements listed in the Ocotillo Title V Permit, and will comply with any additional requirements, if any, become applicable during the permit term.

3. The methods used to determine compliance with the listed applicable requirements are set forth in Section 4 of this permit application and in the Ocotillo Title V Permit.

4. Arizona Public Service Company will submit required semi-annual compliance certifications no later than April 30, for operations between October 1 and March 31, and the second report will be submitted no later than October 31, for operations between April 1 and September 30.

5. Based on information and belief formed after reasonable inquiry, the statement and information in the permit application are true, accurate and complete.

_____ Date: _____

Thomas Livingston
Ocotillo Plant Manager

Ocotillo Power Plant

Application to construct five (5) new natural gas-fired General Electric LMS100 simple cycle gas turbine generators

Appendix B.

Control Technology Review

Best Available Control Technology (BACT) analysis for the natural gas-fired General Electric LMS100 simple cycle gas turbine generators and the new cooling tower.

Original Date: April, 2014
Updated: July 14, 2014

Prepared for:

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Executive Summary

This document is a control technology review or Best Available Control Technology (BACT) analysis for the Ocotillo Power Plant Modernization Project. The location of the Ocotillo Power Plant is currently classified as a serious nonattainment area for particulate matter less than 10 microns (PM_{10}), a marginal nonattainment area for ozone, and an attainment or unclassified area for all other Prevention of Significant Deterioration (PSD) regulated pollutants. With this application, APS is proposing to construct 5 new GTs and permanently retire the existing Ocotillo steam electric generating units 1 and 2. Based on the total potential emissions for the Project as proposed in this application and the current actual emissions of the retired Unit 1 and 2 steamers, the Project will result in an emissions increase and a net emissions increase in carbon monoxide (CO), particulate matter (PM), $PM_{2.5}$, and greenhouse gas (GHG) emissions that are above the PSD significant emission rates. Therefore, the PSD BACT requirements apply for these pollutants, and this document presents the PSD BACT analyses.

The major source threshold in serious nonattainment areas for PM_{10} is 70 tons per year. With this application, APS is proposing emission limits in accordance with County Rule 201 for the existing gas turbines which, in combination with the proposed limits for the new emission units, will limit the total potential emissions for the entire Ocotillo Power Plant below the major source threshold levels for PM_{10} emissions. Therefore, the Project will not be subject to the NANSR for PM_{10}.

Based on the proposed limits in this application, the Project will not result in a significant net emissions increase for NO_x or VOC emissions, therefore the Project is not subject to either the PSD nor NANSR program for these pollutants. However, Maricopa County's Air Pollution Control Regulations (MCAPCR), Rule 241, Section 301.1, requires the application of BACT to any new stationary source which emits more than 150 lbs/day or 25 tons/yr of NO_x or VOC emissions. Because the GTs would have maximum NO_x and VOC emissions which exceed these thresholds, this document includes the County required BACT analyses for NO_x and VOC emissions to address MCAPCR Rule 241. The proposed emission limits which represent BACT for the GTs are summarized in the following table.

Pollutant	PSD or County BACT Requirement	Proposed BACT Emission Limit
Carbon Monoxide (CO)	PSD BACT	6.0 ppmdv at 15% O_2, based on a 3-hour average.
Nitrogen Oxides (NO_x)	County BACT	2.5 ppmdv at 15% O_2, based on a 3-hour average.
Particulate Matter PM and $PM_{2.5}$	PSD BACT	5.4 pounds per hour, combined filterable and condensable.
Volatile Organic Compounds (VOC)	County BACT	2.0 ppmdv at 15% O_2, based on a 3-hour average.
Greenhouse Gases (CO_2e)	PSD BACT	1. Achieve an initial heat rate of no more than 8,742 Btu/kWhr of gross electric output at 100% load. 2. 1,690 lb CO_2/MWh of gross electric output, based on a 12-month rolling average. 3. Prepare and follow a Maintenance Plan.

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Chapter 1. Control Technology Review Methodology.

1.1 Best Available Control Technology (BACT).

The Clean Air Act defines "best available control technology" (BACT) as:

> "...an emission limitation based on the maximum degree of reduction for each pollutant subject to regulation under this Act emitted from or which results from any major emitting facility, which the permitting authority, on a case-by-case basis, taking into account energy, environmental, and economic impacts and other costs, determines is achievable for such facility through application of production processes and available methods, systems, and techniques, including fuel cleaning, clean fuels, or treatment or innovative fuel combustion techniques for control of each such pollutant. In no event shall application of 'best available control technology' result in emissions of any pollutants which will exceed the emissions allowed by any applicable standard established pursuant to section 111 or 112 of this Act. Emissions from any source utilizing clean fuels, or any other means, to comply with this paragraph shall not be allowed to increase above levels that would have been required under this paragraph as it existed prior to November 15, 1990."

Under the Maricopa County Air Pollution Control Regulations, Rule 100, Section 200.24, "best available control technology" (BACT) means:

> **200.24** **BEST AVAILABLE CONTROL TECHNOLOGY (BACT)** - An emissions limitation, based on the maximum degree of reduction for each pollutant, subject to regulation under the Act, which would be emitted from any proposed stationary source or modification, which the Control Officer, on a case-by-case basis, taking into account energy, environmental, and economic impacts and other costs, determines is achievable for such source or modification through application of production processes or available methods, systems, and techniques, including fuel cleaning or treatment or innovative fuel combination techniques for control of such pollutant. Under no circumstances shall BACT be determined to be less stringent than the emission control required by an applicable provision of these rules or of any State or Federal laws ("Federal laws" include the EPA approved State Implementation Plan (SIP)). If the Control Officer determines that technological or economic limitations on the application of measurement methodology to a particular emissions unit would make the imposition of an emissions standard infeasible, a design, equipment, work practice, operational standard, or combination thereof may be prescribed instead to satisfy the requirement for the application of BACT. Such standard shall, to the degree possible, set forth the emissions reduction achievable by implementation of such design, equipment, work practice or operation, and shall provide for compliance by means which achieve equivalent results.

The BACT requirement applies for a given pollutant to each individual new or modified emission unit when the project, on a facility-wide basis, has a significant net emissions increase for that pollutant. Individual BACT determinations are performed on a unit-by-unit, pollutant-by-pollutant basis.

1.2 Top Down BACT Methodology.

The U.S. EPA recommends a "top-down" approach in conducting a BACT or LAER analysis. This method evaluates progressively less stringent control technologies until a level of control considered BACT is reached, based on the environmental, energy, and economic impacts. The five steps of a top-down BACT analysis are:

1. Identify all available control technologies with practical potential for application to the emission unit and regulated pollutant under evaluation;
2. Eliminate all technically infeasible control technologies;
3. Rank remaining control technologies by effectiveness and tabulate a control hierarchy;
4. Evaluate most effective controls and document results; and
5. Select BACT, which will be the most effective practical option not rejected, based on economic, environmental, and/or energy impacts.

The impact analysis of any BACT review includes an evaluation of environmental, energy, technical, and economic impacts. The net environmental impact associated with a control alternative may be considered if dispersion modeling analyses are performed. The energy impact analysis estimates the direct energy impacts of the control alternatives in units of energy consumption. If possible, the energy requirements for each control option are assessed in terms of total annual energy consumption. The most important issue of the BACT review is generally the economic impact. The economic impact of a control option is assessed in terms of cost effectiveness and ultimately, whether the option is economically reasonable. The economic impacts are reviewed on a cost per ton controlled basis, as directed by the U.S. EPA's Office of Air Quality Planning and Standards (OAQPS) Cost Control Manual, Fifth Edition.

The EPA has consistently interpreted the statutory and regulatory BACT definitions as containing two core requirements, which EPA believes, must be met by any BACT determination, irrespective of whether it is conducted in a "top-down" manner. First, the BACT analysis must include consideration of the most stringent available technologies: i.e., those that provide the "maximum degree of emissions reduction." Second, any decision to require a lesser degree of emissions reduction must be justified by an objective analysis of "energy, environmental, and economic impacts" contained in the record of the permit decisions.

1.3 Technical Feasibility.

Step 2 of the BACT analysis involves the evaluation of all of the identified available control technologies from Step 1 to determine their technical feasibility. A control technology is technically feasible if it has been previously installed and operated successfully at a similar emission source, or there is technical agreement that the technology can be applied to the emission source. Technical infeasibility is demonstrated through clear physical, chemical, or other engineering principles that demonstrate that technical difficulties preclude the successful use of the control option.

The technology must be commercially available for it to be considered as a candidate for BACT. EPA's New Source Review Workshop Manual, page B.12 states, "Technologies which have not yet been applied to (or permitted for) full scale operations need not be considered available; an applicant should be able to purchase or construct a process or control device that has already been demonstrated in practice."

In general, if a control technology has been "demonstrated" successfully for the type of emission source under review, then it would normally be considered technically feasible. For an undemonstrated technology, "availability" and "applicability" determine technical feasibility. Page B.17 of the New Source Review Workshop Manual states:

> Two key concepts are important in determining whether an undemonstrated technology is feasible: "availability" and "applicability." As explained in more detail below, a technology is considered "available" if it can be obtained by the applicant through commercial channels or is otherwise available within the common sense meaning of the term. An available technology is "applicable" if it can reasonably be installed and operated on the source type under consideration. A technology that is available and applicable is technically feasible.
>
> Availability in this context is further explained using the following process commonly used for bringing a control technology concept to reality as a commercial product:
>
> - concept stage;
> - research and patenting;
> - bench scale or laboratory testing;
> - pilot scale testing;
> - licensing and commercial demonstration; and
> - commercial sales.

Applicability involves not only commercial availability (as evidenced by past or expected near-term deployment on the same or similar type of emission source), but also involves consideration of the physical and chemical characteristics of the gas stream to be controlled. A control method applicable to one emission source may not be applicable to a similar source depending on differences in physical and chemical gas stream characteristics.

1.4 Economic Feasibility.

Economic feasibility is normally evaluated according to the average and incremental cost effectiveness of the control option. From the U.S. EPA's New Source Review Manual, page B.31, average cost effectiveness is the dollars per ton of pollutant reduced. The incremental cost effectiveness is the cost per ton reduced from the technology being evaluated as compared to the next lower technology. The EPA NSR Review Manual states that, "where a control technology has been successfully applied to similar

sources in a source category, an applicant should concentrate on documenting significant cost differences, if any, between the application of the control technology on those sources and the particular source under review".

1.1.1 Average Cost Effectiveness.

In the EPA's New Source Review Manual, page B.37, average cost effectiveness is calculated as:

$$\text{Average Cost Effectiveness (\$ per ton removed)} = \frac{\text{Control option annualized cost}}{\text{Baseline emission rate} - \text{Control option emissions rate}}$$

The average cost effectiveness is based on the overall reduction in the air pollutant from the baseline emission rate. In the draft Workshop Manual, the EPA states that the baseline emission rate represents uncontrolled emissions for the source. However, the manual also states that when calculating the cost effectiveness of adding controls to inherently lower emitting processes, baseline emissions may be assumed to be the emissions from the lower emitting process itself.

1.1.2 Incremental Cost Effectiveness.

In addition to determining the average cost effectiveness of a control option, the U.S. EPA's New Source Review Manual states that the incremental cost effectiveness between dominant control options should also be calculated. The incremental cost effectiveness compares the costs and emissions performance level of a control option to those of the next most stringent control option:

$$\text{Incremental Cost (\$ per incremental ton removed)} = \frac{\text{Control option annualized cost} - \text{Next control option annualized cost}}{\text{Next control option emission rate} - \text{Control option emissions rate}}$$

Chapter 2. Carbon Monoxide (CO) Control Technology Review.

Carbon monoxide (CO) is emitted from simple cycle gas turbines as a result of incomplete combustion. Therefore, the most direct approach for reducing CO emissions (and also reduce the other related pollutants) is to improve combustion. Incomplete combustion also leads to emissions of volatile organic compounds (VOC) and organic hazardous air pollutants (HAP) such as formaldehyde. CO emissions as well as VOC and organic HAP emissions may also be reduced using post combustion control systems including oxidation catalyst systems.

2.1 BACT Baseline.

There are no current State Implementation Plan (SIP) regulations or federal regulations applicable to CO or VOC emissions from these simple cycle gas turbines.

Maricopa County's Air Pollution Control Regulations, Rule 241, Permits for New Sources and Modifications to Existing Sources, Section 301.1 requires the application of BACT to any new stationary source which emits more than 550 lbs/day or 100 tons/yr of carbon monoxide.

2.2 STEP 1. Identify All Available Control Technologies.

Table B2-1 is a summary of CO control technologies and emission limits for natural gas-fired simple cycle gas turbines from the U.S. EPA's RACT/BACT/LAER database. The lowest reported emission limit is 4 ppm for an F-class, 175 MW Siemens turbine. Note, however, that this emission limit is only for operating loads above 70% of the maximum rated capacity of the turbine. This unit has additional CO BACT limits of 10 ppm for loads between 60% and 70%, and 150 ppm for loads less than 60%. This F-class turbine is a much larger gas turbine with a different design than the LMS100 aero derivative units, and cannot meet a single CO emission limit across the wide range of loads that the proposed Ocotillo GTs must operate across.

There are also three permits with a CO emission limit of 5 ppm, all located in New Jersey. Two of these facilities utilize 68 MW Rolls Royce Trent turbines, and one utilizes General Electric LMS6000 gas turbines. The BACT clearinghouse database does not include descriptions of the operating load range over which the 5 ppm CO limit may apply. It does not appear that this BACT limit does not apply to the low load operating ranges between 25% and 50% over which these proposed LMS100 gas turbines are designed to operate.

Table B2-2 is a summary of CO emission limits for natural gas-fired simple cycle gas turbines from the South Coast Air Quality Management District's LAER/BACT determinations. The BACT emission limits for similar sized turbines range from 6 to 10 parts per million on a dry volume basis (ppmdv), corrected to 15% excess oxygen. Several determinations in 2012 concluded that the use of oxidation

catalysts and a CO limit of 6.0 ppmdv at 15% O_2 is BACT. The San Joaquin Valley Air Pollution Control District lists BACT for CO emissions from simple cycle gas turbines of 0.024 lb/mmBtu, equal to 10 ppmdv @ 15% O2.

This database indicates two major control technologies used to control CO and VOC emissions, including Good Combustion Practices (GCP), and Oxidation Catalysts (OC). Included within the category of good combustion practices is Water Injection (WI). There are several other potential advanced control technologies including catalytic combustion (such as XONON) and catalytic absorption/oxidation technology (such as SCONOx™).

Based on this review, the following technologies have potential for applicability to these turbines:

1. Good Combustion Practices (GCP)
2. Oxidation Catalyst (OC)
3. Catalytic Combustion and Catalytic Absorption/Oxidation (EMx or SCONOx™)

TABLE B2-1. Carbon monoxide (CO) control technologies and emission limits for natural gas-fired simple cycle gas turbines from the U.S. EPA's RACT/BACT/LAER database.

FACILITY NAME	STATE	PERMIT DATE	CONTROL METHOD	LIMIT, ppmdv at 15% O_2
Great River Energy - Elk River Station	MN	07/01/2008	OC	4
PSEG Fossil Kearny Generating Station	NJ	10/27/2010	OC, GCP	5
Bayonne Energy Center	NJ	09/24/2009	OC	5
Howard Down Station	NJ	09/16/2010	OC	5
Arvah B. Hopkins Generating Station	FL	10/26/2004	OC	6
Cheyenne Prairie Generating Station	WY	08/28/2012	OC	6
Lonesome Creek Generating Station	ND	09/16/2013	OC	6
Pioneer Generating Station	ND	05/14/2013	OC	6
EI Colton, LLC	CA	01/10/2003	OC	6
Shady Hills Generating Station	FL	01/12/2009		6.5
FPL Manatee Plant - Unit 3	FL	04/15/2003	GCP	7.4
Progress Bartow Power Plant	FL	01/26/2007	GCP	8
FPL Martin Plant	FL	04/16/2003	GCP	8
Louisville Gas And Electric Company	KY	06/06/2003	GCP	9
Dahlberg Electric Generating Facility	GA	05/14/2010	GCP	9
Bosque County Power Plant	TX	02/27/2009	GCP	9
ODEC - Marsh Run Facility	VA	02/14/2003	GCP	9
ODEC - Louisa	VA	03/11/2003	GCP	9
ODEC -Marsh	VA	02/14/2003	GCP	9
ODEC - Louisa Facility	VA	03/11/2003	GCP	9
Fairbault Energy Park	MN	07/15/2004	GCP	10

Footnotes

OC means Oxidation Catalyst; GCP means Good Combustion Practices.

TABLE B2-2. CO emission limits for natural gas-fired simple cycle gas turbines from the South Coast Air Quality Management District's LAER/BACT determinations.

FACILITY	PERMIT DATE	TURBINE DESCRIPTION	CO LIMIT, ppmdv at 15% O_2	AVERAGING PERIOD
EI Colton, LLC	1/10/2003	GE LM6000	6.0	3-hr
Indigo Energy (Wildflower Energy LP)	7/13/2001	GE LM6000	6.0	1-hr
Los Angeles Dept of Water & Power	5/18/2001	GE LM6000	6.0	3-hr

2.3 STEP 2. Identify Technically Feasible Control Technologies.

2.3.1 Good Combustion Practices.

Good combustion practices including the use of water injection or dry low NO_x combustion are effective methods for controlling CO and VOC emissions from these gas turbines.

The most widely used combustion control technology for aero derivative gas turbines and gas turbines with capacities less than 100 MW is water injection. An alternative to water injection is steam injection. The injection of water or steam directly into the turbine combustor lowers the peak flame temperature and reduces thermal NO_x formation. Injection rates for both water and steam are usually described by a water-to-fuel ratio, referred to as omega (Ω), given on a weight basis (e.g., pounds of water per pound of fuel). By controlling combustion conditions, this process minimizes NO_x, CO and VOC emissions.

A significant advantage of water injection for these simple cycle gas turbines is the ability to achieve higher peak power output levels with water injection. The use of water injection increases the mass flow through the turbine which increases power output, especially at high ambient temperatures when peak power is often needed from these turbines. This is especially important for these gas turbines because the Ocotillo Power Plant is located in a region with high ambient temperatures.

2.3.2 Oxidation Catalysts.

For natural gas turbines applications, the lowest CO and VOC emission levels have been achieved using oxidation catalysts installed as post combustion control systems. The typical oxidation catalyst is a rhodium or platinum (noble metal) catalyst on an alumina support material. This catalyst is typically installed in a reactor with flue gas inlet and outlet distribution plates. CO and VOC react with oxygen (O_2) in the presence of the catalyst to form carbon dioxide (CO_2) and water (H_2O) according to the following general equations:

$$2CO + O_2 \rightarrow 2CO_2$$
$$2C_nH_{2n+2} + (3n + 1)O_2 \rightarrow 2nCO_2 + (2n+2)H_2O$$

Acceptable catalyst operating temperatures range from 400 – 1,250 °F, with the optimum temperature range of 850 - 1,100 °F. Below approximately 400 °F, catalyst activity (and oxidation potential) is negligible. This temperature range is generally achievable with simple cycle gas turbines except at low load startup and shutdown conditions. Oxidation catalysts have the potential to achieve approximately

90% reductions in "uncontrolled" CO emissions at steady state operation. VOC reduction capabilities are much less.

2.3.3 Catalytic Combustion.

Catalytic combustion involves the use of a catalyst to reduce combustion temperatures while increasing combustion efficiency. In a catalytic combustor, fuel and air are premixed and passed through a catalyst bed. In the bed, the mixture oxidizes at reduced temperatures. The improved combustion efficiency from the catalyst has the potential to reduce CO formation to approximately 5 ppm. However, the cooler combustion temperatures would decrease the Carnot efficiency of the turbines, since the efficiency for converting heat into mechanical energy is determined by the temperature difference between heat source and sink. The reduced unit efficiency is expected to be approximately 15%.

Catalytic combustion has the potential for application to most combustor types and fuels. However, the catalyst has a limited operating temperature and pressure range, and the catalyst has the potential to fail when subjected to the extreme temperature and pressure cycles that occur in simple cycle gas turbines. Commercial acceptance of catalytic combustion by gas turbine manufacturers and by power generators has been slowed by the need for durable substrate materials. Of particular concern is the need for catalyst substrates which are resistant to thermal gradients and thermal shock.[1]

Catalytic combustors have not been commercialized for industrial gas turbines. Much of the development of catalytic combustors has been limited to bench-scale tests of prototype combustors. Catalytica, Inc., (now owned by Renegy) developed Xenon Cool Combustion, a catalytic technology that combusts fuel flamelessly. Other company's such as Precision Combustion Inc. and Catacel™ have patented technologies for catalytic combustors for gas turbines. However, we are not aware of any technologies commercially available for large industrial turbines, and General Electric does not supply the LMS100 turbines with catalytic combustors. Therefore, this technology is not technically feasible for these GTs.

2.3.4 EMx™ Catalytic Absorption/Oxidation (SCONOx™).

EMx™ Catalytic Absorption/Oxidation (the second-generation of the SCONOx™ NOx Absorber technology), available through EmeraChem, is based on a proprietary catalytic oxidation and absorption technology. EMx™ uses a potassium carbonate (K_2CO_3) coated catalyst to reduce NO_x and CO emissions from natural gas fired gas turbines. The catalyst oxidizes carbon monoxide (CO) to carbon dioxide (CO_2), and nitric oxide (NO) to nitrogen dioxide (NO_2). The NO_2 absorbs onto the catalyst to form potassium nitrite (KNO_2) and potassium nitrate (KNO_3). Dilute hydrogen gas is periodically passed across the surface of the catalyst to regenerate the K_2CO_3 catalyst coating. The regeneration cycle converts KNO_2 and KNO_3 to K_2CO_3, water (H_2O), and elemental nitrogen (N_2). This makes the K_2CO_3 available for further absorption and the water and nitrogen are exhausted.

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[1] R.E. Hayes and S.T. Kolaczkowski, *Introduction to Catalytic Combustion* (Amsterdam: Gordon and Breach Science Publishers, 1997); E.M. Johansson, D. Papadias, P.O. Thevenin, A.G. Ersson, R. Gabrielsson, P.G. Menon, P.H. Bjornbom and S.G. Jaras, "*Catalytic Combustion for Gas Turbine Applications*," Catalysis 14 (1999): 183-235.

Because the operation of EMx™ to oxidize CO to CO_2 is similar to the use of an oxidation catalyst, there is effectively no difference between EMx™ and an oxidation catalyst in terms of CO control. Therefore, EMx™ and an oxidation catalyst may be treated as the same technology for CO control.

2.4 STEP 3. Rank the Technically Feasible Control Technologies.

Based on the above analysis, the use of Good Combustion Practices (GCP), including water injection, and the use of oxidation catalysts as a post combustion control system are technically feasible control options. Given that the lowest BACT emission limit identified cannot be achieved at loads less than 70%, and that the Ocotillo GTs must operate over a wide range of loads from 25% to 100% of the rated turbine capacity, Table B2-3 summarizes the technically feasible CO control technologies and expected achievable emission rates for these GTs.

TABLE B2-3. Achievable emission rates for technically feasible CO and VOC control technologies.

Control Option	Achievable Emission Rate, ppmdv at 15% O_2	Averaging Period
Good Combustion Practices plus Oxidation Catalysts	6.0	3-hour
Good Combustion Practices	20.0	3-hour

2.5 STEP 4. Evaluate the Most Effective Controls.

The use of good combustion practices in combination with oxidation catalysts would achieve the greatest reductions in CO (and VOC) emissions. Although the use of oxidation catalysts would achieve the greatest reductions in CO (and VOC) emissions from these GTs, the use of oxidation catalysts would increase operating costs and reduce the thermal efficiency of these GTs by increasing auxiliary power requirements and by increasing back pressure against the CTG exhaust which reduces power output. However, the reduced power output is expected to be less than 1% of the gross output of these GTs.

2.6 STEP 5. Proposed Carbon Monoxide (CO) BACT Determination.

Based on this analysis, Arizona Public Service (APS) has concluded that the use of good combustion practices (water injection) in combination with the use of oxidation catalysts represents the best available control technology (BACT) for the control of CO emissions from the proposed GE LMS100 simple-cycle gas turbines. APS proposes the following limits as BACT for the control of CO emissions from the GTs:

1. Carbon monoxide (CO) emissions may not exceed 6.0 parts per million, dry, volume basis (ppmdv), corrected to 15% O_2, based on a 3-hour average, when operated during periods other than startup/shutdown and tuning/testing mode.

Chapter 3. Nitrogen Oxides (NO_x) Control Technology Review.

Based on the PSD applicability analysis in Chapter 4 of the construction permit application, the proposed Ocotillo Generation Project will not result in a significant net emissions increase for nitrogen oxides (NO_x) emissions. Therefore, the Project is not a major modification for NOx emissions, and the Project is therefore not subject to the application of BACT under the PSD program. However, Maricopa County's Air Pollution Control Regulations, Rule 241, Permits for New Sources and Modifications to Existing Sources, Section 301.1, requires the application of BACT to any new stationary source which emits more than 150 lbs/day or 25 tons/yr of nitrogen oxides (NO_x). Based on the emission limits in this application, the proposed new GTs would have maximum daily NO_x emissions (based on continuous, full load operation of all 5 GTs combined) in excess of these thresholds. Therefore, these GTs are subject to Rule 241, Section 301.1. Therefore, the following BACT analysis is being conducted to comply with Maricopa County Rule 241, Section 301.1.

In accordance with Maricopa County Air Quality Department's memorandum "REQUIREMENTS, PROCEDURES AND GUIDANCE IN SELECTING BACT and RACT", revised July, 2010, section 8, "To streamline the BACT selection process, the Department will accept a BACT control technology for the same category of industry as listed by the South Coast Air Quality Management District (SCAQMD), SJVACD, or the BAAQMD, or other regulatory agencies accepted by the Department as a viable alternative. Sources who opt to select control technology for the same or similar source category accepted by the air quality management districts in California may forgo the top-down analysis described above." The following is an analysis of recent NO_x BACT determinations in California. Arizona Public Service (APS) proposes a BACT level which reflects these NOx BACT determinations.

Nitrogen oxides (NO_x) consist of both nitrogen oxide (NO), and nitrogen dioxide (NO_2). During combustion, NO usually accounts for about 90% of the total NO_x emissions. However, since NO is converted to NO_2 in the atmosphere, the mass emission rate of NO_x is usually reported as NO_2.

NO_x is formed during combustion by two major mechanisms; thermal formation ("Thermal NO_x"), and fuel formation ("Fuel NO_x"). Thermal NO_x results from the high temperature oxidation of nitrogen (N_2) and oxygen (O_2). In this mechanism, N_2 is supplied from air, which is 78% N_2 by volume. Thermal NO_x formation increases exponentially with temperature, becoming significant at temperatures above 2800 °F. Fuel NO_x results from the oxidation of organic nitrogen compounds in the fuel. Because fuel bound nitrogen is more easily converted to NO_x during combustion, nitrogen levels in fuel have a significant impact on NO_x formation. However, since natural gas has only trace organic nitrogen compounds, thermal NO_x is the primary source of NO_x emissions from natural gas-fired gas turbines.

3.1 BACT Baseline.

3.1.1 Standards of Performance for Stationary Gas turbines, 40 CFR Part 60, Subpart KKKK.

The standards of performance for stationary gas turbines under 40 CFR Part 60, Subpart KKKK regulate emissions from these GTs and are incorporated by reference in County Rule 360 § 301.84. Each of the proposed new natural gas-fired GE Model LMS100 simple cycle gas turbines has a maximum design heat input capacity of 970 mmBtu per hour. The applicable standards in 40 CFR Part 60, Subpart KKKK, Table 1 are summarized below.

Excerpts from Table 1 to 40 CFR Part 60, Subpart KKKK: NO_x emission limits for new stationary gas turbines.

Gas turbine type	Gas turbine heat input at peak load (HHV)	NO_x emission standard
New, modified, or reconstructed turbine firing natural gas.	Greater than 850 mmBtu/hr	15 ppm at 15 percent O_2 or 0.43 lb/MWh

3.2 BACT Control Technology Determinations.

Table B3-1 is a summary of NO_x emission limits for similar simple cycle gas turbines. These facilities and emission limits are from the South Coast Air Quality Management District (SCAQMD), San Joaquin Valley Air Quality District (SJVACD), the Bay Area Air Quality Management District (BAAQMD), and the U.S. EPA's RACT/BACT/LAER Clearinghouse. It is important to limit the review of BACT limits to similar sized simple-cycle gas turbines, and not include BACT limits from large combined-cycle gas turbines, which cannot be used for the quick start requirements of the Ocotillo Modernization Project.

3.3 Available Control Technologies.

Recent BACT determinations from the U.S. EPA's RACT/BACT/LAER Clearinghouse indicates three major control technologies used to control NO_x emissions: 1. Dry Low NO_x (DLN) Combustion, 2. Water or Steam Injection (WI or SI), and 3. Selective Catalytic Reduction (SCR), including hot SCR. Advanced technologies which have been considered in BACT analyses include catalytic combustion and the EMx™ Catalytic Absorption/Oxidation process. Finally, selective non-catalytic reduction (SNCR) is an available NO_x control technology for boilers and other external combustion sources.

As noted in the CO control technology review, catalytic combustors have not been commercialized for industrial gas turbines. We are not aware of any technologies commercially available for large industrial turbines, and General Electric does not supply the LMS100 turbines with catalytic combustors. Therefore, this technology is not technically feasible for these GTs.

TABLE B3-1. Recent NO_x BACT limits for simple-cycle, natural gas-fired gas turbines.

Facility	State	Permit Date	Control	NO_X Limit, ppm at 15% O_2	Averaging Period
Pio Pico Energy Center	CA	Nov 2012	WI and SCR	2.5	1-hr
Walnut Creek Energy Park	CA	May 2011	WI and SCR	2.5	1-hr
TID Almond 2 Power Plant	CA	Dec 2010	WI and SCR	2.5	1-hr
PSEG Kearny Gen. Station	NJ	Oct 2010	SCR	2.5	
Howard Down Station	NJ	Sep 2010	SCR	2.5	
Canyon Power Plant	CA	Mar 2010	WI and SCR	2.5	60 min
El Cajon Energy	CA	Dec 2009	WI and SCR	2.5	1-hr
Orange Grove Energy	CA	Dec 2008	WI and SCR	2.5	1-hr
Miramar Energy Facility II	CA	Nov 2008	WI and SCR	2.5	3-hr
Escondido Energy Center	CA	Jul 2008	WI and SCR	2.5	1-hr
Starwood Power – Midway	CA	Jan 2008	WI and SCR	2.5	1-hr
Panoche Energy	CA	Dec 2007	WI and SCR	2.5	1-hr
Niland Power Plant	CA	Oct 2006	WI and SCR	2.5	1-hr
El Colton	CA	Jan 2003	SCR	3.5	3-hr
Lambie Energy Center	CA	Dec 2002	SCR	2.5	3-hr
CalPeak Power El Cajon	CA	Jun 2001	SCR	3.5	1-hr
Lonesome Creek Gen. Station	ND	Sep 2013	SCR	5	
Pioneer Generating Station	ND	May 2013	SCR	5	
Cheyenne Prairie Gen. Station	WY	Aug 2012	SCR	5	

Footnotes

WI means water injection; SCR means selective catalytic reduction.

3.3.1 Selective Catalytic Reduction (SCR).

Selective Catalytic Reduction (SCR) is a flue gas treatment technique for the reduction of NO_x emissions which uses an ammonia (NH_3) injection system and a catalytic reactor. An SCR system utilizes an injection grid which disperses NH_3 in the flue gas upstream of the catalyst. NH_3 reacts with NO_x in the presence of the catalyst to form nitrogen (gas) and water according to the following equations:

$$4NH_3 + 4NO + O_2 \rightarrow 4N_2 + 6H_2O$$
$$4NH_3 + 2NO_2 + O_2 \rightarrow 3N_2 + 6H_2O$$

Catalysts are substances which evoke chemical reactions that would otherwise not take place, and act by providing a reaction mechanism that has a lower activation energy than the uncatalyzed mechanism. For SCR, the catalyst is usually a noble metal, a base metal (titanium or vanadium) oxide, or a zeolite-based material. Noble metal catalysts are not typically used in SCR because of their very high cost. To achieve

optimum long-term NO_x reductions, SCR systems must be properly designed for each application. In addition to critical temperature considerations, the NH_3 injection rate must be carefully controlled to maintain an NH_3/NO_x molar ratio that effectively reduces NO_x. Excessive ammonia injection will result in NH_3 emissions, called ammonia slip.

SCR has the capability to make substantial reductions in NO_x emissions. For these simple cycle gas turbines, the use of SCR is expected to reduce NO_x emissions by 80 - 90%. This reduction range would equate to emission rates of 2.5 to 5 ppm.

3.3.2 Selective Non-Catalytic Reduction (SNCR).

In a selective non-catalytic reduction (SNCR) control system, urea or ammonia is injected into boilers where the flue gas temperature is approximately 1,600 °F to 2,100 °F. At these temperatures, urea [$CO(NH_2)_2$] or ammonia [NH_3], reacts with NO_x, forming elemental nitrogen [N_2] and water without the need for a catalyst. The overall NO_x reduction reactions are similar to those for SCR. Multiple injection points are required to thoroughly mix the reagent into the boiler furnace. The limiting factor for a SNCR system is the ability to contact the NO_x with the reagent as the concentration decreases without resulting in excessive ammonia slip, and without excessive ammonia decomposition before the NO_x emissions can be reduced.

SNCR has been widely used in circulating fluidized bed (CFB) boilers where the high alkaline ash loading of the CFB boilers makes 'high dust' loading SCR systems technically infeasible. However, the time and temperature range for SNCR is not compatible with gas turbines. We are not aware of the application of SNCR to any gas turbine either in the U.S. or worldwide. Therefore, SNCR is not a technically feasible control technology for the Paris gas turbines.

3.3.3 EMx™ Catalytic Absorption/Oxidation (formerly SCONOx™).

EMx™ Catalytic Absorption/Oxidation (the second-generation of the SCONOx™ NOx Absorber technology), available through EmeraChem, is based on a proprietary catalytic oxidation and absorption technology. EMx™ uses a potassium carbonate (K_2CO_3) coated catalyst to reduce NO_x and CO emissions from natural gas fired gas turbines. The catalyst oxidizes carbon monoxide (CO) to carbon dioxide (CO_2), and nitric oxide (NO) to nitrogen dioxide (NO_2). The NO_2 absorbs onto the catalyst to form potassium nitrite (KNO_2) and potassium nitrate (KNO_3). Dilute hydrogen gas is periodically passed across the surface of the catalyst to regenerate the K_2CO_3 catalyst coating. The regeneration cycle converts KNO_2 and KNO_3 to K_2CO_3, water (H_2O), and elemental nitrogen (N_2). This makes the K_2CO_3 available for further absorption and the water and nitrogen are exhausted.

ABB Alstom Power purchased a proprietary technology called SCONOx™ from Goal Line Environmental Technologies. A SCONOx™ system has been in operation since December of 1996 on the 30 MW Sun Law Energy Federal cogeneration plant in Vernon, California. Since August of 1999, SCONOx has been in operation on a 5 MW cogeneration plant at Genetics Institute in Andover, Massachusetts. The Redding Electric Utility in Redding, California installed a SCONOx™ system on a

43 MW combined cycle plant in 2002. ABB Alstom Power subsequently completed design of a scaled-up SCONOx™ system for 100 MW and greater combined cycle gas turbines.

A significant advantage of SCONOx™ is that it does not require ammonia or urea as a reagent. However, SCONOx™ is designed for operation at temperatures of 300 °F to 700 °F. Therefore, SCONOx™ has potential application to combined cycle and cogeneration gas turbines which have lower exhaust gas temperatures than simple cycle CTs. This operating range is too low for the exhaust gas temperatures from the proposed LMS100 gas turbines.

3.4 Proposed NO_x BACT Determination.

Arizona Public Service (APS) has concluded that the use of good combustion practices (water injection) in combination with the use of selective catalytic reduction (SCR) represents the best available control technology (BACT) for the control of NO_x emissions from the proposed GE LMS100 simple-cycle gas turbines. This BACT determination is the same as BACT determinations that have been approved by the South Coast Air Quality Management District (SCAQMD), SJVACD, or the BAAQMD.

Based on this analysis, APS proposes the following limits as BACT for the control of NO_x emissions from the new GTs:

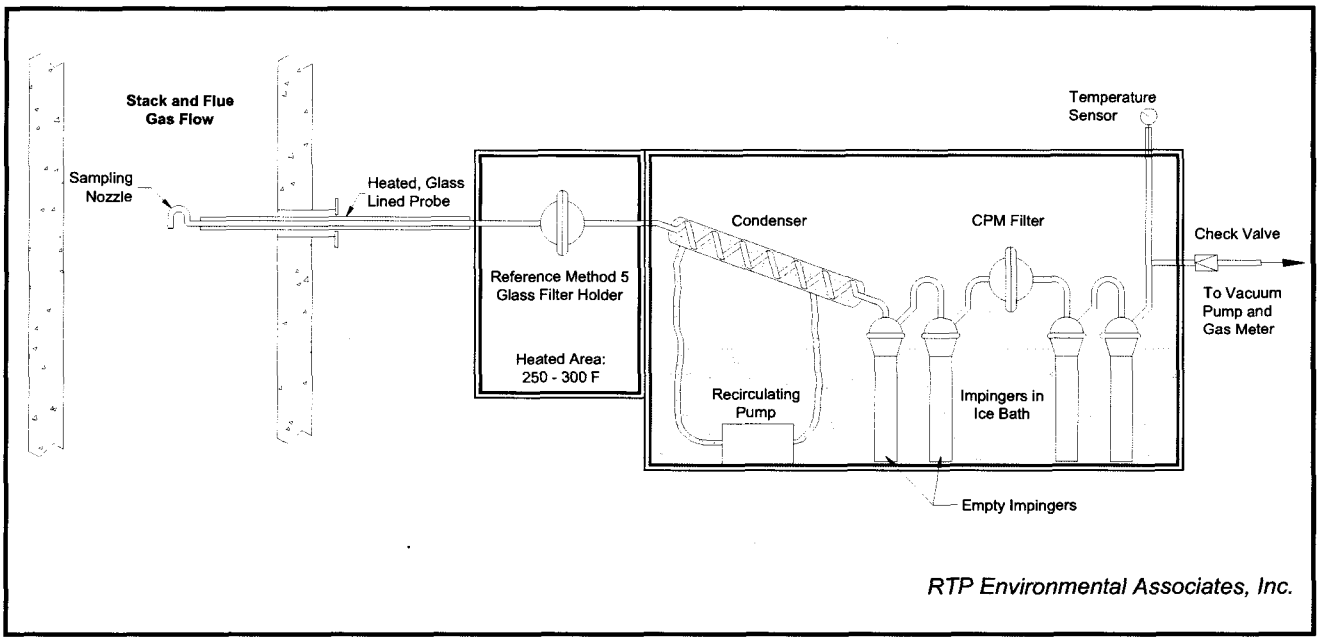
1. Nitrogen oxide (NO_x) emissions may not exceed 2.5 parts per million, dry, volume basis (ppmdv), corrected to 15% O_2, based on a 3-hour average, when operated during periods other than startup/shutdown and tuning/testing mode.

Chapter 4. Particulate Matter (PM) and $PM_{2.5}$ Control Technology Review.

Emissions of particulate matter (PM), particulate matter with aerodynamic particle sizes less than 10 microns (PM_{10}), and particulate matter with aerodynamic particle sizes less than 2.5 microns ($PM_{2.5}$) from gas turbines result from PM in the combustion air, from ash in the fuel and injected water, and from products of incomplete combustion. For this analysis, all PM emissions from the gas turbines are also assumed to be PM_{10} and $PM_{2.5}$ emissions. Since natural gas virtually no inorganic ash, fuel ash is not a significant source of PM emissions. As a result, the primary sources of PM emissions from these gas turbines is expected to result from products of incomplete combustion, from solids in the water used for water injection, turbine wear, and particulate matter in the ambient air.

PM which exists as a solid or liquid at temperatures of approximately 250 °F are measured using U.S. EPA's Reference Method 5 or17 and are commonly referred to as "front half" emissions. Particulate matter which exists as a solid or liquid at the lower temperature of 32 °F are measured using U.S. EPA's Reference Method 202, and is commonly referred to as "back half" or "*condensable*" PM. Condensable PM may include acid gases such as sulfuric acid mist, volatile organic compounds (VOC) and other materials, but does not include condensed water vapor. Because of these different temperatures at which PM emissions are measured, the amount of PM measured from a source will depend upon the reference methods used.

FIGURE B4-1. Reference Method 5 and Reference Method 202 sample train.



4.1 BACT Baseline.

There are currently no emission standards for combustion or gas turbines under the New Source Performance Standards.

4.2 STEP 1. Identify All Available Control Technologies.

Table B4-1 is a summary of PM control technologies and emission limits for natural gas-fired simple cycle gas turbines from the U.S. EPA's RACT/BACT/LAER database. Note that of the 32 emission limits from the U.S. EPA's RBLC database summarized in Table B4-1, 23 of the permitted emission limits (72% of the permitted sources) are stated as a mass emission rate, expressed in pounds of PM per hour. The available technologies for the control of PM emissions from natural gas-fired gas turbines identified in this database includes the use of good combustion practices and low ash / low sulfur fuels as the PM control technologies used in practice. Good combustion practices include dry low NO_x (DLN) combustion and water injection.

In summary, the following PM, PM_{10}, and $PM_{2.5}$ control technologies were identified for natural gas-fired gas turbines:

1. Good Combustion Practices, including:
    a. Dry Low NO_x (DLN) Combustion, and
    b. Water Injection (WI)
2. Low Ash / Low Sulfur Fuel (i.e., natural gas).

Gas turbines are internal combustion engines. Numerous other PM control systems are also available for solid fuel-fired *external* combustion sources such as boilers and process heaters, including fabric filter baghouses, electrostatic precipitators (ESP), wet scrubbers, and mechanical systems such as cyclones and multiclones. However, we are not aware of any examples where these control systems have been applied to natural gas-fired gas turbines. This is because natural gas-fired gas turbines already have very low PM emission rates similar to or even less than the *controlled* emission rates from solid fuel-fired boilers after the use of these post combustion control systems. In addition, the high exhaust gas flowrates and high exhaust gas temperatures from simple cycle gas turbines are not compatible with these PM control technologies intended primarily for solid fuel-fired boilers.

The lowest reported BACT emission limit, stated in equivalent lb/mmBtu, is 0.0049 lb/mmBtu for the Michoud Electric Generating Plant. This proposed unit was a phased combustion turbine project consisting of 175 MW F-class gas turbines which were ultimately intended to operate in combined cycle mode. These turbines were first permitted to operate in simple cycle mode without SCR or oxidation catalysts. Therefore, booth the size of the turbines and the lack of control systems make renders this BACT entry irrelevant to the Ocotillo LMS100 BACT analysis, since SCR and oxidation catalysts are potential sources of PM emissions. Finally, this project was never constructed.

TABLE B4-1. Recent PM BACT limits for simple-cycle, natural gas-fired gas turbines.

Facility	State	Permit Date	Through-put	Unit	Permit Limit, as Stated		Equivalent Limit calculated, lb/mmBtu
					Limit	Units	
Michoud Electric Generating Plant	LA	Oct-04	1,595	mmBtu/hr	7.85	lb/hr	0.0049
Pio Pico Energy Center	CA	Feb-14	300	MW	0.0053	lb/mmBtu	0.0053
Goodsprings Compressor Station	NV	May-06	98	mmBtu/hr	0.0066	lb/mmBtu	0.0066
Dayton Power and Light Company	OH	Mar-06	1,115	mmBtu/hr	8.0	lb/hr	0.0072
Sabine Pass LNG Terminal	LA	Dec-11	286	mmBtu/hr	2.1	lb/hr	0.0073
Warren Peaking Power Facility	MS	Jan-03	960	mmBtu/hr	7.0	lb/hr	0.0073
R.M. Heskett Station	ND	Feb-13	986	mmBtu/hr	7.3	lb/hr	0.0074
Bayonne Energy Center	NJ	Sep-09	603	mmBtu/hr	5.0	lb/hr	0.0083
Western Farmers Elec. Anadarko	OK	Jun-08	463	mmBtu/hr	4.0	lb/hr	0.0086
Moselle Plant	MS	Dec-04	1,143	mmBtu/hr	10.0	lb/hr	0.0087
Calcasieu Plant	LA	Dec-11	1,900	mmBtu/hr	17.0	lb/hr	0.0089
SMEPA - Silver Creek Generating	MS	May-03	1,109	mmBtu/hr	10.0	lb/hr	0.0090
Fairbault Energy Park	MN	Jul-04	1,663	mmBtu/hr	0.010	lb/mmBtu	0.0100
Bosque County Power Plant	TX	Feb-09	170	MW	0.010	lb/mmBtu	0.0100
South Harper Peaking Facility	MO	Dec-04	1,455	mmBtu/hr	15.25	lb/hr	0.0105
Rincon Power Plant	GA	Mar-03	172	MW	0.011	lb/mmBtu	0.0110
ODEC - Louisa Facility	VA	Mar-03	1,624	mmBtu/hr	18.0	lb/hr	0.0111
ODEC - Marsh Run Facility	VA	Feb-03	1,624	mmBtu/hr	18.0	lb/hr	0.0111
ODEC – Louisa	VA	Mar-03	1,624	mmBtu/hr	18.0	lb/hr	0.0111
ODEC –Marsh	VA	Feb-03	1,624	mmBtu/hr	18.0	lb/hr	0.0111
ODEC - Louisa Facility	VA	Mar-03	901	mmBtu/hr	10.0	lb/hr	0.0111
ODEC – Louisa	VA	Mar-03	901	mmBtu/hr	10.0	lb/hr	0.0111
Pioneer Generating Station	ND	May-13	451	mmBtu/hr	5.4	lb/hr	0.0120
CPV St Charles	MD	Nov-08			0.012	lb/mmBtu	0.0120
Lonesome Creek Gen. Station	ND	Sep-13	412	mmBtu/hr	5.0	lb/hr	0.0121
Texas Genco Units 1 and 2	TX	Sep-05	550	mmBtu/hr	7.0	lb/hr	0.0127
Rawhide Energy Station	CO	Aug-07	1,400	mmBtu/hr	18.0	lb/hr	0.0129
Dayton Power & Light Energy LLC	OH	Dec-09	15,020	hr/year	0.013	lb/mmBtu	0.0130
Chickahominy Power	VA	Jan-03	1,862	mmBtu/hr	27.0	lb/hr	0.0145
Roquette America	IA	Jan-03	495	mmBtu/hr	0.020	lb/mmBtu	0.0200
Texas Genco Units 1 and 2	TX	Sep-05	550	mmBtu/hr	11.5	lb/hr	0.0209
Talbot Energy Facility	GA	Jun-03	108	MW	0.023	lb/mmBtu	0.0230

Footnotes

4.3 STEP 2. Identify Technically Feasible Control Technologies.

The following PM, PM_{10}, and $PM_{2.5}$ control technologies were identified for natural gas-fired gas turbines:

1. Good Combustion Practices, including:
    a. Dry Low NO_x (DLN) Combustion, and
    b. Water Injection (WI)
2. Low Ash / Low Sulfur Fuel (i.e., natural gas).

As noted in Step 1, gas turbines are internal combustion engines. Numerous other PM control systems are available for solid fuel-fired *external* combustion sources such as boilers and process heaters, including fabric filter baghouses, electrostatic precipitators (ESP), wet scrubbers, and mechanical systems such as cyclones and multiclones. However, we are not aware of any examples where these control systems have been applied to natural gas-fired gas turbines. This is because natural gas-fired gas turbines already have very low PM emission rates similar to or even less than the *controlled* emission rates from solid fuel-fired boilers after the use of these post combustion control systems. In addition, the high exhaust gas flowrates and high exhaust gas temperatures from simple cycle gas turbines are not compatible with these PM control technologies intended for solid fuel-fired boilers.

Because there is no evidence that the use of post combustion PM control systems such as fabric filter baghouses could actually reduce the already very low PM emission rates from gas turbines, and because the exhaust gas temperatures from simple cycle CTs are much higher than the maximum design temperatures for these PM control systems, fabric filter baghouses, electrostatic precipitators (ESP), wet scrubbers, and mechanical systems such as cyclones and multiclones are not technically feasible control technologies for the control of PM emissions from these gas turbines.

4.3.1 Good Combustion Practices.

Good combustion practices including the use of water injection or dry low NO_x combustion are effective methods for controlling CO and VOC emissions from these gas turbines.

The most widely used combustion control technology for aero derivative gas turbines and gas turbines with capacities less than 100 MW is water injection. An alternative to water injection is steam injection. The injection of water or steam directly into the turbine combustor lowers the peak flame temperature and reduces thermal NO_x formation. Injection rates for both water and steam are usually described by a water-to-fuel ratio, often referred to as omega (Ω), given on a weight basis (e.g., pounds of water per pound of fuel). By carefully controlling combustion conditions, this process minimizes NO_x, CO and VOC emissions.

A significant advantage of water injection for these simple cycle gas turbines is the ability to achieve higher peak power output levels with water injection as compared to DLN combustion. The use of water injection increases the mass flow through the turbine which increases power output, especially at high ambient temperatures when peak power is often needed from these turbines. This is especially important

for these gas turbines because the Ocotillo Power Plant is located in a region with high ambient temperatures. The use of the GE Model LMS100 GTs with dry low NO_x combustion has a maximum gross electric output of 99 MW, versus 103 MW for the water injected combustors.

It is important to note that neither DLN combustors nor water injection can operate at loads below approximately 50% of the maximum rated load. Because these are peaking GTs, these units will not be operated at loads below 50% of rated load, except during periods of startup and shutdown. Finally, emissions data does not indicate that PM emissions are substantially different whether DLN or water injection is used. Therefore, for PM emissions, the maximum PM emission rate would be the same for either water injection or DLN combustion.

4.3.2 Low Ash / Low Sulfur Fuel.

PM, PM_{10}, and $PM_{2.5}$ emissions from gas turbines can be affected by ash and inorganic sediments in the fuel, and by the level of sulfur compounds in the fuel. While the inorganic ash and sediments may be emitted directly as particulate matter, sulfur compounds are emitted primarily as sulfur dioxide (SO_2). However, because of the high excess oxygen levels and high temperatures in the exhaust gas of gas turbines, SO_2 may be further oxidized to sulfur trioxide (SO_3). While SO_3 is a gas, SO_3 will spontaneously react with water when temperatures drop below the acid dew point to form sulfuric acid (H_2SO_4). Sulfuric acid mist is condensable PM, and, by definition, it is also a part of the $PM_{2.5}$ emissions.

Regardless of the reaction mechanisms, natural gas is a very low ash and a very low sulfur fuel. In fact, natural gas has the lowest ash and sulfur content of the available fossil fuels.

4.4 STEP 3. Rank the Technically Feasible Technologies.

Based on the above analysis, the use of low ash and low sulfur containing fuels including natural gas, and the use of good combustion practices using water injection, are technically feasible control options for these gas turbines. The use of these controls is expected to achieve a PM, PM_{10}, and $PM_{2.5}$ emission rate in the range of 0.0053 to 0.0066 lb/mmBtu of heat input (the two lowest relevant emission limits listed in Table B4-1).

4.5 STEP 4. Evaluate the Most Effective Controls.

APS proposes to utilize the available PM, PM_{10}, and $PM_{2.5}$ control technologies, including the use of low ash and low sulfur fuel (natural gas) in combination with the use of good combustion practices (water injection) as the best available control technology. Therefore, further evaluation is unnecessary.

4.6 STEP 5. Proposed Particulate Matter (PM), and $PM_{2.5}$ BACT Determination.

Arizona Public Service (APS) has concluded that the use of good combustion practices (water injection) in combination with low sulfur fuel (natural gas) represents the best available control technology (BACT) for the control of particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions from the proposed GE LMS100 simple-cycle gas turbines. The lowest emission limits reported in EPA's RACT/BACT/LAER database for simple cycle GTs range from 0.0053 to 0.0066 lb/mmBtu. Using the full load heat input rate for the Ocotillo LMS100 GTs of 970 mmBtu/hr, these reported emission limits range from 5.0 to 6.2 lb/hr.

The lowest report emission limit is for the Pio Pico Energy Center (PPEC), and is based on a recent BACT determination by EPA Region 9. Region 9 originally established the PM_{10} and $PM_{2.5}$ PPEC BACT limit at 0.0065 lb/mmBtu. In response to an Environmental Appeals Board decision, EPA revised their BACT analysis by reviewing the lowest permitted emission limits and recent stack test data for similar sized natural gas-fired CTs. Region 9 considered a number of technical factors with the potential to impact the reliability and usefulness of the stack test data in projecting achievable emissions. EPA noted that there was significant variability in the test data from the three facilities analyzed. In addition, data for two of the three facilities reviewed was from the initial compliance tests on new units, while for the third facility the emission units were only four years old. EPA noted in its analysis that CTs are expected to last more than 20 to 30 years. It is unclear how much PM emissions may vary as the equipment ages and therefore it would be inappropriate to rely only on this emissions data to set a limit that is achievable on an ongoing basis over the life of the equipment. Setting a BACT limit based on limited testing of new units may not address long-term achievable emissions.

EPA's review focused on three facilities that were all located in the same region, and stated that because fuel sulfur content is one of the main contributors to PM emissions from gas turbines, and because the sulfur content in natural gas varies by region, that it was appropriate to use data from the same region in California as the PPEC for setting the PM emission limit. EPA's revised BACT analysis concluded that a BACT emission limit of 0.0055 lb/mmBtu would be appropriate. An emission rate of 0.0055 lb/mmBtu is equal to a mass emission rate of 5.34 lb/mmBtu at the rated heat input of 970 mmBtu per hour for the proposed GTs. However, the applicant requested a BACT limit of 0.0053 lb/mmBtu, which EPA accepted as the final permit limit.

Given that sulfur content in natural gas fuel varies by region and will also vary over time, and allowing for variability in test results over the long-term operating life of the proposed GTs, APS proposes the following BACT emission limit for the control of particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions from the new GTs:

1. Particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions may not exceed 5.4 pounds per hour, based on a 3-hour average.

Chapter 5. Volatile Organic Compound (VOC) Control Technology Review.

Based on the PSD applicability analysis in Chapter 4 of the construction permit application, the proposed Ocotillo Generation Project will not result in a significant net emissions increase for volatile organic compound (VOC) emissions. Therefore, the Project is not a major modification for VOC emissions, and the Project is therefore not subject to the application of BACT under the PSD program. However, Maricopa County's Air Pollution Control Regulations, Rule 241, Permits for New Sources and Modifications to Existing Sources, Section 301.1, requires the application of BACT to any new stationary source which emits more than 150 lbs/day or 25 tons/yr of VOC emissions. Based on the emission limits in this application, the proposed new GTs would have maximum daily VOC emissions in excess of these thresholds. Therefore, the following BACT analysis is being conducted to comply with Maricopa County Rule 241, Section 301.1.

In accordance with Maricopa County Air Quality Department's memorandum "REQUIREMENTS, PROCEDURES AND GUIDANCE IN SELECTING BACT and RACT", revised July, 2010, section 8, "To streamline the BACT selection process, the Department will accept a BACT control technology for the same category of industry as listed by the South Coast Air Quality Management District (SCAQMD), SJVACD, or the BAAQMD, or other regulatory agencies accepted by the Department as a viable alternative. Sources who opt to select control technology for the same or similar source category accepted by the air quality management districts in California may forgo the top-down analysis described above." The following is an analysis of recent VOC BACT determinations in California. Arizona Public Service (APS) proposes a BACT level which reflects these VOC BACT determinations.

Like CO emissions, VOC is emitted from simple cycle gas turbines as a result of incomplete combustion. Therefore, the most direct approach for reducing VOC emissions (and also reduce the other related pollutants) is to improve combustion. Incomplete combustion also leads to emissions of organic hazardous air pollutants (HAP) such as formaldehyde. VOC and organic HAP emissions may also be reduced using post combustion control systems including oxidation catalyst systems.

5.1 BACT Baseline.

Maricopa County's Air Pollution Control Regulations, Rule 241, Permits for New Sources and Modifications to Existing Sources, Section 301.1, requires the application of BACT to any new stationary source which emits more than 150 lbs/day or 25 tons/yr of VOC emissions. Based on the emission limits in this application, the proposed new GTs would have maximum daily VOC emissions of 37 tons per year.

5.2 BACT Control Technology Determinations.

Table B5-1 is a summary of VOC emission limits for similar simple cycle gas turbines. These facilities and emission limits are from the South Coast Air Quality Management District (SCAQMD), San Joaquin Valley Air Quality District (SJVACD), the Bay Area Air Quality Management District (BAAQMD), and the U.S. EPA's RACT/BACT/LAER Clearinghouse. The BAAQMD identifies BACT for POCs of 2.0 ppmdv at 15% O_2. However, several permits that have been issued since 2010 have limits of 3 to 5 ppmdv at 15% O_2.

TABLE B5-1. Recent VOC BACT limits for simple-cycle, natural gas-fired gas turbines.

Facility	State	Permit Date	Control	VOC Limit, ppm at 15% O_2	Averaging Period
Walnut Creek Energy Park	CA	May 2011	OC	2	1-hr
PSEG Kearny Generating Station	NJ	Oct 2010	OC	4	
Sun Valley Energy Project	CA		OC	2	1-hr
El Cajon Energy	CA	Dec 2009	OC	2	1-hr
CPV Sentinel Energy Project	CA		OC	2	1-hr
Escondido Energy Center	CA	Jul 2008	OC	2	1-hr
Dahlberg Combustion Turbine Electric Generating Plant	GA	May 2010	OC	5	
El Colton	CA	Jan 2003	OC	2	
Riverview Energy Center	CA		OC	2	1-hr
Cheyenne Prairie Gen. Station	WY	Aug 2012	OC	3	

Footnotes

OC means oxidation catalyst.

5.3 Available Control Technologies.

Two major control technologies are used to control CO and VOC emissions, including Good Combustion Practices (GCP), and Oxidation Catalysts (OC). Included within the category of good combustion practices is Dry Low NO_x (DLN) combustors, and Water Injection (WI). There are several other potential advanced control technologies including catalytic combustion (such as XONON) and catalytic absorption/oxidation technology (such as SCONOx™).

Based on this review, the following technologies have potential for applicability to these turbines:

1. Good Combustion Practices (GCP)
2. Oxidation Catalyst (OC)
3. Catalytic Combustion and Catalytic Absorption/Oxidation (EMx or SCONOx™)

5.3.1 Good Combustion Practices.

Good combustion practices including the use of water injection or dry low NO_x combustion are effective methods for controlling CO and VOC emissions from these gas turbines.

The most widely used combustion control technology for aero derivative gas turbines and gas turbines with capacities less than 100 MW is water injection. An alternative to water injection is steam injection. The injection of water or steam directly into the turbine combustor lowers the peak flame temperature and reduces thermal NO_x formation. Injection rates for both water and steam are usually described by a water-to-fuel ratio, referred to as omega (Ω), given on a weight basis (e.g., pounds of water per pound of fuel). By controlling combustion conditions, this process minimizes NO_x, CO and VOC emissions.

A significant advantage of water injection for these simple cycle gas turbines is the ability to achieve higher peak power output levels with water injection. The use of water injection increases the mass flow through the turbine which increases power output, especially at high ambient temperatures when peak power is often needed from these turbines. This is especially important for these gas turbines because the Ocotillo Power Plant is located in a region with high ambient temperatures.

For combined cycle gas turbines, a widely deployed good combustion practice is Dry Low NO_x (DLN) combustion. In DLN combustion, air and fuel are premixed at very lean air-to-fuel ratios upstream of a venturi and prior to the combustion zone. Premixing results in a homogeneous fuel-air mixture, minimizing localized fuel-rich zones which can increase CO and/or NO_x emissions. In addition, the excess air in the lean mixture acts as a heat sink, lowering combustion temperatures. The result is uniform, fuel-lean combustion, lower combustion temperatures, and reduced CO and NO_x formation.

5.3.2 Oxidation Catalysts.

For natural gas turbines applications, the lowest CO and VOC emission levels have been achieved using oxidation catalysts installed as post combustion control systems. The typical oxidation catalyst is a rhodium or platinum (noble metal) catalyst on an alumina support material. This catalyst is typically installed in a reactor with flue gas inlet and outlet distribution plates. CO and VOC react with oxygen (O_2) in the presence of the catalyst to form carbon dioxide (CO_2) and water (H_2O) according to the following general equations:

$$2CO + O_2 \rightarrow 2CO_2$$
$$2C_nH_{2n+2} + (3n + 1)O_2 \rightarrow 2nCO_2 + (2n+2)H_2O$$

Acceptable catalyst operating temperatures range from 400 – 1,250 °F, with the optimum temperature range of 850 - 1,100 °F. Below approximately 400 °F, catalyst activity (and oxidation potential) is negligible. This temperature range is generally achievable with simple cycle gas turbines except at low load startup and shutdown conditions.

Oxidation catalysts have the potential to achieve approximately 90% reductions in "uncontrolled" CO emissions at steady state operation. VOC reduction capabilities are much less, typically 50 to 60% reduction.

5.3.3 Catalytic Combustion.

Catalytic combustion involves the use of a catalyst to reduce combustion temperatures while increasing combustion efficiency. In a catalytic combustor, fuel and air are premixed and passed through a catalyst bed. In the bed, the mixture oxidizes at reduced temperatures. The improved combustion efficiency has the potential to reduce CO formation to approximately 5 ppm, and is expected to also reduce VOC emissions. However, the cooler combustion temperatures would decrease the Carnot efficiency of the turbines, since the efficiency for converting heat into mechanical energy is determined by the temperature difference between heat source and sink. The reduced efficiency is expected to be approximately 15%.

Catalytic combustion has the potential for application to most combustor types and fuels. However, the catalyst has a limited operating temperature and pressure range, and the catalyst has the potential to fail when subjected to the extreme temperature and pressure cycles that occur in simple cycle gas turbines. Commercial acceptance of catalytic combustion by gas turbine manufacturers and by power generators has been slowed by the need for durable substrate materials. Of particular concern is the need for catalyst substrates which are resistant to thermal gradients and thermal shock.[2]

Catalytic combustors have not been commercialized for industrial gas turbines. Much of the development of catalytic combustors has been limited to bench-scale tests of prototype combustors. Catalytica, Inc., (now owned by Renegy) developed Xenon Cool Combustion, a catalytic technology that combusts fuel flamelessly. Other company's such as Precision Combustion Inc. and Catacel™ have patented technologies for catalytic combustors for gas turbines. However, we are not aware of any technologies commercially available for large industrial turbines, and General Electric does not supply the LMS100 turbines with catalytic combustors. Therefore, this technology is not technically feasible for these GTs.

5.3.4 EMx™ Catalytic Absorption/Oxidation (SCONOx™).

EMx™ Catalytic Absorption/Oxidation (the second-generation of the SCONOx™ NOx Absorber technology), available through EmeraChem, is based on a proprietary catalytic oxidation and absorption technology. EMx™ uses a potassium carbonate (K_2CO_3) coated catalyst to reduce NO_x and CO emissions from natural gas fired gas turbines. The catalyst oxidizes carbon monoxide (CO) to carbon dioxide (CO_2), and nitric oxide (NO) to nitrogen dioxide (NO_2). The NO_2 absorbs onto the catalyst to form potassium nitrite (KNO_2) and potassium nitrate (KNO_3). Dilute hydrogen gas is periodically passed across the surface of the catalyst to regenerate the K_2CO_3 catalyst coating. The regeneration cycle converts KNO_2 and KNO_3 to K_2CO_3, water (H_2O), and elemental nitrogen (N_2). This makes the K_2CO_3 available for further absorption and the water and nitrogen are exhausted.

Because the operation of EMx™ to oxidize VOC to CO_2 and water is essentially identical to the use of an oxidation catalyst, there is effectively no difference between EMx™ and an oxidation catalyst in terms

[2] R.E. Hayes and S.T. Kolaczkowski, *Introduction to Catalytic Combustion* (Amsterdam: Gordon and Breach Science Publishers, 1997); E.M. Johansson, D. Papadias, P.O. Thevenin, A.G. Ersson, R. Gabrielsson, P.G. Menon, P.H. Bjornbom and S.G. Jaras, "*Catalytic Combustion for Gas Turbine Applications*," Catalysis 14 (1999): 183-235.

of CO and VOC control. Therefore, EMx™ and an oxidation catalyst may be treated as the same technology for VOC control.

5.4 Proposed VOC BACT Determination.

Arizona Public Service (APS) has concluded that the use of good combustion practices (water injection) in combination with the use of oxidation catalyst systems (OC) represents the best available control technology (BACT) for the control of VOC emissions from the proposed GE LMS100 simple-cycle gas turbines. This BACT determination is the same as BACT determinations that have been approved by the South Coast Air Quality Management District (SCAQMD), SJVACD, or the BAAQMD.

Based on this analysis, APS proposes the following limits as BACT for the control of VOC emissions from the new GTs:

1. Volatile organic compound (VOC) emissions may not exceed 2.0 parts per million, dry, volume basis (ppmdv), corrected to 15% O_2, based on a 3-hour average, when operated during periods other than startup/shutdown and tuning/testing mode.

Chapter 6. Greenhouse Gas (GHG) Emissions Control Technology Review.

On May 13, 2010, the U.S. EPA issued a final "tailoring" rule that establishes requirements for greenhouse gas (GHG) emissions from stationary sources under the Prevention of Significant Deterioration (PSD) program in 40 CFR §52.21. This rule sets thresholds for GHG emissions that establish when permits are required for new stationary sources under the PSD program. The final rule "tailors" the requirements of the PSD program to limit which facilities will be required to obtain PSD permits and meet substantive PSD program requirements for GHG emissions. After January 2, 2011, new major stationary sources that are subject to the PSD permitting program due to potential emissions of a pollutant other than GHGs would be subject to the PSD requirements for GHG emissions. GHG emission increases of 75,000 tons per year or more of total GHG, on a total CO_2 equivalent basis (CO_2e), will need to determine the Best Available Control Technology (BACT) for GHG emissions.

The final rule includes the following regulated GHG emissions:

1. Carbon dioxide (CO_2)
2. Methane (CH_4)
3. Nitrous oxide (N_2O)
4. Hydrofluorocarbons (HFCs)
5. Perfluorocarbons (PFCs)
6. Sulfur hexafluoride (SF_6)

From 40 CFR §98, Table A-1, the global warming potential for these pollutants are:

Name	Global Warming Potential (100 yr.)
1. Carbon dioxide (CO_2)	1
2. Methane (CH_4)	21
3. Nitrous oxide (N_2O)	310

The potential emission rate for each individual greenhouse gas is then multiplied by its global warming potential, and summed to determine the total CO_2 equivalent emissions (CO_2e) for the source.

6.1 Project Operational Requirements.

APS is continuing to add renewable energy, especially solar energy, to the electric power grid. However, because renewable energy is an intermittent source of electricity, a balanced resource mix is essential to

maintain reliable electric service. This means that APS must have firm electric capacity which can be quickly and reliably dispatched when renewable power, or other distributed energy sources, are unavailable. In addition, because customers use energy in different ways and at different times, this can create multiple times of peak demand throughout the day. The LMS100 GTs have the quick start and power escalation capability that is necessary to meet changing power demands and mitigate grid instability caused by the intermittency of renewable energy generation. The new units need the ability to start quickly, change load quickly, and idle at low load. This capability is very important for normal grid stability, but absolutely necessary to integrate with and fully realize the benefits of distributed energy, such as, solar power and other renewable resources.

These GTs will be designed to meet the proposed air emission limits at steady state loads as low as 25% of the maximum output capability of the turbines.

6.2 Potential Greenhouse Gas (GHG) Emissions.

GHG emissions from natural gas-fired gas turbines include carbon dioxide (CO_2), methane (CH_4), and nitrous oxide (N_2O). The federal *Mandatory Greenhouse Gas Reporting Requirements* under 40 CFR Part 98 requires reporting of greenhouse gas (GHG) emissions from large stationary sources. Under 40 CFR Part 98, facilities that emit 25,000 metric tons or more per year of GHG emissions are required to submit annual reports to EPA. Table C-1 of this rule includes default emission factors for CO_2. The CO_2 emission factor for natural gas combustion is 53.02 kg per mmBtu, equal to 116.6 pounds per million Btu, based on the higher heating value (HHV) of natural gas.

Methane (CH_4) emissions result from incomplete combustion. The federal *Mandatory Greenhouse Gas Reporting rule*, 40 CFR Part 98, Table C-2 lists a methane emission factor for natural gas combustion of 0.001 kg/mmBtu (0.0022 lb/mmBtu). Methane emissions may also result from natural gas fuel leaks which may occur from valves and piping, and also during maintenance and operation of the GTs.

Nitrous oxide (N_2O) emissions from gas turbines result primarily from low temperature combustion. The federal *Mandatory Greenhouse Gas Reporting rule*, 40 CFR Part 98, Table C-2 lists a default N_2O emission factor for natural gas combustion of 0.0001 kg/mmBtu (0.00022 lb/mmBtu).

Potential GHG emissions for each gas turbine based on the proposed operating limits in this permit application are summarized in Tables B6-1, B6-2, and B6-3. From Table B6-3, CO_2 emissions account for more than 99.9% of the total GHG emissions. ***Because CO_2 emissions account for the vast majority of GHG emissions from these gas turbines, this control technology review for GHG emissions will focus on CO_2 emissions.***

TABLE B6-1. Potential greenhouse gas (GHG) emissions for each GE LMS100 gas turbine during normal operation.

Pollutant		Emission Factor lb/mmBtu	Heat Input Capacity mmBtu/hr	Total GHG Emission Factor		Potential to Emit, EACH TURBINE		Fuel Use Limit 10^6 MMBtu/yr	Potential to Emit, G3 – G7 tons/yr
				CO_2e Factor[4]	lb/mmBtu	lb/hour	tons/yr		
Carbon Dioxide	CO_2	116.89	970	1	116.9	113,381.3	496,610	18.8	1,011,427
Methane	CH_4	0.002205	970	21	0.0463	44.9	197	18.8	401
Nitrous Oxide	N_2O	0.000220	970	310	0.0683	66.3	290	18.8	591
TOTAL GHG EMISSIONS, AS CO_2e					**117.0**	**113,492.5**	**497,097**		**1,012,419**

TABLE B6-2. Potential greenhouse gas (GHG) emissions for each GE LMS100 gas turbine during periods of startup and shutdown.

Pollutant		GHG Emission Factor lb/mmBtu	Startup		Shutdown		SU/SD Operation events/yr	Potential to Emit ton/year	Potential to Emit, G3 – G7 tons/yr
			minutes	lb/event	minutes	lb/event			
Carbon Dioxide	CO_2	116.89	30	42,781.0	11	5,026.2	730	17,450	87,248
Methane	CH_4	0.046	30	16.9	11	2.0	730	7	35
Nitrous Oxide	N_2O	0.068	30	25.0	11	2.9	730	10	51
TOTAL, AS CO_2e		**117.0**		**42,822.9**		**5,031.1**		**28,307**	**87,334**

TABLE B6-3. Total potential greenhouse gas (GHG) emissions for all five proposed GE Model LMS100 gas turbines.

Pollutant		Normal Operation	Startup / Shutdown	TOTAL
Carbon Dioxide	CO_2	1,011,427	87,248	1,098,675
Methane	CH_4	401	35	435
Nitrous Oxide	N_2O	591	51	642
TOTAL, AS CO_2e		1,012,419	87,334	**1,099,753**

Footnotes

1. Potential emissions for each turbine are based on 8,760 hours per year of operation. Potential emissions for all turbines combined are based on an operational limit of 18,800,000 mmBtu per year of heat input for all turbines combined.
2. The emission factors for the greenhouse gases, including CO_2, N_2O and CH_4 are from 40 CFR 98, Tables C-1 and C-2. The CO_2e factors are from 40 CFR 98, Subpart A, Table A-1.

6.3 STEP 1. Identify All Potential Control Technologies.

The first step in a top-down BACT analysis is to identify all "available" control options. Available control options are those control technologies or techniques with a practical potential for application to the emissions unit and pollutant being evaluated. Air pollution control technologies and techniques include the application of production process or available methods, systems, controls, and techniques, including fuel cleaning or treatment or innovative fuel combustion techniques for the affected pollutant.

Table B6-4 is a summary of CO_2 control technologies and emission limits for natural gas-fired simple cycle gas turbines from the U.S. EPA's RACT/BACT/LAER database and other recent permit decisions. Recent BACT emission limits have been expressed on both a pound per megawatt hour of electric output basis (both gross and net output), and also based on mass emission limits expressed in tons per year. Due to the nature of CO_2 emissions from gas turbines, the averaging periods for these emission limits are typically long term, 12-month limits. This long term averaging period is also consistent with the proposed standards of performance for CO_2 emissions under 40 CFR 60 Subpart KKKK . The available technologies for the control of CO_2 emissions from recently permitted simple cycle natural gas-fired gas turbines identified in this database includes the use of energy efficient processes.

TABLE B6-4. Recent GHG BACT limits for natural gas-fired simple-cycle gas turbines.

Facility	State	Permit Date	Permit Limit		
			Limit	Units	Averaging Period
El Paso Electric Montana Power Station	TX	Sep-13 (draft)	1,194	lb CO_2/MWhr (g)	5,000 op. hours
Basin Electric Power Coop. Lonesome Creek Gen. Sta.	ND	Sep-13	220,122	ton/year	12-month
Basin Electric Power Coop. Pioneer Generating Station	ND	May-13	243,147	ton/year	12-month
Montana-Dakota Utilities Co. R.M. Heskett Station	ND	Feb-13	413,198	ton/year	12-month
Cheyenne Light, Fuel & Power	WY	Sep-12	1,600	lb CO_2e/MWhr (g)	365 day
Pio Pico Energy Center	CA	Nov-12	1,328	lb CO_2/MWhr (g)	720 op. hours
York Plant Holding, LLC Springettsbury	PA	2012	1,330	lb CO_2e/MWhr (n)	30-day
LADWP Scattergood Generating Station	CA	2013	1,260	lb CO_2e/MWhr (n)	12-month

Footnotes

1. Emission limits expressed on lb CO_2/MWhr (g) means gross electric output; limits based on lb CO_2/MWhr (n) means net electric output.

CO_2 emissions result from the oxidation of carbon in the fuel. When combusting natural gas, this reaction is responsible for much of the heat released in the gas turbine, and is therefore unavoidable. There are four potential control options for reducing CO_2 emissions from these gas turbines:

1. **The use of low carbon containing or lower emitting primary fuels,**
2. **The use of energy efficient processes and technologies, including,**
   a. Efficient simple cycle gas turbine generators,
   b. Combined cycle gas turbines,
   c. Reciprocating internal combustion engine generators,
3. **Good combustion, operating, and maintenance practices,**
4. **Carbon capture and sequestration (CCS) as a post combustion control system.**

Note that while reciprocating internal combustion engines (RICE) and combined cycle GTs are technically feasible for the proposed Project, the use of these generating technologies would change the project in such a fundamental way that the requirement to use these technologies would effectively redefine the Project. As EPA noted in its guidance, *PSD and Title V Permitting Guidance for Greenhouse Gases*, U.S. EPA Office of Air and Radiation, November 2010, page 27:

> While Step 1 is intended to capture a broad array of potential options for pollution control, this step of the process is not without limits. EPA has recognized that a Step 1 list of options need not necessarily include inherently lower polluting processes that would fundamentally redefine the nature of the source proposed by the permit applicant. BACT should generally not be applied to regulate the applicant's purpose or objective for the proposed facility.
>
> In assessing whether an option would fundamentally redefine a proposed source, EPA recommends that permitting authorities apply the analytical framework recently articulated by the Environmental Appeals Board. Under this framework, a permitting authority should look first at the administrative record to see how the applicant defined its goal, objectives, purpose or basic design for the proposed facility in its application. The underlying record will be an essential component of a supportable BACT determination that a proposed control technology redefines the source.

If the largest RICE engines were used for this project, this power plant would need to construct and operate at least twenty eight (28) RICE engines. This would be a much more complex power plant to construct and operate, and this many generating units may not actually fit on the plant site.

With respect to the use of combined cycle gas turbines, the use of this technology would change the project in such a fundamental way that the plant could not meet its fundamental purpose of a peaking power plant. As noted above, the purpose of this facility is to provide peak power capacity which must be able to start and stop quickly several times a day to meet rapidly changing electric demand requirements. As discussed in Step 2, even with fast-start technology, new combined-cycle units may require more than 3 hours to achieve full load, as compared to approximately 10 minutes to achieve the full rated electric output for the proposed GE Model LMS100 simple cycle gas turbines.

6.4 STEP 2. Identify Technically Feasible Control Technologies.

Step 2 of the BACT analysis involves the evaluation of the identified available control technologies to determine their technical feasibility. Generally, a control technology is technically feasible if it has been previously installed and operated successfully at a similar emission source. In addition, the technology must be commercially available for it to be considered as a candidate for BACT.

Potential CO_2 controls for these gas turbines include the use of low carbon containing fuels, energy efficient processes and technologies including efficient simple cycle gas turbines, combined cycle gas turbines, reciprocating internal combustion engines, and the use of post combustion control systems, including carbon capture and sequestration (CCS).

6.4.1 Lower Emitting Primary Fuels.

EPA's guidance document "*PSD and Title V Permitting Guidance for Greenhouse Gases*" notes that because the CAA includes "clean fuels" in the definition of BACT, clean fuels which would reduce GHG emissions but do not result in the use of a different primary fuel type or a redesign of the source should be considered in the BACT analysis. Table B5-5 is a summary of the CO2 emission rate for coal, distillate fuel oil, and natural gas. With respect to the use of lower emitting or low carbon containing "clean" fuels, APS is proposing the use of natural gas as the primary fuel for these GTs. Because natural gas is the lowest CO_2 emitting fossil fuel available for this Project, further evaluation of clean fuels is not necessary.

TABLE B6-5. Potential CO_2 emissions for various fossil fuels.

Fuel	CO_2 Emission Rate, lb/mmBtu
Bituminous Coal	205.9
Subbituminous Coal	213.9
Distillate Fuel Oil	162.7
Natural Gas	116.9

Footnotes

The CO_2 emission rates are from the *Mandatory Greenhouse Gas Reporting Requirements* under 40 CFR Part 98.

6.4.2 Energy Efficient Processes and Technologies.

The use of energy efficient processes and technologies is a technically feasible CO_2 control option. As stated by the Bay Area Air Quality Management District in the Statement of Basis for the Russell City Energy Center, "The only effective means to reduce the amount of CO_2 generated by (a) fuel-burning power plant is to generate as much electric power as possible from the combustion, thereby reducing the amount of fuel needed to meet the plant's required power output." Energy efficient processes and technologies include efficient simple cycle gas turbines, as well as reciprocating internal combustion engines (RICE), and combined-cycle gas turbines.

6.4.2.1 High Efficiency Simple Cycle Gas turbines.

APS is proposing to install five (5) natural gas-fired General Electric (GE) Model LMS100 simple cycle GTs for this Project. The LMS100 GTs are among the most efficient, and therefore the lowest CO_2 emitting simple cycle gas turbines which are commercially available at this time. The Model LMS100 simple cycle gas turbine generators (GTs) utilize an aero derivative gas turbine coupled to an electric generator to produce electric energy. A gas turbine is an internal combustion engine which uses air as a working fluid to produce mechanical power and consists of an air inlet system, a compressor section, a combustion section, and a power section. The compressor section includes an air filter, noise silencer, and a multistage axial compressor. During operation, ambient air is drawn into the compressor section where it is compressed and discharged to the combustion section of the turbine where high-pressure natural gas is injected into the turbine and the air/fuel mixture is ignited. Water is also injected into the combustion section of the turbine which reduces flame temperatures and reduces thermal NO_x formation. The heated air, water, and combustion gases pass through the power or expansion section of the turbine which consists of blades attached to a rotating shaft, and fixed blades or buckets. The expanding gases cause the blades and shaft to rotate. The power section of the turbine extracts energy from the hot gases. The power section of the turbine produces the power to drive both the compressor and the electric generator.

To improve efficiency, the LMS100 uses an innovative intercooling system which takes the intermediate pressure air out of the turbine, cools it to an optimum temperature in an external water-cooled heat exchanger (the intercooler), and then redelivers this air to the high-pressure compressor. The near constant stream of low temperature air to the high pressure compressor reduces the work of compression, resulting in a higher pressure ratio (42:1), increased mass flow, and increased power output. This reduced work of compression also improves the overall gas turbine thermal efficiency. The use of the intercooler combined with higher combustor firing temperatures allows the LMS100 to achieve a simple cycle thermal efficiency of approximately 44% at 100% load operation. The result is that the LMS100 GTs are among the most efficient, and therefore the lowest CO_2 emitting simple cycle gas turbines which are commercially available at this time.

6.4.2.2 Reciprocating Internal Combustion Engines.

Reciprocating internal combustion engines (RICE) are well-suited for peaking applications and are technically feasible for the proposed Project. However, as noted above, the use of RICE would change the project in such a fundamental way that the requirement to use RICE would redefine the Project. Never-the-less, RICE engines will be further evaluated in this control technology review.

6.4.2.3 Combined-Cycle Gas turbines.

Combined cycle gas turbines are highly efficient power plants. However, the purpose of this Project is to construct peaking power capacity. One of the requirements for this peak power capacity is to provide firm capacity for renewable power generation sources such as wind and solar power. These proposed peaking units must be able to start quickly to make up for lost electric generating capacity when output from these renewable resources drops. To satisfy the basic purpose of this plant, the peaking units must be able to start quickly, even under "cold" start conditions, the units must be able to repeatedly start and stop as needed, and the units must be able to reduce output to provide spinning reserve when necessary.

These requirements for the purpose and need for this peaking capacity make combined-cycle gas turbines technically infeasible for this Project because combined cycle GTs cannot meet the rapid startup and shutdown requirements for this peak power capacity. The start-up of a combined-cycle CTG is normally conducted in three steps:

1. Purging of the heat recovery steam generator (HRSG),
2. Gas turbine startup, synchronization, and loading, and
3. Steam turbine speed-up, synchronization, and loading.

The third step of the startup process is dependent on the amount of time that the unit has been shut down prior to being restarted. As a result, the startup of a combined cycle CTG are often classified as "cold" starts, "warm" starts, and "hot" starts. The HRSG and steam turbine must be started carefully to avoid severe thermal stress which can cause damage to the equipment and unsafe operating conditions for plant personnel. For this reason, the startup time for a combined cycle CTG is normally much longer than that of a similarly-sized simple cycle CTG.

Even with fast-start technology, new combined-cycle units may require more than 3 hours to achieve full load, as compared to approximately 30 minutes to full electric output for the proposed GE Model LMS100 simple cycle gas turbines. The long startup time for combined cycle units is incompatible with the purpose of the Project which is to provide quick response to changes in the supply and demand of electricity in which these turbines may be required to startup and shutdown multiple times per day. Therefore, the use of combined cycle GTs is technically infeasible for the Project.

6.4.3 Good Combustion, Operating, and Maintenance Practices.

Good combustion and operating practices are a potential control option by improving the efficiency of the any combustion related generating technology, including simple cycle gas turbines and RICE generators. Good combustion practices include the proper maintenance and tune-up of the combustion turbines or RICE generators on an annual basis, or more frequent basis, in accordance with the manufacturer's specifications.

6.4.4 Carbon Capture and Sequestration (CCS).

There are three approaches for Carbon Capture and Sequestration (CCS), including pre-combustion capture, post-combustion capture, and oxyfuel combustion[3]. Pre-combustion capture is applicable primarily to fuel gasification plants, where solid fuel such as coal is converted into gaseous fuels. The conversion process could allow for the separation of the carbon containing gases for sequestration. Pre-combustion capture is not technically feasible for this proposed project which is based on natural gas combustion which does not require gas conversion. Oxyfuel combustion is not commercially available for gas turbine applications.

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[3] Intergovernmental Panel on Climate Change (IPCC), 2005.

Post-combustion CCS is theoretically applicable for gas turbine power plants. However, in contrast to readily-available high-efficiency simple cycle CTG technologies, emerging CCS technologies are not currently commercially available for simple cycle CTG projects. There are no current CCS systems currently operating on full-scale power plants in the United States. In the U.S. EPA's proposed New Source Performance Standards (NSPS) for EGUs, *Standards of Performance for Greenhouse Gas Emissions From New Stationary Sources: Electric Utility Generating Units*, Fed. Reg., Vol.79, No. 5, January 8, 2014, page 1436, EPA states:

> By contrast, NGCC (natural gas combined cycle) with CCS is not a configuration that is being built today. The EPA considered whether NGCC with CCS could be identified as the BSER adequately demonstrated for new stationary gas turbines, and we decided that it could not. At this time, CCS has not been implemented for NGCC units, and we believe there is insufficient information to make a determination regarding the technical feasibility of implementing CCS at these types of units. The EPA is aware of only one NGCC unit that has implemented CCS on a portion of its exhaust stream. This contrasts with coal units where, in addition to demonstration projects, there are several full-scale projects under construction and a coal gasification plant which has been demonstrating much of the technology needed for an IGCC to capture CO_2 for more than ten years. The EPA is not aware of any demonstrations of NGCC units implementing CCS technology that would justify setting a national standard. Further, the EPA does not have sufficient information on the prospects of transferring the coal-based experience with CCS to NGCC units. In fact, CCS technology has primarily been applied to gas streams that have a relatively high to very high concentration of CO_2 (such as that from a coal combustion or coal gasification unit). The concentration of CO_2 in the flue gas stream of a coal combustion unit is normally about four times higher than the concentration of CO_2 in a natural gas-fired unit. Natural gas-fired stationary gas turbines also operate differently from coal-fired boilers and IGCC units of similar size. The NGCC units are more easily cycled (i.e., ramped up and down as power demands increase and decrease). Adding CCS to a NGCC may limit the operating flexibility in particular during the frequent start-ups/shut-downs and the rapid load change requirements.[14] This cyclical operation, combined with the already low concentration of CO_2 in the flue gas stream, means that we cannot assume that the technology can be easily transferred to NGCC without larger scale demonstration projects on units operating more like a typical NGCC. This would be true for both partial and full capture.
>
> After considering both technology options, the EPA is proposing to find modern, efficient NGCC technology to be the BSER for stationary gas turbines, and we are basing the proposed standards on the performance of recently constructed NGCC units.

In summary, the U.S. EPA concluded in its proposed rulemaking for GHG performance standards for new EGUs that CCS may not be a currently transferrable technology for gas turbines (either combined cycle or simple cycle) because of its potential impacts to the operation of GTs, and because the CO_2 concentration in the exhaust gas is much lower than in coal-fired boiler applications.

A Post Combustion CCS system involves three steps: 1. Capturing CO_2 from the emissions unit, 2. Transporting the CO_2 to a permanent geological storage site, and 3. Permanently storing the gas.

Before CO_2 emitted from these gas turbines can be sequestered, it must be captured as a relatively pure gas. CO_2 may be captured from the gas turbine exhaust gas stream using adsorption, physical absorption, chemical absorption, cryogenic separation, gas membrane separation, and mineralization. Many of these methods are either still in development or are not suitable for treating power plant flue gas due to the

characteristics of the exhaust stream. The low concentration of CO_2 in natural gas fired gas turbine applications adds to the challenge of CO_2 capture over coal-fired power plants. The gas turbines proposed for this Project are expected to contain approximately 5 to 6 percent CO_2 concentration in the flue gas exhaust. This concentration is much lower than coal-fired power plants, where the CO_2 concentration is typically 12 to 15 percent by volume. As a result, there are a number of serious operational challenges and additional equipment which would be required for these natural gas-fired simple cycle gas turbines used for peaking load operation, because of the highly variable exhaust gas flow and low CO_2 concentration. These challenges and additional equipment would have significant impacts on the operation of these turbines and the ability of these turbines to meet the basic project design requirements to provide peak power capacity. These challenges would also significantly affect the power output, efficiency, and cost of this Project.

Post-combustion carbon capture has been demonstrated on a gas turbine exhaust with a low CO_2 concentration in the exhaust stream at Florida Power and Light's natural gas power plant in Bellingham, MA. As noted in the POWER article, *Commercially Available CO_2 Capture Technology*, Dennis Johnson; Satish Reddy, PhD; and James Brown, PE, (available at www.powermag.com/coal/2064.html), Fluor Corporation has developed an amine-based post-combustion CO_2 capture technology called Econamine FG Plus (EFG+). There are more than 25 licensed plants worldwide that employ the EFG+ technology — from steam-methane reformers to gas turbine power plants.

One of the most significant power applications of this CO_2 removal system is at Florida Power & Light's licensed plant at the Bellingham Energy Center in Bellingham, MA. This plant captures about 365 short tons per day of CO_2 from the exhaust of a natural gas-fired turbine. However, each of the proposed GTs could produce about 6,570 tons of CO_2 per day, or almost 20 times more than the CO_2 capture system at the Bellingham Energy Center. While this technology is available, it has not yet been deployed at a scale that could serve these GTs.

Of the potentially applicable technologies, post-combustion capture with an amine solvent such as monoethanolamine (MEA) is currently the preferred option because it is the most mature and well-documented technology, and because it offers high capture efficiency, high selectivity, and the lowest energy use compared to the other existing processes. Post-combustion capture using MEA is also the only process known to have been previously demonstrated in practice on gas turbines. Therefore, MEA is the only carbon capture technology considered in this analysis.

In 2003, Fluor and British Petroleum (BP) completed a joint feasibility study that examined capturing CO_2 from eleven simple cycle gas turbines at BP's Central Gas Facility (CGF) gas processing plant in Alaska (Hurst & Walker, 2005; Simmonds et al., 2003). This project was not actually implemented. The absorption of CO_2 by MEA is a reversible exothermic reaction. To actually capture CO_2 using MEA, the turbine exhaust gas must be cooled to about 50 °C (122 °F) to improve absorption and minimize solvent loss due to evaporation. In the feasibility study for the CGF, the CTG flue gas was to be cooled by a heat recovery steam generator (HRSG) to complete most of the cooling, followed by a direct contact cooler (DCC). Hurst & Walker (2005) found that the DCC alone would be insufficient for the gas turbines due to the high exhaust gas temperature of 480 - 500 °C (900 – 930 °F). Note that the LMS100 GTs have exhaust gas temperatures of 750 to 840 °F. Therefore, to be able to actually capture CO_2 emissions, the

exhaust gas would need to be reduced by 630 to 720 °F. The only feasible way to achieve this significant temperature reduction is to use a HRSG.

In a carbon capture system, after the MEA is loaded with CO_2 in the absorber, it would be sent to a stripper where it is heated to reverse the reaction and liberate the CO_2. In the CGF facility study, heat for this regeneration stage was to have come from the steam generated in the HRSG, with excess steam to be used to generate electricity. Unfortunately, the integration of a HRSG to the simple cycle CTs would convert the turbines from simple-cycle to combined-cycle operation. As noted above, combined cycle CTs are not technically feasible for the proposed project because of the fast startup times required for the Project. Therefore, while carbon capture with an MEA absorption process may be technically feasible for a combined-cycle gas turbine, it is not feasible for simple-cycle GTs. Because combined-cycle GTs are not technically feasible for this Project, CCS is also not technically feasible for this Project.

6.4.5 Conclusions regarding technically feasibility control options.

Table B6-6 is a summary of the technically feasible control technologies for the control of GHG emissions from the proposed gas turbines based on the above analysis.

TABLE B6-6. Summary of the technically feasible GHG control technologies for the turbines.

Control Technology	Technical Feasibility
1. The use of low carbon containing or lower emitting primary fuels,	Feasible
2. The use of energy efficient processes and technologies, including:	
a. Efficient simple cycle gas turbines	Feasible
b. Combined cycle gas turbines	Infeasible
c. Reciprocating internal combustion engines	Feasible
3. Good combustion and operating practices,	Feasible
4. Carbon capture and sequestration (CCS).	Infeasible

6.5 STEP 3. Rank The Technically Feasible Control Technologies.

Based on the above analysis, the following are technically feasible control technologies for the control of GHG emissions from this proposed peak electric generating capacity:

1. The use of low carbon containing or lower emitting primary fuels,
2. Efficient simple cycle gas turbine generators,
3. Good combustion and operating practices,
4. Reciprocating internal combustion engine (RICE) generators.

With respect to the use of lower emitting primary fuels, both CT generators and RICE generators may use the lowest commercially available carbon containing fuel – natural gas. Therefore, the lowest CO_2 and GHG emitting generating technology will be based on the efficiency of the technology.

Table B6-7 includes detailed performance data for the proposed GE LMS100 GTs at the proposed Ocotillo Power Plant. The lowest *guaranteed* design heat rate (i.e., the highest efficiency) for these turbines at 100% load and an ambient temperature of 20 °F (an unusual operating temperature for these GTs) is 8,711 Btu per kWh of gross electric energy output (Btu/kWh_g). One Btu is equal to 3,413 kWh; therefore, a gross heat rate of 8,711 Btu/kWh_g is equal to an electric efficiency of 39.2% and 1,018 lb CO_2/MWh_g. The estimated actual performance from Table B5-7 at this ambient temperature is 8,667 Btu/kWh_g, equal to 39.4% and 1,021 lb CO_2/MWh_g (this is the predicted initial performance before GT performance degradation due to normal operation).

Please note that these efficiency values are based on the *higher heating value* (HHV) of natural gas. The turbine manufacturer's quoted efficiency of approximately 43% at 100% load is based on the *lower heating value* of the fuel, and is also based on the gross output of the turbine without SCR and oxidation catalyst air quality control systems. From Table B5-7, the HHV is 1.109 times the LHV, or approximately 10% higher.

Some natural gas-fired lean burn RICE engines have design heat rates as low as approximately 7,500 Btu/kWh_g again based on the LHV of natural gas, or approximately 8,250 Btu/kWhg based on the HHV. This heat rate is equal to an efficiency of approximately 45.5% (LHV), or 41.4% (HHV). This RICE generator efficiency is equal to a CO_2 emission rate of 964 lb CO_2/MWh_g. The largest natural gas-fired engine currently manufactured has a maximum continuous rating of up to 18.3 MW. However, only one manufacturer currently makes this engine – the Wärtsilä 50SG. It is also important to note that this engine does require a small amount of fuel oil to be combusted even when firing on natural gas. The above CO_2 emission rate is based on 100% natural gas combustion. Other manufacturers such as Caterpillar make natural gas engines of up to approximately 10 MW in size. Therefore, to achieve the same gross electric output, the Project would require from 28 to 50 RICE generators. The existing Ocotillo Generating Station may not have sufficient space for this many RICE generators.

Table B6-8 is a ranking of the technically feasible GHG control technologies based on the above stated efficiencies, heat rates, and CO_2 emission rates for the RICE generators and the GTs.

TABLE B6-8. Ranking of the technically feasible GHG control technologies for the turbines.

Technology	Minimum Heat Rate Btu/kWh_g	Actual CO_2 Emission Rate at the Stated Heat Rate lb/MWh_g
Natural Gas-Fired RICE Engines	8,250	964
Natural Gas-Fired GE LMS100 Gas Turbines	8,667	1,013

TABLE B6-7. Performance data for the General Electric Model LMS100 simple cycle gas turbines at various load and ambient air conditions.

Case #	100	105	110	115	116	121	126	131	228	233	238	243	180	185	190	195	196	201	206	211	212	217	222	227	MAX
Dry Bulb Temperature, °F	**20**	**20**	**20**	**20**	**41**	**41**	**41**	**41**													**120**	**120**	**120**	**120**	**120**
Wet Bulb Temperature, °F	17	17	17	17	34	34	34	34													78	78	78	78	**78**
Relative Humidity, %	60	60	60	60	51	51	51	51													15	15	15	15	**60**
Engine Inlet																									
Conditioning	HEAT	HEAT	HEAT	HEAT	NONE	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	
Tons Chill or kBtu/hr Heat	4,203	3,753	3,428	2,868					1,063				2,598				2,605				2,609				**4,203**
Partial Load, %	100	75	50	25	100	75	50	25	100	75	50	25	100	75	50	25	100	75	50	25	100	75	50	25	
Gross Generation, MW	111.3	83.5	55.7	27.8	111.0	83.3	55.5	27.8	109.8	82.3	54.9	27.4	109.9	82.4	54.9	27.5	108.1	81.1	54.0	27.0	106.8	80.1	53.4	26.7	111.3
Gross Generation, kW	111,334	83,505	55,668	27,835	111,000	83,253	55,505	27,752	109,790	82,341	54,892	27,448	109,856	82,392	54,925	27,465	108,071	81,055	54,033	27,018	106,817	80,110	53,403	26,702	111,334
Est. Btu/kW-hr, LHV	7,815	8,215	9,305	12,053	7,831	8,241	9,327	12,089	7,843	8,309	9,389	12,183	7,847	8,387	9,418	12,216	7,878	8,436	9,476	12,303	7,901	8,475	9,520	12,366	12,366
Guar. Btu/kW-hr, LHV	7,854	–	–	–	7,870	–	–	–	7,883	–	–	–	7,886	–	–	–	7,918	–	–	–	7,941	–	–	–	7,941
Est. Btu/kW-hr, HHV	8,667	9,111	10,320	13,367	8,684	9,140	10,344	13,407	8,698	9,215	10,413	13,511	8,702	9,301	10,445	13,547	8,737	9,356	10,509	13,644	8,763	9,398	10,558	13,714	13,714
Guar. Btu/kW-hr, HHV	8,711				8,728				8,742				8,746				8,781				8,807				8,807
Fuel and Water Flow																									
MMBtu/hr, LHV	870	686	518	336	869	686	518	336	861	684	515	334	862	691	517	336	851	684	512	332	844	679	508	330	870
MMBtu/hr, HHV	**965**	**761**	**574**	**372**	**964**	**761**	**574**	**372**	**955**	**759**	**572**	**371**	**956**	**766**	**574**	**372**	**944**	**758**	**568**	**369**	**936**	**753**	**564**	**366**	**965**
Fuel (Nat Gas) Flow, lb/hr	42,250	33,312	25,152	16,291	42,209	33,320	25,139	16,292	41,814	33,225	25,028	16,237	41,859	33,553	25,122	16,291	41,346	33,203	24,864	16,141	40,985	32,966	24,690	16,035	**42,250**
Water Flow, lb/hr	27,619	18,990	12,516	6,383	27,568	19,012	12,496	6,371	25,627	17,902	11,670	5,782	25,401	17,433	11,074	5,315	24,415	16,950	10,621	5,014	23,795	16,731	10,379	4,852	**27,619**
																									0
Exhaust Parameters																									**0**
Temperature, °F	771	750	794	854	784	766	807	868	787	782	817	878	786	806	824	883	790	811	828	886	793	817	833	890	**890**
Temperature, °R	311	291	334	394	324	306	347	409	327	322	357	418	327	346	364	423	330	352	368	426	334	358	373	431	**431**
Exhaust Flow, lb/hr	1,815,959	1,578,099	1,260,994	893,661	1,796,111	1,556,233	1,244,993	882,351	1,779,526	1,525,792	1,227,049	870,908	1,780,587	1,498,024	1,219,368	866,800	1,759,546	1,478,851	1,205,746	858,761	1,743,421	1,463,464	1,194,151	851,480	**1,815,959**
Exhaust Molecular Weight	16.087	15.952	15.877	15.767	16.107	15.976	15.898	15.787	16.117	16.010	15.923	15.812	16.118	16.056	15.945	15.830	16.122	16.062	15.950	15.834	16.126	16.067	15.956	15.839	16.126
Exhaust Flowrate, ACFM	446,520	365,183	336,861	283,659	458,654	378,508	345,576	290,143	458,630	390,276	349,643	292,588	458,178	409,808	353,494	294,419	457,360	411,213	353,467	293,610	457,995	413,843	354,881	293,967	**458,654**
Estimated Stack Emissions with Exhaust System in GE Scope of Supply and the Notes Below																									
NO_x ppmvd Ref 15% O2	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	**2.5**
NO_x, lb/hr	9.3	7.3	5.5	3.6	9.3	7.3	5.5	3.6	9.2	7.3	5.5	3.6	9.2	7.4	5.5	3.6	9.1	7.3	5.5	3.5	9.0	7.2	5.4	3.5	**9.3**
NH_3 Slip, ppmdv, 15% O2	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	**5.0**
NH_3 Slip, lb/hr	6.9	5.4	4.1	2.6	6.9	5.4	4.1	2.6	6.8	5.4	4.1	2.6	6.8	5.4	4.1	2.6	6.7	5.4	4.0	2.6	6.7	5.4	4.0	2.6	**6.9**
CO ppmvd Ref 15% O2	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	**6.0**
CO, lb/hr	13.5	10.7	8.1	5.2	13.5	10.7	8.1	5.2	13.4	10.6	8.0	5.2	13.4	10.7	8.0	5.2	13.2	10.6	8.0	5.2	13.1	10.6	7.9	5.1	**13.5**
VOC ppmdv, 15% O2, as C	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	**2.0**
VOC, lb/hr (MW = 14.36)	2.6	2.0	1.5	1.0	2.6	2.0	1.5	1.0	2.6	2.0	1.5	1.0	2.6	2.1	1.5	1.0	2.5	2.0	1.5	1.0	2.5	2.0	1.5	1.0	**2.6**
PM_{10}, lbs/hr	5.4				5.4				5.4				5.4				5.4				5.4				**5.4**
CO_2, weight %, wet basis	6.2572	5.6816	5.3711	4.9124	6.3196	5.7619	5.4365	4.9747	6.3187	5.8590	5.4908	5.0225	6.3217	6.0251	5.5456	5.0625	6.3188	6.0394	5.5505	5.0627	6.3215	6.0593	5.5650	5.0724	6.3217
CO_2, lb/hr	113,628	89,661	67,729	43,900	113,507	89,669	67,684	43,894	112,443	89,396	67,375	43,741	112,563	90,257	67,621	43,882	111,182	89,314	66,925	43,476	110,210	88,676	66,455	43,190	113,628
CO_2, lb/mmBtu	117.8	117.9	117.9	118.0	117.8	117.8	117.9	118.0	117.7	117.8	117.9	117.9	117.7	117.8	117.9	117.9	117.8	117.8	117.9	117.9	117.7	117.8	117.9	117.9	118.0
CO_2, lb/MWhr (gross)	1,021	1,074	1,217	1,577	1,023	1,077	1,219	1,582	1,024	1,086	1,227	1,594	1,025	1,095	1,231	1,598	1,029	1,102	1,239	1,609	1,032	1,107	1,244	1,617	1,617
CO_2, lb/MWhr (gross, deg)	1,082	1,138	1,290	1,672	1,084	1,142	1,293	1,677	1,086	1,151	1,301	1,689	1,086	1,161	1,305	1,694	1,091	1,168	1,313	1,706	1,094	1,173	1,319	1,715	1,715

Footnotes

1. Performance data is from General Electric, Engine LMS-100PA, generator BDAX 82-445ERH Tewac 60Hz, 13.8kV, 0.85PF (EffCurve#: 32398; CapCurve#: 34089). Data run conducted on 5/28/2014.
2. All data for elevation of 1,178 ft and pressure of 14.081 (0.95815 atm).
3. Performance and emissions data are based on the following natural gas fuel values: Btu/lb, LHV 20,593 Btu/lb, HHV 22,838 Ratio, HHV to LHV 1.109
4. CO_2 emissions are calculated from GE performance data and were not provided by GE. Emission rates expressed as "deg" are based on a 6% degradation in engine efficiency due to normal operation of the engine.

6.6 STEP 4. Evaluate the Most Effective Controls.

From Table B6-6, the use of RICE engines would have the lowest potential CO_2 emission rate of the technically feasible control options. At the CO_2 emission rates in Table B6-8, the use of these RICE engines may reduce CO_2 emissions by approximately 5% during normal operation, or, based on the proposed limits in this application, by approximately 55,000 tons per year. Note that this is an estimate of the potential reduction in CO_2 emissions. The use of from 28 to 50 RICE engines rather than 5 gas turbine generators may have other issues which could impact the overall efficiency of the power plant and the total CO_2 emissions.

However, while RICE engines may have a relatively small improvement in CO_2 emissions, the use of RICE engines would have other significant environmental impacts. The U.S. EPA has a long standing policy that the use of a control technology may be eliminated if the use of that technology would lead to increases in other pollutants, and that those increases would have significant adverse effects that may outweigh the benefits from the use of that technology. In the U.S. EPA's *New Source Review Workshop Manual*, page B.49, EPA states:

> One environmental impact is the trade-off between emissions of the various pollutants resulting from the application of a specific control technology. The use of certain control technologies may lead to increases in emissions of pollutants other than those the technology was designed to control. For example, the use of certain volatile organic compound (VOC) control technologies can increase nitrogen oxides (NOx) emissions. In this instance, the reviewing authority may want to give consideration to any relevant local air quality concern relative to the secondary pollutant (in this case NOx) in the region of the proposed source. For example, if the region in the example were nonattainment for NOx, a premium could be placed on the potential NOx impact. This could lead to elimination of the most stringent VOC technology (assuming it generated high quantities of NOx) in favor of one having less of an impact on ambient NOx concentrations.

The U.S. EPA's guidance document *PSD and Title V Permitting Guidance For Greenhouse Gases*, November, 2010 recommends that the environmental impact analysis of Step 4 of a GHG BACT analysis should concentrate on impacts other than the direct impacts due to emissions of the regulated pollutant in question. EPA has recognized that consideration of a wide variety of collateral environmental impacts is

appropriate in Step 4, such as solid or hazardous waste generation, discharges of polluted water from a control device, visibility impacts, demand on local water resources, and emissions of other pollutants subject to NSR or pollutants not regulated under NSR such as air toxics. Where GHG control strategies affect emissions of other regulated pollutants, permitting authorities should consider the potential trade-offs of selecting particular GHG control strategies. Permitting authorities have flexibility when evaluating the trade-offs associated with decreasing one pollutant while increasing another, and the specific considerations made will depend on the facts of the specific permit at issue.

In this case, while the use of RICE engines may result in a small reduction in CO_2 emissions, the use of RICE engines would result in a substantial increase in other regulated PSD pollutants, especially NO_x and PM_{10} emissions. The NO_x emission rate representing BACT for RICE engines equipped with selective catalytic reduction (SCR) is typically 5 to 6 ppm. For example, the air permit for Pacific Gas & Electric Company's Humboldt Bay Power Plant in Eureka, California authorized the use of 10 new Wärtsilä 18V50DF16.3 MW lean-burn RICE generators equipped with SCR and oxidation catalysts. This permit was issued in 2009 and limits NO_x emissions to 6.0 ppmdv at 15% O_2, or more than twice the emission concentration for the proposed gas turbines. The use of these engines would increase total potential NO_x emissions for the Project during normal operation by 50 – 100% as compared to the proposed GE LMS100 GTs.

In addition, the permit for these engines at the Humboldt Bay Power Plant also limits PM_{10} emissions to 3.6 pounds per hour for each engine. Since each engine is rated at 16.3 MWe, the total RICE generator emissions for an equivalent of 100 MW electric output would be approximately 22 pounds per hour, or more than 5 times the proposed limit for each of the LMS100 gas turbines. Thus, the use of these engines would increase total potential PM_{10} and $PM_{2.5}$ emissions for the Project by approximately 142 tons per year, from approximately 58 tons per year, to more than 200 tons per year.

The Ocotillo Power Plant is located in the City of Tempe, Maricopa County, Arizona. The location of the power plant is currently designated nonattainment for particulate matter less than 10 microns (PM_{10}) (classification of serious) and the 1997 and 2008 8-hour ozone standards (classification of marginal). Based on the ozone and PM_{10} nonattainment status of the area, it is appropriate to favor the technology that reduces NO_x and PM_{10} emissions over relatively small and potentially uncertain reductions in GHG emissions, especially when the difference in both NO_x and PM_{10} emissions between the two technologies is so great. These significant adverse environmental impacts from the use of RICE generators eliminates this option from further consideration.

After the elimination of RICE generators from this GHG control technology review, high efficiency simple-cycle gas turbines represent the top control option.

6.7 STEP 5. Proposed Greenhouse Gas BACT Determination.

Based on this control technology review, the use of efficient, simple-cycle gas turbines combined with good combustion and maintenance practices represents BACT for the control of GHG emissions from the proposed gas turbine generators. Therefore, BACT will be achieved by the CTG design, and by the proper operation and maintenance of the GTs.

6.7.1 Gas Turbine Design Limit.

With respect to the turbine design, the proposed LMS100 GTs are among the most efficient, and therefore the lowest CO_2 emitting simple cycle gas turbines which are commercially available at this time. To achieve this high efficiency design requirement, these gas turbines will be designed to achieve an initial heat rate of at least 8,742 Btu per kilowatt hour of gross electric output based on the HHV of natural gas, at a dry bulb temperature of 73 °F. This heat rate is based on full load operation with inlet chilling.

6.7.2 Gas Turbine Operating Limit.

The BACT emission limit must be achievable at all times and across all load ranges for which these turbines are designed to operate. As stated in the Project Description, the new units need the ability to start quickly, change load quickly, and idle at low load. To provide this capability, the gas turbines will be designed to meet the applicable BACT emission limits for CO, NO_x, PM, PM_{10}, $PM_{2.5}$, SO_2, and VOC emissions at steady state loads as low as 25% of the maximum output capability of the turbines, i.e., 25% load. In fact, based on discussions with the manufacturer, these GTs can be operated as low as 17% loads, but below 25% load the BACT emissions limits for CO, NO_x, PM, PM_{10}, $PM_{2.5}$, SO_2, and VOC emissions would need to be adjusted to be higher.

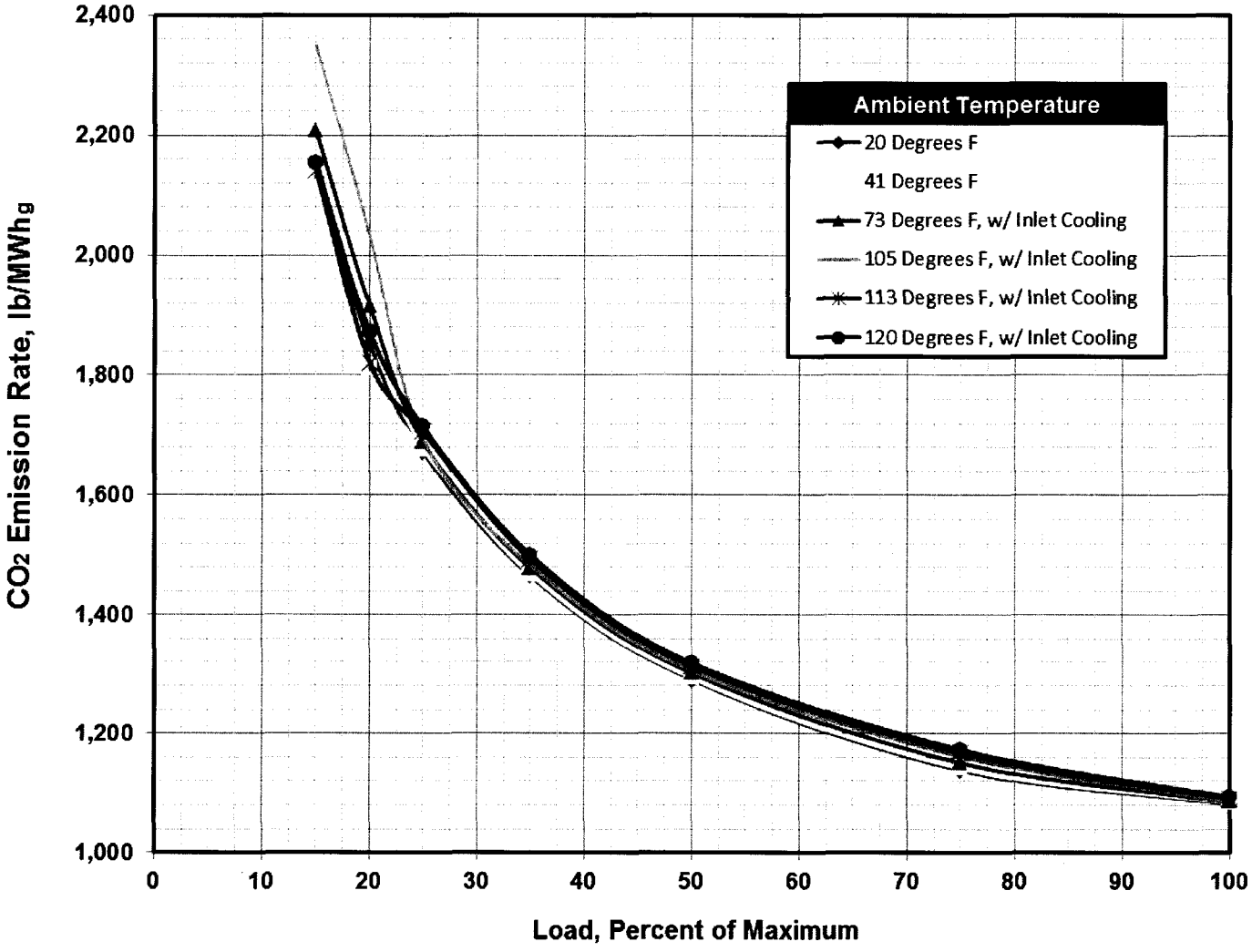
Turbine efficiency decreases and the CO_2 emission rate increases as the turbine load is decreased. In addition, the CO_2 emission rate may vary between gas turbines due to normal variation in the manufacturing process, and even with proper operation and maintenance, the CO_2 emission rate may increase over time due to the normal operation and wear of the GT components. Variation in turbines is expected to about 3%, and degradation in performance due to normal wear is expected to be an additional 3%, which can result in a 6% increase above the design values in Table B6-7.

Table B6-9 is a summary of the expected GT CO_2 emission rate, expressed in pounds of CO_2 per megawatt hour of gross electric output (lb CO_2/MWhg), based on the HHV of natural gas, at five ambient air conditions and across a range of operating loads. The values in Table B6-9 include a 6% increase above the design values. Figure B6-1 shows the relationship of the GT CO_2 emission rate as a function of load at 5 different ambient air temperature conditions. The average annual temperature for Phoenix is approximately 72 °F. From Table B6-9, at 73 °F, the CO_2 emission rate increases from 1,086 lb/MWh_g at 100% load, to 1,689 lb/MWh_g at 25% load. The average emission rate at 25% load for all ambient air conditions is 1,690 lb/MWh_g.

TABLE B6-9. Expected CO_2 emission rates for the GE LMS100 GTs at the Ocotillo Power Plant.

Ambient Dry Bulb Temperature	CTG Load, % of Maximum Output						
	100%	75%	50%	35%	25%	20%	15%
20 °F	1,082	1,138	1,290	1,465	1,672	1,852	2,130
41 °F	1,084	1,142	1,293	1,468	1,677	1,811	2,128
73 °F, w/ Inlet Cooling	1,086	1,151	1,301	1,479	1,689	1,916	2,207
105 °F, w/ Inlet Cooling	1,086	1,161	1,305	1,483	1,694	2,033	2,350
113 °F, w/ Inlet Cooling	1,091	1,168	1,313	1,493	1,706	1,821	2,140
120 °F, w/ Inlet Cooling	1,094	1,173	1,319	1,501	1,715	1,872	2,153
Average	**1,090**	**1,160**	**1,300**	**1,480**	**1,690**	**1,880**	**2,180**

FIGURE B6-1. Relationship of the CTG CO_2 emission rate as a function of load.



Prevention of Significant Deterioration Permit for the Pio Pico Energy Center", November 2012. Note that the simple-cycle GTs proposed for the Pio Pico Energy Center are the same units being proposed by APS for this Project. EPA stated that it is not possible to predict the extent of part load operation during every year for the life of the generating facility and that facilities are designed to meet a range of operating levels. Therefore, EPA stated it is inappropriate to establish a GHG permit limit that prevents the facility from generating electricity as intended. For the Pio Pico PSD permit, EPA determined that the appropriate methodology for setting the GHG BACT emission limit was to set the final BACT limit at a level achievable during the lowest load, "worst-case" normal operating conditions. This same methodology has been used to develop the Ocotillo proposed GHG BACT limit. Note that EPA also added requirements in the final Pio Pico construction permit to prepare and follow a maintenance plan for each turbine, and to perform an initial heat rate demonstration test upon startup of the emission units.

Because the BACT emission limit must be achievable across all load ranges for which these turbines are designed to operate, and because the Ocotillo CTs are designed to operate continuously at loads as low as 25% of the maximum load, the lowest achievable BACT emission limit for these GTs has been set to the average 25% load value of 1,690 lb CO_2/MWh of gross electric output.

Because the GHG emission rate varies with ambient air temperatures, and because the operating load will vary not only with the time of day but also the time of year, the averaging period for the proposed GHG BACT emission limit must be long enough to encompass this variability in operation. A 12-month rolling average basis is consistent with the majority of the CO_2 BACT emission limits, and is also consistent with the proposed CO_2 emission standard under 40 CFR 60 Subpart KKKK. In the preamble to the proposed rule, EPA stated[4] "This 12-operating-month period is important due the inherent variability in power plant GHG emissions rates." EPA went on to say "a 12-operating month rolling average explicitly accounts for variable operating conditions, allows for a more protective standard and decreased compliance burden, allows EGUs to have and use a consistent basis for calculating compliance (i.e., ensuring that 12 operating months of data would be used to calculate compliance irrespective of the number of long-term outages), and simplifies compliance for state permitting authorities". EPA Region 9 also noted in the Pio Pico response to comments that "EPA believes that annual averaging periods are appropriate for GHG limits in PSD permits because climate change occurs over a period of decades or longer, and because such averaging periods allow facilities some degree of flexibility while still being practically enforceable". For these same reasons, APS proposes that the emission limit of 1,690 lb/MWhg be based on a 12-month rolling average, and should include all periods of operation, including startup and shutdown.

6.7.3 Gas Turbine Maintenance Requirements.

To achieve the proposed BACT emission limits, these gas turbines must be maintained properly to ensure peak performance of the turbines and ensure that good combustion and operating practices are

[4] Federal Register, Vol. 79, No. 5, January 8, 2014, page 1,481.

maintained. Therefore, BACT also includes a requirement to prepare and follow a maintenance plan for each turbine. Good gas turbine maintenance practices normally include annual boroscopic inspections of the turbine, generator testing, control system inspections, as well as periodic fuel sampling and analysis. Good gas turbine maintenance practices also includes major CTG overhauls conducted as recommended by the manufacturer. The frequency of major overhauls is typically every 25,000 "operating" hours. Because CTG startup and shutdowns may consume multiple operating hours for purposes of major overhauls (even though the actual startup or shutdown may only take a fraction of a clock hour), a major overhaul is expected to occur approximately every five years.

6.7.4 Summary of the Proposed GHG BACT Requirements.

Based on this analysis, Arizona Public Service (APS) has concluded that the use of efficient simple cycle gas turbines and the use of good combustion practices in combination with low carbon containing fuel (natural gas) represents the best available control technology (BACT) for the control of GHG emissions from the proposed GE LMS100 simple-cycle gas turbines. Based on this analysis, APS proposes the following limits as BACT for the control of GHG emissions from the new GTs:

1. The gas turbines shall achieve an initial heat rate of no more than 8,742 Btu per kilowatt hour of gross electric output at 100% load and a dry bulb temperature of 73 °F.
2. CO_2 emissions may not exceed 1,690 lb CO_2/MWh of gross electric output, based on a 12-month rolling average.
3. The permittee shall prepare and follow a Maintenance Plan for each CTG.

Chapter 7. Startup and Shutdown Control Technology Review.

The gas turbine air pollution control systems which represent the best available control technology (BACT) during normal operation, including good combustion practices, water injection, selective catalytic reduction (SCR), and oxidation catalysts, are not operational during the startup and shutdown of the gas turbines.

Water injection is used to reduce NO_x emissions in the diffusion flame combustors of these gas turbines. The earlier that water injection can be initiated during the startup process, the lower NO_x emissions will be during startup. However, if injection is initiated at very low loads, it can impact flame stability and combustion dynamics, and it can increase CO emissions to unacceptable levels. These issues must be carefully balanced when determining when to initiate water injection.

7.1 Startup / Shutdown Event Durations.

The gas turbine air pollution control systems including water injection, selective catalytic reduction (SCR) and oxidation catalysts are not operational during the startup and shutdown of these gas turbines. Water injection is used to reduce NO_x emissions from these GTs before the SCR systems. The earlier that water injection can be initiated during the startup process, the lower NO_x emissions will be during startup. However, if injection is initiated at very low loads, it can impact flame stability and combustion dynamics, and it may increase CO emissions. These concerns must be carefully balanced when determining when to initiate water injection. Oxidation catalysts and SCR pollution control systems are not functional during periods of startup and shutdown because the exhaust gas temperatures are too low for these systems to function as designed.

For simple cycle GTs, the time required for startup is much shorter than gas turbines used in combined cycle applications. The quick startup times for simple cycle GTs help to minimize emissions during startup and shutdown events. For these GE Model LMS100 simple cycle GTs, the length of time for a normal startup, that is, the time from initial fuel firing to the time the unit goes on line and water injection begins, is normally about 10 minutes, but because of complications in startup events, the duration may be up to 30 minutes. The length of time for a normal shutdown, that is, the time from the cessation of water injection to the time when the flame is out, is normally 11 minutes. Therefore, the normal duration for a startup and shutdown cycle or "event" is 41 minutes.

7.2 Proposed Startup and Shutdown Conditions.

Emissions during periods of startup and shutdown may be limited by limiting the duration of each startup and shutdown event, and they may also be limited by limiting the total number of startup and shutdown events which occur over time. As noted above, the maximum expected startup event is expected to require up to 30 minutes to complete, and each shutdown event is expected to require up to 11 minutes to complete.

Based on this analysis, Arizona Public Service (APS) has concluded that the following limits represent BACT for the startup and shutdown of these GTs:

1. The duration of a CTG startup shall not exceed 30 minutes for each startup event.
2. The duration of a CTG shutdown shall not exceed 11 minutes for each shutdown event.
3. "Startup" is defined as the period beginning with the ignition of fuel and ending 30 minutes later.
4. "Shutdown" is defined as the period beginning with the initiation of gas turbine shutdown sequence and lasting until fuel combustion has ceased.

Chapter 8. Cooling Tower Control Technology Review.

A new mechanical draft cooling tower will be installed as part of the Ocotillo Power Plant Modernization Project. The specifications for the new cooling tower are summarized in Table B8-1.

TABLE B8-1. Specifications for the new mechanical draft cooling tower.

Total Circulating Water Flow to Cooling Tower, gpm	61,500
Number of Cells	6
Maximum Total Dissolved Solids, ppm	12,000
Design Drift Loss, %	0.0005%

8.1 Cooling Tower Emissions.

In a mechanical draft cooling tower, the circulating cooling water is introduced into the top of the tower. As the water falls through the tower, an air flow is induced in a countercurrent flow using an induced draft fan. A portion of the circulating water evaporates, cooling the remaining water. A small amount of the water is entrained in the induced air flow in the form of liquid phase droplets or mist. Demisters are used at the outlet of cooling towers to reduce the amount of water droplets entrained in the air. The water droplets that pass through the demisters and are emitted to the atmosphere are called *drift loss*. When these droplets evaporate, the dissolved solids in the droplet become particulate matter. Therefore, cooling towers are sources of PM, PM_{10}, and $PM_{2.5}$ emissions.

Cooling tower PM emissions are calculated based on the circulating water flow rate, the total dissolved solids (TDS) in the circulating water, and the design drift loss according to the following equation:

$$E = kQ(60\ \text{min/hr})(8.345\ \text{lb water/gal})\left[\frac{C_{TDS}}{10^6}\right]\left[\frac{\%DL}{100}\right] \qquad \textbf{\textit{Equation 1}}$$

Where,

E	=	Particulate matter emissions, pounds per hour
Q	=	Circulating water flow rate, gallons per minute = 61,500 gpm
C_{TDS}	=	Circulating water total dissolved solids, parts per million = 12,000 ppm
DL	=	Drift loss, % = 0.0005%
k	=	particle size multiplier, dimensionless

The particle size multiplier "k" has been added to the basic AP-42 equation to calculate emissions for various PM size ranges, including PM_{10} and $PM_{2.5}$. AP-42 Section 13.4 presents data that suggests the

PM_{10} fraction is 1% of the total PM emission rate, however there is no information provided on $PM_{2.5}$ emissions.

Maricopa County had developed an emission factor of 31.5% to convert total cooling tower PM emissions to PM_{10} emissions based on tests performed at the Gila Bend Power Plant. During the PSD permitting of the Hydrogen Energy California (HECA) project by the San Joaquin Valley Air Pollution Control District (SJVAPCD), the applicant used an emission factor of 0.6 to convert cooling tower PM_{10} emissions to $PM_{2.5}$ emissions. This factor was based on data contained in the California Emission Inventory Development and Reporting System (CEIDARS) data base, along with further documentation including an analysis of the emission data that formed the basis of the CEIDARS ratio, and discussions with various California Air Resources Board and EPA research staff. This PSD permit was reviewed and commented upon by the California Energy Commission and EPA Region 9, and these agencies accepted this factor for use in cooling tower $PM_{2.5}$ emission estimates.

Table 4 summarizes the PM, PM_{10}, and $PM_{2.5}$ emissions for the cooling tower based on the particle size multipliers of 0.315 for PM_{10} emissions and 0.189 (i.e., 0.315 x 0.6 = 0.189) for $PM_{2.5}$ emissions, based on these multipliers that have been previously approved in PSD permitting actions.

During the PSD permitting of the Hydrogen Energy California (HECA) project by the San Joanquin Valley Air Pollution Control District (SJVAPCD), the applicant used an emission factor of 0.6 to convert cooling tower PM_{10} emissions to $PM_{2.5}$ emissions. This factor was based on data contained in the California Emission Inventory Development and Reporting System (CEIDARS) data base, along with further documentation including an analysis of the emission data that formed the basis of the CEIDARS ratio, and discussions with various California Air Resources Board and EPA research staff. This PSD permit was reviewed and commented upon by the California Energy Commission and EPA Region 9, and these agencies accepted this factor for use in cooling tower $PM_{2.5}$ emission estimates.

Table B8-2 presents the calculated PM, PM_{10}, and $PM_{2.5}$ emissions for the cooling tower, using particle size multipliers of 0.315 for PM_{10} emissions and 0.189 (0.315 * 0.6) for $PM_{2.5}$ emissions, based on these multipliers that have been previously approved in PSD permitting actions.

TABLE B8-2. Potential emissions for the new mechanical draft cooling tower.

POLLUTANT		*Q* Cooling Tower Flowrate	C_{TDS} Blowdown TDS Conc.	%DL Drift Loss	*k* Particle Size Multiplier	Potential to Emit	
		gallon/min	ppm	%		lb/hr	ton/yr
Particulate Matter	PM	61,500	12,000	0.0005%	1.00	1.85	8.09
Particulate Matter	PM_{10}	61,500	12,000	0.0005%	0.315	0.58	2.55
Particulate Matter	$PM_{2.5}$	61,500	12,000	0.0005%	0.189	0.35	1.53

8.2 BACT Baseline.

There are no specific state implementation plan (SIP) requirements or new source performance standards for this cooling tower.

8.3 Step 1. Identify all available control technologies.

In a review of recently issued permits for new power plants equipped with cooling towers, demisters are the only identified control technology to limit PM emissions. Demisters can be designed for various levels of drift loss control. The cooling tower drift loss control requirements representing BACT for recently permitted power plants are summarized in Table B8-3. From Table B8-3, the required drift loss control requirements for permits issued since 2007 range from 0.0005% to 0.002%. To reduce drift loss, additional layers of demisters must be installed in the cooling tower. This can make the cooling tower taller and increases the fan horsepower and auxiliary power requirements.

TABLE B8-3. Cooling tower BACT requirements for recently permitted power plants.

Facility	Date	State	Drift Loss Required
Pio Pico Energy Center	Dec. 2012	CA	0.001%
Consumers Energy Karn Weadock	Dec. 2009	MI	0.0005%
AEP John W. Turk, Jr. Power Plant	Nov. 2008	AR	0.0005%
Santee Cooper - Pee Dee Station	December-07	SC	0.0005%
Seminole Electric - Palatka Unit 3	August-07	FL	0.0005%
Deseret Power Coop - Bonanza	August-07	UT	0.001%
LS Power - Longleaf Energy Center	May-07	GA	0.001%
Southern Montana Electric-Highwood	May-07	MT	0.002%

8.4 Step 2. Identify the technically feasible control options.

The only technically feasible control option for this mechanical draft cooling tower is the use of high efficiency drift eliminators.

8.5 Step 3. Rank the technically feasible control options.

The only technically feasible control option for this mechanical draft cooling tower is the use of high efficiency drift eliminators. Therefore, high efficiency drift eliminators are the top ranked control option. The highest level of control commercially available is 0.0005%.

8.6 Step 4. Evaluate the most effective controls.

The only feasible control technology for this mechanical draft cooling tower is high efficiency drift eliminators. From Table B8-3, the required drift loss control requirements for permits issued in 2007 ranged from 0.0005% to 0.002%. The highest level of control commercially available is 0.0005%.

8.7 Step 5. Propose BACT.

Based on this analysis, Arizona Public Service (APS) has concluded that the following limits represent BACT for the proposed new cooling tower:

1. The cooling tower drift eliminators shall be designed for a drift loss of no more than 0.0005% of the total circulating water flow.
2. The total dissolved solids (TDS) concentration in wet cooling circulation water may not exceed 12,000 parts per million (ppm) on weight basis.

Appendix C.

Operational and Emissions Data for the General Electric Model LMS100 Simple Cycle Gas Turbines

Performance data for the General Electric Model LMS100® simple cycle gas turbines at 24 possible load and ambient air conditions.

Case #	100	105	110	115	116	121	126	131	228	233	238	243	180	185	190	195	196	201	206	211	212	217	222	227	MAX
Dry Bulb Temperature, °F	20	20	20	20	41	41	41	41					[illegible]	[illegible]	[illegible]	[illegible]					120	120	120	120	**120**
Wet Bulb Temperature, °F	17	17	17	17	34	34	34	34					[illegible]	[illegible]	[illegible]	[illegible]					78	78	78	78	**78**
Relative Humidity, %	60	60	60	60	51	51	51	51					[illegible]	[illegible]	[illegible]	[illegible]					15	15	15	15	**60**
Engine Inlet																									
Conditioning	HEAT	HEAT	HEAT	HEAT	NONE	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	CHILL	NONE	NONE	NONE	
Tons Chill or kBtu/hr Heat	4,203	3,753	3,428	2,868					1,063				2,598				2,605				2,609				**4,203**
Partial Load, %	100	75	50	25	100	75	50	25	100	75	50	25	100	75	50	25	100	75	50	25	100	75	50	25	
Gross Generation, MW	111.3	83.5	55.7	27.8	111.0	83.3	55.5	27.8	109.8	82.3	54.9	27.4	109.9	82.4	54.9	27.5	108.1	81.1	54.0	27.0	106.8	80.1	53.4	26.7	111.3
Gross Generation, kW	111,334	83,505	55,668	27,835	111,000	83,253	55,505	27,752	109,790	82,341	54,892	27,448	109,856	82,392	54,925	27,465	108,071	81,055	54,033	27,018	106,817	80,110	53,403	26,702	111,334
Est. Btu/kW-hr, LHV	7,815	8,215	9,305	12,053	7,831	8,241	9,327	12,089	7,843	8,309	9,389	12,183	7,847	8,387	9,418	12,216	7,878	8,436	9,476	12,303	7,901	8,475	9,520	12,366	12,366
Guar. Btu/kW-hr, LHV	7,854	--	--	--	7,870	--	--	--	7,883	--	--	--	7,886	--	--	--	7,918	--	--	--	7,941	--	--	--	7,941
Est. Btu/kW-hr, HHV	8,667	9,111	10,320	13,367	8,684	9,140	10,344	13,407	8,698	9,215	10,413	13,511	8,702	9,301	10,445	13,547	8,737	9,356	10,509	13,644	8,763	9,398	10,558	13,714	13,714
Guar. Btu/kW-hr, HHV	8,711				8,728				8,742				8,746				8,781				8,807				8,807
Fuel and Water Flow																									
MMBtu/hr, LHV	870	686	518	336	869	686	518	336	861	684	515	334	862	691	517	336	851	684	512	332	844	679	508	330	870
MMBtu/hr, HHV	**965**	**761**	**574**	**372**	**964**	**761**	**574**	**372**	**955**	**759**	**572**	**371**	**956**	**766**	**574**	**372**	**944**	**758**	**568**	**369**	**936**	**753**	**564**	**366**	**965**
Fuel (Nat Gas) Flow, lb/hr	42,250	33,312	25,152	16,291	42,209	33,320	25,139	16,292	41,814	33,225	25,028	16,237	41,859	33,553	25,122	16,291	41,346	33,203	24,864	16,141	40,985	32,966	24,690	16,035	**42,250**
Water Flow, lb/hr	27,619	18,990	12,516	6,383	27,568	19,012	12,496	6,371	25,627	17,902	11,670	5,782	25,401	17,433	11,074	5,315	24,415	16,950	10,621	5,014	23,795	16,731	10,379	4,852	**27,619**
																									0
Exhaust Parameters																									**0**
Temperature, °F	771	750	794	854	784	766	807	868	787	782	817	878	786	806	824	883	790	811	828	886	793	817	833	890	**890**
Temperature, °R	311	291	334	394	324	306	347	409	327	322	357	418	327	346	364	423	330	352	368	426	334	358	373	431	**431**
Exhaust Flow, lb/hr	1,815,959	1,578,099	1,260,994	893,661	1,796,111	1,556,233	1,244,993	882,351	1,779,526	1,525,792	1,227,049	870,908	1,780,587	1,498,024	1,219,368	866,800	1,759,546	1,478,851	1,205,746	858,761	1,743,421	1,463,464	1,194,151	851,480	**1,815,959**
Exhaust Molecular Weight	28.192	28.289	28.349	28.431	28.161	28.256	28.317	28.400	28.123	28.196	28.261	28.345	28.122	28.142	28.220	28.306	28.104	28.132	28.205	28.291	28.090	28.124	28.193	28.280	28.192
Exhaust Flowrate, ACFM	1,007,089	857,300	708,061	524,335	1,007,079	857,129	707,390	524,063	1,001,693	853,480	703,986	521,984	1,001,927	855,394	704,269	522,221	993,415	848,613	699,061	518,766	987,641	844,047	695,430	516,219	1,007,089
Estimated Stack Emissions with Exhaust System in GE Scope of Supply and the Notes Below																									
NO_x ppmvd Ref 15% O2	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5	**2.5**
NO_x, lb/hr	9.3	7.3	5.5	3.6	9.3	7.3	5.5	3.6	9.2	7.3	5.5	3.6	9.2	7.4	5.5	3.6	9.1	7.3	5.5	3.5	9.0	7.2	5.4	3.5	**9.3**
NH_3 Slip, ppmdv, 15% O2	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	5.0	**5.0**
NH_3 Slip, lb/hr	6.9	5.4	4.1	2.6	6.9	5.4	4.1	2.6	6.8	5.4	4.1	2.6	6.8	5.4	4.1	2.6	6.7	5.4	4.0	2.6	6.7	5.4	4.0	2.6	**6.9**
CO ppmvd Ref 15% O2	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	**6.0**
CO, lb/hr	13.5	10.7	8.1	5.2	13.5	10.7	8.1	5.2	13.4	10.6	8.0	5.2	13.4	10.7	8.0	5.2	13.2	10.6	8.0	5.2	13.1	10.6	7.9	5.1	**13.5**
VOC ppmdv, 15% O2, as C	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	**2.0**
VOC, lb/hr (MW = 14.36)	2.6	2.0	1.5	1.0	2.6	2.0	1.5	1.0	2.6	2.0	1.5	1.0	2.6	2.1	1.5	1.0	2.5	2.0	1.5	1.0	2.5	2.0	1.5	1.0	**2.6**
PM_{10}, lbs/hr	5.4				5.4				5.4				5.4				5.4				5.4				**5.4**
CO_2, weight %, wet basis	6.2572	5.6816	5.3711	4.9124	6.3196	5.7619	5.4365	4.9747	6.3187	5.8590	5.4908	5.0225	6.3217	6.0251	5.5456	5.0625	6.3188	6.0394	5.5505	5.0627	6.3215	6.0593	5.5650	5.0724	6.3217
CO_2, lb/hr	113,628	89,661	67,729	43,900	113,507	89,669	67,684	43,894	112,443	89,396	67,375	43,741	112,563	90,257	67,621	43,882	111,182	89,314	66,925	43,476	110,210	88,676	66,455	43,190	113,628
CO_2, lb/mmBtu	117.8	117.9	117.9	118.0	117.8	117.8	117.9	118.0	117.7	117.8	117.9	117.9	117.7	117.8	117.9	117.9	117.8	117.8	117.9	117.9	117.7	117.8	117.9	117.9	118.0
CO_2, lb/MWhr (gross)	1,021	1,074	1,217	1,577	1,023	1,077	1,219	1,582	1,024	1,086	1,227	1,594	1,025	1,095	1,231	1,598	1,029	1,102	1,239	1,609	1,032	1,107	1,244	1,617	1,617
CO_2, lb/MWhr (gross, deg)	1,082	1,138	1,290	1,672	1,084	1,142	1,293	1,677	1,086	1,151	1,301	1,689	1,086	1,161	1,305	1,694	1,091	1,168	1,313	1,706	1,094	1,173	1,319	1,715	1,715

Footnotes

1. Performance data is from General Electric, Engine LMS-100PA, generator BDAX 82-445ERH Tewac 60Hz, 13.8kV, 0.85PF (EffCurve#: 32398; CapCurve#: 34089). Data run conducted on 5/28/2014.
2. All data for elevation of 1,178 ft and pressure of 14.081 (0.95815 atm).
3. Performance and emissions data are based on the following natural gas fuel values: Btu/lb, LHV 20,593 Btu/lb, HHV 22,838 Ratio, HHV to LHV 1.109
4. CO_2 emissions are calculated from GE performance data and were not provided by GE. Emission rates expressed as "deg" are based on a 6% degradation in engine efficiency due to normal operation of the engine.

Appendix D.

Acid Rain Permit Application.

United States
Environmental Protection Agency
Acid Rain Program

OMB No. 2060-0258
Approval expires 11/30/2012

Acid Rain Permit Application

For more information, see instructions and 40 CFR 72.30 and 72.31.

This submission is: X **revised**

STEP 1

Identify the facility name, State, and plant (ORIS) code.

Facility (Source) Name: Ocotillo Power Plant	State: Arizona	Plant Code: 00116

STEP 2

Enter the unit ID# for every affected unit at the affected source in column "a."

a	b
Unit ID#	Unit Will Hold Allowances in Accordance with 40 CFR 72.9(c)(1)
GT3	Yes
GT4	Yes
GT5	Yes
GT6	Yes
GT7	Yes

Facility (Source) Name (from STEP 1): Ocotillo Power Plant

STEP 3

Read the standard requirements.

Permit Requirements

(1) The designated representative of each affected source and each affected unit at the source shall:

(i) Submit a complete Acid Rain permit application (including a compliance plan) under 40 CFR part 72 in accordance with the deadlines specified in 40 CFR 72.30; and

(ii) Submit in a timely manner any supplemental information that the permitting authority determines is necessary in order to review an Acid Rain permit application and issue or deny an Acid Rain permit;

(2) The owners and operators of each affected source and each affected unit at the source shall:

(i) Operate the unit in compliance with a complete Acid Rain permit application or a superseding Acid Rain permit issued by the permitting authority; and

(ii) Have an Acid Rain Permit.

Monitoring Requirements

(1) The owners and operators and, to the extent applicable, designated representative of each affected source and each affected unit at the source shall comply with the monitoring requirements as provided in 40 CFR part 75.

(2) The emissions measurements recorded and reported in accordance with 40 CFR part 75 shall be used to determine compliance by the source or unit, as appropriate, with the Acid Rain emissions limitations and emissions reduction requirements for sulfur dioxide and nitrogen oxides under the Acid Rain Program.

(3) The requirements of 40 CFR part 75 shall not affect the responsibility of the owners and operators to monitor emissions of other pollutants or other emissions characteristics at the unit under other applicable requirements of the Act and other provisions of the operating permit for the source.

Sulfur Dioxide Requirements

(1) The owners and operators of each source and each affected unit at the source shall:

(i) Hold allowances, as of the allowance transfer deadline, in the source's compliance account (after deductions under 40 CFR 73.34(c)), not less than the total annual emissions of sulfur dioxide for the previous calendar year from the affected units at the source; and

(ii) Comply with the applicable Acid Rain emissions limitations for sulfur dioxide.

(2) Each ton of sulfur dioxide emitted in excess of the Acid Rain emissions limitations for sulfur dioxide shall constitute a separate violation of the Act.

(3) An affected unit shall be subject to the requirements under paragraph (1) of the sulfur dioxide requirements as follows:

(i) Starting January 1, 2000, an affected unit under 40 CFR 72.6(a)(2); or

(ii) Starting on the later of January 1, 2000 or the deadline for monitor certification under 40 CFR part 75, an affected unit under 40 CFR 72.6(a)(3).

Facility (Source) Name (from STEP 1): Ocotillo Power Plant

Sulfur Dioxide Requirements, Cont'd.

STEP 3, Cont'd.

(4) Allowances shall be held in, deducted from, or transferred among Allowance Tracking System accounts in accordance with the Acid Rain Program.
(5) An allowance shall not be deducted in order to comply with the requirements under paragraph (1) of the sulfur dioxide requirements prior to the calendar year for which the allowance was allocated.
(6) An allowance allocated by the Administrator under the Acid Rain Program is a limited authorization to emit sulfur dioxide in accordance with the Acid Rain Program. No provision of the Acid Rain Program, the Acid Rain permit application, the Acid Rain permit, or an exemption under 40 CFR 72.7 or 72.8 and no provision of law shall be construed to limit the authority of the United States to terminate or limit such authorization.
(7) An allowance allocated by the Administrator under the Acid Rain Program does not constitute a property right.

Nitrogen Oxides Requirements

The owners and operators of the source and each affected unit at the source shall comply with the applicable Acid Rain emissions limitation for nitrogen oxides.

Excess Emissions Requirements

(1) The designated representative of an affected source that has excess emissions in any calendar year shall submit a proposed offset plan, as required under 40 CFR part 77.
(2) The owners and operators of an affected source that has excess emissions in any calendar year shall:
(i) Pay without demand the penalty required, and pay upon demand the interest on that penalty, as required by 40 CFR part 77; and
(ii) Comply with the terms of an approved offset plan, as required by 40 CFR part 77.

Recordkeeping and Reporting Requirements

(1) Unless otherwise provided, the owners and operators of the source and each affected unit at the source shall keep on site at the source each of the following documents for a period of 5 years from the date the document is created. This period may be extended for cause, at any time prior to the end of 5 years, in writing by the Administrator or permitting authority:
(i) The certificate of representation for the designated representative for the source and each affected unit at the source and all documents that demonstrate the truth of the statements in the certificate of representation, in accordance with 40 CFR 72.24; provided that the certificate and documents shall be retained on site at the source beyond such 5-year period until such documents are superseded because of the submission of a new certificate of representation changing the designated representative;

Facility (Source) Name (from STEP 1): Ocotillo Power Plant

Recordkeeping and Reporting Requirements, Cont'd.

STEP 3, Cont'd.

(ii) All emissions monitoring information, in accordance with 40 CFR part 75, provided that to the extent that 40 CFR part 75 provides for a 3-year period for recordkeeping, the 3-year period shall apply.
(iii) Copies of all reports, compliance certifications, and other submissions and all records made or required under the Acid Rain Program; and,
(iv) Copies of all documents used to complete an Acid Rain permit application and any other submission under the Acid Rain Program or to demonstrate compliance with the requirements of the Acid Rain Program.
(2) The designated representative of an affected source and each affected unit at the source shall submit the reports and compliance certifications required under the Acid Rain Program, including those under 40 CFR part 72 subpart I and 40 CFR part 75.

Liability

(1) Any person who knowingly violates any requirement or prohibition of the Acid Rain Program, a complete Acid Rain permit application, an Acid Rain permit, or an exemption under 40 CFR 72.7 or 72.8, including any requirement for the payment of any penalty owed to the United States, shall be subject to enforcement pursuant to section 113(c) of the Act.
(2) Any person who knowingly makes a false, material statement in any record, submission, or report under the Acid Rain Program shall be subject to criminal enforcement pursuant to section 113(c) of the Act and 18 U.S.C. 1001.
(3) No permit revision shall excuse any violation of the requirements of the Acid Rain Program that occurs prior to the date that the revision takes effect.
(4) Each affected source and each affected unit shall meet the requirements of the Acid Rain Program.
(5) Any provision of the Acid Rain Program that applies to an affected source (including a provision applicable to the designated representative of an affected source) shall also apply to the owners and operators of such source and of the affected units at the source.
(6) Any provision of the Acid Rain Program that applies to an affected unit (including a provision applicable to the designated representative of an affected unit) shall also apply to the owners and operators of such unit.
(7) Each violation of a provision of 40 CFR parts 72, 73, 74, 75, 76, 77, and 78 by an affected source or affected unit, or by an owner or operator or designated representative of such source or unit, shall be a separate violation of the Act.

Effect on Other Authorities

No provision of the Acid Rain Program, an Acid Rain permit application, an Acid Rain permit, or an exemption under 40 CFR 72.7 or 72.8 shall be construed as:
(1) Except as expressly provided in title IV of the Act, exempting or excluding the owners and operators and, to the extent applicable, the designated representative of an affected source or affected unit from compliance with any other provision of the Act, including the provisions of title I of the Act relating

Facility (Source) Name (from STEP 1): Ocotillo Power Plant

Effect on Other Authorities, Cont'd.

STEP 3, Cont'd.

to applicable National Ambient Air Quality Standards or State Implementation Plans;
(2) Limiting the number of allowances a source can hold; *provided,* that the number of allowances held by the source shall not affect the source's obligation to comply with any other provisions of the Act;
(3) Requiring a change of any kind in any State law regulating electric utility rates and charges, affecting any State law regarding such State regulation, or limiting such State regulation, including any prudence review requirements under such State law;
(4) Modifying the Federal Power Act or affecting the authority of the Federal Energy Regulatory Commission under the Federal Power Act; or,
(5) Interfering with or impairing any program for competitive bidding for power supply in a State in which such program is established.

Certification

STEP 4
Read the certification statement, sign, and date.

I am authorized to make this submission on behalf of the owners and operators of the affected source or affected units for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Name: Thomas Livingston	
Signature:	Date:

Instructions for the Acid Rain Program Permit Application

The Acid Rain Program requires the designated representative to submit an Acid Rain permit application for each source with an affected unit. A complete Certificate of Representation must be received by EPA before the permit application is submitted to the title V permitting authority. A complete Acid Rain permit application, once submitted, is binding on the owners and operators of the affected source and is enforceable in the absence of a permit until the title V permitting authority either issues a permit to the source or disapproves the application.

Please type or print. If assistance is needed, contact the title V permitting authority.

STEP 1 A Plant Code is a 4 or 5 digit number assigned by the Department of Energy=s (DOE) Energy Information Administration (EIA) to facilities that generate electricity. For older facilities, "Plant Code" is synonymous with "ORISPL" and "Facility" codes. If the facility generates electricity but no Plant Code has been assigned, or if there is uncertainty regarding what the Plant Code is, send an email to the EIA. The email address is EIA-860@eia.gov.

STEP 2 In column "a," identify each unit at the facility by providing the appropriate unit identification number, consistent with the identifiers used in the Certificate of Representation and with submissions made to DOE and/or EIA. Do not list duct burners. For new units without identification numbers, owners and operators must assign identifiers consistent with EIA and DOE requirements. Each Acid Rain Program submission that includes the unit identification number(s) (e.g., Acid Rain permit applications, monitoring plans, quarterly reports, etc.) should reference those unit identification numbers in exactly the same way that they are referenced on the Certificate of Representation.

Submission Deadlines

For new units, an initial Acid Rain permit application must be submitted to the title V permitting authority 24 months before the date the unit commences operation. Acid Rain permit renewal applications must be submitted at least 6 months in advance of the expiration of the acid rain portion of a title V permit, or such longer time as provided for under the title V permitting authority=s operating permits regulation.

Submission Instructions

Submit this form to the appropriate title V permitting authority. If you have questions regarding this form, contact your local, State, or EPA Regional Acid Rain contact, or call EPA's Acid Rain Hotline at (202) 343-9620.

Paperwork Burden Estimate

The public reporting and record keeping burden for this collection of information is estimated to average 8 hours per response. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW., Washington, D.C. 20460. Include the OMB control number in any correspondence. **Do not send the completed form to this address.**

Appendix E.

Detailed Baseline Emission Data for the Ocotillo Steam Generating Units

Appendix E.

Baseline actual emissions for the Ocotillo Power Plant.

TABLE E-1. Total baseline actual emissions for the Ocotillo Power Plant Steamer Units 1 and 2. All emissions are expressed in tons per year, based on a 24-month rolling average.

TABLE E-2. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 1.

TABLE E-3. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 2.

TABLE E-4. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 1 and 2 combined.

TABLE E-5. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 1.

TABLE E-6. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 2.

TABLE E-7. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 1 and 2 combined.

TABLE E-8. Baseline actual particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamer 1.

TABLE E-9. Baseline actual particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamer 2.

TABLE E-10. Baseline actual PM, PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamers 1 and 2 combined.

TABLE E-11. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 1.

TABLE E-12. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 2.

TABLE E-13. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 1 and 2 combined.

TABLE E-14. Baseline actual volatile organic compound (VOC) emissions for Ocotillo Steamer 1.

TABLE E-15. Baseline actual volatile organic compound (VOC) emissions for Ocotillo Steamer 2.

TABLE E-16. Baseline actual VOC emissions for Ocotillo Steamers 1 and 2 combined.

TABLE E-17. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamer 1.

TABLE E-18. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamer 2.

TABLE E-19. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamers 1 and 2 combined.

TABLE E-20. Baseline actual lead (Pb) emissions for Ocotillo Steamer 1.

TABLE E-21. Baseline actual lead (Pb) emissions for Ocotillo Steamer 2.

TABLE E-22. Baseline actual lead (Pb) emissions for Ocotillo Steamers 1 and 2 combined.

TABLE E-23. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 1.

TABLE E-24. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 2.

TABLE E-25. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 1 and 2 combined.

TABLE E-26. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 1.

TABLE E-27. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 2.

TABLE E-28. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 1 and 2 combined.

TABLE A-29. Baseline actual PM, PM_{10}, and $PM_{2.5}$ emissions for the Steamer 1 and 2 cooling towers.

TABLE E-1. Total baseline actual emissions for the Ocotillo Power Plant Steamer Units 1 and 2. All emissions are expressed in tons per year, based on a 24-month rolling average.

Year	Month	Carbon Monoxide CO	Nitrogen Oxides NOx	Particulate Matter PM, PM_{10}, $PM_{2.5}$	Sulfur Dioxide SO_2	Organic Cmpds VOC	Sulfuric Acid Mist H_2SO4	Lead Pb	Carbon Dioxide CO_2	Greenhouse Gases GHG	Heat Input mmBtu
2010	January	11.1	66.7	3.5	0.3	2.6	0.0003	0.0002	56,144	56,198	944,718
	February	10.8	65.3	3.4	0.3	2.5	0.0003	0.0002	54,620	54,673	919,089
	March	10.8	65.3	3.4	0.3	2.5	0.0003	0.0002	54,620	54,673	919,089
	April	10.8	65.1	3.4	0.3	2.5	0.0003	0.0002	54,313	54,365	913,926
	May	10.6	64.1	3.3	0.3	2.5	0.0003	0.0002	53,347	53,398	897,663
	June	9.6	58.5	3.0	0.2	2.2	0.0002	0.0002	48,566	48,613	817,225
	July	9.2	56.5	2.9	0.2	2.1	0.0002	0.0002	46,331	46,376	779,610
	August	9.5	59.3	3.0	0.2	2.2	0.0002	0.0002	47,944	47,990	806,743
	September	9.7	63.6	3.1	0.2	2.3	0.0002	0.0002	49,131	49,178	826,707
	October	9.9	64.8	3.1	0.3	2.3	0.0003	0.0002	50,125	50,173	843,444
	November	9.9	64.5	3.1	0.2	2.3	0.0002	0.0002	49,821	49,869	838,338
	December	9.9	64.5	3.1	0.2	2.3	0.0002	0.0002	49,817	49,865	838,263
2011	January	9.9	64.6	3.1	0.3	2.3	0.0003	0.0002	49,950	49,998	840,503
	February	10.0	65.4	3.2	0.3	2.3	0.0003	0.0002	50,744	50,793	853,867
	March	10.1	65.4	3.2	0.3	2.4	0.0003	0.0002	50,822	50,871	855,179
	April	10.1	65.5	3.2	0.3	2.4	0.0003	0.0002	50,860	50,909	855,817
	May	9.1	58.9	2.9	0.2	2.1	0.0002	0.0002	46,012	46,056	774,231
	June	9.2	60.2	2.9	0.2	2.2	0.0002	0.0002	46,710	46,755	785,975
	July	9.0	56.8	2.8	0.2	2.1	0.0002	0.0002	45,263	45,307	761,618
	August	9.8	58.5	3.1	0.2	2.3	0.0002	0.0002	49,506	49,554	833,019
	September	9.8	57.3	3.1	0.2	2.3	0.0002	0.0002	49,667	49,715	835,740
	October	10.9	63.4	3.4	0.3	2.5	0.0003	0.0002	54,950	55,003	924,647
	November	10.8	63.0	3.4	0.3	2.5	0.0003	0.0002	54,683	54,736	920,150
	December	10.9	63.3	3.5	0.3	2.6	0.0003	0.0002	55,251	55,304	929,693

TABLE E-1. Total baseline actual emissions for the Ocotillo Power Plant Steamer Units 1 and 2. All emissions are expressed in tons per year, based on a 24-month rolling average.

Year	Month	Carbon Monoxide CO	Nitrogen Oxides NOx	Particulate Matter PM, PM_{10}, $PM_{2.5}$	Sulfur Dioxide SO_2	Organic Cmpds VOC	Sulfuric Acid Mist H_2SO4	Lead Pb	Carbon Dioxide CO_2	Greenhouse Gases GHG	Heat Input mmBtu
2012	January	10.9	63.3	3.5	0.3	2.6	0.0003	0.0002	55,217	55,270	929,125
	February	10.9	63.3	3.5	0.3	2.6	0.0003	0.0002	55,209	55,262	928,989
	March	11.0	63.9	3.5	0.3	2.6	0.0003	0.0002	55,783	55,836	938,636
	April	11.7	67.9	3.7	0.3	2.7	0.0003	0.0002	59,047	59,104	993,554
	May	12.3	71.6	3.9	0.3	2.9	0.0003	0.0003	62,298	62,358	1,048,243
	June	13.5	79.0	4.3	0.3	3.1	0.0003	0.0003	67,969	68,035	1,143,673
	July	13.3	78.7	4.2	0.3	3.1	0.0003	0.0003	67,428	67,493	1,134,577
	August	13.5	80.2	4.3	0.3	3.2	0.0003	0.0003	68,261	68,326	1,148,612
	September	13.0	74.3	4.1	0.3	3.0	0.0003	0.0003	65,709	65,773	1,105,678
	October	12.3	70.3	3.9	0.3	2.9	0.0003	0.0003	62,316	62,376	1,048,575
	November	12.3	70.3	3.9	0.3	2.9	0.0003	0.0003	62,251	62,311	1,047,480
	December	12.4	70.9	3.9	0.3	2.9	0.0003	0.0003	62,759	62,819	1,056,027
2013	January	12.9	73.4	4.1	0.3	3.0	0.0003	0.0003	65,195	65,257	1,097,011
	February	12.8	72.8	4.1	0.3	3.0	0.0003	0.0003	64,634	64,697	1,087,583
	March	12.8	72.8	4.0	0.3	3.0	0.0003	0.0003	64,587	64,650	1,086,793
	April	13.0	74.0	4.1	0.3	3.0	0.0003	0.0003	65,797	65,860	1,107,148
	May	13.4	76.3	4.2	0.3	3.1	0.0003	0.0003	67,632	67,697	1,138,022
	June	14.3	82.7	4.5	0.4	3.3	0.0004	0.0003	72,200	72,269	1,214,879
	July	15.7	91.7	5.0	0.4	3.7	0.0004	0.0003	79,348	79,425	1,335,177
	August	15.0	88.8	4.7	0.4	3.5	0.0004	0.0003	75,534	75,608	1,270,997
	September	15.0	89.3	4.7	0.4	3.5	0.0004	0.0003	75,669	75,744	1,273,263
	October	13.8	82.4	4.4	0.4	3.2	0.0004	0.0003	69,815	69,885	1,174,765
	November	14.1	83.9	4.5	0.4	3.3	0.0004	0.0003	71,115	71,185	1,196,628
	December	14.3	85.0	4.5	0.4	3.3	0.0004	0.0003	72,094	72,166	1,213,108
	January	14.5	85.6	4.6	0.4	3.4	0.0004	0.0003	73,394	73,467	1,234,977
2014	February	14.6	85.9	4.6	0.4	3.4	0.0004	0.0003	73,972	74,045	1,244,701

TABLE E-2. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Carbon Monoxide (CO) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			0.0235	0.36		
	Feb	25,172			0.0235	0.30		
	Mar	-				-		
	Apr	9,629			0.0235	0.11		
	May	18,023			0.0235	0.21		
	Jun	87,522			0.0235	1.03		
	Jul	93,208			0.0235	1.10		
	Aug	114,585			0.0235	1.35		
	Sep	43,332			0.0235	0.51		
	Oct	26,137			0.0235	0.31		
	Nov	402			0.0235	0.00		
	Dec	151			0.0235	0.00		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	-				-		
	May	-				-		
	Jun	10,853			0.0235	0.13		
	Jul	159,569			0.0235	1.88		
	Aug	91,118			0.0235	1.07		
	Sep	47,848			0.0235	0.56		
	Oct	12,846			0.0235	0.15		
	Nov	1,000			0.0235	0.01		
	Dec	3,394	775,201	387,601	0.0235	0.04	9.12	4.56
2010	Jan	686	745,474	372,737	0.0235	0.01	8.77	4.39
	Feb	133	720,435	360,217	0.0235	0.00	8.48	4.24
	Mar	-	720,435	360,217		-	8.48	4.24
	Apr	-	710,806	355,403		-	8.36	4.18
	May	-	692,783	346,391		-	8.15	4.08
	Jun	9,634	614,895	307,447	0.0235	0.11	7.23	3.62
	Jul	64,030	585,716	292,858	0.0235	0.75	6.89	3.45
	Aug	103,982	575,114	287,557	0.0235	1.22	6.77	3.38
	Sep	92,810	624,592	312,296	0.0235	1.09	7.35	3.67
	Oct	68,919	667,375	333,687	0.0235	0.81	7.85	3.93
	Nov	144	667,117	333,558	0.0235	0.00	7.85	3.92
	Dec	-	666,966	333,483		-	7.85	3.92

TABLE E-2. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Carbon Monoxide (CO) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483		-	7.85	3.92
	Feb	6,507	673,473	336,737	0.0235	0.08	7.92	3.96
	Mar	2,625	676,098	338,049	0.0235	0.03	7.95	3.98
	Apr	141	676,239	338,120	0.0235	0.00	7.96	3.98
	May	-	676,239	338,120		-	7.96	3.98
	Jun	41,581	706,968	353,484	0.0235	0.49	8.32	4.16
	Jul	116,450	663,849	331,924	0.0235	1.37	7.81	3.90
	Aug	214,780	787,510	393,755	0.0235	2.53	9.26	4.63
	Sep	70,041	809,703	404,851	0.0235	0.82	9.53	4.76
	Oct	92,177	889,034	444,517	0.0235	1.08	10.46	5.23
	Nov	699	888,732	444,366	0.0235	0.01	10.46	5.23
	Dec	20,646	905,985	452,993	0.0235	0.24	10.66	5.33
2012	Jan	-	905,299	452,650		-	10.65	5.33
	Feb	-	905,166	452,583		-	10.65	5.32
	Mar	17,911	923,078	461,539	0.0235	0.21	10.86	5.43
	Apr	24,902	947,979	473,990	0.0235	0.29	11.15	5.58
	May	58,498	1,006,477	503,238	0.0235	0.69	11.84	5.92
	Jun	115,484	1,112,327	556,164	0.0235	1.36	13.09	6.54
	Jul	61,112	1,109,410	554,705	0.0235	0.72	13.05	6.53
	Aug	155,558	1,160,986	580,493	0.0235	1.83	13.66	6.83
	Sep	61,083	1,129,259	564,629	0.0235	0.72	13.29	6.64
	Oct	25,256	1,085,595	542,798	0.0235	0.30	12.77	6.39
	Nov	132	1,085,583	542,792	0.0235	0.00	12.77	6.39
	Dec	9,800	1,095,383	547,691	0.0235	0.12	12.89	6.44
2013	Jan	58,429	1,153,812	576,906	0.0235	0.69	13.57	6.79
	Feb	4,345	1,151,650	575,825	0.0235	0.05	13.55	6.77
	Mar	1,045	1,150,070	575,035	0.0235	0.01	13.53	6.77
	Apr	12,952	1,162,881	581,440	0.0235	0.15	13.68	6.84
	May	38,778	1,201,659	600,830	0.0235	0.46	14.14	7.07
	Jun	132,850	1,292,928	646,464	0.0235	1.56	15.21	7.61
	July	153,657	1,330,134	665,067	0.0235	1.81	15.65	7.82
	August	143,629	1,258,983	629,491	0.0235	1.69	14.81	7.41
	September	70,759	1,259,701	629,850	0.0235	0.83	14.82	7.41
	October	241	1,167,765	583,882	0.0235	0.00	13.74	6.87
	November	17,978	1,185,044	592,522	0.0235	0.21	13.94	6.97
	December	18,106	1,182,503	591,252	0.0235	0.21	13.91	6.96
2014	January	31,521	1,214,024	607,012	0.0235	0.37	14.28	7.14
	February	5,698	1,219,722	609,861	0.0235	0.07	14.35	7.17

TABLE E-3. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Carbon Monoxide (CO) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			0.0235	0.18		
	Feb	26,358			0.0235	0.31		
	Mar	-				-		
	Apr	1,896			0.0235	0.02		
	May	14,503			0.0235	0.17		
	Jun	89,587			0.0235	1.05		
	Jul	90,637			0.0235	1.07		
	Aug	79,336			0.0235	0.93		
	Sep	76,799			0.0235	0.90		
	Oct	80,639			0.0235	0.95		
	Nov	12,131			0.0235	0.14		
	Dec	-				-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			0.0235	0.01		
	May	163,171			0.0235	1.92		
	Jun	61,573			0.0235	0.72		
	Jul	169,916			0.0235	2.00		
	Aug	161,270			0.0235	1.90		
	Sep	81,486			0.0235	0.96		
	Oct	13,265			0.0235	0.16		
	Nov	12,745			0.0235	0.15		
	Dec	7,705	1,158,934	579,467	0.0235	0.09	13.63	6.82
2010	Jan	450	1,143,962	571,981	0.0235	0.01	13.46	6.73
	Feb	138	1,117,742	558,871	0.0235	0.00	13.15	6.57
	Mar	-	1,117,742	558,871		-	13.15	6.57
	Apr	1,200	1,117,046	558,523	0.0235	0.01	13.14	6.57
	May	-	1,102,543	551,271		-	12.97	6.49
	Jun	6,599	1,019,554	509,777	0.0235	0.08	11.99	6.00
	Jul	44,585	973,503	486,751	0.0235	0.52	11.45	5.73
	Aug	144,204	1,038,371	519,186	0.0235	1.70	12.22	6.11
	Sep	67,249	1,028,822	514,411	0.0235	0.79	12.10	6.05
	Oct	71,331	1,019,513	509,757	0.0235	0.84	11.99	6.00
	Nov	2,177	1,009,559	504,780	0.0235	0.03	11.88	5.94
	Dec	-	1,009,559	504,780		-	11.88	5.94

TABLE E-3. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Carbon Monoxide (CO) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	0.0235	0.05	11.93	5.96
	Feb	20,220	1,034,260	517,130	0.0235	0.24	12.17	6.08
	Mar	-	1,034,260	517,130		-	12.17	6.08
	Apr	1,630	1,035,394	517,697	0.0235	0.02	12.18	6.09
	May	-	872,223	436,112		-	10.26	5.13
	Jun	54,333	864,983	432,492	0.0235	0.64	10.18	5.09
	Jul	164,320	859,387	429,694	0.0235	1.93	10.11	5.06
	Aug	180,411	878,528	439,264	0.0235	2.12	10.34	5.17
	Sep	64,736	861,778	430,889	0.0235	0.76	10.14	5.07
	Oct	111,748	960,260	480,130	0.0235	1.31	11.30	5.65
	Nov	4,053	951,568	475,784	0.0235	0.05	11.19	5.60
	Dec	9,537	953,400	476,700	0.0235	0.11	11.22	5.61
2012	Jan	-	952,951	476,475		-	11.21	5.61
	Feb	-	952,812	476,406		-	11.21	5.60
	Mar	1,382	954,194	477,097	0.0235	0.02	11.23	5.61
	Apr	86,134	1,039,128	519,564	0.0235	1.01	12.23	6.11
	May	50,881	1,090,010	545,005	0.0235	0.60	12.82	6.41
	Jun	91,607	1,175,018	587,509	0.0235	1.08	13.82	6.91
	Jul	29,312	1,159,745	579,872	0.0235	0.34	13.64	6.82
	Aug	120,697	1,136,238	568,119	0.0235	1.42	13.37	6.68
	Sep	13,110	1,082,098	541,049	0.0235	0.15	12.73	6.37
	Oct	786	1,011,554	505,777	0.0235	0.01	11.90	5.95
	Nov	-	1,009,377	504,688		-	11.88	5.94
	Dec	7,294	1,016,671	508,336	0.0235	0.09	11.96	5.98
2013	Jan	28,020	1,040,210	520,105	0.0235	0.33	12.24	6.12
	Feb	3,526	1,023,516	511,758	0.0235	0.04	12.04	6.02
	Mar	-	1,023,516	511,758		-	12.04	6.02
	Apr	29,529	1,051,416	525,708	0.0235	0.35	12.37	6.18
	May	22,968	1,074,384	537,192	0.0235	0.27	12.64	6.32
	Jun	116,778	1,136,830	568,415	0.0235	1.37	13.37	6.69
	July	367,709	1,340,219	670,110	0.0235	4.33	15.77	7.88
	August	123,204	1,283,012	641,506	0.0235	1.45	15.09	7.55
	September	68,549	1,286,825	643,413	0.0235	0.81	15.14	7.57
	October	6,688	1,181,765	590,883	0.0235	0.08	13.90	6.95
	November	30,501	1,208,213	604,107	0.0235	0.36	14.21	7.11
	December	45,037	1,243,714	621,857	0.0235	0.53	14.63	7.32
2014	January	12,217	1,255,931	627,965	0.0235	0.14	14.78	7.39
	February	13,749	1,269,680	634,840	0.0235	0.16	14.94	7.47

TABLE E-4. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Carbon Monoxide (CO) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			0.0235	0.54		
	Feb	51,530			0.0235	0.61		
	Mar	-				-		
	Apr	11,525			0.0235	0.14		
	May	32,526			0.0235	0.38		
	Jun	177,110			0.0235	2.08		
	Jul	183,845			0.0235	2.16		
	Aug	193,920			0.0235	2.28		
	Sep	120,131			0.0235	1.41		
	Oct	106,776			0.0235	1.26		
	Nov	12,533			0.0235	0.15		
	Dec	151			0.0235	0.00		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			0.0235	0.01		
	May	163,171			0.0235	1.92		
	Jun	72,425			0.0235	0.85		
	Jul	329,485			0.0235	3.88		
	Aug	252,389			0.0235	2.97		
	Sep	129,335			0.0235	1.52		
	Oct	26,112			0.0235	0.31		
	Nov	13,745			0.0235	0.16		
	Dec	11,098	1,934,135	967,068	0.0235	0.13	22.75	11.38
2010	Jan	1,136	1,889,436	944,718	0.0235	0.01	22.23	11.11
	Feb	271	1,838,177	919,089	0.0235	0.00	21.63	10.81
	Mar	-	1,838,177	919,089		-	21.63	10.81
	Apr	1,200	1,827,852	913,926	0.0235	0.01	21.50	10.75
	May	-	1,795,326	897,663		-	21.12	10.56
	Jun	16,233	1,634,449	817,225	0.0235	0.19	19.23	9.61
	Jul	108,615	1,559,219	779,610	0.0235	1.28	18.34	9.17
	Aug	248,186	1,613,485	806,743	0.0235	2.92	18.98	9.49
	Sep	160,059	1,653,413	826,707	0.0235	1.88	19.45	9.73
	Oct	140,250	1,686,888	843,444	0.0235	1.65	19.85	9.92
	Nov	2,321	1,676,676	838,338	0.0235	0.03	19.73	9.86
	Dec	-	1,676,525	838,263		-	19.72	9.86

TABLE E-4. Baseline actual carbon monoxide (CO) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Carbon Monoxide (CO) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	0.0235	0.05	19.78	9.89
	Feb	26,727	1,707,733	853,867	0.0235	0.31	20.09	10.05
	Mar	2,625	1,710,358	855,179	0.0235	0.03	20.12	10.06
	Apr	1,771	1,711,634	855,817	0.0235	0.02	20.14	10.07
	May	-	1,548,463	774,231		-	18.22	9.11
	Jun	95,913	1,571,951	785,975	0.0235	1.13	18.49	9.25
	Jul	280,770	1,523,236	761,618	0.0235	3.30	17.92	8.96
	Aug	395,192	1,666,039	833,019	0.0235	4.65	19.60	9.80
	Sep	134,776	1,671,480	835,740	0.0235	1.59	19.66	9.83
	Oct	203,925	1,849,294	924,647	0.0235	2.40	21.76	10.88
	Nov	4,752	1,840,301	920,150	0.0235	0.06	21.65	10.83
	Dec	30,183	1,859,385	929,693	0.0235	0.36	21.88	10.94
2012	Jan	-	1,858,250	929,125		-	21.86	10.93
	Feb	-	1,857,979	928,989		-	21.86	10.93
	Mar	19,293	1,877,272	938,636	0.0235	0.23	22.09	11.04
	Apr	111,035	1,987,108	993,554	0.0235	1.31	23.38	11.69
	May	109,379	2,096,487	1,048,243	0.0235	1.29	24.66	12.33
	Jun	207,092	2,287,345	1,143,673	0.0235	2.44	26.91	13.45
	Jul	90,424	2,269,154	1,134,577	0.0235	1.06	26.70	13.35
	Aug	276,255	2,297,224	1,148,612	0.0235	3.25	27.03	13.51
	Sep	74,193	2,211,357	1,105,678	0.0235	0.87	26.02	13.01
	Oct	26,042	2,097,149	1,048,575	0.0235	0.31	24.67	12.34
	Nov	132	2,094,960	1,047,480	0.0235	0.00	24.65	12.32
	Dec	17,094	2,112,054	1,056,027	0.0235	0.20	24.85	12.42
2013	Jan	86,449	2,194,022	1,097,011	0.0235	1.02	25.81	12.91
	Feb	7,871	2,175,166	1,087,583	0.0235	0.09	25.59	12.80
	Mar	1,045	2,173,586	1,086,793	0.0235	0.01	25.57	12.79
	Apr	42,481	2,214,297	1,107,148	0.0235	0.50	26.05	13.03
	May	61,747	2,276,043	1,138,022	0.0235	0.73	26.78	13.39
	Jun	249,628	2,429,758	1,214,879	0.0235	2.94	28.59	14.29
	July	521,366	2,670,354	1,335,177	0.0235	6.13	31.42	15.71
	August	266,833	2,541,994	1,270,997	0.0235	3.14	29.91	14.95
	September	139,308	2,546,526	1,273,263	0.0235	1.64	29.96	14.98
	October	6,929	2,349,530	1,174,765	0.0235	0.08	27.64	13.82
	November	48,479	2,393,257	1,196,628	0.0235	0.57	28.16	14.08
	December	63,143	2,426,217	1,213,108	0.0235	0.74	28.54	14.27
2014	January	43,738	2,469,955	1,234,977	0.0235	0.51	29.06	14.53
	February	19,447	2,489,402	1,244,701	0.0235	0.23	29.29	14.64

TABLE E-5. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Nitrogen Oxides (NO_x) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			0.11	1.7		
	Feb	25,172			0.09	1.1		
	Mar	-				-		
	Apr	9,629			0.09	0.4		
	May	18,023			0.12	1.1		
	Jun	87,522			0.14	5.9		
	Jul	93,208			0.10	4.8		
	Aug	114,585			0.09	5.3		
	Sep	43,332			0.09	2.0		
	Oct	26,137			0.09	1.2		
	Nov	402			0.07	0.0		
	Dec	151			0.04	0.0		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	-				-		
	May	-				-		
	Jun	10,853			0.09	0.5		
	Jul	159,569			0.12	9.5		
	Aug	91,118			0.14	6.4		
	Sep	47,848			0.10	2.5		
	Oct	12,846			0.14	0.9		
	Nov	1,000			0.04	0.0		
	Dec	3,394	775,201	387,601	0.09	0.1	43.5	21.8
2010	Jan	686	745,474	372,737	0.04	0.0	41.8	20.9
	Feb	133	720,435	360,217	0.03	0.0	40.7	20.4
	Mar	-	720,435	360,217		-	40.7	20.4
	Apr	-	710,806	355,403		-	40.3	20.1
	May	-	692,783	346,391		-	39.2	19.6
	Jun	9,634	614,895	307,447	0.06	0.3	33.6	16.8
	Jul	64,030	585,716	292,858	0.10	3.2	32.0	16.0
	Aug	103,982	575,114	287,557	0.11	5.7	32.4	16.2
	Sep	92,810	624,592	312,296	0.12	5.8	36.1	18.1
	Oct	68,919	667,375	333,687	0.14	4.7	39.6	19.8
	Nov	144	667,117	333,558	0.03	0.0	39.6	19.8
	Dec	-	666,966	333,483		-	39.6	19.8

TABLE E-5. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Nitrogen Oxides (NO_x) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483		-	39.6	19.8
	Feb	6,507	673,473	336,737	0.09	0.3	39.9	19.9
	Mar	2,625	676,098	338,049	0.08	0.1	40.0	20.0
	Apr	141	676,239	338,120	0.04	0.0	40.0	20.0
	May	-	676,239	338,120		-	40.0	20.0
	Jun	41,581	706,968	353,484	0.14	2.9	42.4	21.2
	Jul	116,450	663,849	331,924	0.12	7.0	39.8	19.9
	Aug	214,780	787,510	393,755	0.12	13.2	46.6	23.3
	Sep	70,041	809,703	404,851	0.12	4.2	48.3	24.2
	Oct	92,177	889,034	444,517	0.13	6.1	53.5	26.8
	Nov	699	888,732	444,366	0.07	0.0	53.5	26.8
	Dec	20,646	905,985	452,993	0.08	0.9	54.2	27.1
2012	Jan	-	905,299	452,650		-	54.2	27.1
	Feb	-	905,166	452,583		-	54.2	27.1
	Mar	17,911	923,078	461,539	0.11	1.0	55.2	27.6
	Apr	24,902	947,979	473,990	0.13	1.6	56.9	28.4
	May	58,498	1,006,477	503,238	0.13	3.9	60.8	30.4
	Jun	115,484	1,112,327	556,164	0.15	8.6	69.1	34.6
	Jul	61,112	1,109,410	554,705	0.13	4.1	70.0	35.0
	Aug	155,558	1,160,986	580,493	0.13	10.3	74.7	37.3
	Sep	61,083	1,129,259	564,629	0.13	3.8	72.8	36.4
	Oct	25,256	1,085,595	542,798	0.14	1.8	69.9	34.9
	Nov	132	1,085,583	542,792	0.05	0.0	69.9	34.9
	Dec	9,800	1,095,383	547,691	0.14	0.7	70.5	35.3
2013	Jan	58,429	1,153,812	576,906	0.12	3.4	74.0	37.0
	Feb	4,345	1,151,650	575,825	0.10	0.2	73.9	36.9
	Mar	1,045	1,150,070	575,035	0.05	0.0	73.8	36.9
	Apr	12,952	1,162,881	581,440	0.12	0.8	74.6	37.3
	May	38,778	1,201,659	600,830	0.15	2.9	77.5	38.8
	Jun	132,850	1,292,928	646,464	0.15	10.1	84.8	42.4
	July	153,657	1,330,134	665,067	0.13	10.3	88.1	44.1
	August	143,629	1,258,983	629,491	0.15	10.6	85.6	42.8
	September	70,759	1,259,701	629,850	0.12	4.3	85.7	42.9
	October	241	1,167,765	583,882	0.06	0.0	79.7	39.8
	November	17,978	1,185,044	592,522	0.10	0.9	80.6	40.3
	December	18,106	1,182,503	591,252	0.06	0.6	80.2	40.1
2014	January	31,521	1,214,024	607,012	0.06	1.0	81.2	40.6
	February	5,698	1,219,722	609,861	0.06	0.2	81.4	40.7

Footnotes

NO_x emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-6. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Nitrogen Oxides (NO_x) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			0.13	1.0		
	Feb	26,358			0.13	1.7		
	Mar	-				-		
	Apr	1,896			0.05	0.0		
	May	14,503			0.13	1.0		
	Jun	89,587			0.13	5.9		
	Jul	90,637			0.13	5.8		
	Aug	79,336			0.14	5.5		
	Sep	76,799			0.16	6.0		
	Oct	80,639			0.15	6.2		
	Nov	12,131			0.13	0.8		
	Dec	-				-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			0.04	0.0		
	May	163,171			0.16	13.2		
	Jun	61,573			0.13	4.0		
	Jul	169,916			0.17	14.7		
	Aug	161,270			0.19	15.7		
	Sep	81,486			0.21	8.6		
	Oct	13,265			0.16	1.1		
	Nov	12,745			0.18	1.2		
	Dec	7,705	1,158,934	579,467	0.12	0.4	92.5	46.3
2010	Jan	450	1,143,962	571,981	0.04	0.0	91.5	45.8
	Feb	138	1,117,742	558,871	0.03	0.0	89.9	44.9
	Mar	-	1,117,742	558,871		-	89.9	44.9
	Apr	1,200	1,117,046	558,523	0.05	0.0	89.9	44.9
	May	-	1,102,543	551,271		-	88.9	44.4
	Jun	6,599	1,019,554	509,777	0.12	0.4	83.4	41.7
	Jul	44,585	973,503	486,751	0.15	3.4	81.0	40.5
	Aug	144,204	1,038,371	519,186	0.15	10.8	86.3	43.2
	Sep	67,249	1,028,822	514,411	0.32	10.7	91.1	45.5
	Oct	71,331	1,019,513	509,757	0.14	5.1	90.0	45.0
	Nov	2,177	1,009,559	504,780	0.08	0.1	89.4	44.7
	Dec	-	1,009,559	504,780		-	89.4	44.7

TABLE E-6. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Nitrogen Oxides (NO_x) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	0.10	0.2	89.6	44.8
	Feb	20,220	1,034,260	517,130	0.12	1.3	90.8	45.4
	Mar	-	1,034,260	517,130		-	90.8	45.4
	Apr	1,630	1,035,394	517,697	0.12	0.1	90.9	45.5
	May	-	872,223	436,112		-	77.8	38.9
	Jun	54,333	864,983	432,492	0.15	4.2	77.9	39.0
	Jul	164,320	859,387	429,694	0.13	10.5	73.7	36.9
	Aug	180,411	878,528	439,264	0.14	12.3	70.3	35.1
	Sep	64,736	861,778	430,889	0.14	4.6	66.3	33.2
	Oct	111,748	960,260	480,130	0.14	8.1	73.4	36.7
	Nov	4,053	951,568	475,784	0.10	0.2	72.4	36.2
	Dec	9,537	953,400	476,700	0.10	0.5	72.4	36.2
2012	Jan	-	952,951	476,475		-	72.4	36.2
	Feb	-	952,812	476,406		-	72.4	36.2
	Mar	1,382	954,194	477,097	0.11	0.1	72.5	36.3
	Apr	86,134	1,039,128	519,564	0.15	6.4	78.9	39.4
	May	50,881	1,090,010	545,005	0.14	3.5	82.3	41.2
	Jun	91,607	1,175,018	587,509	0.15	6.9	88.9	44.4
	Jul	29,312	1,159,745	579,872	0.13	2.0	87.4	43.7
	Aug	120,697	1,136,238	568,119	0.15	9.1	85.8	42.9
	Sep	13,110	1,082,098	541,049	0.14	0.9	75.9	38.0
	Oct	786	1,011,554	505,777	0.05	0.0	70.8	35.4
	Nov	-	1,009,377	504,688		-	70.7	35.4
	Dec	7,294	1,016,671	508,336	0.14	0.5	71.2	35.6
2013	Jan	28,020	1,040,210	520,105	0.13	1.8	72.9	36.4
	Feb	3,526	1,023,516	511,758	0.11	0.2	71.8	35.9
	Mar	-	1,023,516	511,758		-	71.8	35.9
	Apr	29,529	1,051,416	525,708	0.11	1.6	73.3	36.7
	May	22,968	1,074,384	537,192	0.16	1.8	75.1	37.6
	Jun	116,778	1,136,830	568,415	0.17	9.7	80.6	40.3
	July	367,709	1,340,219	670,110	0.14	25.1	95.3	47.6
	August	123,204	1,283,012	641,506	0.15	9.1	92.1	46.0
	September	68,549	1,286,825	643,413	0.16	5.5	92.9	46.5
	October	6,688	1,181,765	590,883	0.11	0.4	85.2	42.6
	November	30,501	1,208,213	604,107	0.15	2.2	87.2	43.6
	December	45,037	1,243,714	621,857	0.13	3.0	89.7	44.9
2014	January	12,217	1,255,931	627,965	0.05	0.3	90.0	45.0
	February	13,749	1,269,680	634,840	0.05	0.3	90.4	45.2

Footnotes

NO_x emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-7. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Nitrogen Oxides (NO_x) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			0.12	2.70		
	Feb	51,530			0.11	2.79		
	Mar	-				-		
	Apr	11,525			0.09	0.49		
	May	32,526			0.12	2.02		
	Jun	177,110			0.13	11.82		
	Jul	183,845			0.11	10.57		
	Aug	193,920			0.11	10.80		
	Sep	120,131			0.13	7.96		
	Oct	106,776			0.14	7.35		
	Nov	12,533			0.12	0.77		
	Dec	151			0.04	0.00		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			0.04	0.01		
	May	163,171			0.16	13.17		
	Jun	72,425			0.12	4.48		
	Jul	329,485			0.15	24.27		
	Aug	252,389			0.17	22.08		
	Sep	129,335			0.17	11.01		
	Oct	26,112			0.15	1.97		
	Nov	13,745			0.17	1.18		
	Dec	11,098	1,934,135	967,068	0.11	0.60	136.06	68.03
2010	Jan	1,136	1,889,436	944,718	0.04	0.02	133.39	66.69
	Feb	271	1,838,177	919,089	0.03	0.00	130.60	65.30
	Mar	-	1,838,177	919,089		-	130.60	65.30
	Apr	1,200	1,827,852	913,926	0.05	0.03	130.14	65.07
	May	-	1,795,326	897,663		-	128.12	64.06
	Jun	16,233	1,634,449	817,225	0.09	0.69	116.99	58.50
	Jul	108,615	1,559,219	779,610	0.12	6.61	113.04	56.52
	Aug	248,186	1,613,485	806,743	0.13	16.42	118.66	59.33
	Sep	160,059	1,653,413	826,707	0.21	16.47	127.17	63.59
	Oct	140,250	1,686,888	843,444	0.14	9.79	129.61	64.80
	Nov	2,321	1,676,676	838,338	0.08	0.09	128.92	64.46
	Dec	-	1,676,525	838,263		-	128.92	64.46

TABLE E-7. Baseline actual nitrogen oxides (NO_x) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Nitrogen Oxides (NO_x) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	0.10	0.22	129.14	64.57
	Feb	26,727	1,707,733	853,867	0.12	1.57	130.71	65.36
	Mar	2,625	1,710,358	855,179	0.08	0.10	130.81	65.41
	Apr	1,771	1,711,634	855,817	0.11	0.10	130.90	65.45
	May	-	1,548,463	774,231		-	117.73	58.87
	Jun	95,913	1,571,951	785,975	0.15	7.05	120.30	60.15
	Jul	280,770	1,523,236	761,618	0.12	17.49	113.53	56.76
	Aug	395,192	1,666,039	833,019	0.13	25.46	116.91	58.45
	Sep	134,776	1,671,480	835,740	0.13	8.78	114.67	57.34
	Oct	203,925	1,849,294	924,647	0.14	14.16	126.86	63.43
	Nov	4,752	1,840,301	920,150	0.10	0.24	125.91	62.96
	Dec	30,183	1,859,385	929,693	0.09	1.36	126.68	63.34
2012	Jan	-	1,858,250	929,125		-	126.65	63.33
	Feb	-	1,857,979	928,989		-	126.65	63.32
	Mar	19,293	1,877,272	938,636	0.11	1.08	127.73	63.86
	Apr	111,035	1,987,108	993,554	0.14	8.04	135.74	67.87
	May	109,379	2,096,487	1,048,243	0.14	7.40	143.14	71.57
	Jun	207,092	2,287,345	1,143,673	0.15	15.57	158.01	79.01
	Jul	90,424	2,269,154	1,134,577	0.13	6.06	157.46	78.73
	Aug	276,255	2,297,224	1,148,612	0.14	19.39	160.43	80.21
	Sep	74,193	2,211,357	1,105,678	0.13	4.73	148.69	74.34
	Oct	26,042	2,097,149	1,048,575	0.14	1.78	140.68	70.34
	Nov	132	2,094,960	1,047,480	0.05	0.00	140.59	70.30
	Dec	17,094	2,112,054	1,056,027	0.14	1.20	141.79	70.90
2013	Jan	86,449	2,194,022	1,097,011	0.12	5.26	146.84	73.42
	Feb	7,871	2,175,166	1,087,583	0.10	0.40	145.67	72.83
	Mar	1,045	2,173,586	1,086,793	0.05	0.03	145.59	72.80
	Apr	42,481	2,214,297	1,107,148	0.11	2.43	147.93	73.96
	May	61,747	2,276,043	1,138,022	0.15	4.73	152.66	76.33
	Jun	249,628	2,429,758	1,214,879	0.16	19.82	165.43	82.71
	July	521,366	2,670,354	1,335,177	0.14	35.46	183.40	91.70
	August	266,833	2,541,994	1,270,997	0.15	19.70	177.63	88.82
	September	139,308	2,546,526	1,273,263	0.14	9.80	178.65	89.33
	October	6,929	2,349,530	1,174,765	0.10	0.36	164.85	82.43
	November	48,479	2,393,257	1,196,628	0.13	3.13	167.75	83.88
	December	63,143	2,426,217	1,213,108	0.11	3.56	169.95	84.97
2014	January	43,738	2,469,955	1,234,977	0.06	1.27	171.22	85.61
	February	19,447	2,489,402	1,244,701	0.05	0.52	171.74	85.87

Footnotes

NO_x emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-8. Baseline actual particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			PM, PM_{10}, and $PM_{2.5}$ Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			0.0075	0.113		
	Feb	25,172			0.0075	0.094		
	Mar	-				-		
	Apr	9,629			0.0075	0.036		
	May	18,023			0.0075	0.067		
	Jun	87,522			0.0075	0.326		
	Jul	93,208			0.0075	0.347		
	Aug	114,585			0.0075	0.427		
	Sep	43,332			0.0075	0.161		
	Oct	26,137			0.0075	0.097		
	Nov	402			0.0075	0.001		
	Dec	151			0.0075	0.001		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	-				-		
	May	-				-		
	Jun	10,853			0.0075	0.040		
	Jul	159,569			0.0075	0.594		
	Aug	91,118			0.0075	0.339		
	Sep	47,848			0.0075	0.178		
	Oct	12,846			0.0075	0.048		
	Nov	1,000			0.0075	0.004		
	Dec	3,394	775,201	387,601	0.0075	0.013	2.9	1.4
2010	Jan	686	745,474	372,737	0.0075	0.003	2.8	1.4
	Feb	133	720,435	360,217	0.0075	0.000	2.7	1.3
	Mar	-	720,435	360,217		-	2.7	1.3
	Apr	-	710,806	355,403		-	2.6	1.3
	May	-	692,783	346,391		-	2.6	1.3
	Jun	9,634	614,895	307,447	0.0075	0.036	2.3	1.1
	Jul	64,030	585,716	292,858	0.0075	0.239	2.2	1.1
	Aug	103,982	575,114	287,557	0.0075	0.387	2.1	1.1
	Sep	92,810	624,592	312,296	0.0075	0.346	2.3	1.2
	Oct	68,919	667,375	333,687	0.0075	0.257	2.5	1.2
	Nov	144	667,117	333,558	0.0075	0.001	2.5	1.2
	Dec	-	666,966	333,483		-	2.5	1.2

TABLE E-8. Baseline actual particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			PM, PM_{10}, and $PM_{2.5}$ Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483		-	2.5	1.2
	Feb	6,507	673,473	336,737	0.0075	0.024	2.5	1.3
	Mar	2,625	676,098	338,049	0.0075	0.010	2.5	1.3
	Apr	141	676,239	338,120	0.0075	0.001	2.5	1.3
	May	-	676,239	338,120		-	2.5	1.3
	Jun	41,581	706,968	353,484	0.0075	0.155	2.6	1.3
	Jul	116,450	663,849	331,924	0.0075	0.434	2.5	1.2
	Aug	214,780	787,510	393,755	0.0075	0.800	2.9	1.5
	Sep	70,041	809,703	404,851	0.0075	0.261	3.0	1.5
	Oct	92,177	889,034	444,517	0.0075	0.343	3.3	1.7
	Nov	699	888,732	444,366	0.0075	0.003	3.3	1.7
	Dec	20,646	905,985	452,993	0.0075	0.077	3.4	1.7
2012	Jan	-	905,299	452,650		-	3.4	1.7
	Feb	-	905,166	452,583		-	3.4	1.7
	Mar	17,911	923,078	461,539	0.0075	0.067	3.4	1.7
	Apr	24,902	947,979	473,990	0.0075	0.093	3.5	1.8
	May	58,498	1,006,477	503,238	0.0075	0.218	3.7	1.9
	Jun	115,484	1,112,327	556,164	0.0075	0.430	4.1	2.1
	Jul	61,112	1,109,410	554,705	0.0075	0.228	4.1	2.1
	Aug	155,558	1,160,986	580,493	0.0075	0.580	4.3	2.2
	Sep	61,083	1,129,259	564,629	0.0075	0.228	4.2	2.1
	Oct	25,256	1,085,595	542,798	0.0075	0.094	4.0	2.0
	Nov	132	1,085,583	542,792	0.0075	0.000	4.0	2.0
	Dec	9,800	1,095,383	547,691	0.0075	0.037	4.1	2.0
2013	Jan	58,429	1,153,812	576,906	0.0075	0.218	4.3	2.1
	Feb	4,345	1,151,650	575,825	0.0075	0.016	4.3	2.1
	Mar	1,045	1,150,070	575,035	0.0075	0.004	4.3	2.1
	Apr	12,952	1,162,881	581,440	0.0075	0.048	4.3	2.2
	May	38,778	1,201,659	600,830	0.0075	0.144	4.5	2.2
	Jun	132,850	1,292,928	646,464	0.0075	0.495	4.8	2.4
	July	153,657	1,330,134	665,067	0.0075	0.572	5.0	2.5
	August	143,629	1,258,983	629,491	0.0075	0.535	4.7	2.3
	September	70,759	1,259,701	629,850	0.0075	0.264	4.7	2.3
	October	241	1,167,765	583,882	0.0075	0.001	4.4	2.2
	November	17,978	1,185,044	592,522	0.0075	0.067	4.4	2.2
	December	18,106	1,182,503	591,252	0.0075	0.067	4.4	2.2
2014	January	31,521	1,214,024	607,012	0.0075	0.117	4.5	2.3
	February	5,698	1,219,722	609,861	0.0075	0.021	4.5	2.3

TABLE E-9. Baseline actual particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			PM, PM_{10}, and $PM_{2.5}$ Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			0.0075	0.057		
	Feb	26,358			0.0075	0.098		
	Mar	-				-		
	Apr	1,896			0.0075	0.007		
	May	14,503			0.0075	0.054		
	Jun	89,587			0.0075	0.334		
	Jul	90,637			0.0075	0.338		
	Aug	79,336			0.0075	0.296		
	Sep	76,799			0.0075	0.286		
	Oct	80,639			0.0075	0.300		
	Nov	12,131			0.0075	0.045		
	Dec	-				-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			0.0075	0.002		
	May	163,171			0.0075	0.608		
	Jun	61,573			0.0075	0.229		
	Jul	169,916			0.0075	0.633		
	Aug	161,270			0.0075	0.601		
	Sep	81,486			0.0075	0.304		
	Oct	13,265			0.0075	0.049		
	Nov	12,745			0.0075	0.047		
	Dec	7,705	1,158,934	579,467	0.0075	0.029	4.3	2.2
2010	Jan	450	1,143,962	571,981	0.0075	0.002	4.3	2.1
	Feb	138	1,117,742	558,871	0.0075	0.001	4.2	2.1
	Mar	-	1,117,742	558,871		-	4.2	2.1
	Apr	1,200	1,117,046	558,523	0.0075	0.004	4.2	2.1
	May	-	1,102,543	551,271		-	4.1	2.1
	Jun	6,599	1,019,554	509,777	0.0075	0.025	3.8	1.9
	Jul	44,585	973,503	486,751	0.0075	0.166	3.6	1.8
	Aug	144,204	1,038,371	519,186	0.0075	0.537	3.9	1.9
	Sep	67,249	1,028,822	514,411	0.0075	0.251	3.8	1.9
	Oct	71,331	1,019,513	509,757	0.0075	0.266	3.8	1.9
	Nov	2,177	1,009,559	504,780	0.0075	0.008	3.8	1.9
	Dec	-	1,009,559	504,780		-	3.8	1.9

TABLE E-9. Baseline actual particulate matter (PM), PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			PM, PM_{10}, and $PM_{2.5}$ Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	0.0075	0.017	3.8	1.9
	Feb	20,220	1,034,260	517,130	0.0075	0.075	3.9	1.9
	Mar	-	1,034,260	517,130		-	3.9	1.9
	Apr	1,630	1,035,394	517,697	0.0075	0.006	3.9	1.9
	May	-	872,223	436,112		-	3.2	1.6
	Jun	54,333	864,983	432,492	0.0075	0.202	3.2	1.6
	Jul	164,320	859,387	429,694	0.0075	0.612	3.2	1.6
	Aug	180,411	878,528	439,264	0.0075	0.672	3.3	1.6
	Sep	64,736	861,778	430,889	0.0075	0.241	3.2	1.6
	Oct	111,748	960,260	480,130	0.0075	0.416	3.6	1.8
	Nov	4,053	951,568	475,784	0.0075	0.015	3.5	1.8
	Dec	9,537	953,400	476,700	0.0075	0.036	3.6	1.8
2012	Jan	-	952,951	476,475		-	3.6	1.8
	Feb	-	952,812	476,406		-	3.5	1.8
	Mar	1,382	954,194	477,097	0.0075	0.005	3.6	1.8
	Apr	86,134	1,039,128	519,564	0.0075	0.321	3.9	1.9
	May	50,881	1,090,010	545,005	0.0075	0.190	4.1	2.0
	Jun	91,607	1,175,018	587,509	0.0075	0.341	4.4	2.2
	Jul	29,312	1,159,745	579,872	0.0075	0.109	4.3	2.2
	Aug	120,697	1,136,238	568,119	0.0075	0.450	4.2	2.1
	Sep	13,110	1,082,098	541,049	0.0075	0.049	4.0	2.0
	Oct	786	1,011,554	505,777	0.0075	0.003	3.8	1.9
	Nov	-	1,009,377	504,688		-	3.8	1.9
	Dec	7,294	1,016,671	508,336	0.0075	0.027	3.8	1.9
2013	Jan	28,020	1,040,210	520,105	0.0075	0.104	3.9	1.9
	Feb	3,526	1,023,516	511,758	0.0075	0.013	3.8	1.9
	Mar	-	1,023,516	511,758		-	3.8	1.9
	Apr	29,529	1,051,416	525,708	0.0075	0.110	3.9	2.0
	May	22,968	1,074,384	537,192	0.0075	0.086	4.0	2.0
	Jun	116,778	1,136,830	568,415	0.0075	0.435	4.2	2.1
	July	367,709	1,340,219	670,110	0.0075	1.370	5.0	2.5
	August	123,204	1,283,012	641,506	0.0075	0.459	4.8	2.4
	September	68,549	1,286,825	643,413	0.0075	0.255	4.8	2.4
	October	6,688	1,181,765	590,883	0.0075	0.025	4.4	2.2
	November	30,501	1,208,213	604,107	0.0075	0.114	4.5	2.3
	December	45,037	1,243,714	621,857	0.0075	0.168	4.6	2.3
2014	January	12,217	1,255,931	627,965	0.0075	0.046	4.7	2.3
	February	13,749	1,269,680	634,840	0.0075	0.051	4.7	2.4

TABLE E-10. Baseline actual PM, PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamers 1 and 2 combined.

Year	Month	Heat Input			PM, PM_{10}, and $PM_{2.5}$ Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			0.0075	0.171		
	Feb	51,530			0.0075	0.192		
	Mar	-				-		
	Apr	11,525			0.0075	0.043		
	May	32,526			0.0075	0.121		
	Jun	177,110			0.0075	0.660		
	Jul	183,845			0.0075	0.685		
	Aug	193,920			0.0075	0.722		
	Sep	120,131			0.0075	0.448		
	Oct	106,776			0.0075	0.398		
	Nov	12,533			0.0075	0.047		
	Dec	151			0.0075	0.001		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			0.0075	0.002		
	May	163,171			0.0075	0.608		
	Jun	72,425			0.0075	0.270		
	Jul	329,485			0.0075	1.227		
	Aug	252,389			0.0075	0.940		
	Sep	129,335			0.0075	0.482		
	Oct	26,112			0.0075	0.097		
	Nov	13,745			0.0075	0.051		
	Dec	11,098	1,934,135	967,068	0.0075	0.041	7.2	3.6
2010	Jan	1,136	1,889,436	944,718	0.0075	0.004	7.0	3.5
	Feb	271	1,838,177	919,089	0.0075	0.001	6.8	3.4
	Mar	-	1,838,177	919,089		-	6.8	3.4
	Apr	1,200	1,827,852	913,926	0.0075	0.004	6.8	3.4
	May	-	1,795,326	897,663		-	6.7	3.3
	Jun	16,233	1,634,449	817,225	0.0075	0.060	6.1	3.0
	Jul	108,615	1,559,219	779,610	0.0075	0.405	5.8	2.9
	Aug	248,186	1,613,485	806,743	0.0075	0.925	6.0	3.0
	Sep	160,059	1,653,413	826,707	0.0075	0.596	6.2	3.1
	Oct	140,250	1,686,888	843,444	0.0075	0.523	6.3	3.1
	Nov	2,321	1,676,676	838,338	0.0075	0.009	6.2	3.1
	Dec	-	1,676,525	838,263		-	6.2	3.1

TABLE E-10. Baseline actual PM, PM_{10}, and $PM_{2.5}$ emissions for Ocotillo Steamers 1 and 2 combined.

Year	Month	Heat Input			PM, PM_{10}, and $PM_{2.5}$ Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	0.0075	0.017	6.3	3.1
	Feb	26,727	1,707,733	853,867	0.0075	0.100	6.4	3.2
	Mar	2,625	1,710,358	855,179	0.0075	0.010	6.4	3.2
	Apr	1,771	1,711,634	855,817	0.0075	0.007	6.4	3.2
	May	-	1,548,463	774,231		-	5.8	2.9
	Jun	95,913	1,571,951	785,975	0.0075	0.357	5.9	2.9
	Jul	280,770	1,523,236	761,618	0.0075	1.046	5.7	2.8
	Aug	395,192	1,666,039	833,019	0.0075	1.472	6.2	3.1
	Sep	134,776	1,671,480	835,740	0.0075	0.502	6.2	3.1
	Oct	203,925	1,849,294	924,647	0.0075	0.760	6.9	3.4
	Nov	4,752	1,840,301	920,150	0.0075	0.018	6.9	3.4
	Dec	30,183	1,859,385	929,693	0.0075	0.112	6.9	3.5
2012	Jan	-	1,858,250	929,125		-	6.9	3.5
	Feb	-	1,857,979	928,989		-	6.9	3.5
	Mar	19,293	1,877,272	938,636	0.0075	0.072	7.0	3.5
	Apr	111,035	1,987,108	993,554	0.0075	0.414	7.4	3.7
	May	109,379	2,096,487	1,048,243	0.0075	0.407	7.8	3.9
	Jun	207,092	2,287,345	1,143,673	0.0075	0.772	8.5	4.3
	Jul	90,424	2,269,154	1,134,577	0.0075	0.337	8.5	4.2
	Aug	276,255	2,297,224	1,148,612	0.0075	1.029	8.6	4.3
	Sep	74,193	2,211,357	1,105,678	0.0075	0.276	8.2	4.1
	Oct	26,042	2,097,149	1,048,575	0.0075	0.097	7.8	3.9
	Nov	132	2,094,960	1,047,480	0.0075	0.000	7.8	3.9
	Dec	17,094	2,112,054	1,056,027	0.0075	0.064	7.9	3.9
2013	Jan	86,449	2,194,022	1,097,011	0.0075	0.322	8.2	4.1
	Feb	7,871	2,175,166	1,087,583	0.0075	0.029	8.1	4.1
	Mar	1,045	2,173,586	1,086,793	0.0075	0.004	8.1	4.0
	Apr	42,481	2,214,297	1,107,148	0.0075	0.158	8.2	4.1
	May	61,747	2,276,043	1,138,022	0.0075	0.230	8.5	4.2
	Jun	249,628	2,429,758	1,214,879	0.0075	0.930	9.1	4.5
	July	521,366	2,670,354	1,335,177	0.0075	1.942	9.9	5.0
	August	266,833	2,541,994	1,270,997	0.0075	0.994	9.5	4.7
	September	139,308	2,546,526	1,273,263	0.0075	0.519	9.5	4.7
	October	6,929	2,349,530	1,174,765	0.0075	0.026	8.8	4.4
	November	48,479	2,393,257	1,196,628	0.0075	0.181	8.9	4.5
	December	63,143	2,426,217	1,213,108	0.0075	0.235	9.0	4.5
2014	January	43,738	2,469,955	1,234,977	0.0075	0.163	9.2	4.6
	February	19,447	2,489,402	1,244,701	0.0075	0.072	9.27	4.64

TABLE E-11. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Sulfur Dioxide (SO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			0.0006	0.009		
	Feb	25,172			0.0006	0.008		
	Mar	-				-		
	Apr	9,629			0.0006	0.003		
	May	18,023			0.0006	0.005		
	Jun	87,522			0.0006	0.026		
	Jul	93,208			0.0006	0.028		
	Aug	114,585			0.0006	0.034		
	Sep	43,332			0.0006	0.013		
	Oct	26,137			0.0006	0.008		
	Nov	402			-	-		
	Dec	151			-	-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	-				-		
	May	-				-		
	Jun	10,853			0.0006	0.003		
	Jul	159,569			0.0006	0.048		
	Aug	91,118			0.0006	0.027		
	Sep	47,848			0.0006	0.014		
	Oct	12,846			0.0006	0.004		
	Nov	1,000			-	-		
	Dec	3,394	775,201	387,601	0.0006	0.001	0.23	0.12
2010	Jan	686	745,474	372,737	-	-	0.22	0.11
	Feb	133	720,435	360,217	-	-	0.21	0.11
	Mar	-	720,435	360,217		-	0.21	0.11
	Apr	-	710,806	355,403		-	0.21	0.11
	May	-	692,783	346,391		-	0.21	0.10
	Jun	9,634	614,895	307,447	0.0006	0.003	0.18	0.09
	Jul	64,030	585,716	292,858	0.0006	0.019	0.17	0.09
	Aug	103,982	575,114	287,557	0.0006	0.031	0.17	0.09
	Sep	92,810	624,592	312,296	0.0006	0.028	0.19	0.09
	Oct	68,919	667,375	333,687	0.0006	0.021	0.20	0.10
	Nov	144	667,117	333,558	-	-	0.20	0.10
	Dec	-	666,966	333,483		-	0.20	0.10

TABLE E-11. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Sulfur Dioxide (SO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483		-	0.20	0.10
	Feb	6,507	673,473	336,737	0.0006	0.002	0.20	0.10
	Mar	2,625	676,098	338,049	0.0008	0.001	0.20	0.10
	Apr	141	676,239	338,120	-	-	0.20	0.10
	May	-	676,239	338,120		-	0.20	0.10
	Jun	41,581	706,968	353,484	0.0006	0.012	0.21	0.11
	Jul	116,450	663,849	331,924	0.0006	0.035	0.20	0.10
	Aug	214,780	787,510	393,755	0.0006	0.064	0.24	0.12
	Sep	70,041	809,703	404,851	0.0006	0.021	0.24	0.12
	Oct	92,177	889,034	444,517	0.0006	0.028	0.27	0.13
	Nov	699	888,732	444,366	-	-	0.27	0.13
	Dec	20,646	905,985	452,993	0.0006	0.006	0.27	0.14
2012	Jan	-	905,299	452,650		-	0.27	0.14
	Feb	-	905,166	452,583		-	0.27	0.14
	Mar	17,911	923,078	461,539	0.0006	0.005	0.28	0.14
	Apr	24,902	947,979	473,990	0.0006	0.007	0.28	0.14
	May	58,498	1,006,477	503,238	0.0006	0.018	0.30	0.15
	Jun	115,484	1,112,327	556,164	0.0006	0.035	0.33	0.17
	Jul	61,112	1,109,410	554,705	0.0006	0.018	0.33	0.17
	Aug	155,558	1,160,986	580,493	0.0006	0.047	0.35	0.17
	Sep	61,083	1,129,259	564,629	0.0006	0.018	0.34	0.17
	Oct	25,256	1,085,595	542,798	0.0006	0.008	0.33	0.16
	Nov	132	1,085,583	542,792	-	-	0.33	0.16
	Dec	9,800	1,095,383	547,691	0.0006	0.003	0.33	0.16
2013	Jan	58,429	1,153,812	576,906	0.0006	0.018	0.35	0.17
	Feb	4,345	1,151,650	575,825	0.0005	0.001	0.35	0.17
	Mar	1,045	1,150,070	575,035	-	-	0.34	0.17
	Apr	12,952	1,162,881	581,440	0.0006	0.004	0.35	0.17
	May	38,778	1,201,659	600,830	0.0006	0.012	0.36	0.18
	Jun	132,850	1,292,928	646,464	0.0006	0.040	0.39	0.19
	July	153,657	1,330,134	665,067	0.0006	0.046	0.40	0.20
	August	143,629	1,258,983	629,491	0.0006	0.043	0.38	0.19
	September	70,759	1,259,701	629,850	0.0006	0.021	0.38	0.19
	October	241	1,167,765	583,882	-	-	0.35	0.18
	November	17,978	1,185,044	592,522	0.0006	0.005	0.36	0.18
	December	18,106	1,182,503	591,252	0.0006	0.005	0.35	0.18
2014	January	31,521	1,214,024	607,012	0.0006	0.009	0.36	0.18
	February	5,698	1,219,722	609,861	0.0006	0.002	0.36	0.18

Footnotes

SO_2 emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-12. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Sulfur Dioxide (SO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			0.0006	0.005		
	Feb	26,358			0.0006	0.008		
	Mar	-				-		
	Apr	1,896			0.0011	0.001		
	May	14,503			0.0006	0.004		
	Jun	89,587			0.0006	0.027		
	Jul	90,637			0.0006	0.027		
	Aug	79,336			0.0006	0.024		
	Sep	76,799			0.0006	0.023		
	Oct	80,639			0.0006	0.024		
	Nov	12,131			0.0007	0.004		
	Dec	-				-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			-	-		
	May	163,171			0.0006	0.049		
	Jun	61,573			0.0006	0.018		
	Jul	169,916			0.0006	0.051		
	Aug	161,270			0.0006	0.048		
	Sep	81,486			0.0006	0.024		
	Oct	13,265			0.0006	0.004		
	Nov	12,745			0.0006	0.004		
	Dec	7,705	1,158,934	579,467	0.0005	0.002	0.35	0.17
2010	Jan	450	1,143,962	571,981	-	-	0.34	0.17
	Feb	138	1,117,742	558,871	-	-	0.33	0.17
	Mar	-	1,117,742	558,871		-	0.33	0.17
	Apr	1,200	1,117,046	558,523	-	-	0.33	0.17
	May	-	1,102,543	551,271		-	0.33	0.16
	Jun	6,599	1,019,554	509,777	0.0006	0.002	0.30	0.15
	Jul	44,585	973,503	486,751	0.0006	0.013	0.29	0.15
	Aug	144,204	1,038,371	519,186	0.0006	0.043	0.31	0.15
	Sep	67,249	1,028,822	514,411	0.0006	0.020	0.31	0.15
	Oct	71,331	1,019,513	509,757	0.0006	0.021	0.30	0.15
	Nov	2,177	1,009,559	504,780	0.0009	0.001	0.30	0.15
	Dec	-	1,009,559	504,780		-	0.30	0.15

TABLE E-12. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Sulfur Dioxide (SO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	0.0004	0.001	0.30	0.15
	Feb	20,220	1,034,260	517,130	0.0006	0.006	0.31	0.15
	Mar	-	1,034,260	517,130		-	0.31	0.15
	Apr	1,630	1,035,394	517,697	-	-	0.31	0.15
	May	-	872,223	436,112		-	0.26	0.13
	Jun	54,333	864,983	432,492	0.0006	0.016	0.26	0.13
	Jul	164,320	859,387	429,694	0.0006	0.049	0.25	0.13
	Aug	180,411	878,528	439,264	0.0006	0.054	0.26	0.13
	Sep	64,736	861,778	430,889	0.0006	0.019	0.26	0.13
	Oct	111,748	960,260	480,130	0.0006	0.034	0.29	0.14
	Nov	4,053	951,568	475,784	0.0005	0.001	0.28	0.14
	Dec	9,537	953,400	476,700	0.0006	0.003	0.28	0.14
2012	Jan	-	952,951	476,475		-	0.28	0.14
	Feb	-	952,812	476,406		-	0.28	0.14
	Mar	1,382	954,194	477,097	-	-	0.28	0.14
	Apr	86,134	1,039,128	519,564	0.0006	0.026	0.31	0.15
	May	50,881	1,090,010	545,005	0.0006	0.015	0.32	0.16
	Jun	91,607	1,175,018	587,509	0.0006	0.027	0.35	0.17
	Jul	29,312	1,159,745	579,872	0.0006	0.009	0.35	0.17
	Aug	120,697	1,136,238	568,119	0.0006	0.036	0.34	0.17
	Sep	13,110	1,082,098	541,049	0.0006	0.004	0.32	0.16
	Oct	786	1,011,554	505,777	-	-	0.30	0.15
	Nov	-	1,009,377	504,688		-	0.30	0.15
	Dec	7,294	1,016,671	508,336	0.0005	0.002	0.30	0.15
2013	Jan	28,020	1,040,210	520,105	0.0006	0.008	0.31	0.15
	Feb	3,526	1,023,516	511,758	0.0006	0.001	0.30	0.15
	Mar	-	1,023,516	511,758			0.30	0.15
	Apr	29,529	1,051,416	525,708	0.0006	0.009	0.31	0.16
	May	22,968	1,074,384	537,192	0.0006	0.007	0.32	0.16
	Jun	116,778	1,136,830	568,415	0.0006	0.035	0.34	0.17
	July	367,709	1,340,219	670,110	0.0006	0.110	0.40	0.20
	August	123,204	1,283,012	641,506	0.0006	0.037	0.38	0.19
	September	68,549	1,286,825	643,413	0.0006	0.021	0.39	0.19
	October	6,688	1,181,765	590,883	0.0006	0.002	0.35	0.18
	November	30,501	1,208,213	604,107	0.0006	0.009	0.36	0.18
	December	45,037	1,243,714	621,857	0.0006	0.014	0.37	0.19
2014	January	12,217	1,255,931	627,965	0.0006	0.003	0.38	0.19
	February	13,749	1,269,680	634,840	0.0006	0.004	0.38	0.19

Footnotes

SO_2 emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-13. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input			Sulfur Dioxide (SO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			0.0006	0.014		
	Feb	51,530			0.0006	0.016		
	Mar	-				-		
	Apr	11,525			0.0007	0.004		
	May	32,526			0.0006	0.009		
	Jun	177,110			0.0006	0.053		
	Jul	183,845			0.0006	0.055		
	Aug	193,920			0.0006	0.058		
	Sep	120,131			0.0006	0.036		
	Oct	106,776			0.0006	0.032		
	Nov	12,533			0.0006	0.004		
	Dec	151			-	-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			-	-		
	May	163,171			0.0006	0.049		
	Jun	72,425			0.0006	0.021		
	Jul	329,485			0.0006	0.099		
	Aug	252,389			0.0006	0.075		
	Sep	129,335			0.0006	0.038		
	Oct	26,112			0.0006	0.008		
	Nov	13,745			0.0006	0.004		
	Dec	11,098	1,934,135	967,068	0.0005	0.003	0.58	0.29
2010	Jan	1,136	1,889,436	944,718	-	-	0.56	0.28
	Feb	271	1,838,177	919,089	-	-	0.55	0.27
	Mar	-	1,838,177	919,089		-	0.55	0.27
	Apr	1,200	1,827,852	913,926	-	-	0.54	0.27
	May	-	1,795,326	897,663		-	0.54	0.27
	Jun	16,233	1,634,449	817,225	0.0006	0.005	0.49	0.24
	Jul	108,615	1,559,219	779,610	0.0006	0.032	0.46	0.23
	Aug	248,186	1,613,485	806,743	0.0006	0.074	0.48	0.24
	Sep	160,059	1,653,413	826,707	0.0006	0.048	0.49	0.25
	Oct	140,250	1,686,888	843,444	0.0006	0.042	0.50	0.25
	Nov	2,321	1,676,676	838,338	0.0009	0.001	0.50	0.25
	Dec	-	1,676,525	838,263		-	0.50	0.25

TABLE E-13. Baseline actual sulfur dioxide (SO_2) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input			Sulfur Dioxide (SO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	0.0004	0.001	0.50	0.25
	Feb	26,727	1,707,733	853,867	0.0006	0.008	0.51	0.25
	Mar	2,625	1,710,358	855,179	0.0008	0.001	0.51	0.25
	Apr	1,771	1,711,634	855,817	-	-	0.51	0.25
	May	-	1,548,463	774,231		-	0.46	0.23
	Jun	95,913	1,571,951	785,975	0.0006	0.028	0.47	0.23
	Jul	280,770	1,523,236	761,618	0.0006	0.084	0.45	0.23
	Aug	395,192	1,666,039	833,019	0.0006	0.118	0.50	0.25
	Sep	134,776	1,671,480	835,740	0.0006	0.040	0.50	0.25
	Oct	203,925	1,849,294	924,647	0.0006	0.062	0.55	0.28
	Nov	4,752	1,840,301	920,150	0.0004	0.001	0.55	0.27
	Dec	30,183	1,859,385	929,693	0.0006	0.009	0.55	0.28
2012	Jan	-	1,858,250	929,125		-	0.55	0.28
	Feb	-	1,857,979	928,989		-	0.55	0.28
	Mar	19,293	1,877,272	938,636	0.0005	0.005	0.56	0.28
	Apr	111,035	1,987,108	993,554	0.0006	0.033	0.59	0.30
	May	109,379	2,096,487	1,048,243	0.0006	0.033	0.63	0.31
	Jun	207,092	2,287,345	1,143,673	0.0006	0.062	0.68	0.34
	Jul	90,424	2,269,154	1,134,577	0.0006	0.027	0.68	0.34
	Aug	276,255	2,297,224	1,148,612	0.0006	0.083	0.69	0.34
	Sep	74,193	2,211,357	1,105,678	0.0006	0.022	0.66	0.33
	Oct	26,042	2,097,149	1,048,575	0.0006	0.008	0.63	0.31
	Nov	132	2,094,960	1,047,480	-	-	0.63	0.31
	Dec	17,094	2,112,054	1,056,027	0.0006	0.005	0.63	0.32
2013	Jan	86,449	2,194,022	1,097,011	0.0006	0.026	0.66	0.33
	Feb	7,871	2,175,166	1,087,583	0.0005	0.002	0.65	0.32
	Mar	1,045	2,173,586	1,086,793	-	-	0.65	0.32
	Apr	42,481	2,214,297	1,107,148	0.0006	0.013	0.66	0.33
	May	61,747	2,276,043	1,138,022	0.0006	0.019	0.68	0.34
	Jun	249,628	2,429,758	1,214,879	0.0006	0.075	0.73	0.36
	July	521,366	2,670,354	1,335,177	0.0006	0.156	0.80	0.40
	August	266,833	2,541,994	1,270,997	0.0006	0.080	0.76	0.38
	September	139,308	2,546,526	1,273,263	0.0006	0.042	0.76	0.38
	October	6,929	2,349,530	1,174,765	0.0006	0.002	0.70	0.35
	November	48,479	2,393,257	1,196,628	0.0006	0.014	0.72	0.36
	December	63,143	2,426,217	1,213,108	0.0006	0.019	0.73	0.36
2014	January	43,738	2,469,955	1,234,977	0.0006	0.012	0.74	0.37
	February	19,447	2,489,402	1,244,701	0.0006	0.005	0.74	0.37

Footnotes

SO_2 emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-14. Baseline actual volatile organic compound (VOC) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Volatile Organic Compounds (VOC) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			0.0055	0.084		
	Feb	25,172			0.0055	0.069		
	Mar	-			0.0055	-		
	Apr	9,629			0.0055	0.026		
	May	18,023			0.0055	0.050		
	Jun	87,522			0.0055	0.241		
	Jul	93,208			0.0055	0.256		
	Aug	114,585			0.0055	0.315		
	Sep	43,332			0.0055	0.119		
	Oct	26,137			0.0055	0.072		
	Nov	402			0.0055	0.001		
	Dec	151			0.0055	0.000		
2009	Jan	-			0.0055	-		
	Feb	-			0.0055	-		
	Mar	-			0.0055	-		
	Apr	-			0.0055	-		
	May	-			0.0055	-		
	Jun	10,853			0.0055	0.030		
	Jul	159,569			0.0055	0.439		
	Aug	91,118			0.0055	0.251		
	Sep	47,848			0.0055	0.132		
	Oct	12,846			0.0055	0.035		
	Nov	1,000			0.0055	0.003		
	Dec	3,394	775,201	387,601	0.0055	0.009	2.1	1.1
2010	Jan	686	745,474	372,737	0.0055	0.002	2.1	1.0
	Feb	133	720,435	360,217	0.0055	0.000	2.0	1.0
	Mar	-	720,435	360,217	0.0055	-	2.0	1.0
	Apr	-	710,806	355,403	0.0055	-	2.0	1.0
	May	-	692,783	346,391	0.0055	-	1.9	1.0
	Jun	9,634	614,895	307,447	0.0055	0.026	1.7	0.8
	Jul	64,030	585,716	292,858	0.0055	0.176	1.6	0.8
	Aug	103,982	575,114	287,557	0.0055	0.286	1.6	0.8
	Sep	92,810	624,592	312,296	0.0055	0.255	1.7	0.9
	Oct	68,919	667,375	333,687	0.0055	0.190	1.8	0.9
	Nov	144	667,117	333,558	0.0055	0.000	1.8	0.9
	Dec	-	666,966	333,483	0.0055	-	1.8	0.9

TABLE E-14. Baseline actual volatile organic compound (VOC) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Volatile Organic Compounds (VOC) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483	0.0055	-	1.8	0.9
	Feb	6,507	673,473	336,737	0.0055	0.018	1.9	0.9
	Mar	2,625	676,098	338,049	0.0055	0.007	1.9	0.9
	Apr	141	676,239	338,120	0.0055	0.000	1.9	0.9
	May	-	676,239	338,120	0.0055	-	1.9	0.9
	Jun	41,581	706,968	353,484	0.0055	0.114	1.9	1.0
	Jul	116,450	663,849	331,924	0.0055	0.320	1.8	0.9
	Aug	214,780	787,510	393,755	0.0055	0.591	2.2	1.1
	Sep	70,041	809,703	404,851	0.0055	0.193	2.2	1.1
	Oct	92,177	889,034	444,517	0.0055	0.253	2.4	1.2
	Nov	699	888,732	444,366	0.0055	0.002	2.4	1.2
	Dec	20,646	905,985	452,993	0.0055	0.057	2.5	1.2
2012	Jan	-	905,299	452,650	0.0055	-	2.5	1.2
	Feb	-	905,166	452,583	0.0055	-	2.5	1.2
	Mar	17,911	923,078	461,539	0.0055	0.049	2.5	1.3
	Apr	24,902	947,979	473,990	0.0055	0.068	2.6	1.3
	May	58,498	1,006,477	503,238	0.0055	0.161	2.8	1.4
	Jun	115,484	1,112,327	556,164	0.0055	0.318	3.1	1.5
	Jul	61,112	1,109,410	554,705	0.0055	0.168	3.1	1.5
	Aug	155,558	1,160,986	580,493	0.0055	0.428	3.2	1.6
	Sep	61,083	1,129,259	564,629	0.0055	0.168	3.1	1.6
	Oct	25,256	1,085,595	542,798	0.0055	0.069	3.0	1.5
	Nov	132	1,085,583	542,792	0.0055	0.000	3.0	1.5
	Dec	9,800	1,095,383	547,691	0.0055	0.027	3.0	1.5
2013	Jan	58,429	1,153,812	576,906	0.0055	0.161	3.2	1.6
	Feb	4,345	1,151,650	575,825	0.0055	0.012	3.2	1.6
	Mar	1,045	1,150,070	575,035	0.0055	0.003	3.2	1.6
	Apr	12,952	1,162,881	581,440	0.0055	0.036	3.2	1.6
	May	38,778	1,201,659	600,830	0.0055	0.107	3.3	1.7
	Jun	132,850	1,292,928	646,464	0.0055	0.365	3.6	1.8
	July	153,657	1,330,134	665,067	0.0055	0.423	3.7	1.8
	August	143,629	1,258,983	629,491	0.0055	0.395	3.5	1.7
	September	70,759	1,259,701	629,850	0.0055	0.195	3.5	1.7
	October	241	1,167,765	583,882	0.0055	0.001	3.2	1.6
	November	17,978	1,185,044	592,522	0.0055	0.049	3.3	1.6
	December	18,106	1,182,503	591,252	0.0055	0.050	3.3	1.6
2014	January	31,521	1,214,024	607,012	0.0055	0.087	3.3	1.7
	February	5,698	1,219,722	609,861	0.0055	0.016	3.4	1.7

Footnotes

1. The controlled VOC emission factor is from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42* , 5th Edition, Table 1.4-2, and a natural gas heat value of 1,000 Btu per standard cubic foot.

TABLE E-15. Baseline actual volatile organic compound (VOC) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Volatile Organic Compounds (VOC) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			0.0055	0.042		
	Feb	26,358			0.0055	0.072		
	Mar	-			0.0055	-		
	Apr	1,896			0.0055	0.005		
	May	14,503			0.0055	0.040		
	Jun	89,587			0.0055	0.246		
	Jul	90,637			0.0055	0.249		
	Aug	79,336			0.0055	0.218		
	Sep	76,799			0.0055	0.211		
	Oct	80,639			0.0055	0.222		
	Nov	12,131			0.0055	0.033		
	Dec	-			0.0055	-		
2009	Jan	-			0.0055	-		
	Feb	-			0.0055	-		
	Mar	-			0.0055	-		
	Apr	495			0.0055	0.001		
	May	163,171			0.0055	0.449		
	Jun	61,573			0.0055	0.169		
	Jul	169,916			0.0055	0.467		
	Aug	161,270			0.0055	0.443		
	Sep	81,486			0.0055	0.224		
	Oct	13,265			0.0055	0.036		
	Nov	12,745			0.0055	0.035		
	Dec	7,705	1,158,934	579,467	0.0055	0.021	3.2	1.6
2010	Jan	450	1,143,962	571,981	0.0055	0.001	3.1	1.6
	Feb	138	1,117,742	558,871	0.0055	0.000	3.1	1.5
	Mar	-	1,117,742	558,871	0.0055	-	3.1	1.5
	Apr	1,200	1,117,046	558,523	0.0055	0.003	3.1	1.5
	May	-	1,102,543	551,271	0.0055	-	3.0	1.5
	Jun	6,599	1,019,554	509,777	0.0055	0.018	2.8	1.4
	Jul	44,585	973,503	486,751	0.0055	0.123	2.7	1.3
	Aug	144,204	1,038,371	519,186	0.0055	0.397	2.9	1.4
	Sep	67,249	1,028,822	514,411	0.0055	0.185	2.8	1.4
	Oct	71,331	1,019,513	509,757	0.0055	0.196	2.8	1.4
	Nov	2,177	1,009,559	504,780	0.0055	0.006	2.8	1.4
	Dec	-	1,009,559	504,780	0.0055	-	2.8	1.4

TABLE E-15. Baseline actual volatile organic compound (VOC) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Volatile Organic Compounds (VOC) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	0.0055	0.012	2.8	1.4
	Feb	20,220	1,034,260	517,130	0.0055	0.056	2.8	1.4
	Mar	-	1,034,260	517,130	0.0055	-	2.8	1.4
	Apr	1,630	1,035,394	517,697	0.0055	0.004	2.8	1.4
	May	-	872,223	436,112	0.0055	-	2.4	1.2
	Jun	54,333	864,983	432,492	0.0055	0.149	2.4	1.2
	Jul	164,320	859,387	429,694	0.0055	0.452	2.4	1.2
	Aug	180,411	878,528	439,264	0.0055	0.496	2.4	1.2
	Sep	64,736	861,778	430,889	0.0055	0.178	2.4	1.2
	Oct	111,748	960,260	480,130	0.0055	0.307	2.6	1.3
	Nov	4,053	951,568	475,784	0.0055	0.011	2.6	1.3
	Dec	9,537	953,400	476,700	0.0055	0.026	2.6	1.3
2012	Jan	-	952,951	476,475	0.0055	-	2.6	1.3
	Feb	-	952,812	476,406	0.0055	-	2.6	1.3
	Mar	1,382	954,194	477,097	0.0055	0.004	2.6	1.3
	Apr	86,134	1,039,128	519,564	0.0055	0.237	2.9	1.4
	May	50,881	1,090,010	545,005	0.0055	0.140	3.0	1.5
	Jun	91,607	1,175,018	587,509	0.0055	0.252	3.2	1.6
	Jul	29,312	1,159,745	579,872	0.0055	0.081	3.2	1.6
	Aug	120,697	1,136,238	568,119	0.0055	0.332	3.1	1.6
	Sep	13,110	1,082,098	541,049	0.0055	0.036	3.0	1.5
	Oct	786	1,011,554	505,777	0.0055	0.002	2.8	1.4
	Nov	-	1,009,377	504,688	0.0055	-	2.8	1.4
	Dec	7,294	1,016,671	508,336	0.0055	0.020	2.8	1.4
2013	Jan	28,020	1,040,210	520,105	0.0055	0.077	2.9	1.4
	Feb	3,526	1,023,516	511,758	0.0055	0.010	2.8	1.4
	Mar	-	1,023,516	511,758	0.0055	-	2.8	1.4
	Apr	29,529	1,051,416	525,708	0.0055	0.081	2.9	1.4
	May	22,968	1,074,384	537,192	0.0055	0.063	3.0	1.5
	Jun	116,778	1,136,830	568,415	0.0055	0.321	3.1	1.6
	July	367,709	1,340,219	670,110	0.0055	1.011	3.7	1.8
	August	123,204	1,283,012	641,506	0.0055	0.339	3.5	1.8
	September	68,549	1,286,825	643,413	0.0055	0.189	3.5	1.8
	October	6,688	1,181,765	590,883	0.0055	0.018	3.2	1.6
	November	30,501	1,208,213	604,107	0.0055	0.084	3.3	1.7
	December	45,037	1,243,714	621,857	0.0055	0.124	3.4	1.7
2014	January	12,217	1,255,931	627,965	0.0055	0.034	3.5	1.7
	February	13,749	1,269,680	634,840	0.0055	0.038	3.5	1.7

Footnotes

1. The controlled VOC emission factor is from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42* , 5th Edition, Table 1.4-2, and a natural gas heat value of 1,000 Btu per standard cubic foot.

TABLE E-16. Baseline actual VOC emissions for Ocotillo Steamers 1 and 2 combined.

Year	Month	Heat Input			Volatile Organic Compounds (VOC) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			0.0055	0.126		
	Feb	51,530			0.0055	0.142		
	Mar	-				-		
	Apr	11,525			0.0055	0.032		
	May	32,526			0.0055	0.089		
	Jun	177,110			0.0055	0.487		
	Jul	183,845			0.0055	0.506		
	Aug	193,920			0.0055	0.533		
	Sep	120,131			0.0055	0.330		
	Oct	106,776			0.0055	0.294		
	Nov	12,533			0.0055	0.034		
	Dec	151				0.000		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495				0.001		
	May	163,171			0.0055	0.449		
	Jun	72,425			0.0055	0.199		
	Jul	329,485			0.0055	0.906		
	Aug	252,389			0.0055	0.694		
	Sep	129,335			0.0055	0.356		
	Oct	26,112			0.0055	0.072		
	Nov	13,745			0.0055	0.038		
	Dec	11,098	1,934,135	967,068	0.0055	0.031	5.3	2.7
2010	Jan	1,136	1,889,436	944,718		0.003	5.2	2.6
	Feb	271	1,838,177	919,089		0.001	5.1	2.5
	Mar	-	1,838,177	919,089		-	5.1	2.5
	Apr	1,200	1,827,852	913,926		0.003	5.0	2.5
	May	-	1,795,326	897,663		-	4.9	2.5
	Jun	16,233	1,634,449	817,225	0.0055	0.045	4.5	2.2
	Jul	108,615	1,559,219	779,610	0.0055	0.299	4.3	2.1
	Aug	248,186	1,613,485	806,743	0.0055	0.683	4.4	2.2
	Sep	160,059	1,653,413	826,707	0.0055	0.440	4.5	2.3
	Oct	140,250	1,686,888	843,444	0.0055	0.386	4.6	2.3
	Nov	2,321	1,676,676	838,338	0.0055	0.006	4.6	2.3
	Dec	-	1,676,525	838,263		-	4.6	2.3

TABLE E-16. Baseline actual VOC emissions for Ocotillo Steamers 1 and 2 combined.

Year	Month	Heat Input			Volatile Organic Compounds (VOC) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	0.0055	0.012	4.6	2.3
	Feb	26,727	1,707,733	853,867	0.0055	0.073	4.7	2.3
	Mar	2,625	1,710,358	855,179	0.0055	0.007	4.7	2.4
	Apr	1,771	1,711,634	855,817		0.005	4.7	2.4
	May	-	1,548,463	774,231		-	4.3	2.1
	Jun	95,913	1,571,951	785,975	0.0055	0.264	4.3	2.2
	Jul	280,770	1,523,236	761,618	0.0055	0.772	4.2	2.1
	Aug	395,192	1,666,039	833,019	0.0055	1.087	4.6	2.3
	Sep	134,776	1,671,480	835,740	0.0055	0.371	4.6	2.3
	Oct	203,925	1,849,294	924,647	0.0055	0.561	5.1	2.5
	Nov	4,752	1,840,301	920,150	0.0055	0.013	5.1	2.5
	Dec	30,183	1,859,385	929,693	0.0055	0.083	5.1	2.6
2012	Jan	-	1,858,250	929,125		-	5.1	2.6
	Feb	-	1,857,979	928,989		-	5.1	2.6
	Mar	19,293	1,877,272	938,636	0.0055	0.053	5.2	2.6
	Apr	111,035	1,987,108	993,554	0.0055	0.305	5.5	2.7
	May	109,379	2,096,487	1,048,243	0.0055	0.301	5.8	2.9
	Jun	207,092	2,287,345	1,143,673	0.0055	0.570	6.3	3.1
	Jul	90,424	2,269,154	1,134,577	0.0055	0.249	6.2	3.1
	Aug	276,255	2,297,224	1,148,612	0.0055	0.760	6.3	3.2
	Sep	74,193	2,211,357	1,105,678	0.0055	0.204	6.1	3.0
	Oct	26,042	2,097,149	1,048,575	0.0055	0.072	5.8	2.9
	Nov	132	2,094,960	1,047,480		0.000	5.8	2.9
	Dec	17,094	2,112,054	1,056,027	0.0055	0.047	5.8	2.9
2013	Jan	86,449	2,194,022	1,097,011	0.0055	0.238	6.0	3.0
	Feb	7,871	2,175,166	1,087,583	0.0055	0.022	6.0	3.0
	Mar	1,045	2,173,586	1,086,793		0.003	6.0	3.0
	Apr	42,481	2,214,297	1,107,148	0.0055	0.117	6.1	3.0
	May	61,747	2,276,043	1,138,022	0.0055	0.170	6.3	3.1
	Jun	249,628	2,429,758	1,214,879	0.0055	0.686	6.7	3.3
	July	521,366	2,670,354	1,335,177	0.0055	1.434	7.3	3.7
	August	266,833	2,541,994	1,270,997	0.0055	0.734	7.0	3.5
	September	139,308	2,546,526	1,273,263	0.0055	0.383	7.0	3.5
	October	6,929	2,349,530	1,174,765	0.0055	0.019	6.5	3.2
	November	48,479	2,393,257	1,196,628	0.0055	0.133	6.6	3.3
	December	63,143	2,426,217	1,213,108	0.0055	0.174	6.7	3.3
2014	January	43,738	2,469,955	1,234,977	0.0055	0.120	6.8	3.4
	February	19,447	2,489,402	1,244,701	0.0055	0.053	6.8	3.4

Footnotes

1. The controlled VOC emission factor is from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42* , 5th Edition, Table 1.4-2, and a natural gas heat value of 1,000 Btu per standard cubic foot.

TABLE E-17. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Sulfuric Acid Mist (H_2SO_4) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			5.9E-07	0.000009		
	Feb	25,172			6.4E-07	0.000008		
	Mar	-				-		
	Apr	9,629			6.2E-07	0.000003		
	May	18,023			5.5E-07	0.000005		
	Jun	87,522			5.9E-07	0.000026		
	Jul	93,208			6.0E-07	0.000028		
	Aug	114,585			5.9E-07	0.000034		
	Sep	43,332			6.0E-07	0.000013		
	Oct	26,137			6.1E-07	0.000008		
	Nov	402				-		
	Dec	151			0.0E+00	-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	-				-		
	May	-				-		
	Jun	10,853			5.5E-07	0.000003		
	Jul	159,569			6.0E-07	0.000048		
	Aug	91,118			5.9E-07	0.000027		
	Sep	47,848			5.9E-07	0.000014		
	Oct	12,846			6.2E-07	0.000004		
	Nov	1,000			0.0E+00	-		
	Dec	3,394	775,201	387,601	5.9E-07	0.000001	0.0002	0.0001
2010	Jan	686	745,474	372,737		-	0.0002	0.0001
	Feb	133	720,435	360,217		-	0.0002	0.0001
	Mar	-	720,435	360,217		-	0.0002	0.0001
	Apr	-	710,806	355,403		-	0.0002	0.0001
	May	-	692,783	346,391		-	0.0002	0.0001
	Jun	9,634	614,895	307,447	6.2E-07	0.000003	0.0002	0.0001
	Jul	64,030	585,716	292,858	5.9E-07	0.000019	0.0002	0.0001
	Aug	103,982	575,114	287,557	6.0E-07	0.000031	0.0002	0.0001
	Sep	92,810	624,592	312,296	6.0E-07	0.000028	0.0002	0.0001
	Oct	68,919	667,375	333,687	6.1E-07	0.000021	0.0002	0.0001
	Nov	144	667,117	333,558	0.0E+00	-	0.0002	0.0001
	Dec	-	666,966	333,483		-	0.0002	0.0001

TABLE E-17. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Sulfuric Acid Mist (H_2SO_4) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483		-	0.0002	0.0001
	Feb	6,507	673,473	336,737	6.1E-07	0.000002	0.0002	0.0001
	Mar	2,625	676,098	338,049	7.6E-07	0.000001	0.0002	0.0001
	Apr	141	676,239	338,120	0.0E+00	-	0.0002	0.0001
	May	-	676,239	338,120		-	0.0002	0.0001
	Jun	41,581	706,968	353,484	5.8E-07	0.000012	0.0002	0.0001
	Jul	116,450	663,849	331,924	6.0E-07	0.000035	0.0002	0.0001
	Aug	214,780	787,510	393,755	6.0E-07	0.000064	0.0002	0.0001
	Sep	70,041	809,703	404,851	6.0E-07	0.000021	0.0002	0.0001
	Oct	92,177	889,034	444,517	6.1E-07	0.000028	0.0003	0.0001
	Nov	699	888,732	444,366		-	0.0003	0.0001
	Dec	20,646	905,985	452,993	5.8E-07	0.000006	0.0003	0.0001
2012	Jan	-	905,299	452,650		-	0.0003	0.0001
	Feb	-	905,166	452,583		-	0.0003	0.0001
	Mar	17,911	923,078	461,539	5.6E-07	0.000005	0.0003	0.0001
	Apr	24,902	947,979	473,990	5.6E-07	0.000007	0.0003	0.0001
	May	58,498	1,006,477	503,238	6.2E-07	0.000018	0.0003	0.0002
	Jun	115,484	1,112,327	556,164	6.1E-07	0.000035	0.0003	0.0002
	Jul	61,112	1,109,410	554,705	5.9E-07	0.000018	0.0003	0.0002
	Aug	155,558	1,160,986	580,493	6.0E-07	0.000047	0.0003	0.0002
	Sep	61,083	1,129,259	564,629	5.9E-07	0.000018	0.0003	0.0002
	Oct	25,256	1,085,595	542,798	6.3E-07	0.000008	0.0003	0.0002
	Nov	132	1,085,583	542,792	0.0E+00	-	0.0003	0.0002
	Dec	9,800	1,095,383	547,691	6.1E-07	0.000003	0.0003	0.0002
2013	Jan	58,429	1,153,812	576,906	6.2E-07	0.000018	0.0003	0.0002
	Feb	4,345	1,151,650	575,825	4.6E-07	0.000001	0.0003	0.0002
	Mar	1,045	1,150,070	575,035		-	0.0003	0.0002
	Apr	12,952	1,162,881	581,440	6.2E-07	0.000004	0.0003	0.0002
	May	38,778	1,201,659	600,830	6.2E-07	0.000012	0.0004	0.0002
	Jun	132,850	1,292,928	646,464	6.0E-07	0.000040	0.0004	0.0002
	July	153,657	1,330,134	665,067	6.0E-07	0.000046	0.0004	0.0002
	August	143,629	1,258,983	629,491	6.0E-07	0.000043	0.0004	0.0002
	September	70,759	1,259,701	629,850	5.9E-07	0.000021	0.0004	0.0002
	October	241	1,167,765	583,882		-	0.0004	0.0002
	November	17,978	1,185,044	592,522	5.6E-07	0.000005	0.0004	0.0002
	December	18,106	1,182,503	591,252	5.5E-07	0.000005	0.0004	0.0002
2014	January	31,521	1,214,024	607,012	5.5E-07	0.000009	0.0004	0.0002
	February	5,698	1,219,722	609,861	5.5E-07	0.000002	0.0004	0.0002

Footnotes

1. Sulfuric acid mist emissions are based on 1.0% of sulfur dioxide (SO_2) emissions emitted as sulfuric acid mist.

TABLE E-18. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Sulfuric Acid Mist (H_2SO_4) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			6.5E-07	0.000005		
	Feb	26,358			6.1E-07	0.000008		
	Mar	-				-		
	Apr	1,896			1.1E-06	0.000001		
	May	14,503			5.5E-07	0.000004		
	Jun	89,587			6.0E-07	0.000027		
	Jul	90,637			6.0E-07	0.000027		
	Aug	79,336			6.1E-07	0.000024		
	Sep	76,799			6.0E-07	0.000023		
	Oct	80,639			6.0E-07	0.000024		
	Nov	12,131			6.6E-07	0.000004		
	Dec	-				-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495				-		
	May	163,171			6.0E-07	0.000049		
	Jun	61,573			5.8E-07	0.000018		
	Jul	169,916			6.0E-07	0.000051		
	Aug	161,270			6.0E-07	0.000048		
	Sep	81,486			5.9E-07	0.000024		
	Oct	13,265			6.0E-07	0.000004		
	Nov	12,745			6.3E-07	0.000004		
	Dec	7,705	1,158,934	579,467	5.2E-07	0.000002	0.0003	0.0002
2010	Jan	450	1,143,962	571,981		-	0.0003	0.0002
	Feb	138	1,117,742	558,871		-	0.0003	0.0002
	Mar	-	1,117,742	558,871		-	0.0003	0.0002
	Apr	1,200	1,117,046	558,523		-	0.0003	0.0002
	May	-	1,102,543	551,271		-	0.0003	0.0002
	Jun	6,599	1,019,554	509,777	6.1E-07	0.000002	0.0003	0.0002
	Jul	44,585	973,503	486,751	5.8E-07	0.000013	0.0003	0.0001
	Aug	144,204	1,038,371	519,186	6.0E-07	0.000043	0.0003	0.0002
	Sep	67,249	1,028,822	514,411	5.9E-07	0.000020	0.0003	0.0002
	Oct	71,331	1,019,513	509,757	5.9E-07	0.000021	0.0003	0.0002
	Nov	2,177	1,009,559	504,780	9.2E-07	0.000001	0.0003	0.0002
	Dec	-	1,009,559	504,780		-	0.0003	0.0002

TABLE E-18. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Sulfuric Acid Mist (H_2SO_4) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	4.5E-07	0.000001	0.0003	0.0002
	Feb	20,220	1,034,260	517,130	5.9E-07	0.000006	0.0003	0.0002
	Mar	-	1,034,260	517,130		-	0.0003	0.0002
	Apr	1,630	1,035,394	517,697		-	0.0003	0.0002
	May	-	872,223	436,112		-	0.0003	0.0001
	Jun	54,333	864,983	432,492	5.9E-07	0.000016	0.0003	0.0001
	Jul	164,320	859,387	429,694	6.0E-07	0.000049	0.0003	0.0001
	Aug	180,411	878,528	439,264	6.0E-07	0.000054	0.0003	0.0001
	Sep	64,736	861,778	430,889	5.9E-07	0.000019	0.0003	0.0001
	Oct	111,748	960,260	480,130	6.1E-07	0.000034	0.0003	0.0001
	Nov	4,053	951,568	475,784	4.9E-07	0.000001	0.0003	0.0001
	Dec	9,537	953,400	476,700	6.3E-07	0.000003	0.0003	0.0001
2012	Jan	-	952,951	476,475		-	0.0003	0.0001
	Feb	-	952,812	476,406		-	0.0003	0.0001
	Mar	1,382	954,194	477,097		-	0.0003	0.0001
	Apr	86,134	1,039,128	519,564	6.0E-07	0.000026	0.0003	0.0002
	May	50,881	1,090,010	545,005	5.9E-07	0.000015	0.0003	0.0002
	Jun	91,607	1,175,018	587,509	5.9E-07	0.000027	0.0003	0.0002
	Jul	29,312	1,159,745	579,872	6.1E-07	0.000009	0.0003	0.0002
	Aug	120,697	1,136,238	568,119	6.0E-07	0.000036	0.0003	0.0002
	Sep	13,110	1,082,098	541,049	6.1E-07	0.000004	0.0003	0.0002
	Oct	786	1,011,554	505,777		-	0.0003	0.0002
	Nov	-	1,009,377	504,688		-	0.0003	0.0002
	Dec	7,294	1,016,671	508,336	5.5E-07	0.000002	0.0003	0.0002
2013	Jan	28,020	1,040,210	520,105	5.7E-07	0.000008	0.0003	0.0002
	Feb	3,526	1,023,516	511,758	5.7E-07	0.000001	0.0003	0.0002
	Mar	-	1,023,516	511,758		-	0.0003	0.0002
	Apr	29,529	1,051,416	525,708	6.1E-07	0.000009	0.0003	0.0002
	May	22,968	1,074,384	537,192	6.1E-07	0.000007	0.0003	0.0002
	Jun	116,778	1,136,830	568,415	6.0E-07	0.000035	0.0003	0.0002
	July	367,709	1,340,219	670,110	6.0E-07	0.000110	0.0004	0.0002
	August	123,204	1,283,012	641,506	6.0E-07	0.000037	0.0004	0.0002
	September	68,549	1,286,825	643,413	6.1E-07	0.000021	0.0004	0.0002
	October	6,688	1,181,765	590,883	6.0E-07	0.000002	0.0004	0.0002
	November	30,501	1,208,213	604,107	5.9E-07	0.000009	0.0004	0.0002
	December	45,037	1,243,714	621,857	6.2E-07	0.000014	0.0004	0.0002
2014	January	12,217	1,255,931	627,965	5.5E-07	0.000003	0.0004	0.0002
	February	13,749	1,269,680	634,840	5.5E-07	0.000004	0.0004	0.0002

Footnotes

1. Sulfuric acid mist emissions are based on 1.0% of sulfur dioxide (SO_2) emissions emitted as sulfuric acid mist.

TABLE E-19. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamers 1 and 2 combinec

Year	Month	Heat Input			Sulfuric Acid Mist (H_2SO_4) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			6.1E-07	0.000014		
	Feb	51,530			6.2E-07	0.000016		
	Mar	-				-		
	Apr	11,525			6.9E-07	0.000004		
	May	32,526			5.5E-07	0.000009		
	Jun	177,110			6.0E-07	0.000053		
	Jul	183,845			6.0E-07	0.000055		
	Aug	193,920			6.0E-07	0.000058		
	Sep	120,131			6.0E-07	0.000036		
	Oct	106,776			6.0E-07	0.000032		
	Nov	12,533			6.4E-07	0.000004		
	Dec	151				-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495				-		
	May	163,171			6.0E-07	0.000049		
	Jun	72,425			5.8E-07	0.000021		
	Jul	329,485			6.0E-07	0.000099		
	Aug	252,389			5.9E-07	0.000075		
	Sep	129,335			5.9E-07	0.000038		
	Oct	26,112			6.1E-07	0.000008		
	Nov	13,745			5.8E-07	0.000004		
	Dec	11,098	1,934,135	967,068	5.4E-07	0.000003	0.0006	0.0003
2010	Jan	1,136	1,889,436	944,718		-	0.0006	0.0003
	Feb	271	1,838,177	919,089		-	0.0005	0.0003
	Mar	-	1,838,177	919,089		-	0.0005	0.0003
	Apr	1,200	1,827,852	913,926		-	0.0005	0.0003
	May	-	1,795,326	897,663		-	0.0005	0.0003
	Jun	16,233	1,634,449	817,225	6.2E-07	0.000005	0.0005	0.0002
	Jul	108,615	1,559,219	779,610	5.9E-07	0.000032	0.0005	0.0002
	Aug	248,186	1,613,485	806,743	6.0E-07	0.000074	0.0005	0.0002
	Sep	160,059	1,653,413	826,707	6.0E-07	0.000048	0.0005	0.0002
	Oct	140,250	1,686,888	843,444	6.0E-07	0.000042	0.0005	0.0003
	Nov	2,321	1,676,676	838,338	8.6E-07	0.000001	0.0005	0.0002
	Dec	-	1,676,525	838,263		-	0.0005	0.0002

TABLE E-19. Baseline actual sulfuric acid mist (H_2SO_4) emissions for Ocotillo Steamers 1 and 2 combinec

Year	Month	Heat Input			Sulfuric Acid Mist (H_2SO_4) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	4.5E-07	0.000001	0.0005	0.0003
	Feb	26,727	1,707,733	853,867	6.0E-07	0.000008	0.0005	0.0003
	Mar	2,625	1,710,358	855,179	7.6E-07	0.000001	0.0005	0.0003
	Apr	1,771	1,711,634	855,817		-	0.0005	0.0003
	May	-	1,548,463	774,231		-	0.0005	0.0002
	Jun	95,913	1,571,951	785,975	5.8E-07	0.000028	0.0005	0.0002
	Jul	280,770	1,523,236	761,618	6.0E-07	0.000084	0.0005	0.0002
	Aug	395,192	1,666,039	833,019	6.0E-07	0.000118	0.0005	0.0002
	Sep	134,776	1,671,480	835,740	5.9E-07	0.000040	0.0005	0.0002
	Oct	203,925	1,849,294	924,647	6.1E-07	0.000062	0.0006	0.0003
	Nov	4,752	1,840,301	920,150	4.2E-07	0.000001	0.0005	0.0003
	Dec	30,183	1,859,385	929,693	6.0E-07	0.000009	0.0006	0.0003
2012	Jan	-	1,858,250	929,125		-	0.0006	0.0003
	Feb	-	1,857,979	928,989		-	0.0006	0.0003
	Mar	19,293	1,877,272	938,636	5.2E-07	0.000005	0.0006	0.0003
	Apr	111,035	1,987,108	993,554	5.9E-07	0.000033	0.0006	0.0003
	May	109,379	2,096,487	1,048,243	6.0E-07	0.000033	0.0006	0.0003
	Jun	207,092	2,287,345	1,143,673	6.0E-07	0.000062	0.0007	0.0003
	Jul	90,424	2,269,154	1,134,577	6.0E-07	0.000027	0.0007	0.0003
	Aug	276,255	2,297,224	1,148,612	6.0E-07	0.000083	0.0007	0.0003
	Sep	74,193	2,211,357	1,105,678	5.9E-07	0.000022	0.0007	0.0003
	Oct	26,042	2,097,149	1,048,575	6.1E-07	0.000008	0.0006	0.0003
	Nov	132	2,094,960	1,047,480		-	0.0006	0.0003
	Dec	17,094	2,112,054	1,056,027	5.9E-07	0.000005	0.0006	0.0003
2013	Jan	86,449	2,194,022	1,097,011	6.0E-07	0.000026	0.0007	0.0003
	Feb	7,871	2,175,166	1,087,583	5.1E-07	0.000002	0.0006	0.0003
	Mar	1,045	2,173,586	1,086,793		-	0.0006	0.0003
	Apr	42,481	2,214,297	1,107,148	6.1E-07	0.000013	0.0007	0.0003
	May	61,747	2,276,043	1,138,022	6.2E-07	0.000019	0.0007	0.0003
	Jun	249,628	2,429,758	1,214,879	6.0E-07	0.000075	0.0007	0.0004
	July	521,366	2,670,354	1,335,177	6.0E-07	0.000156	0.0008	0.0004
	August	266,833	2,541,994	1,270,997	6.0E-07	0.000080	0.0008	0.0004
	September	139,308	2,546,526	1,273,263	6.0E-07	0.000042	0.0008	0.0004
	October	6,929	2,349,530	1,174,765	5.8E-07	0.000002	0.0007	0.0004
	November	48,479	2,393,257	1,196,628	5.8E-07	0.000014	0.0007	0.0004
	December	63,143	2,426,217	1,213,108	6.0E-07	0.000019	0.0007	0.0004
2014	January	43,738	2,469,955	1,234,977	5.5E-07	0.000012	0.0007	0.0004
	February	19,447	2,489,402	1,244,701	5.5E-07	0.000005	0.0007	0.0004

Footnotes

1. Sulfuric acid mist emissions are based on 1.0% of sulfur dioxide (SO_2) emissions emitted as sulfuric acid mist.

TABLE E-20. Baseline actual lead (Pb) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Lead (Pb) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			5.0E-07	0.000008		
	Feb	25,172			5.0E-07	0.000006		
	Mar	-			5.0E-07	-		
	Apr	9,629		.	5.0E-07	0.000002		
	May	18,023			5.0E-07	0.000005		
	Jun	87,522			5.0E-07	0.000022		
	Jul	93,208			5.0E-07	0.000023		
	Aug	114,585			5.0E-07	0.000029		
	Sep	43,332			5.0E-07	0.000011		
	Oct	26,137			5.0E-07	0.000007		
	Nov	402			5.0E-07	0.000000		
	Dec	151			5.0E-07	0.000000		
2009	Jan	-			5.0E-07	-		
	Feb	-			5.0E-07	-		
	Mar	-			5.0E-07	-		
	Apr	-			5.0E-07	-		
	May	-			5.0E-07	-		
	Jun	10,853			5.0E-07	0.000003		
	Jul	159,569			5.0E-07	0.000040		
	Aug	91,118			5.0E-07	0.000023		
	Sep	47,848			5.0E-07	0.000012		
	Oct	12,846			5.0E-07	0.000003		
	Nov	1,000			5.0E-07	0.000000		
	Dec	3,394	775,201	387,601	5.0E-07	0.000001	0.00019	0.00010
2010	Jan	686	745,474	372,737	5.0E-07	0.000000	0.00019	0.00009
	Feb	133	720,435	360,217	5.0E-07	0.000000	0.00018	0.00009
	Mar	-	720,435	360,217	5.0E-07	-	0.00018	0.00009
	Apr	-	710,806	355,403	5.0E-07	-	0.00018	0.00009
	May	-	692,783	346,391	5.0E-07	-	0.00017	0.00009
	Jun	9,634	614,895	307,447	5.0E-07	0.000002	0.00015	0.00008
	Jul	64,030	585,716	292,858	5.0E-07	0.000016	0.00015	0.00007
	Aug	103,982	575,114	287,557	5.0E-07	0.000026	0.00014	0.00007
	Sep	92,810	624,592	312,296	5.0E-07	0.000023	0.00016	0.00008
	Oct	68,919	667,375	333,687	5.0E-07	0.000017	0.00017	0.00008
	Nov	144	667,117	333,558	5.0E-07	0.000000	0.00017	0.00008
	Dec	-	666,966	333,483	5.0E-07	-	0.00017	0.00008

TABLE E-20. Baseline actual lead (Pb) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Lead (Pb) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483	5.0E-07	-	0.00017	0.00008
	Feb	6,507	673,473	336,737	5.0E-07	0.000002	0.00017	0.00008
	Mar	2,625	676,098	338,049	5.0E-07	0.000001	0.00017	0.00008
	Apr	141	676,239	338,120	5.0E-07	0.000000	0.00017	0.00008
	May	-	676,239	338,120	5.0E-07	-	0.00017	0.00008
	Jun	41,581	706,968	353,484	5.0E-07	0.000010	0.00018	0.00009
	Jul	116,450	663,849	331,924	5.0E-07	0.000029	0.00017	0.00008
	Aug	214,780	787,510	393,755	5.0E-07	0.000054	0.00020	0.00010
	Sep	70,041	809,703	404,851	5.0E-07	0.000018	0.00020	0.00010
	Oct	92,177	889,034	444,517	5.0E-07	0.000023	0.00022	0.00011
	Nov	699	888,732	444,366	5.0E-07	0.000000	0.00022	0.00011
	Dec	20,646	905,985	452,993	5.0E-07	0.000005	0.00023	0.00011
2012	Jan	-	905,299	452,650	5.0E-07	-	0.00023	0.00011
	Feb	-	905,166	452,583	5.0E-07	-	0.00023	0.00011
	Mar	17,911	923,078	461,539	5.0E-07	0.000004	0.00023	0.00012
	Apr	24,902	947,979	473,990	5.0E-07	0.000006	0.00024	0.00012
	May	58,498	1,006,477	503,238	5.0E-07	0.000015	0.00025	0.00013
	Jun	115,484	1,112,327	556,164	5.0E-07	0.000029	0.00028	0.00014
	Jul	61,112	1,109,410	554,705	5.0E-07	0.000015	0.00028	0.00014
	Aug	155,558	1,160,986	580,493	5.0E-07	0.000039	0.00029	0.00015
	Sep	61,083	1,129,259	564,629	5.0E-07	0.000015	0.00028	0.00014
	Oct	25,256	1,085,595	542,798	5.0E-07	0.000006	0.00027	0.00014
	Nov	132	1,085,583	542,792	5.0E-07	0.000000	0.00027	0.00014
	Dec	9,800	1,095,383	547,691	5.0E-07	0.000002	0.00027	0.00014
2013	Jan	58,429	1,153,812	576,906	5.0E-07	0.000015	0.00029	0.00014
	Feb	4,345	1,151,650	575,825	5.0E-07	0.000001	0.00029	0.00014
	Mar	1,045	1,150,070	575,035	5.0E-07	0.000000	0.00029	0.00014
	Apr	12,952	1,162,881	581,440	5.0E-07	0.000003	0.00029	0.00015
	May	38,778	1,201,659	600,830	5.0E-07	0.000010	0.00030	0.00015
	Jun	132,850	1,292,928	646,464	5.0E-07	0.000033	0.00032	0.00016
	July	153,657	1,330,134	665,067	5.0E-07	0.000038	0.00033	0.00017
	August	143,629	1,258,983	629,491	5.0E-07	0.000036	0.00031	0.00016
	September	70,759	1,259,701	629,850	5.0E-07	0.000018	0.00031	0.00016
	October	241	1,167,765	583,882	5.0E-07	0.000000	0.00029	0.00015
	November	17,978	1,185,044	592,522	5.0E-07	0.000004	0.00030	0.00015
	December	18,106	1,182,503	591,252	5.0E-07	0.000005	0.00030	0.00015
2014	January	31,521	1,214,024	607,012	5.0E-07	0.000008	0.00030	0.00015
	February	5,698	1,219,722	609,861	5.0E-07	0.000001	0.00030	0.00015

Footnotes

1. The controlled lead emission factor is from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42* , 5th Edition, Table 1.4-2, and a natural gas heat value of 1,000 Btu per standard cubic foot.

TABLE E-21. Baseline actual lead (Pb) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Lead (Pb) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			5.0E-07	0.000004		
	Feb	26,358			5.0E-07	0.000007		
	Mar	-			5.0E-07	-		
	Apr	1,896			5.0E-07	0.000000		
	May	14,503			5.0E-07	0.000004		
	Jun	89,587			5.0E-07	0.000022		
	Jul	90,637			5.0E-07	0.000023		
	Aug	79,336			5.0E-07	0.000020		
	Sep	76,799			5.0E-07	0.000019		
	Oct	80,639			5.0E-07	0.000020		
	Nov	12,131			5.0E-07	0.000003		
	Dec	-			5.0E-07	-		
2009	Jan	-			5.0E-07	-		
	Feb	-			5.0E-07	-		
	Mar	-			5.0E-07	-		
	Apr	495			5.0E-07	0.000000		
	May	163,171			5.0E-07	0.000041		
	Jun	61,573			5.0E-07	0.000015		
	Jul	169,916			5.0E-07	0.000042		
	Aug	161,270			5.0E-07	0.000040		
	Sep	81,486			5.0E-07	0.000020		
	Oct	13,265			5.0E-07	0.000003		
	Nov	12,745			5.0E-07	0.000003		
	Dec	7,705	1,158,934	579,467	5.0E-07	0.000002	0.00029	0.00014
2010	Jan	450	1,143,962	571,981	5.0E-07	0.000000	0.00029	0.00014
	Feb	138	1,117,742	558,871	5.0E-07	0.000000	0.00028	0.00014
	Mar	-	1,117,742	558,871	5.0E-07	-	0.00028	0.00014
	Apr	1,200	1,117,046	558,523	5.0E-07	0.000000	0.00028	0.00014
	May	-	1,102,543	551,271	5.0E-07	-	0.00028	0.00014
	Jun	6,599	1,019,554	509,777	5.0E-07	0.000002	0.00025	0.00013
	Jul	44,585	973,503	486,751	5.0E-07	0.000011	0.00024	0.00012
	Aug	144,204	1,038,371	519,186	5.0E-07	0.000036	0.00026	0.00013
	Sep	67,249	1,028,822	514,411	5.0E-07	0.000017	0.00026	0.00013
	Oct	71,331	1,019,513	509,757	5.0E-07	0.000018	0.00025	0.00013
	Nov	2,177	1,009,559	504,780	5.0E-07	0.000001	0.00025	0.00013
	Dec	-	1,009,559	504,780	5.0E-07	-	0.00025	0.00013

TABLE E-21. Baseline actual lead (Pb) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Lead (Pb) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	5.0E-07	0.000001	0.00025	0.00013
	Feb	20,220	1,034,260	517,130	5.0E-07	0.000005	0.00026	0.00013
	Mar	-	1,034,260	517,130	5.0E-07	-	0.00026	0.00013
	Apr	1,630	1,035,394	517,697	5.0E-07	0.000000	0.00026	0.00013
	May	-	872,223	436,112	5.0E-07	-	0.00022	0.00011
	Jun	54,333	864,983	432,492	5.0E-07	0.000014	0.00022	0.00011
	Jul	164,320	859,387	429,694	5.0E-07	0.000041	0.00021	0.00011
	Aug	180,411	878,528	439,264	5.0E-07	0.000045	0.00022	0.00011
	Sep	64,736	861,778	430,889	5.0E-07	0.000016	0.00022	0.00011
	Oct	111,748	960,260	480,130	5.0E-07	0.000028	0.00024	0.00012
	Nov	4,053	951,568	475,784	5.0E-07	0.000001	0.00024	0.00012
	Dec	9,537	953,400	476,700	5.0E-07	0.000002	0.00024	0.00012
2012	Jan	-	952,951	476,475	5.0E-07	-	0.00024	0.00012
	Feb	-	952,812	476,406	5.0E-07	-	0.00024	0.00012
	Mar	1,382	954,194	477,097	5.0E-07	0.000000	0.00024	0.00012
	Apr	86,134	1,039,128	519,564	5.0E-07	0.000022	0.00026	0.00013
	May	50,881	1,090,010	545,005	5.0E-07	0.000013	0.00027	0.00014
	Jun	91,607	1,175,018	587,509	5.0E-07	0.000023	0.00029	0.00015
	Jul	29,312	1,159,745	579,872	5.0E-07	0.000007	0.00029	0.00014
	Aug	120,697	1,136,238	568,119	5.0E-07	0.000030	0.00028	0.00014
	Sep	13,110	1,082,098	541,049	5.0E-07	0.000003	0.00027	0.00014
	Oct	786	1,011,554	505,777	5.0E-07	0.000000	0.00025	0.00013
	Nov	-	1,009,377	504,688	5.0E-07	-	0.00025	0.00013
	Dec	7,294	1,016,671	508,336	5.0E-07	0.000002	0.00025	0.00013
2013	Jan	28,020	1,040,210	520,105	5.0E-07	0.000007	0.00026	0.00013
	Feb	3,526	1,023,516	511,758	5.0E-07	0.000001	0.00026	0.00013
	Mar	-	1,023,516	511,758	5.0E-07	-	0.00026	0.00013
	Apr	29,529	1,051,416	525,708	5.0E-07	0.000007	0.00026	0.00013
	May	22,968	1,074,384	537,192	5.0E-07	0.000006	0.00027	0.00013
	Jun	116,778	1,136,830	568,415	5.0E-07	0.000029	0.00028	0.00014
	July	367,709	1,340,219	670,110	5.0E-07	0.000092	0.00034	0.00017
	August	123,204	1,283,012	641,506	5.0E-07	0.000031	0.00032	0.00016
	September	68,549	1,286,825	643,413	5.0E-07	0.000017	0.00032	0.00016
	October	6,688	1,181,765	590,883	5.0E-07	0.000002	0.00030	0.00015
	November	30,501	1,208,213	604,107	5.0E-07	0.000008	0.00030	0.00015
	December	45,037	1,243,714	621,857	5.0E-07	0.000011	0.00031	0.00016
2014	January	12,217	1,255,931	627,965	5.0E-07	0.000003	0.00031	0.00016
	February	13,749	1,269,680	634,840	5.0E-07	0.000003	0.00032	0.00016

Footnotes

1. The controlled lead emission factor is from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42* , 5th Edition, Table 1.4-2, and a natural gas heat value of 1,000 Btu per standard cubic foot.

TABLE E-22. Baseline actual lead (Pb) emissions for Ocotillo Steamers 1 and 2 combined.

Year	Month	Heat Input			Lead (Pb) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			5.0E-07	0.000011		
	Feb	51,530			5.0E-07	0.000013		
	Mar	-				-		
	Apr	11,525			5.0E-07	0.000003		
	May	32,526			5.0E-07	0.000008		
	Jun	177,110			5.0E-07	0.000044		
	Jul	183,845			5.0E-07	0.000046		
	Aug	193,920			5.0E-07	0.000048		
	Sep	120,131			5.0E-07	0.000030		
	Oct	106,776			5.0E-07	0.000027		
	Nov	12,533			5.0E-07	0.000003		
	Dec	151				0.000000		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495				0.000000		
	May	163,171			5.0E-07	0.000041		
	Jun	72,425			5.0E-07	0.000018		
	Jul	329,485			5.0E-07	0.000082		
	Aug	252,389			5.0E-07	0.000063		
	Sep	129,335			5.0E-07	0.000032		
	Oct	26,112			5.0E-07	0.000007		
	Nov	13,745			5.0E-07	0.000003		
	Dec	11,098	1,934,135	967,068	5.0E-07	0.000003	0.00048	0.00024
2010	Jan	1,136	1,889,436	944,718		0.000000	0.00047	0.00024
	Feb	271	1,838,177	919,089		0.000000	0.00046	0.00023
	Mar	-	1,838,177	919,089		-	0.00046	0.00023
	Apr	1,200	1,827,852	913,926		0.000000	0.00046	0.00023
	May	-	1,795,326	897,663		-	0.00045	0.00022
	Jun	16,233	1,634,449	817,225	5.0E-07	0.000004	0.00041	0.00020
	Jul	108,615	1,559,219	779,610	5.0E-07	0.000027	0.00039	0.00019
	Aug	248,186	1,613,485	806,743	5.0E-07	0.000062	0.00040	0.00020
	Sep	160,059	1,653,413	826,707	5.0E-07	0.000040	0.00041	0.00021
	Oct	140,250	1,686,888	843,444	5.0E-07	0.000035	0.00042	0.00021
	Nov	2,321	1,676,676	838,338	5.0E-07	0.000001	0.00042	0.00021
	Dec	-	1,676,525	838,263		-	0.00042	0.00021

TABLE E-22. Baseline actual lead (Pb) emissions for Ocotillo Steamers 1 and 2 combined.

Year	Month	Heat Input			Lead (Pb) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	5.0E-07	0.000001	0.00042	0.00021
	Feb	26,727	1,707,733	853,867	5.0E-07	0.000007	0.00043	0.00021
	Mar	2,625	1,710,358	855,179	5.0E-07	0.000001	0.00043	0.00021
	Apr	1,771	1,711,634	855,817		0.000000	0.00043	0.00021
	May	-	1,548,463	774,231		-	0.00039	0.00019
	Jun	95,913	1,571,951	785,975	5.0E-07	0.000024	0.00039	0.00020
	Jul	280,770	1,523,236	761,618	5.0E-07	0.000070	0.00038	0.00019
	Aug	395,192	1,666,039	833,019	5.0E-07	0.000099	0.00042	0.00021
	Sep	134,776	1,671,480	835,740	5.0E-07	0.000034	0.00042	0.00021
	Oct	203,925	1,849,294	924,647	5.0E-07	0.000051	0.00046	0.00023
	Nov	4,752	1,840,301	920,150	5.0E-07	0.000001	0.00046	0.00023
	Dec	30,183	1,859,385	929,693	5.0E-07	0.000008	0.00046	0.00023
2012	Jan	-	1,858,250	929,125		-	0.00046	0.00023
	Feb	-	1,857,979	928,989		-	0.00046	0.00023
	Mar	19,293	1,877,272	938,636	5.0E-07	0.000005	0.00047	0.00023
	Apr	111,035	1,987,108	993,554	5.0E-07	0.000028	0.00050	0.00025
	May	109,379	2,096,487	1,048,243	5.0E-07	0.000027	0.00052	0.00026
	Jun	207,092	2,287,345	1,143,673	5.0E-07	0.000052	0.00057	0.00029
	Jul	90,424	2,269,154	1,134,577	5.0E-07	0.000023	0.00057	0.00028
	Aug	276,255	2,297,224	1,148,612	5.0E-07	0.000069	0.00057	0.00029
	Sep	74,193	2,211,357	1,105,678	5.0E-07	0.000019	0.00055	0.00028
	Oct	26,042	2,097,149	1,048,575	5.0E-07	0.000007	0.00052	0.00026
	Nov	132	2,094,960	1,047,480		0.000000	0.00052	0.00026
	Dec	17,094	2,112,054	1,056,027	5.0E-07	0.000004	0.00053	0.00026
2013	Jan	86,449	2,194,022	1,097,011	5.0E-07	0.000022	0.00055	0.00027
	Feb	7,871	2,175,166	1,087,583	5.0E-07	0.000002	0.00054	0.00027
	Mar	1,045	2,173,586	1,086,793		0.000000	0.00054	0.00027
	Apr	42,481	2,214,297	1,107,148	5.0E-07	0.000011	0.00055	0.00028
	May	61,747	2,276,043	1,138,022	5.0E-07	0.000015	0.00057	0.00028
	Jun	249,628	2,429,758	1,214,879	5.0E-07	0.000062	0.00061	0.00030
	July	521,366	2,670,354	1,335,177	5.0E-07	0.000130	0.00067	0.00033
	August	266,833	2,541,994	1,270,997	5.0E-07	0.000067	0.00064	0.00032
	September	139,308	2,546,526	1,273,263	5.0E-07	0.000035	0.00064	0.00032
	October	6,929	2,349,530	1,174,765	5.0E-07	0.000002	0.00059	0.00029
	November	48,479	2,393,257	1,196,628	5.0E-07	0.000012	0.00060	0.00030
	December	63,143	2,426,217	1,213,108	5.0E-07	0.000016	0.00061	0.00030
2014	January	43,738	2,469,955	1,234,977	5.0E-07	0.000011	0.00062	0.00031
	February	19,447	2,489,402	1,244,701	5.0E-07	0.000005	0.00062	0.00031

Footnotes

1. The controlled lead emission factor is from the U.S. EPA's *Compilation of Air Pollutant Emission Factors, AP-42* , 5th Edition, Table 1.4-2, and a natural gas heat value of 1,000 Btu per standard cubic foot.

TABLE E-23. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Carbon Dioxide (CO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			118.8	1,806.8		
	Feb	25,172			118.9	1,496.4		
	Mar	-				-		
	Apr	9,629			118.9	572.4		
	May	18,023			118.8	1,070.9		
	Jun	87,522			118.8	5,201.0		
	Jul	93,208			118.9	5,539.5		
	Aug	114,585			118.9	6,809.7		
	Sep	43,332			118.8	2,574.8		
	Oct	26,137			118.9	1,553.5		
	Nov	402			119.2	24.0		
	Dec	151			118.5	8.9		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	-				-		
	May	-				-		
	Jun	10,853			118.9	645.2		
	Jul	159,569			118.9	9,482.8		
	Aug	91,118			118.9	5,415.3		
	Sep	47,848			118.9	2,843.7		
	Oct	12,846			118.9	763.5		
	Nov	1,000			118.7	59.3		
	Dec	3,394	775,201	387,601	118.9	201.8	46,070	23,035
2010	Jan	686	745,474	372,737	118.8	40.7	44,303	22,152
	Feb	133	720,435	360,217	118.0	7.8	42,815	21,407
	Mar	-	720,435	360,217		-	42,815	21,407
	Apr	-	710,806	355,403		-	42,243	21,121
	May	-	692,783	346,391		-	41,172	20,586
	Jun	9,634	614,895	307,447	118.8	572.5	36,543	18,272
	Jul	64,030	585,716	292,858	118.9	3,805.4	34,809	17,404
	Aug	103,982	575,114	287,557	118.9	6,180.4	34,180	17,090
	Sep	92,810	624,592	312,296	118.9	5,515.6	37,120	18,560
	Oct	68,919	667,375	333,687	118.9	4,095.6	39,663	19,831
	Nov	144	667,117	333,558	118.4	8.5	39,647	19,824
	Dec	-	666,966	333,483		-	39,638	19,819

TABLE E-23. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Carbon Dioxide (CO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483		-	39,638	19,819
	Feb	6,507	673,473	336,737	118.9	386.8	40,025	20,012
	Mar	2,625	676,098	338,049	118.9	156.0	40,181	20,090
	Apr	141	676,239	338,120	119.0	8.4	40,189	20,095
	May	-	676,239	338,120		-	40,189	20,095
	Jun	41,581	706,968	353,484	118.9	2,471.1	42,015	21,008
	Jul	116,450	663,849	331,924	118.9	6,921.4	39,454	19,727
	Aug	214,780	787,510	393,755	118.9	12,763.8	46,802	23,401
	Sep	70,041	809,703	404,851	118.8	4,162.1	48,121	24,060
	Oct	92,177	889,034	444,517	118.9	5,478.0	52,835	26,418
	Nov	699	888,732	444,366	119.0	41.6	52,818	26,409
	Dec	20,646	905,985	452,993	118.9	1,227.0	53,843	26,921
2012	Jan	-	905,299	452,650		-	53,802	26,901
	Feb	-	905,166	452,583		-	53,794	26,897
	Mar	17,911	923,078	461,539	118.9	1,064.8	54,859	27,429
	Apr	24,902	947,979	473,990	118.9	1,480.0	56,339	28,169
	May	58,498	1,006,477	503,238	118.9	3,476.6	59,816	29,908
	Jun	115,484	1,112,327	556,164	118.9	6,863.5	66,107	33,053
	Jul	61,112	1,109,410	554,705	118.9	3,631.9	65,933	32,967
	Aug	155,558	1,160,986	580,493	118.8	9,243.8	68,997	34,498
	Sep	61,083	1,129,259	564,629	118.9	3,630.1	67,111	33,555
	Oct	25,256	1,085,595	542,798	118.8	1,500.8	64,516	32,258
	Nov	132	1,085,583	542,792	118.2	7.8	64,515	32,258
	Dec	9,800	1,095,383	547,691	118.8	582.2	65,098	32,549
2013	Jan	58,429	1,153,812	576,906	118.9	3,472.5	68,570	34,285
	Feb	4,345	1,151,650	575,825	118.9	258.2	68,442	34,221
	Mar	1,045	1,150,070	575,035	118.9	62.1	68,348	34,174
	Apr	12,952	1,162,881	581,440	118.8	769.6	69,109	34,554
	May	38,778	1,201,659	600,830	118.9	2,304.4	71,413	35,707
	Jun	132,850	1,292,928	646,464	118.9	7,895.5	76,838	38,419
	July	153,657	1,330,134	665,067	118.9	9,131.4	79,048	39,524
	August	143,629	1,258,983	629,491	118.9	8,536.2	74,820	37,410
	September	70,759	1,259,701	629,850	118.9	4,204.4	74,862	37,431
	October	241	1,167,765	583,882	118.9	14.3	69,399	34,699
	November	17,978	1,185,044	592,522	118.9	1,068.9	70,426	35,213
	December	18,106	1,182,503	591,252	118.9	1,076.0	70,275	35,138
2014	January	31,521	1,214,024	607,012	118.9	1,873.3	72,148	36,074
	February	5,698	1,219,722	609,861	118.9	338.7	72,487	36,243

Footnotes

CO_2 emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-24. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Carbon Dioxide (CO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			118.9	916.7		
	Feb	26,358			118.9	1,566.5		
	Mar	-				-		
	Apr	1,896			119.0	112.8		
	May	14,503			118.8	861.9		
	Jun	89,587			118.9	5,324.4		
	Jul	90,637			118.9	5,386.6		
	Aug	79,336			118.9	4,715.0		
	Sep	76,799			118.9	4,564.3		
	Oct	80,639			118.8	4,791.9		
	Nov	12,131			118.9	721.2		
	Dec	-				-		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			119.0	29.5		
	May	163,171			118.9	9,696.5		
	Jun	61,573			118.8	3,658.9		
	Jul	169,916			118.9	10,097.4		
	Aug	161,270			118.9	9,583.9		
	Sep	81,486			118.9	4,842.8		
	Oct	13,265			118.9	788.5		
	Nov	12,745			118.8	757.2		
	Dec	7,705	1,158,934	579,467	118.8	457.7	68,874	34,437
2010	Jan	450	1,143,962	571,981	118.8	26.7	67,984	33,992
	Feb	138	1,117,742	558,871	118.5	8.2	66,425	33,213
	Mar	-	1,117,742	558,871		-	66,425	33,213
	Apr	1,200	1,117,046	558,523	118.9	71.3	66,384	33,192
	May	-	1,102,543	551,271		-	65,522	32,761
	Jun	6,599	1,019,554	509,777	118.8	392.1	60,590	30,295
	Jul	44,585	973,503	486,751	118.9	2,650.0	57,853	28,927
	Aug	144,204	1,038,371	519,186	118.9	8,570.6	61,709	30,854
	Sep	67,249	1,028,822	514,411	118.9	3,996.5	61,141	30,570
	Oct	71,331	1,019,513	509,757	118.8	4,238.6	60,587	30,294
	Nov	2,177	1,009,559	504,780	118.9	129.4	59,996	29,998
	Dec	-	1,009,559	504,780		-	59,996	29,998

TABLE E-24. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Carbon Dioxide (CO_2) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	118.9	266.4	60,262	30,131
	Feb	20,220	1,034,260	517,130	118.9	1,201.6	61,464	30,732
	Mar	-	1,034,260	517,130		-	61,464	30,732
	Apr	1,630	1,035,394	517,697	119.0	97.0	61,531	30,766
	May	-	872,223	436,112		-	51,835	25,917
	Jun	54,333	864,983	432,492	118.8	3,228.3	51,404	25,702
	Jul	164,320	859,387	429,694	118.9	9,765.9	51,073	25,536
	Aug	180,411	878,528	439,264	118.9	10,721.0	52,210	26,105
	Sep	64,736	861,778	430,889	118.8	3,846.3	51,213	25,607
	Oct	111,748	960,260	480,130	118.9	6,640.7	57,066	28,533
	Nov	4,053	951,568	475,784	119.0	241.1	56,549	28,275
	Dec	9,537	953,400	476,700	118.9	567.0	56,659	28,329
2012	Jan	-	952,951	476,475		-	56,632	28,316
	Feb	-	952,812	476,406		-	56,624	28,312
	Mar	1,382	954,194	477,097	119.6	82.7	56,707	28,353
	Apr	86,134	1,039,128	519,564	118.9	5,120.3	61,755	30,878
	May	50,881	1,090,010	545,005	118.9	3,024.3	64,780	32,390
	Jun	91,607	1,175,018	587,509	118.9	5,444.1	69,832	34,916
	Jul	29,312	1,159,745	579,872	118.8	1,741.8	68,924	34,462
	Aug	120,697	1,136,238	568,119	118.8	7,172.1	67,525	33,763
	Sep	13,110	1,082,098	541,049	118.9	779.3	64,308	32,154
	Oct	786	1,011,554	505,777	119.1	46.8	60,116	30,058
	Nov	-	1,009,377	504,688		-	59,987	29,993
	Dec	7,294	1,016,671	508,336	118.9	433.5	60,420	30,210
2013	Jan	28,020	1,040,210	520,105	118.9	1,665.2	61,819	30,909
	Feb	3,526	1,023,516	511,758	119.0	209.8	60,827	30,414
	Mar	-	1,023,516	511,758		-	60,827	30,414
	Apr	29,529	1,051,416	525,708	118.9	1,754.9	62,485	31,242
	May	22,968	1,074,384	537,192	118.9	1,365.1	63,850	31,925
	Jun	116,778	1,136,830	568,415	118.9	6,940.2	67,562	33,781
	July	367,709	1,340,219	670,110	118.9	21,851.4	79,647	39,824
	August	123,204	1,283,012	641,506	118.9	7,321.8	76,248	38,124
	September	68,549	1,286,825	643,413	118.9	4,073.6	76,475	38,238
	October	6,688	1,181,765	590,883	118.9	397.5	70,232	35,116
	November	30,501	1,208,213	604,107	118.9	1,812.6	71,804	35,902
	December	45,037	1,243,714	621,857	118.9	2,676.4	73,913	36,957
2014	January	12,217	1,255,931	627,965	118.9	726.1	74,639	37,320
	February	13,749	1,269,680	634,840	118.9	817.1	75,456	37,728

Footnotes

CO_2 emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-25. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Carbon Dioxide (CO_2) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			118.8	2,723.5		
	Feb	51,530			118.9	3,062.9		
	Mar	-				-		
	Apr	11,525			118.9	685.2		
	May	32,526			118.8	1,932.8		
	Jun	177,110			118.9	10,525.4		
	Jul	183,845			118.9	10,926.1		
	Aug	193,920			118.9	11,524.7		
	Sep	120,131			118.9	7,139.1		
	Oct	106,776			118.9	6,345.4		
	Nov	12,533			118.9	745.2		
	Dec	151			118.5	8.9		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			119.0	29.5		
	May	163,171			118.9	9,696.5		
	Jun	72,425			118.9	4,304.1		
	Jul	329,485			118.9	19,580.2		
	Aug	252,389			118.9	14,999.2		
	Sep	129,335			118.9	7,686.6		
	Oct	26,112			118.9	1,552.0		
	Nov	13,745			118.8	816.5		
	Dec	11,098	1,934,135	967,068	118.8	659.5	114,943	57,472
2010	Jan	1,136	1,889,436	944,718	118.8	67.5	112,287	56,144
	Feb	271	1,838,177	919,089	118.3	16.0	109,240	54,620
	Mar	-	1,838,177	919,089		-	109,240	54,620
	Apr	1,200	1,827,852	913,926	118.9	71.3	108,626	54,313
	May	-	1,795,326	897,663		-	106,694	53,347
	Jun	16,233	1,634,449	817,225	118.8	964.6	97,133	48,566
	Jul	108,615	1,559,219	779,610	118.9	6,455.4	92,662	46,331
	Aug	248,186	1,613,485	806,743	118.9	14,751.0	95,888	47,944
	Sep	160,059	1,653,413	826,707	118.9	9,512.1	98,261	49,131
	Oct	140,250	1,686,888	843,444	118.8	8,334.1	100,250	50,125
	Nov	2,321	1,676,676	838,338	118.8	137.9	99,643	49,821
	Dec	-	1,676,525	838,263		-	99,634	49,817

TABLE E-25. Baseline actual carbon dioxide (CO_2) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Carbon Dioxide (CO_2) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	118.9	266.4	99,900	49,950
	Feb	26,727	1,707,733	853,867	118.9	1,588.4	101,489	50,744
	Mar	2,625	1,710,358	855,179	118.9	156.0	101,645	50,822
	Apr	1,771	1,711,634	855,817	119.0	105.4	101,721	50,860
	May	-	1,548,463	774,231		-	92,024	46,012
	Jun	95,913	1,571,951	785,975	118.8	5,699.4	93,419	46,710
	Jul	280,770	1,523,236	761,618	118.9	16,687.3	90,527	45,263
	Aug	395,192	1,666,039	833,019	118.9	23,484.8	99,012	49,506
	Sep	134,776	1,671,480	835,740	118.8	8,008.4	99,334	49,667
	Oct	203,925	1,849,294	924,647	118.9	12,118.8	109,901	54,950
	Nov	4,752	1,840,301	920,150	119.0	282.6	109,367	54,683
	Dec	30,183	1,859,385	929,693	118.9	1,794.0	110,502	55,251
2012	Jan	-	1,858,250	929,125		-	110,434	55,217
	Feb	-	1,857,979	928,989		-	110,418	55,209
	Mar	19,293	1,877,272	938,636	119.0	1,147.5	111,565	55,783
	Apr	111,035	1,987,108	993,554	118.9	6,600.3	118,094	59,047
	May	109,379	2,096,487	1,048,243	118.9	6,500.9	124,595	62,298
	Jun	207,092	2,287,345	1,143,673	118.9	12,307.6	135,938	67,969
	Jul	90,424	2,269,154	1,134,577	118.9	5,373.7	134,857	67,428
	Aug	276,255	2,297,224	1,148,612	118.8	16,415.8	136,522	68,261
	Sep	74,193	2,211,357	1,105,678	118.9	4,409.3	131,419	65,709
	Oct	26,042	2,097,149	1,048,575	118.9	1,547.6	124,632	62,316
	Nov	132	2,094,960	1,047,480	118.2	7.8	124,502	62,251
	Dec	17,094	2,112,054	1,056,027	118.8	1,015.8	125,518	62,759
2013	Jan	86,449	2,194,022	1,097,011	118.9	5,137.6	130,389	65,195
	Feb	7,871	2,175,166	1,087,583	118.9	467.9	129,269	64,634
	Mar	1,045	2,173,586	1,086,793	118.9	62.1	129,175	64,587
	Apr	42,481	2,214,297	1,107,148	118.9	2,524.5	131,594	65,797
	May	61,747	2,276,043	1,138,022	118.9	3,669.5	135,263	67,632
	Jun	249,628	2,429,758	1,214,879	118.9	14,835.7	144,400	72,200
	July	521,366	2,670,354	1,335,177	118.9	30,982.8	158,695	79,348
	August	266,833	2,541,994	1,270,997	118.9	15,858.0	151,068	75,534
	September	139,308	2,546,526	1,273,263	118.8	8,278.0	151,338	75,669
	October	6,929	2,349,530	1,174,765	118.9	411.9	139,631	69,815
	November	48,479	2,393,257	1,196,628	118.9	2,881.5	142,230	71,115
	December	63,143	2,426,217	1,213,108	118.9	3,752.4	144,188	72,094
2014	January	43,738	2,469,955	1,234,977	118.9	2,599.4	146,788	73,394
	February	19,447	2,489,402	1,244,701	118.9	1,155.8	147,943	73,972

Footnotes

CO_2 emissions are measured by the continuous emissions monitoring systems (CEMS) installed under the Federal Acid Rain Program in 40 CFR Part 75.

TABLE E-26. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Greenhouse Gas (GHG) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	30,413			118.9	1,808.6		
	Feb	25,172			119.0	1,497.8		
	Mar	-			0.1	-		
	Apr	9,629			119.0	572.9		
	May	18,023			119.0	1,072.0		
	Jun	87,522			119.0	5,206.0		
	Jul	93,208			119.0	5,544.8		
	Aug	114,585			119.0	6,816.3		
	Sep	43,332			119.0	2,577.3		
	Oct	26,137			119.0	1,555.0		
	Nov	402			119.3	24.0		
	Dec	151			118.6	8.9		
2009	Jan	-			0.1	-		
	Feb	-			0.1	-		
	Mar	-			0.1	-		
	Apr	-			0.1	-		
	May	-			0.1	-		
	Jun	10,853			119.0	645.8		
	Jul	159,569			119.0	9,491.9		
	Aug	91,118			119.0	5,420.5		
	Sep	47,848			119.0	2,846.5		
	Oct	12,846			119.0	764.3		
	Nov	1,000			118.8	59.4		
	Dec	3,394	775,201	387,601	119.0	202.0	46,114	23,057
2010	Jan	686	745,474	372,737	118.9	40.8	44,346	22,173
	Feb	133	720,435	360,217	118.1	7.8	42,856	21,428
	Mar	-	720,435	360,217	0.1	-	42,856	21,428
	Apr	-	710,806	355,403	0.1	-	42,283	21,142
	May	-	692,783	346,391	0.1	-	41,211	20,606
	Jun	9,634	614,895	307,447	119.0	573.0	36,578	18,289
	Jul	64,030	585,716	292,858	119.0	3,809.0	34,842	17,421
	Aug	103,982	575,114	287,557	119.0	6,186.3	34,213	17,106
	Sep	92,810	624,592	312,296	119.0	5,521.0	37,156	18,578
	Oct	68,919	667,375	333,687	119.0	4,099.5	39,701	19,850
	Nov	144	667,117	333,558	118.5	8.5	39,685	19,843
	Dec	-	666,966	333,483	0.1	-	39,676	19,838

TABLE E-26. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 1.

Year	Month	Heat Input			Greenhouse Gas (GHG) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	-	666,966	333,483	0.1	-	39,676	19,838
	Feb	6,507	673,473	336,737	119.0	387.2	40,063	20,032
	Mar	2,625	676,098	338,049	119.0	156.2	40,220	20,110
	Apr	141	676,239	338,120	119.2	8.4	40,228	20,114
	May	-	676,239	338,120	0.1	-	40,228	20,114
	Jun	41,581	706,968	353,484	119.0	2,473.5	42,056	21,028
	Jul	116,450	663,849	331,924	119.0	6,928.1	39,492	19,746
	Aug	214,780	787,510	393,755	119.0	12,776.1	46,847	23,424
	Sep	70,041	809,703	404,851	119.0	4,166.1	48,167	24,084
	Oct	92,177	889,034	444,517	119.0	5,483.3	52,886	26,443
	Nov	699	888,732	444,366	119.1	41.6	52,868	26,434
	Dec	20,646	905,985	452,993	119.0	1,228.2	53,895	26,947
2012	Jan	-	905,299	452,650	0.1	-	53,854	26,927
	Feb	-	905,166	452,583	0.1	-	53,846	26,923
	Mar	17,911	923,078	461,539	119.0	1,065.8	54,912	27,456
	Apr	24,902	947,979	473,990	119.0	1,481.4	56,393	28,197
	May	58,498	1,006,477	503,238	119.0	3,480.0	59,873	29,937
	Jun	115,484	1,112,327	556,164	119.0	6,870.1	66,170	33,085
	Jul	61,112	1,109,410	554,705	119.0	3,635.4	65,997	32,998
	Aug	155,558	1,160,986	580,493	119.0	9,252.7	69,063	34,531
	Sep	61,083	1,129,259	564,629	119.0	3,633.5	67,176	33,588
	Oct	25,256	1,085,595	542,798	119.0	1,502.3	64,578	32,289
	Nov	132	1,085,583	542,792	118.3	7.8	64,578	32,289
	Dec	9,800	1,095,383	547,691	118.9	582.8	65,160	32,580
2013	Jan	58,429	1,153,812	576,906	119.0	3,475.8	68,636	34,318
	Feb	4,345	1,151,650	575,825	119.0	258.4	68,507	34,254
	Mar	1,045	1,150,070	575,035	119.0	62.2	68,413	34,207
	Apr	12,952	1,162,881	581,440	119.0	770.4	69,175	34,588
	May	38,778	1,201,659	600,830	119.0	2,306.6	71,482	35,741
	Jun	132,850	1,292,928	646,464	119.0	7,903.1	76,912	38,456
	July	153,657	1,330,134	665,067	119.0	9,140.9	79,124	39,562
	August	143,629	1,258,983	629,491	119.0	8,544.3	74,893	37,446
	September	70,759	1,259,701	629,850	119.0	4,209.4	74,936	37,468
	October	241	1,167,765	583,882	119.0	14.3	69,467	34,733
	November	17,978	1,185,044	592,522	119.0	1,069.5	70,495	35,247
	December	18,106	1,182,503	591,252	119.0	1,077.1	70,344	35,172
2014	January	31,521	1,214,024	607,012	119.0	1,875.1	72,219	36,109
	February	5,698	1,219,722	609,861	119.0	339.0	72,558	36,279

Footnotes

TABLE E-27. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Greenhouse Gas (GHG) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	15,421			119.0	917.5		
	Feb	26,358			119.0	1,568.0		
	Mar	-			0.1	-		
	Apr	1,896			119.1	112.9		
	May	14,503			119.0	862.7		
	Jun	89,587			119.0	5,329.5		
	Jul	90,637			119.0	5,391.8		
	Aug	79,336			119.0	4,719.6		
	Sep	76,799			119.0	4,568.7		
	Oct	80,639			119.0	4,796.5		
	Nov	12,131			119.0	721.9		
	Dec	-			0.1	-		
2009	Jan	-			0.1	-		
	Feb	-			0.1	-		
	Mar	-			0.1	-		
	Apr	495			119.1	29.5		
	May	163,171			119.0	9,705.8		
	Jun	61,573			119.0	3,662.4		
	Jul	169,916			119.0	10,107.1		
	Aug	161,270			119.0	9,593.1		
	Sep	81,486			119.0	4,847.5		
	Oct	13,265			119.0	789.2		
	Nov	12,745			118.9	757.9		
	Dec	7,705	1,158,934	579,467	118.9	458.1	68,940	34,470
2010	Jan	450	1,143,962	571,981	118.9	26.7	68,049	34,025
	Feb	138	1,117,742	558,871	118.6	8.2	66,489	33,245
	Mar	-	1,117,742	558,871	0.1	-	66,489	33,245
	Apr	1,200	1,117,046	558,523	119.0	71.4	66,448	33,224
	May	-	1,102,543	551,271	0.1	-	65,585	32,792
	Jun	6,599	1,019,554	509,777	119.0	392.5	60,648	30,324
	Jul	44,585	973,503	486,751	119.0	2,652.5	57,909	28,954
	Aug	144,204	1,038,371	519,186	119.0	8,578.8	61,768	30,884
	Sep	67,249	1,028,822	514,411	119.0	4,000.3	61,200	30,600
	Oct	71,331	1,019,513	509,757	119.0	4,242.6	60,646	30,323
	Nov	2,177	1,009,559	504,780	119.0	129.5	60,053	30,027
	Dec	-	1,009,559	504,780	0.1	-	60,053	30,027

TABLE E-27. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 2.

Year	Month	Heat Input			Greenhouse Gas (GHG) Emissions			
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,014,040	507,020	119.0	266.7	60,320	30,160
	Feb	20,220	1,034,260	517,130	119.0	1,202.8	61,523	30,761
	Mar	-	1,034,260	517,130	0.1	-	61,523	30,761
	Apr	1,630	1,035,394	517,697	119.1	97.1	61,590	30,795
	May	-	872,223	436,112	0.1	-	51,885	25,942
	Jun	54,333	864,983	432,492	118.9	3,231.4	51,454	25,727
	Jul	164,320	859,387	429,694	119.0	9,775.3	51,122	25,561
	Aug	180,411	878,528	439,264	119.0	10,731.3	52,260	26,130
	Sep	64,736	861,778	430,889	118.9	3,850.0	51,263	25,631
	Oct	111,748	960,260	480,130	119.0	6,647.1	57,121	28,560
	Nov	4,053	951,568	475,784	119.1	241.3	56,604	28,302
	Dec	9,537	953,400	476,700	119.0	567.5	56,713	28,357
2012	Jan	-	952,951	476,475	0.1	-	56,687	28,343
	Feb	-	952,812	476,406	0.1	-	56,678	28,339
	Mar	1,382	954,194	477,097	119.8	82.7	56,761	28,381
	Apr	86,134	1,039,128	519,564	119.0	5,125.2	61,815	30,907
	May	50,881	1,090,010	545,005	119.0	3,027.2	64,842	32,421
	Jun	91,607	1,175,018	587,509	119.0	5,449.3	69,899	34,949
	Jul	29,312	1,159,745	579,872	119.0	1,743.5	68,990	34,495
	Aug	120,697	1,136,238	568,119	119.0	7,179.0	67,590	33,795
	Sep	13,110	1,082,098	541,049	119.0	780.0	64,370	32,185
	Oct	786	1,011,554	505,777	119.2	46.9	60,174	30,087
	Nov	-	1,009,377	504,688	0.1	-	60,044	30,022
	Dec	7,294	1,016,671	508,336	119.0	434.0	60,478	30,239
2013	Jan	28,020	1,040,210	520,105	119.0	1,666.8	61,878	30,939
	Feb	3,526	1,023,516	511,758	119.1	210.0	60,886	30,443
	Mar	-	1,023,516	511,758	0.1	-	60,886	30,443
	Apr	29,529	1,051,416	525,708	119.0	1,756.6	62,545	31,273
	May	22,968	1,074,384	537,192	119.0	1,366.4	63,911	31,956
	Jun	116,778	1,136,830	568,415	119.0	6,946.8	67,627	33,813
	July	367,709	1,340,219	670,110	119.0	21,874.6	79,726	39,863
	August	123,204	1,283,012	641,506	119.0	7,329.3	76,324	38,162
	September	68,549	1,286,825	643,413	119.0	4,077.9	76,552	38,276
	October	6,688	1,181,765	590,883	119.0	397.9	70,303	35,151
	November	30,501	1,208,213	604,107	119.0	1,814.5	71,876	35,938
	December	45,037	1,243,714	621,857	119.0	2,679.2	73,988	36,994
2014	January	12,217	1,255,931	627,965	119.0	726.8	74,714	37,357
	February	13,749	1,269,680	634,840	119.0	817.9	75,532	37,766

TABLE E-28. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Greenhouse Gas (GHG) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2008	Jan	45,835			119.0	2,726.1		
	Feb	51,530			119.0	3,065.9		
	Mar	-				-		
	Apr	11,525			119.0	685.9		
	May	32,526			119.0	1,934.7		
	Jun	177,110			119.0	10,535.5		
	Jul	183,845			119.0	10,936.6		
	Aug	193,920			119.0	11,535.8		
	Sep	120,131			119.0	7,146.0		
	Oct	106,776			119.0	6,351.5		
	Nov	12,533			119.0	745.9		
	Dec	151			118.6	8.9		
2009	Jan	-				-		
	Feb	-				-		
	Mar	-				-		
	Apr	495			119.1	29.5		
	May	163,171			119.0	9,705.8		
	Jun	72,425			119.0	4,308.2		
	Jul	329,485			119.0	19,599.0		
	Aug	252,389			119.0	15,013.6		
	Sep	129,335			119.0	7,694.0		
	Oct	26,112			119.0	1,553.5		
	Nov	13,745			118.9	817.3		
	Dec	11,098	1,934,135	967,068	119.0	660.1	115,054	57,527
2010	Jan	1,136	1,889,436	944,718	118.9	67.5	112,395	56,198
	Feb	271	1,838,177	919,089	118.4	16.0	109,345	54,673
	Mar	-	1,838,177	919,089		-	109,345	54,673
	Apr	1,200	1,827,852	913,926	119.0	71.4	108,731	54,365
	May	-	1,795,326	897,663		-	106,796	53,398
	Jun	16,233	1,634,449	817,225	119.0	965.5	97,226	48,613
	Jul	108,615	1,559,219	779,610	119.0	6,461.6	92,751	46,376
	Aug	248,186	1,613,485	806,743	119.0	14,765.2	95,981	47,990
	Sep	160,059	1,653,413	826,707	119.0	9,521.3	98,356	49,178
	Oct	140,250	1,686,888	843,444	119.0	8,342.1	100,346	50,173
	Nov	2,321	1,676,676	838,338	119.0	138.1	99,739	49,869
	Dec	-	1,676,525	838,263		-	99,730	49,865

TABLE E-28. Baseline actual greenhouse gas (GHG) emissions for Ocotillo Steamer 1 and 2 combined.

Year	Month	Heat Input				Greenhouse Gas (GHG) Emissions		
		mmBtu	24-mo total	mmBtu/yr, 24-mo ave.	lb/mmBtu	ton/mo	24-mo total	ton/yr, 24-mo ave.
2011	Jan	4,481	1,681,006	840,503	119.0	266.7	99,996	49,998
	Feb	26,727	1,707,733	853,867	119.0	1,589.9	101,586	50,793
	Mar	2,625	1,710,358	855,179	119.0	156.2	101,742	50,871
	Apr	1,771	1,711,634	855,817	119.1	105.5	101,818	50,909
	May	-	1,548,463	774,231		-	92,113	46,056
	Jun	95,913	1,571,951	785,975	119.0	5,704.9	93,509	46,755
	Jul	280,770	1,523,236	761,618	119.0	16,703.4	90,614	45,307
	Aug	395,192	1,666,039	833,019	119.0	23,507.4	99,108	49,554
	Sep	134,776	1,671,480	835,740	119.0	8,016.1	99,430	49,715
	Oct	203,925	1,849,294	924,647	119.0	12,130.5	110,007	55,003
	Nov	4,752	1,840,301	920,150	119.1	282.9	109,472	54,736
	Dec	30,183	1,859,385	929,693	119.0	1,795.7	110,608	55,304
2012	Jan	-	1,858,250	929,125		-	110,540	55,270
	Feb	-	1,857,979	928,989		-	110,524	55,262
	Mar	19,293	1,877,272	938,636	119.1	1,148.6	111,673	55,836
	Apr	111,035	1,987,108	993,554	119.0	6,606.6	118,208	59,104
	May	109,379	2,096,487	1,048,243	119.0	6,507.2	124,715	62,358
	Jun	207,092	2,287,345	1,143,673	119.0	12,319.4	136,069	68,035
	Jul	90,424	2,269,154	1,134,577	119.0	5,378.9	134,986	67,493
	Aug	276,255	2,297,224	1,148,612	119.0	16,431.6	136,653	68,326
	Sep	74,193	2,211,357	1,105,678	119.0	4,413.6	131,545	65,773
	Oct	26,042	2,097,149	1,048,575	119.0	1,549.1	124,752	62,376
	Nov	132	2,094,960	1,047,480	118.3	7.8	124,622	62,311
	Dec	17,094	2,112,054	1,056,027	119.0	1,016.7	125,639	62,819
2013	Jan	86,449	2,194,022	1,097,011	119.0	5,142.6	130,515	65,257
	Feb	7,871	2,175,166	1,087,583	119.0	468.4	129,393	64,697
	Mar	1,045	2,173,586	1,086,793	119.0	62.2	129,299	64,650
	Apr	42,481	2,214,297	1,107,148	119.0	2,526.9	131,721	65,860
	May	61,747	2,276,043	1,138,022	119.0	3,673.0	135,394	67,697
	Jun	249,628	2,429,758	1,214,879	119.0	14,849.9	144,539	72,269
	July	521,366	2,670,354	1,335,177	119.0	31,015.5	158,851	79,425
	August	266,833	2,541,994	1,270,997	119.0	15,873.6	151,217	75,608
	September	139,308	2,546,526	1,273,263	119.0	8,287.3	151,488	75,744
	October	6,929	2,349,530	1,174,765	119.0	412.2	139,770	69,885
	November	48,479	2,393,257	1,196,628	119.0	2,883.9	142,371	71,185
	December	63,143	2,426,217	1,213,108	119.0	3,756.3	144,331	72,166
2014	January	43,738	2,469,955	1,234,977	119.0	2,601.9	146,933	73,467
	February	19,447	2,489,402	1,244,701	119.0	1,156.9	148,090	74,045

TABLE E-29. Baseline actual PM, PM_{10}, and $PM_{2.5}$ emissions for the Steamer 1 and 2 cooling towers.

Year	Month	Cooling Tower (CT) 1		Cooling Tower (CT) 2		Hours for 2 Towers	PM Emissions		
		Unit 1 Hours	CT1 Hours	Unit 2 Hours	CT2 Hours		ton/mo	24-mo total	ton/yr, 24-mo ave.
2009	March	0.0	0.0	0.0	0.0	0.0	0.0		
	April	0.0	0.0	6.9	8.2	4.1	0.0		
	May	0.0	0.0	268.4	322.1	161.1	0.7		
	June	36.3	43.5	125.1	150.1	96.8	0.4		
	July	276.1	331.3	283.5	340.2	335.7	1.5		
	August	154.2	185.0	268.6	322.4	253.7	1.1		
	September	120.6	144.7	172.1	206.5	175.6	0.8		
	October	21.8	26.2	29.4	35.3	30.7	0.1		
	November	18.3	21.9	27.2	32.6	27.3	0.1		
	December	18.7	22.5	27.2	32.6	27.5	0.1		
2010	January	7.8	9.4	6.5	7.8	8.6	0.0		
	February	1.9	2.2	1.9	2.2	2.2	0.0		
	March	0.0	0.0	0.0	0.0	0.0	0.0		
	April	0.0	0.0	11.2	13.4	6.7	0.0		
	May	0.0	0.0	0.0	0.0	0.0	0.0		
	June	33.3	39.9	20.4	24.5	32.2	0.1		
	July	123.6	148.4	76.5	91.8	120.1	0.5		
	August	187.6	225.2	226.2	271.4	248.3	1.1		
	September	192.0	230.4	135.0	162.0	196.2	0.9		
	October	131.6	157.9	137.8	165.4	161.6	0.7		
	November	2.0	2.4	12.1	14.6	8.5	0.0		
	December	0.0	0.0	0.0	0.0	0.0	0.0		
2011	January	0.0	0.0	17.2	20.7	10.3	0.0		
	February	23.1	27.7	48.7	58.4	43.0	0.2	8.5	4.2
	March	17.5	21.0	0.0	0.0	10.5	0.0	8.5	4.3
	April	1.4	1.7	13.5	16.2	8.9	0.0	8.6	4.3
	May	0.0	0.0	0.0	0.0	0.0	0.0	7.9	3.9
	June	78.7	94.4	99.9	119.8	107.1	0.5	7.9	3.9
	July	236.7	284.0	278.4	334.0	309.0	1.3	7.8	3.9
	August	398.5	478.2	316.0	379.2	428.7	1.9	8.5	4.3
	September	151.3	181.5	125.5	150.6	166.0	0.7	8.5	4.2
	October	169.1	202.9	202.3	242.7	222.8	1.0	9.3	4.7
	November	5.1	6.1	18.5	22.1	14.1	0.1	9.3	4.6
	December	71.7	86.1	48.7	58.4	72.3	0.3	9.5	4.7

TABLE E-29. Baseline actual PM, PM_{10}, and $PM_{2.5}$ emissions for the Steamer 1 and 2 cooling towers.

Year	Month	Cooling Tower (CT) 1		Cooling Tower (CT) 2		Hours for 2 Towers	PM Emissions		
		Unit 1 Hours	CT1 Hours	Unit 2 Hours	CT2 Hours		ton/mo	24-mo total	ton/yr, 24-mo ave.
2012	January	0.0	0.0	0.0	0.0	0.0	0.0	9.4	4.7
	February	0.0	0.0	0.0	0.0	0.0	0.0	9.4	4.7
	March	43.6	52.3	11.2	13.4	32.8	0.1	9.6	4.8
	April	52.7	63.2	152.4	182.9	123.1	0.5	10.1	5.0
	May	113.9	136.7	118.2	141.8	139.2	0.6	10.7	5.3
	June	219.4	263.2	182.6	219.2	241.2	1.0	11.6	5.8
	July	126.3	151.5	81.0	97.2	124.4	0.5	11.6	5.8
	August	302.1	362.5	222.4	266.9	314.7	1.4	11.9	5.9
	September	132.6	159.1	36.5	43.8	101.4	0.4	11.5	5.7
	October	65.1	78.1	6.8	8.2	43.2	0.2	11.0	5.5
	November	1.6	1.9	0.0	0.0	0.9	0.0	10.9	5.5
	December	23.3	27.9	21.5	25.8	26.8	0.1	11.1	5.5
2013	January	143.1	171.7	68.7	82.4	127.1	0.6	11.6	5.8
	February	9.5	11.4	7.7	9.2	10.3	0.0	11.4	5.7
	March	10.9	13.1	0.0	0.0	6.5	0.0	11.4	5.7
	April	33.9	40.7	73.8	88.6	64.6	0.3	11.6	5.8
	May	79.2	95.1	62.2	74.6	84.8	0.4	12.0	6.0
	June	248.3	297.9	219.6	263.6	280.7	1.2	12.8	6.4
	July	288.5	346.2	721.2	865.5	605.8	2.6	14.1	7.0
	August	258.1	309.7	230.8	277.0	293.3	1.3	13.5	6.7
	September	142.1	170.5	130.6	156.7	163.6	0.7	13.5	6.7
	October	3.4	4.0	26.9	32.3	18.2	0.1	12.6	6.3
	November	53.3	64.0	70.2	84.3	74.1	0.3	12.8	6.4
	December	62.7	75.2	112.4	134.8	105.0	0.5	13.0	6.5
2014	January	89.0	106.8	42.0	50.4	78.6	0.3	13.3	6.7
	February	19.9	23.9	38.7	46.4	35.1	0.2	13.5	6.7

Footnotes

This table reports baseline actual total PM emissions. PM_{10} emissions may be calculated by multiplying the total PM emissions by 0.315; $PM_{2.5}$ emissions may be calculated by multiplying PM_{10} emissions by 0.6.

EXHIBIT B2 – WATER RESOURCES

Pursuant to A.R.S §40-360.13:

> *"For Facilities subject to the requirements of this article within the service area of a city or town in an AMA* [Active Management Area], *as such terms defined in title 45, chapter 2, the power plant and transmission line siting committee shall consider, as a criterion for issuing a certificate of environmental compatibility, the availability of groundwater and the impact of the proposed use of groundwater on the management plan established under title 45, chapter 2, article 9 for the AMA."*

INTRODUCTION

APS plans to build five (5) approximately 102 megawatt ("MW") (net) GTs at the Ocotillo Power Plant ("Power Plant") and retire the two (2) existing 110MW gas-fired steam turbine units. In selecting the General Electric LMS100 GTs, APS considered low-water use GT technologies with dry, wet, or hybrid cooling systems. APS selected the LMS100 GTs with a hybrid cooling system to balance the costs of power generation with its environmental impacts, including water use. The hybrid cooling system has a combination of dry and wet cooling components. Existing water wells will continue to be used to supply raw groundwater for cooling and air emissions control at the Power Plant.

Cooling Options Considered

Currently, APS uses groundwater from existing water supply wells for the Power Plant. Long-term groundwater use is a major concern for APS, as well as the State of Arizona, because of the arid climate and minimal natural recharge in the Phoenix area. Recognizing the importance of groundwater resources in Arizona, APS studied a variety of cooling options for the planned Ocotillo GTs and determined that a hybrid (dry-wet) cooling system provided a low water-use technology appropriate to meet APS's flexible generation needs.

The hybrid cooling system requires about 86 percent less water on a per MW basis than the existing two steam generators and two GTs currently at the Power Plant, which, based on a 14-year (2000-2013) average, use about 1,007 gallons per megawatt-hour (G/MWh). The hybrid cooling configuration has a projected typical summer average use of about 141 G/MWh (APS 2014).

PERMITTED GROUNDWATER USE

The Power Plant is located south of the Salt River in Tempe, Arizona. The plant is situated within the East Salt River Valley Subbasin of the Phoenix AMA. The Phoenix AMA is a designated geographic area for long-term management and allocation of limited groundwater supplies within the area (Figure B2-1). Groundwater management within an AMA seeks to reduce severe overdraft, replenish groundwater via artificial recharge, and to minimize and replace groundwater use through conservation and use of renewable sources.

Groundwater withdrawals within the AMA in excess of 35 gallons per minute (gpm), or 56 acre feet per year (AFY), require either a grandfathered right or a groundwater withdrawal permit. Grandfathered rights are authorized under Arizona Revised Statutes (ARS) Title 45, Chapter 2, Article 5, and are classified as Irrigation, Type 1 Non-Irrigation, and Type 2 Non-Irrigation grandfathered rights. Within the Phoenix AMA, APS has a total of 7,644 AFY of Type 2 non-irrigation grandfathered rights under Type 2 Certificate Numbers 58-104678.0001 (98 AFY), 58-108599.0009 (655 AFY), 58-114046.0000 (4,687 AFY), 58-114047.0001 (2,173 AFY), and 58-114048.0000 (31 AFY). A Type 2 water right is a

grandfathered right to pump groundwater from wells for non-irrigation purposes, can be used at any point or points within an AMA, and can be purchased or leased. However, a Type 2 non-irrigation grandfathered right that is used for purposes of electrical energy generation may be conveyed only for an electrical energy generation use (ARS Title 45, Chapter 2, Article 45-474, Section A2). APS's usage of groundwater pursuant to Type 2 rights in the Phoenix AMA in 2013 was 2,677 acre feet.

Existing Power Plant Water Supply

The Power Plant currently has three existing permitted wells (two onsite and one off-site), designated OC-P-01, OC-P-02, and OC-P-04, which currently supply raw water to the four existing generators. The existing water supply wells currently have a total maximum pumping capacity of 5,100 to 6,100 gpm (8,211 to 9,821 AFY) with a total maximum groundwater rights withdrawal volume of 7,644 AFY (4,739 gpm). However, groundwater withdrawal at the plant in 2012, 325 AF, was significantly less than the APS's annual Type 2 groundwater right (7,644 AF) within the Phoenix AMA. The water supply well locations are shown on Figure B2-2 and well construction and production information is summarized in Table B2-1. Well OC-P-04 currently supplies the largest quantity of water (293 AFY) at the Power Plant.

Table B2-1. Existing Ocotillo Water Supply Wells Construction and Production Summary

Existing Well (ADWR Registration No.)	Year Drilled	Well Depth (ft bgs)	Well Diameter (in)	Estimated Capacity (gpm)	Estimated Capacity (AFY)	Current Status
OC-P-01 (#55-613078)	1957	700	24	1,800 - 2,100	2,898 – 3,381	In Service
OC-P-02 (#55-613077)	1959	740	20	1,900 - 2,400	3,059 – 3,864	In Service
OC-P-04 (#55-514735)	1986/2006	810	18	1,400 - 1,600	2,254 – 2,576	In Service
Total Capacity				5,100 - 6,100	8,211 – 9,821	

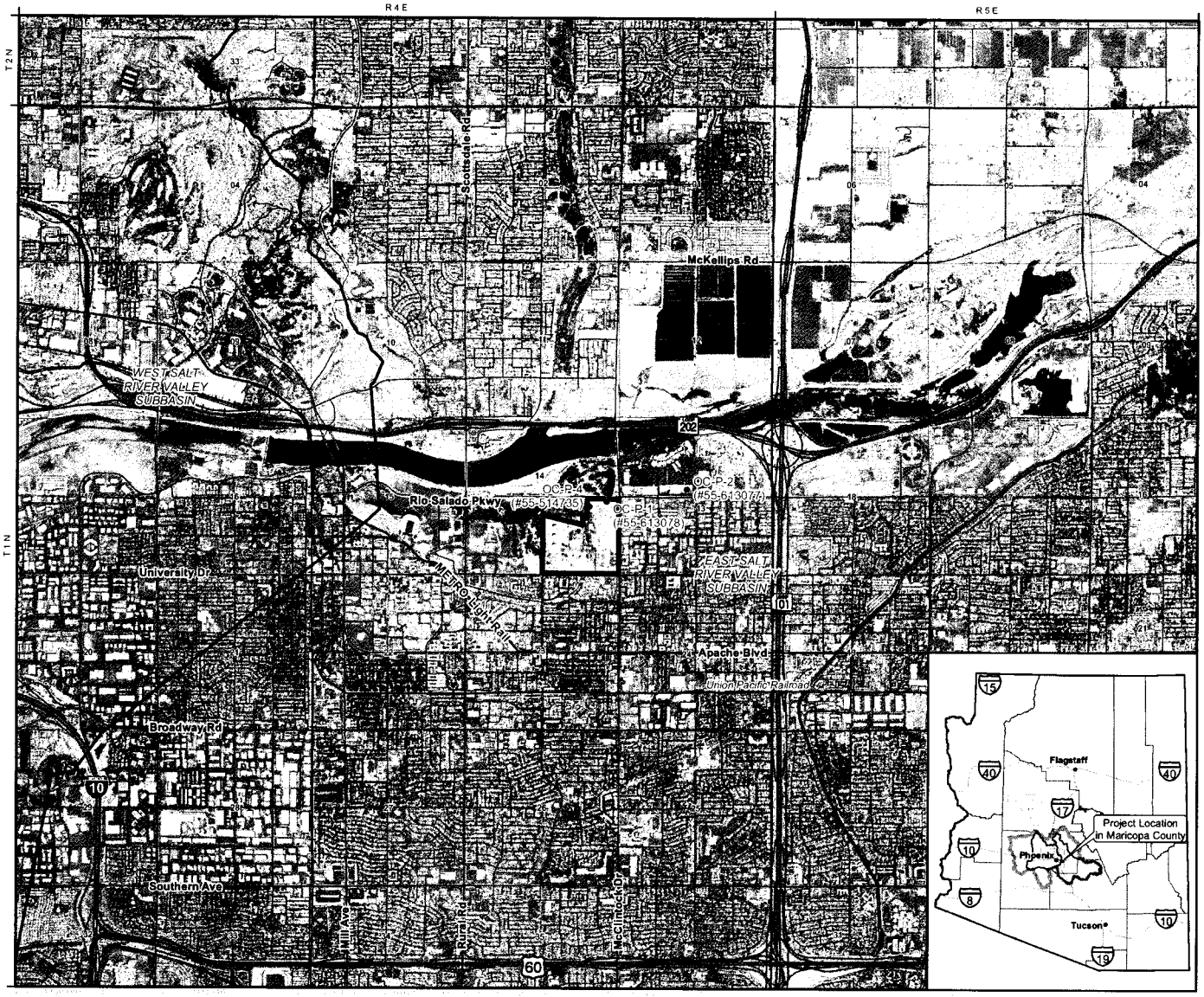


Figure B2-1

Ocotillo Power Plant Location within the Phoenix Active Management Area

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Groundwater Site Inventory (GWSI) Well Location
- Phoenix Active Management Area (AMA) Basin Boundary
- Active Management Area (AMA) Subbasin Boundary

General Features

- Road
- Railroad
- Township and Range Boundary
- Section Boundary

Source:
Water Features: ADWR 2013
Base Map: ALRIS 1997 - 2014, ADOT 2014, Valley Metro 2014, BTS 2013
Imagery: FCDMC 2012

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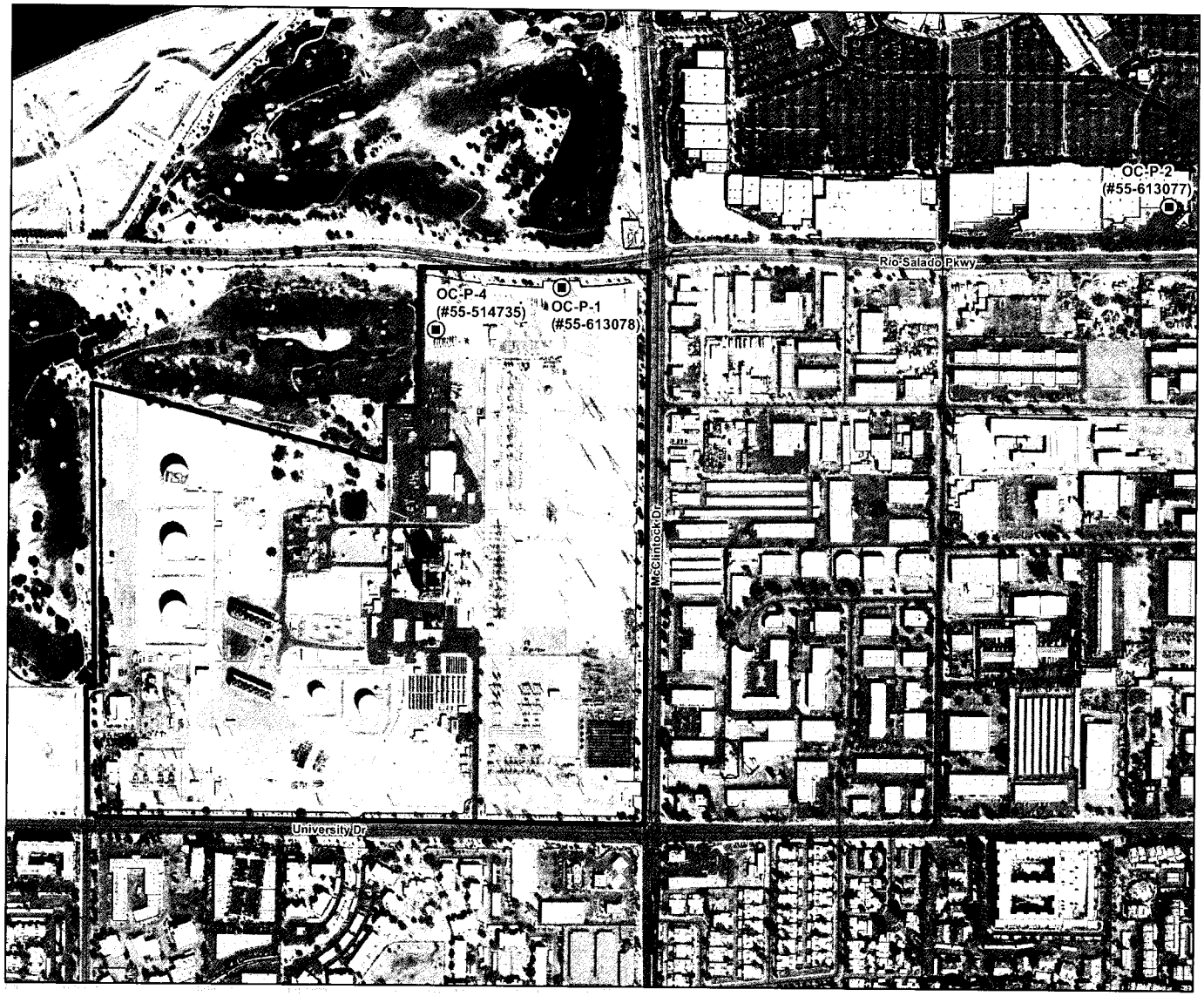


Figure B2-2

Ocotillo Power Plant
Existing Water Supply
Well Locations

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Ocotillo Power Plant Well
- Road

Source:
APS Wells: URS 2014
Base Map: ADOT 2014
Imagery: FCDMC 2012

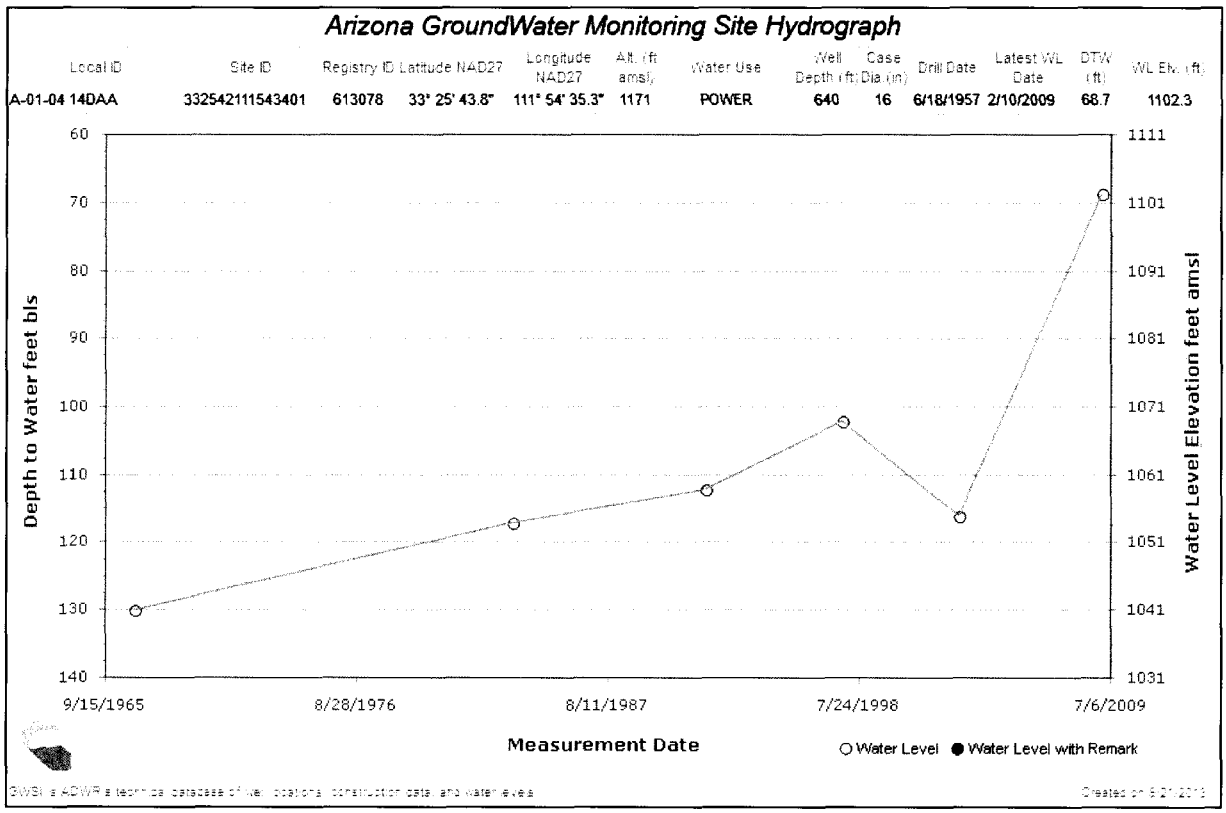
N

0 500 1,000
Feet
1:6,000

aps

The existing water wells are screened to depths of 700 to 810 feet below ground surface within the alluvial basin-fill deposits of the Lower Aquifer Unit (LAU) in the Salt River Valley groundwater basin (Corkhill et al. 1993; Dubas 2010). The alluvial basin fill deposits are thinner in the Power Plant vicinity because they drape on an underlying bedrock high to the west. The bedrock high results from the southerly extension of the Papago Buttes into the subsurface (Corkhill et al. 1993). The estimated LAU transmissivity beneath the Power Plant is about 50,000 gallons per day per foot with a specific yield of 7 percent (Arizona Department of Water Resources [ADWR] 2006), which suggests that the aquifer has sufficient capacity to continue to provide raw water to the Power Plant in the future. Groundwater levels in well OC-P-01 shown on the hydrograph in Figure B2-3 have been steadily rising since 1965 and rose significantly between 2002 and 2009 (ADWR 2013) when groundwater use at the Power Plant decreased from about 1,050 to 441 AFY (ADWR 2003; ADWR 2014). The depth to groundwater beneath the Power Plant in 2009 at well OC-P-01 was about 70 feet below ground surface (Figure B2-3; ADWR 2013).

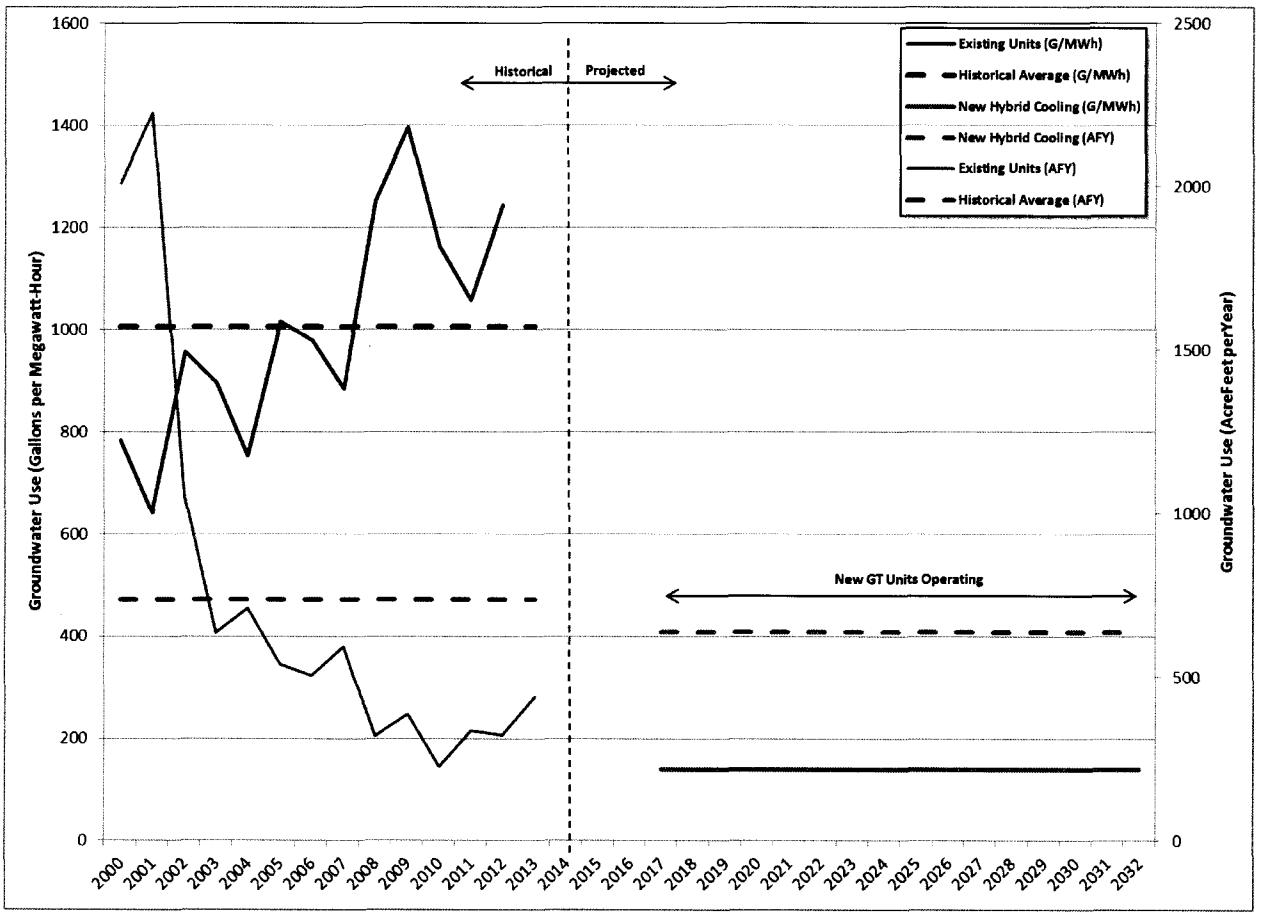
Figure B2-3. Ocotillo Power Plant Well OC-P-01 Hydrograph (ADWR 2013)



Groundwater Use Requirements for Hybrid Cooling Configuration

The new GTs and decommissioning of the aging steam units is expected to reduce water use at the Power Plant from a 14-year (2000-2013) average of 1,007 G/MWh to approximately 141 G/MWh. Total water use also would be reduced from an average of 737 AFY to 638 AFY, depending on operating time. Groundwater will continue be used to cool the new GTs. A small portion of the groundwater (27 AFY) will be treated using reverse osmosis and used to control air emissions. Water use rates, on a per MWH basis, also would be reduced, as shown in Figure B2-4.

Figure B2-4. Comparison of Historical and Projected Groundwater Use at Ocotillo Power Plant



Based on the current pumping capacity and permitted groundwater withdrawal allocation (7,644 AFY), the existing Power Plant water supply wells are estimated to have adequate capacity to supply groundwater for the planned hybrid cooling system which uses evaporative cooling to increase fuel efficiency, rather than for steam condensation.

Well Spacing and Impact Analysis

A well spacing and impact analysis is not needed for this application because the existing wells are not currently planned to be modified and their groundwater withdrawals are not expected to increase above the currently permitted capacity. A well spacing and impact analysis, as required by the ADWR, was performed in 2005 and 2006 by Geomatrix to support the proposed increase in water use for Power Plant water supply well OC-P-04.

The results of the well spacing and impact analysis predicted a 5-year, 10-foot drawdown radius of about 1,200 feet which met well spacing requirements. ADWR records indicated that all of the wells within the 10-foot drawdown radius were either owned by APS or were classified as monitor wells[1]. As mentioned previously, the new GT hybrid cooling system will require about 13 percent less cooling water on an annual acre-foot (AF) basis than the existing steam generators, thus groundwater use will be reduced and the drawdown resulting from groundwater pumping at the Power Plant is expected to be less than the drawdown predicted in the 2005/2006 well spacing and impact analysis.

Although the existing wells can support the plant and the new generation units, APS Water Resources Management may determine, due to age and decreasing production that one or more of the existing wells may need to be replaced. If a replacement well is drilled and/or an existing well abandoned, well permitting, drilling, completion, and abandonment would comply with ADWR requirements.

ADWR MANAGEMENT PLAN

APS's review of ADWR's Third Management Plan ("TMP") identified an interpretation that could be ambiguous in the conservation requirements for combustion turbines under the TMP. To address this issue and to avoid any confusion with regulatory compliance with the TMP, APS requested that ADWR provide written concurrence regarding APS's conclusion that combustion turbines are not currently regulated under the TMP. A letter dated January 21, 2014, from ADWR agreed with APS's interpretation that combustion turbine units, because of the difference in cooling requirements, would not fall under the definition of large scale power plants, and would therefore not be regulated under the TMP.

The ADWR letter also states that language will be included in the Fourth Management Plan ("4MP") to provide specific conservation requirements for steam power plants and for combustion turbine units. APS and ADWR have been working on new conservation requirement for the 4MP and the following concept has been incorporated in the Prescott AMA 4MP draft that should be finalized and adopted by the end of 2014 and will be incorporated in the Phoenix, Pinal, Tucson and Santa Cruz 4MPs:

> "Previous Management Plans addressed power generation through the Rankin Steam Cycle utilizing cooling towers for the dissipation of heat load from condensing steam. The requirement associated with this process is to achieve a minimum of 15 cycles of concentration ("COC") for power plants built after 1984. Because combustion turbines require less cooling (having no steam to condense), the overall system requires much less water than traditional steam electric technologies and fit more with the requirements of "Large Scale Cooling Facilities." Large Scale Cooling Facilities imposes conservation requirements on large scale cooling facilities that require each fully operational cooling tower to achieve a COC level that results in blowdown water being discharged at an average annual minimum of either 120 milligrams per liter (mg/L) silica or 1,200 mg/L total hardness, whichever is reached first."

Thus, combustion turbines are not regulated under the TMP and the 4MP conservation requirements will not consist of COC, but rather blowdown discharge water quality.

CYCLES OF CONCENTRATION AND WATER QUALITY ISSUES

As discussed above, the planned hybrid cooling configuration will employ cooling towers that will be required to achieve a COC level that results in blowdown water being discharged at an average annual minimum of either 120 mg/L silica or 1,200 mg/L total hardness, whichever is reached first. COC are a

[1] A monitor well is well designed and drilled to monitor water level or water quality within a specified depth interval.

measure of the degree to which dissolved solids, included dissolved metals, are being concentrated in the recirculating cooling tower water. As the number of concentration cycles increase, dissolved solids in the water increase and ultimately will reach a concentration where mineral precipitates (scale) start to form or corrosion occurs, potentially causing fouling and heat exchanger issues, and thus, reduces the cooling efficiency.

The planned hybrid cooling configuration would be designed to operate at 7 COC or less (Kiewit 2013) which is similar to current operations. Seven COC means that seven full cooling tower volumes must be evaporated before blowdown (i.e., concentrated wastewater discharge) can occur. Operating the hybrid cooling system at higher COC may cause scaling or corrosion and fouling of the heat exchangers and reduce the efficiency of the cooling system. COC greater than 7 also may generate a wastewater stream that is too concentrated, particularly metals, to discharge to the City of Tempe sewer system. A summary of the raw groundwater quality and the estimated concentrations of selected constituents at 5, 6, and 7 COC are provided in Table B2-2. For metals with effluent limitations under the City of Tempe Class I Significant Industrial User Permit #121813-01 issued to the Power Plant, arsenic (As) and lead (Pb) at 7 COC are predicted to be less than their respective maximum daily permit limit. Other metals regulated under the permit are not detected in the raw water and are not expected to exceed their daily limits at 7 COC.

Table B2-2. Summary of Raw and Projected Cooling Water Quality for Selected Constituents

Water Type	pH	Conductivity	Sulfur	Chloride	Hardness	Ca	Mg	Na	Silica	As	Pb
Raw	8.3	1,200	82	240	230	135	95	150	21	0.004	0.02
5 COC	7.7	6,000	410	1,200	1,150	675	475	750	105	-----	-----
6 COC	7.7	7,200	492	1,440	1,380	810	570	900	126	-----	-----
7 COC	7.7	8,400	574	1,680	1,610	945	665	1,050	147	0.027	0.152

COC = Cycles of concentration; Source: Kiewit 2013
Note: City of Tempe Local Effluent Limitations maximum daily limit for As and Pb are 0.13 and 0.41 mg/L, respectively.

Wastewater Discharge

The Power Plant currently disposes of wastewater under the terms of a Significant Industrial User Class I Permit (SUP) No. 121813-01 to the City of Tempe sewer system. A majority of this wastewater consists of cooling tower blowdown. Under the existing permit, APS discharges its cooling tower blowdown wastewater at 7 COC into the City sewer system. APS will continue to operate the plant at 7 COC or less and discharge wastewater under the SUP issued by Tempe on January 2, 2014. The projected volume of wastewater discharge from the new GTs is estimated to be 78 AFY (APS 2014).

CONCLUSIONS

The conclusions of the water resources evaluation are:

- APS is proposing to build 5 new LMS100 GTs with a hybrid (dry-wet) cooling system and retire two (2) existing 110MW gas-fired steam turbine units at the Ocotillo Power Plant;
- Installation and operation of the new GTs, combined with the decommissioning of the steam units, is expected to reduce water use at the Power Plant from an average of 1,007 G/MWh) to 141 G/MWh, an 86 percent decrease in water use on a per MW basis. Total water use also would be reduced from an average of 737 AFY for the existing units to 638 AFY for the new units, depending on operating time.

- The five planned LMS100 GT units will be more efficient and are projected to use about 13 percent less cooling water on an annual AF basis, than the existing generation units at the Power Plant;
- The current pumping capacity and permitted groundwater withdrawal allocation (7,644 AFY) of the existing Power Plant water supply wells are estimated to be more than adequate to supply groundwater for the planned GT upgrades;
- If, in the future, the existing well(s) are replaced, the new replacement wells will comply with all ADWR requirements; and
- The planned hybrid cooling configuration is designed to operate at 7 cycles of concentration or less which is similar to current operations and APS will continue to discharge wastewater under the Significant Industrial Users Permit issued by Tempe on January 2, 2014.

REFERENCES

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EXHIBIT C – SPECIAL STATUS SPECIES AND SPECIES OF CONCERN

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(2) stipulates "fish, wildlife, and plant life and associated forms of life on which they are dependent" are among the factors the Siting Committee must consider in reviewing CEC applications. As stated in Arizona Corporation Commission Rules of Practice and Procedure R14-3-219:

> "*Describe any areas in the vicinity of the proposed site or route which are unique because of biological wealth or because they are habitats for rare and endangered species. Describe the biological wealth or species involved and state the effects, if any, the proposed facilities will have thereon.*"

INTRODUCTION

The Ocotillo Modernization Project ("Project") is proposed on industrial lands within the SE ¼ of Section 14, T1N, R4E (Gila-Salt River Meridian). The "project area" is defined as the footprint of the Ocotillo Power Plant ("Ocotillo Site"). The "study area" for this exhibit is defined as lands within 3 miles of the Ocotillo Site. The study area was determined through a query within the Arizona Game and Fish Department ("AGFD") online project review system, which standardizes the potential impact area by the type of project and configuration of the project area.

Applicable Laws

Endangered Species Act ("ESA"): The U.S. Fish and Wildlife Service ("USFWS") lists species as endangered, threatened, candidate, or proposed for listing, under the ESA (1973 as amended); all of these categories include organisms identified as special status species. The endangered classification is provided to an animal or plant in danger of extinction within the foreseeable future throughout all or a significant portion of its range. A threatened classification is provided to an animal or plant likely to become endangered within the foreseeable future throughout all or a significant portion of its range. Candidate species are "those species for which the USFWS has on file sufficient information on biological vulnerability and threat(s) to support issuance of a proposed rule to list, but issuance of the proposed rule is precluded." A proposed species is any species of animal or plant that is proposed in the Federal Register to be listed under Section 4 of the ESA. The ESA was designed to protect critically imperiled species from extinction as a "consequence of economic growth and development untendered by adequate concern and conservation."

The Bald and Golden Eagle Protection Act ("BGEPA"): The BGEPA was enacted in 1940, and amended several times since then, prohibits anyone, without a permit issued by the Secretary of the Interior, from "taking" eagles, including their parts, nests, or eggs. The BGEPA provides criminal penalties for persons who "take, possess, sell, purchase, barter, offer to sell, purchase or barter, transport, export or import, at any time or any manner, any bald eagle or any golden eagle, alive or dead, or any part, nest, or egg thereof." The BGEPA defines "take" as "pursue, shoot, shoot at, poison, wound, kill, capture, trap, collect, molest or disturb." Bald eagles and golden eagles are considered special status species.

Wildlife of Special Concern and Arizona Protected Plants: Wildlife of special concern in Arizona are species of concern for the purposes of this analysis, and plants protected by the Arizona Native Plant Law are considered special status species. Wildlife of special concern in Arizona that are listed by the AGFD have populations in the state that may be in jeopardy, have known or perceived threats, or have

experienced severe population declines as described by AGFD's listing (formal legislation is pending). Additionally, most desert plants fall into one of five groups specially protected from theft, vandalism, or unnecessary destruction under the Arizona Native Plant Law. Where a project involves State Trust land or state funding, protected species require salvaging in accordance with this law (administered by the Arizona Department of Agriculture ["ADA"]). Involvement of other public or private land requires notification to ADA within a specified number of days to allow for salvaging efforts prior to removal of protected plant species.

Migratory Bird Treaty Act ("MBTA"): While not expressly conveying a special status to the covered species, the MBTA of 1918 implements various treaties and conventions between the U.S. and Canada, Japan, Mexico, and the former Soviet Union for the protection of migratory birds. Its development was in response to commercial exploitation of many bird species during the late 19th and early 20th centuries. The law establishes full protection from take, killing, possession, sale, or trade of native bird species, including their feathers, eggs, and nests unless lawfully permitted. There are currently 884 species protected by the Act, which includes most species that breed or overwinter in Arizona. These species are considered special status species.

INVENTORY

The initial assessment of biological resources for the study area identified 61 special status species or species of concern that occur in Maricopa County. Further evaluation of the natural history and distribution of these species to the existing local conditions of the study area resulted in the elimination of all but 12 of these species from further analysis. There are no federally listed species that could occur in or near the Ocotillo Site or surrounding study area due a lack of suitable habitat for these species. These species are not discussed further in the analysis.

Species and habitat information were gathered from the USFWS and AGFD (AGFD 2014, USFWS 2013). Aerial imagery, Southwest ReGAP landcover data (U.S. Geological Survey [USGS] National GAP Analysis Program 2004), soils, and topography data also were reviewed with the aid of GIS to characterize local conditions and the locations of biologically valuable areas. The AGFD Heritage Data Management System (2014 database included only the western yellow bat (*Lasiurus xanthinus*), bald eagle (*Haliaeetus leucocephalus*), lowland leopard frog (*Lithobates yavapiensis*), and Arizona chuckwalla (*Sauromalus ater*) as having documented records within 3 miles of the Ocotillo Site. The eight other species were included based on further research of other published data resources. The special status species or species of concern likely occurring in the study area outside the Ocotillo Site are detailed in Table C-1.

Based on supporting data, the study area outside the Ocotillo Site has suitable resources to sustain the species profiled in Table C-1; however, the Ocotillo Site itself lacks the habitat values necessary for these species. Table C-1 describes the habitat requirements and the locations where the species is known or where suitable habitat occurs in the surrounding study area outside the Ocotillo Site.

Table C-1. Special Status Species and Species of Concern Potentially Occurring in the Study Area

Species	Status	Habitat Requirements	Habitat Suitability
AMPHIBIANS			
Lowland leopard frog *Lithobates yavapaienesis*	USFWS-SC WSC	Occurs in big rivers, streams, ciénegas, cattle tanks, agricultural canals and ditches, mine adits, and other aquatic systems (Brennan and Holycross 2006).	**Unlikely that suitable** habitat occurs at the Ocotillo Site. The unlined, industrial pond could support the species, but water quality may not be suitable for the species. The species could occur along the Salt River, irrigation canals, or other protected impoundments outside the Ocotillo Site.
REPTILES			
Arizona chuckwalla *Sauromalus ater*	USFWS-SC	Predominantly found near cliffs, boulders, or rocky slopes, where they use rocks as basking sites and rock crevices for shelter (AGFD 2009).	**No suitable** habitat at the Ocotillo Site. The species occurs at Papago Park and Hayden Butte Preserve.
BIRDS			
Great egret *Ardea alba*	WSC MBTA	Occupies marshes, swampy woods, tidal estuaries, lagoons, mangroves, streams, lakes, rivers and ponds; also found in fields and meadows (AGFD 2002a).	**No suitable habitat** at the Ocotillo Site. The species occurs regularly at Tempe Town Lake and could occur at Karsten Golf Course, small urban lakes, and along the Salt River.
Snowy egret *Egretta thula*	WSC MBTA	Occurs in marshes, lakes, ponds, lagoons, mangroves, and shallow coastal habitats (AGFD 2002b).	**No suitable habitat** at the Ocotillo Site. The species occurs regularly at Tempe Town Lake and could occur at Karsten Golf Course, small urban lakes, and along the Salt River.
Peregrine falcon *Falco peregrinus americanus*	USFWS-SC WSC MBTA	Usually found in rugged mountainous areas with cliffs near an abundant avian prey base for a source of food. Also roosts in some urban areas with tall buildings where pigeons or doves are plentiful (AGFD 2002c).	**No suitable habitat** at the Ocotillo Site. The species occurs infrequently at Tempe Town Lake.
Bald eagle *Haliaeetus leucocephalus*	USFWS-SC WSC MBTA BGEPA	Wintering habitat has an adequate food supply, open water with tall trees, or other features that offer a commanding view of an area. Typically roosts or nests in low elevation areas with mature trees in riparian forests (AGFD 2011a).	**No suitable habitat** at the Ocotillo Site. The species occurs regularly during winter months at Tempe Town Lake and nests about 1.5 miles east of the Ocotillo Site (just above Tempe Town Lake).
Belted kingfisher *Megaceryle alcyon*	WSC MBTA	Found in association with a wide variety of water bodies including: rivers, brooks, ponds, lakes, coasts, streams, tidal creeks, mangroves, swamps, and estuaries (AGFD 2007).	**No suitable habitat** at the Ocotillo Site. The species occurs regularly at Tempe Town Lake. Potential foraging habitat occurs at Karsten Golf Course or other small urban lakes.
MAMMALS			
California leaf-nosed bat *Macrotus californicus*	USFWS-SC WSC	Found in arid Sonoran desertscrub habitats with roost sites that include caves, mines, and rock shelters. Forages through matrix of shrubs, often gleaning prey from shrubs or ground (AGFD 2001).	**No suitable habitat** at the Ocotillo Site. Potential foraging habitat occurs in Papago Park and Hayden Butte Preserve.

Species	Status	Habitat Requirements	Habitat Suitability
Greater western mastiff bat *Eumops perotis californicus*	USFWS-SC WSC	Forages in upper and lower Sonoran desert scrub often near water or at high altitudes. Roost habitat is in cliffs with tight crevices (AGFD 2002d).	**No suitable habitat** at the Ocotillo Site. Potential foraging habitat occurs at Tempe town lake, Karsten Golf Course, or above Papago Park and Hayden Butte Preserve.
Cave myotis *Myotis velifer*	USFWS-SC	Inhabits arid lower elevations, usually around high cliffs and rugged rock outcrops from desertscrub to mid-elevation woodlands. Roosts in caves, mines, and human built structures during the day (AGFD 2002e).	**No suitable habitat** at the Ocotillo Site. Roosting and foraging habitat available in Papago Park, Hayden Butte Preserve, and Arizona State University. Individuals could forage over Tempe Town Lake, the Salt River, or Karsten Golf Course.
Western yellow bat *Lasiurus xanthinus*	WSC	Associates with planted palm trees in urbanized areas, riparian woodlands and forests, and desert environments with tree-like yucca – usually near a water source (AGFD 2011b).	**No suitable habitat** at the Ocotillo Site. Roosting and foraging habitat available in much of the remaining study area.
PLANTS			
Desert barrel cactus *Ferocactus cylindraceus*	ANPL-SR	Grows on gravelly or rocky hillsides, canyon walls, alluvial fans, and wash margins in the Mohave and Sonoran deserts, on igneous and limestone substrates. Collected on Lycium, Larrea flat. Elevation: 200 to 2,900 feet (61 to 885 meters).	**No suitable habitat** at the Ocotillo Site. Potential habitat occurs in Papago Park, Hayden Butte Preserve, and Rio Salado Park.

NOTES: Agency or Law: USFWS = U.S. Fish and Wildlife Service; MBTA = Migratory Bird Treaty Act; BGEPA = Bald and Golden Eagle Protection Act; ANPL = Arizona Native Plant Law.
Status Definitions: **USFWS:** SC = species of concern; **State of Arizona:** WSC = wildlife of special concern; SR = salvage restricted plant.

IMPACT ASSESSMENT

APS proposes to decommission two steam generators and install five new gas turbine generators (GTs) at the Ocotillo Site. The water used for power generation would come from three existing, permitted wells, two at the Ocotillo Site and one about 0.5-mile away. The Project would occur within the SE ¼ of Section 14, T1N, R4E (Gila-Salt River Meridian). The natural gas pipeline (from the existing metering station to the GTs) and new Generation Interconnections necessary for the Project would be installed within the boundaries of the Ocotillo Site; no disturbance is anticipated to lands outside the Ocotillo Site.

The Ocotillo Site is a currently industrialized area and does not have habitat to support special status species or species of concern. Table C-1 describes the habitat requirements for these species and the known or likely areas where these species could occur near the Ocotillo Site. These species occur in native communities and urban areas adjacent to the Ocotillo Site, which would not be impacted by the Project, because ground-disturbing impacts would be confined to the Ocotillo Site itself. Operations would remain similar to current operations, and native habitats, plants, and wildlife species outside the Ocotillo Site would not experience other additional impacts.

The species described in Table C-1 could utilize habitats that are collectively near the Ocotillo Site at Tempe Town Lake; the Salt River; Papago Park; Hayden Butte Preserve; or, to a limited extent, golf courses and small urban lakes. However, habitats in these areas would not be disturbed by the Project. The bird or bat species described in Table C-1 could incidentally fly over the Ocotillo Site, with a risk of colliding with one of the five 85-foot tall exhaust stacks or Generation Interconnections proposed for the

Project. However, these additional features would occur in the industrial footprint, which would not have attractive habitat for these species or most birds protected under the MBTA. Also this would not appreciably increase the total infrastructure in the study area that poses the same or similar risks. The impact from the additional vertical structures would be negligible.

The new gas turbines would be installed on the west side of the Ocotillo Site; this area has been previously disturbed and holds abandoned tanks that would be removed. The construction footprint at the Ocotillo Site is in a fully industrialized area and all infrastructure upgrades and construction would be within this area that has no habitat value for special status species. Habitats outside the Ocotillo Site would not be impacted from construction, and special status species habitat, populations, or individuals outside the Ocotillo Site would not be impacted by the Project.

An unlined, industrial pond occurs about 780 feet east of the northernmost of the abandoned tanks that would be removed within the construction footprint (Lat/Lon location: 33.426°N, 111.914°W). Water in this industrial pond primarily comes from rain water and wash down around the steam units. This industrial pond would be removed from service after the old steam generators are shut down, which would coincide with the commercial operations of the new GTs.

There is some wetland vegetation that occurs along the margins of this pond that could provide breeding, foraging, or roosting habitat for some MBTA species. However, this habitat is not of sufficient quantity or quality to be a likely attractant for the special status bird species listed in Table C-1. Common migratory bird species like red-winged blackbirds (*Agelaius phoeniceus*), yellow-headed blackbirds (*Xanthocephalus xanthocephalus*), killdeer (*Charadrius vociferus*), great-tailed grackles (*Quiscalus mexicanus*), and puddle ducks – primarily mallards (*Anas platyrhynchos*), and other urban species would be the most likely ones to utilize this habitat. Upon removal, any birds that use this pond or its fringe of vegetation would be forced to move, but there are larger and more suitable habitat types of wetland vegetation and surface water in the immediate vicinity of the Ocotillo Site to which use could shift.

Water used for the operational phase of the Project is from a secured existing source, and discharge water would initially be treated onsite before being sent for further treatment through the City of Tempe sewer system. Water use and treatment from the operation of the power plant would not affect the quantity or quality of available surface water in habitats or wetlands that could support special status species outside the Ocotillo Site. Other aspects of future operation would be similar to current operations of the Power Plant, and there would be no impact to special status species habitats or populations residing in the surrounding study area outside the Ocotillo Site.

Project notices were sent to the Arizona Ecological Services Office of the USFWS and to AGFD. In its response, the USFWS noted the Project being about 0.5 mile from Tempe Town Lake and described the lake as supporting aquatic and riparian habitat for organisms such as fish, bald eagles, and peregrine falcons. The agency also provided a statement to remind the proponent that the Project must comply with the provisions of the MBTA and BGEPA. There are no anticipated impacts to species protected under either act, due to the Project occurring completely on an industrial site with extensive disturbance. Specific to the bald eagle, a nest site is located near Tempe Town Lake at a distance of about 1.5 miles from the Ocotillo Site; this would not be impacted by the Project, and foraging and perching habitat for the species at Tempe Town Lake would not be altered by the Project. AGFD had no specific concerns about the Project. The correspondence with these agencies is included in Exhibit J.

Mitigation

No extensive mitigation is necessary to lessen or eliminate impacts to special status species or migratory birds. The required Generation Interconnections should follow industry standard guidelines to protect perching raptors and other birds, and conductors should include aerial markers to reduce the likelihood of collision. Decommissioning the industrial pond, if any specific disturbance (including filling) is necessary, should occur outside the nesting season (generally February through June) to protect migratory birds that may nest in that area.

CONCLUSION

Within the surrounding study area, the biotic environment has experienced high levels of disturbance, with urban development in nearly the entire area. The few places that retain mostly native characteristics include Papago Park, Hayden Butte Preserve, and Rio Salado Park. Tempe Town Lake and the Salt River also have habitat values for native wildlife, particularly species associating with aquatic environments or wetlands. Karsten Golf Course and other local golf courses near the Ocotillo Site also have potential habitat for waterfowl and other migratory birds. The Ocotillo Site is a highly disturbed industrial area; however, there is a small, unlined, industrial pond and scattered native shrubs in a small part of the site. This area occurs about 780 feet east of the northernmost of the abandoned tanks that are proposed for removal as part of this Project. When the unlined industrial pond outside the construction footprint is taken out of service, any migratory birds that utilize this feature would have to move to available habitats outside the Ocotillo Site that are larger and possibly more suitable.

Local populations of special status species, species of concern, or migratory birds would not be forced from currently occupied areas outside the Ocotillo Site, because the Project would be constructed within the existing disturbed, industrial footprint of the power plant. Future operations would not change significantly from existing ones and there are no anticipated additional impacts on special status species or their habitats during this phase. None of the actions associated with the proposed Project would result in impacts that could necessitate listing these species at a state or federal level, and there would be no effect on federally listed species or designated critical habitat and no impact to candidate or species proposed for federal listing, because none of these are likely to occur in either the Ocotillo Site or surrounding study area due to a lack of suitable habitat.

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EXHIBIT D – BIOLOGICAL RESOURCES

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(2) stipulates "fish, wildlife, and plant life and associated forms of life on which they are dependent" are among the factors the Siting Committee must consider in reviewing CEC applications. As stated in Arizona Corporation Commission Rules of Practice and Procedure R14-3-219:

> "*List the fish, wildlife, plant life and associated forms of life associated with the vicinity of the proposed sites or route and describe the effects, if any, other proposed facilities will have thereon.*"

RESOURCE OVERVIEW

The Ocotillo Modernization Project ("Project") is proposed on industrial lands within the SE ¼ of Section 14, T1N, R4E (Gila-Salt River Meridian). The "project area" is defined as the footprint of the Ocotillo Power Plant ("Ocotillo Site"). The "study area" for this exhibit is defined as lands within 3 miles of the Ocotillo Site. The study area was determined through a query within the Arizona Game and Fish Department ("AGFD") online project review system, which standardizes the potential impact area by the type of project and a configuration of the project area. This study area is consistent with that used for Exhibit C (Special Status Species).

The study area is located in the northern Sonoran Desert biotic region and southern portion of the Basin and Range physiographic province. It is primarily south of the Salt River in Tempe, Arizona. Despite the scarce, erratic, and unreliable precipitation patterns and the high summer temperatures, the Sonoran Desert supports one of the most diverse floras and faunas in the United States and is the most biologically diverse of the North American deserts. Historically, the study area would have been dominated by native desert scrub vegetation. However, in its current state, the study area has a highly reduced potential to support native animals and plants due to the high degree of urbanization. The Ocotillo Site is industrialized with limited useable habitat for fish, wildlife, and plant resources.

Overall, the study area's biotic environment has experienced high levels of disturbance that has converted native desert to various urban uses and agricultural fields. Remnant native desert ecosystems occur along parts of the Salt River, at Papago Park, Rio Salado Park, and within Hayden Butte Preserve. Tempe Town Lake, Karsten Golf Course, other local golf courses, and parks have perennial surface water and some native plants that could support various species of native and non-native wildlife. The Ocotillo Site is a highly disturbed industrial area; however, there is a small, unlined, industrial pond and scattered native shrubs in a small part of the Ocotillo Site. This area occurs about 780 feet east of the northernmost of the abandoned tanks that are proposed for removal as part of this Project.

INVENTORY

Species and habitat information were gathered from published and peer-reviewed resources. Aerial imagery, Southwest ReGAP landcover data (U.S. Geological Survey ["USGS"] National GAP Analysis Program 2004), soils, and topography data also were reviewed with the aid of GIS to characterize local conditions and the locations of biologically valuable areas. Scientific literature, AGFD Heritage Data Management System (AGFD 2013), and NatureServe Explorer (Nature Serve 2013) were utilized to provide additional, specific information about biological resources of the study area. Based on this information, potential impacts on biological resources were identified and analyzed according to the amount and type of disturbance to vegetation types or land cover types that would result from the Project. A vegetation type is defined by the dominant plant species and primary growth form in a locality.

Inventory results and the possible impacts on biological resources are presented in the sections that follow. Vegetation types or land cover types are described first, followed by a narrative of wildlife typically associated with each of these.

Agricultural Lands and Urban Areas

Agricultural lands occupy about 570 acres on the Salt River Indian Reservation in the northeastern part of the study area. In this same overall area, about 300 acres of fallow croplands appear to have been out of production for more than 15 years and have begun to revert back to desertscrub. These fallow areas appear to be brush-cut periodically. There are no agricultural lands within the Ocotillo Site.

Urban areas dominate most of the study area. These include urban development for housing, commercial, and industrial uses. This analysis includes urban parks and golf courses under urban areas. Golf courses include Karsten Golf Course that is adjacent to the Ocotillo Site, Rio Salado Golf Course, and Rolling Hills Golf Course. Urban vegetation is primarily exotic with some native species planted as ornamentals. Golf courses and urban parks in the study area often have small fragmented areas of disturbed native desert scrub or scattered native trees such as palo verde planted as ornamentals. These also have small lakes or other types of surface water that can attract native wildlife. The Ocotillo Site is almost entirely industrial.

The proposed construction footprint itself is entirely industrial with a presently unused area near it that has ruderal native shrubs and an unlined, industrial discharge pond. Water in this industrial pond primarily comes from rain water and wash down around the steam units. This industrial pond and the surrounding undeveloped land occurs about 780 feet east of the northernmost of the abandoned tanks that will be removed within the construction footprint (Lat/Lon location: 33.426°N, 111.914°W). This industrial pond would be removed from service after the old steam generators are shut down, which would coincide with the commercial operations of the new gas turbine generators (GTs).

Wildlife of Agricultural Lands and Urban Areas

The composition of wildlife found on agricultural lands and in urban areas within the study area typically would be a subset of species found in native habitats. Habitat generalists would be favored over specialists, and bird species would typically be the richest group because these lands would offer favorable resources for winter migrants as well as breeding residents. These areas also would have a large number of exotic wildlife species that could typically outnumber the native species. Native shore birds, waterfowl, and other native birds may congregate around the small urban lakes at golf courses and urban parks.

Creosotebush-Bursage Desertscrub Vegetation

Creosotebush-bursage desertscrub (creosote scrub) does not occur within the Ocotillo Site but is one of the common native vegetation types in the surrounding study area. It forms in broad valleys, lower bajadas, plains, and low hills in the Chihuahuan, Mojave, and lower Sonoran deserts where soils are deep, arid, and fine-textured (NatureServe 2013). This form of desertscrub is characterized by a sparse to moderately dense layer (2 to 50 percent cover) of small-leaved, drought-tolerant, shrubs and broad-leaved deciduous herbs (NatureServe 2013). Shrubs tend to be widely spaced with little grass or other herbaceous cover in between. Creosotebush (*Larrea tridentata*), white bursage (*Ambrosia dumosa*), or triangle-leaf bursage (*Ambrosia deltoidea*) are the typical dominants, but a variety of other shrubs, dwarf-shrubs, and cacti can be present or form sparse understories (NatureServe 2013). Other typical species include Mexican Mormon tea (*Ephedra trifurca*), senna (*Senna* sp.), and galleta grass (*Pleuraphis rigida*) (NatureServe 2013).

Creosote scrub grows in nearly uniform stands at various densities that fluctuate according to the available water in the study area. Along the Salt River, portions of this vegetation type are dominated by halophytic (salt tolerant) plants, typically dominated by various types of saltbush (*Atriplex* spp.). Creosote scrub is most common along the bed and bank of the Salt River, but it also occurs next to some agricultural areas within the Salt River Pima-Maricopa Indian Community, in low-lying areas at Papago Park, and at Rio Salado Park. This vegetation type does not occur within the Ocotillo Site.

Wildlife of Creosotebush-Bursage Desertscrub Vegetation

Amphibians

Amphibians potentially occurring in this vegetation type in the study area would include the Sonoran Desert toad (*Incilius alvarius*) and Couch's spadefoot toad (*Scaphiopus couchii*). The number of amphibians is limited because of the lack of surface water in this vegetation type.

Reptiles

A number of reptiles typically inhabit this vegetation type in the study area. Characteristic species that could occur in the study area include the long-nosed leopard lizard (*Gambelia wislizenii*), desert iguana (*Dipsosaurus dorsalis*), tiger whiptail (*Aspidoscelis tigris*), desert horned lizard (*Phrynosoma platyrhinos*), glossy snake (*Arizona elegans*), nightsnake (*Hypsiglena chlorophaea*), common king snake (*Lampropeltis getula*), Sonoran whipsnake (*Masticophis bilineatus*), gopher snake (*Pituophis catenifer*), sidewinder (*Crotalus cerastes*), and Mojave rattlesnake (*Crotalus scutulatus*).

Birds

Widespread generalist birds like the turkey vulture (*Cathartes aura*), red-tailed hawk (*Buteo jamaicensis*), American kestrel (*Falco sparverius*), mourning dove (*Zenaida macroura*), northern mockingbird (*Mimus polyglottos*), and western meadowlark (*Sturnella neglecta*) could be found in this vegetation type in the surrounding study area. Likely arid habitat specialists in the study area would include the white-winged dove (*Zenaida asiatica*), greater roadrunner (*Geococcyx californianus*), western kingbird (*Tyrannus verticalis*), Say's phoebe (*Sayornis saya*), and black-throated sparrow (*Amphispiza bilineata*) (Birds of North America, accessed 2013).

Mammals

Typical mammals in these habitats within the study area include the desert cottontail (*Sylvilagus audubonii*), black-tailed jackrabbit (*Lepus californicus*), round-tailed ground squirrel (*Spermophilus tereticaudus*), Botta's pocket gopher (*Thomomys bottae*), little pocket mouse (*Perognathus longimembris*), Sonoran desert pocket mouse (*Chaetodipus penicillatus*), cactus mouse (*Peromyscus eremicus*), javelina (*Tayassu tajacu*), and coyote (*Canis latrans*). About 15 species of bat could utilize this vegetation type to some extent within the surrounding study area (summary derived from Hoffmeister 1986).

<u>Sonoran Paloverde-Mixed Cacti Desertscrub Vegetation</u>

Sonoran paloverde-mixed cacti desertscrub is the typical vegetation type in hilly to mountainous terrain and foothills or along washes with a rocky substrate. This vegetation type does not occur within the Ocotillo Site but occurs in the surrounding study area. This vegetation forms on coarse, gravelly to rocky soils and outcrops (Natureserve 2013). Creosotebush and bursage are found in this vegetation type (NatureServe 2013); however, blue paloverde (*Parkinsonia florida*), foothill paloverde (*Parkinsonia*

microphylla), saguaro (*Carnegiea gigantea*), and ocotillo (*Fouquieria splendens*) are the definitive overstory species that are most common in the study area. Other leguminous trees and succulents like desert ironwood (*Olneya tesota*), velvet mesquite (*Prosopis velutina*), and cacti (e.g., *Opuntia* sp., *Cylindropuntia* sp., *Ferocactus* sp.) can be observed in this vegetation type (NatureServe 2013). This vegetation type also typically has a relatively higher diversity of plants and animals compared to creosote scrub.

Sonoran paloverde-mixed cacti desertscrub grows along the bed and bank of the Salt River. It also is common in elevated terrain at Papago Park, Hayden Butte Preserve, and a small part of Rio Salado Park. This vegetation type does not occur within the Ocotillo Site.

Wildlife of Sonoran Paloverde-Mixed Cacti Desertscrub Vegetation

The usual wildlife species found in this vegetation type include widespread generalists, rock-dwelling specialists, and cavity nesters. Some of these species may either migrate through the study area or partially utilize transitional areas between upland and lowland desertscrub vegetation.

Reptiles

Typical reptiles that could occur in this vegetation type within the surrounding study area may include the western banded gekko (*Coleonyx variegates*), Gila monster (*Heloderma suspectum*), long-nosed leopard lizard, chuckwalla (*Sauromalus ater*), desert spiny lizard (*Sceloporus magister*), tiger whiptail, nightsnake, common king snake, gopher snake, and western diamondback (*Crotalus atrox*) (Brennan and Holycross 2006). There is no suitable habitat for the chuckwalla at Rio Salado Park or along the Salt River.

Birds

Birds possibly found in this vegetation type within the study area include the turkey vulture, golden eagle (*Aquila chrysaetos*), red-tailed hawk, American kestrel, common barn owl (*Tyto alba*), great horned owl (*Bubo virginianus*), western meadowlark (*Sturnella neglecta*), Harris' hawk (*Parabuteo unicinctus*), Gambel's quail (*Callipepla gambelii*), white-winged dove, greater roadrunner, lesser nighthawk (*Chordeiles acutipennis*), western kingbird, ash-throated flycatcher (*Myiarchus cinerascens*), Say's phoebe, cactus wren (*Campylorhynchus brunneicapillus*), curve-billed thrasher (*Charadrius vociferus*), phainopepla (*Phainopepla nitens*), pyrruloxia (*Cardinalis sinuatus*), verdin (*Auriparus flaviceps*), black-tailed gnatcatcher (*Polioptila melanura*), black-throated sparrow (*Amphispiza bilineata*), and Scott's oriole (*Icterus parisorum*) (Birds of North America, accessed 2013).

Mammals

Mammalian species that could occur in this vegetation type within the surrounding study area include the desert cottontail, black-tailed jackrabbit, Harris' antelope ground squirrel (*Ammospermophilus harrisii*), rock pocket mouse (*Chaetodipus intermedius*), Merriam's kangaroo rat (*Dipodomys merriami*), white throated woodrat (*Neotoma albigula*), cactus mouse, collared peccary, mule deer (*Odocoileus hemionus*), coyote (*Canis latrans*), and ringtail (*Bassariscus astutus*). About 18 species of bat could forage in this vegetation type or locate roost sites in mountainous terrain coincident with this vegetation (summary derived from Hoffmeister 1986). Other known roost sites for bats in the surrounding study area include the buildings and football stadium at Arizona State University and palm trees in urban areas.

Wetlands and Open Water

Wetland habitats in the surrounding study area include native and invasive wetland areas along the Salt River and around Tempe Town Lake. Smaller managed wetlands occur around some of the urban lakes. Open water occurs at Tempe Town Lake, the golf courses, intermittent stretches of the Salt River, and at some urban parks.

An unlined, industrial pond occurs about 780 feet east of the northernmost of the abandoned tanks that would be removed within the construction footprint. Water in this industrial pond primarily comes from rain water and wash down around the steam units. This industrial pond would be removed from service after the old steam generators are shut down, which would coincide with the commercial operations of the new GTs. There is some wetland vegetation that occurs along the margins of this pond. A small patch of ruderal native shrubs occurs in the vicinity of this pond, but these are not wetland plants.

Overstory plants typically found around desert wetlands in the region include Godding's willow (*Salix gooddingii*), arroyo willow (*Salix lasiolepis*), net-leaf hackberry *(Celtis laevigata var. reticulata*) mesquite (*Prosopis* spp.), palo verde, and salt cedar (*Tamarix* spp.). Dominant shrubs include arrow weed (*Pluchea sericea*), bush seepweed *(Suaeda moquinii*), and narrow-leaf willow (*Salix exigua*) (NatureServe 2013). Vegetation is dependent upon annual or periodic flooding and associated sediment scour and annual rise in the water table for growth and reproduction (NatureServe 2013).

Wildlife of Wetlands

Wildlife associated with wetlands could include a great variety of species, including native and introduced types. Commonly seen shorebirds, wading birds, and wetland associates along the Salt River include the red-winged blackbird (*Agelaius phoeniceus*), yellow-headed blackbird (*Xanthocephalus xanthocephalus*), green heron (*Butorides virescens*), black-crowned night heron (*Nycticorax nycticorax*), American coot (*Fulica americana*), American bittern (*Botaurus lentiginosus*), and Virginia rail (*Rallus limicola*). Numerous upland birds are often found in these habitats, because of the abundant food resources, lower temperatures, abundant shade, and available water. Some of the more common of these include the western tananger (*Piranga ludoviciana*), mourning dove, white-winged dove, western kingbird, Say's phoebe, great-tailed grackle (*Quiscalus mexicanus*), and black phoebe (*Sayornis nigricans*). These habitats also would be attractive foraging habitats for a number of bat species.

Expected ground dwelling species in or near these habitats include a number of lizard and mammal species. Common reptiles include the tiger whiptail lizard and long-nosed leopard lizard. Likely mammals that could inhabit these areas include the Arizona cotton rat (*Sigmodon arizonae*), striped skunk (*Mephitis mephitis*) raccoon (*Procyon lotor*), mule deer, and javelina.

It is expected that some native wildlife could use the industrial pond east of the construction footprint. Common species like redwing blackbirds, yellow-headed blackbirds, killdeer (*Charadrius vociferus*), great-tailed grackles, and puddle ducks – primarily mallards (*Anas platyrhynchos*), and other urban species would be the most likely ones to utilize this habitat.

IMPACT ASSESSMENT

APS proposes to decommission two steam generators and install five new GTs at the Ocotillo Site. The replacement generators would be installed and other work would occur on the western side of the Ocotillo Site. The water used for power generation and extra capacity would come from three existing, permitted wells, two at the Ocotillo Site and one about 0.5-mile away. The Project would occur within the SE ¼ of Section 14, T1N, R4E (Gila-Salt River Meridian). The natural gas pipeline (from the existing onsite

metering station to the new GTs) and new Generation Interconnections necessary for the Project would be installed within the Ocotillo Site; no offsite disturbance would be anticipated.

The Ocotillo Site is currently industrialized and has little habitat to support wildlife. The unlined industrial pond, with a narrow wetland margin and native shrubs scattered nearby, lies about 780 feet east of the northernmost of the abandoned tanks. This area would not be disturbed or impacted construction of the Project. When the modernized power plant becomes operational, this industrial pond would be removed from service after the old steam generators are shut down, which would coincide with commercial operations of the new GTs. This area could be used by common urban wildlife, particularly native bird species, but it is of insufficient size or quality to be a major attractant for native wildlife. When taken out of service, wildlife would have to move to other available habitats outside the Ocotillo Site.

Other wildlife species that occur in native vegetation areas and urban areas adjacent to the Ocotillo Site would not be impacted by the Project, because all impacts would be confined to the Ocotillo Site itself. Operations would remain similar to current operations, and native habitats, plants, and wildlife species outside the Ocotillo Site would not experience additional impacts.

Water used for the operational phase of the Project is from a secured existing source, and discharge water would initially be treated on site before being sent for further treatment through the City of Tempe sewer system. Water use and treatment from the operation of the power plant would not affect the quantity or quality of available surface water in habitats outside the Ocotillo Site. Other aspects of future operation would be similar to current operations, and there would be no additional impacts to habitats or populations of plants or animals residing in the surrounding study area outside the Ocotillo Site.

There is some potential for birds to collide with exhaust stacks (85 feet tall) or Generation Interconnection towers or conductors that will be constructed as part of the Project. However, these additional features would occur in the industrial footprint, which would not have attractive habitat for most of these species. Also this would not appreciably increase the total infrastructure in the study area that poses the same or similar risks. The additional risk of collision would be negligible.

Project notices were sent to the Arizona Ecological Services Office of the USFWS and to AGFD. In its response, the USFWS noted the Project being about 0.5 mile from Tempe Town Lake and described the lake as supporting aquatic and riparian habitat for organisms such as fish, bald eagles, and peregrine falcons. The agency also provided a statement to remind the proponent that the Project must comply with the provisions of the MBTA and BGEPA. There are no anticipated adverse impacts to species protected under either act, due to the Project occurring on an industrial site with extensive disturbance. AGFD had no specific concerns about the Project. The correspondence from these agencies is included in Exhibit J.

Mitigation

No extensive mitigation is necessary to lessen or eliminate impacts to biological resources overall. The Project would have minimal impacts to biological resources. Generation Interconnections should follow industry standard guidelines for transmission lines to protect perching raptors and other birds, and conductors should include aerial markers to reduce the likelihood of collision. Decommissioning the industrial pond, if any specific disturbance (including filling) is necessary, should occur outside the nesting season (generally February through June) to protect migratory birds that may nest in that area.

CONCLUSION

Construction would occur only on previously disturbed industrial land at the power plant. Future operations would not significantly change and would introduce no additional impacts. Therefore, the Project is not anticipated to result in adverse impacts to plants or wildlife in the natural vegetation areas or urban habitats outside the Ocotillo Site. The fragment of vegetation and unlined industrial pond, and any potential wildlife that could utilize the "pond area" located at the Ocotillo Site would not be significantly impacted by construction of the Project. The pond would be taken out of service when the steam generators are decommissioned. Wildlife using this habitat would have to move to other available habitats outside the Ocotillo Site that are larger and possibly more suitable.

There would be no loss or alteration of existing habitat outside the Ocotillo Site, and local populations of wildlife would not be forced from currently occupied areas. There would be no anticipated injury or mortality of individuals. None of the actions associated with the Project would result in impacts that could necessitate listing wildlife species at a state or federal level.

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EXHIBIT E – SCENIC AREAS, HISTORIC SITES AND STRUCTURES, AND ARCHAEOLOGICAL SITES

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(5) stipulates "existing scenic areas, historic sites and structures or archaeological sites at or in the vicinity of the proposed site" are among the factors the Siting Committee must consider in reviewing CEC applications. The Arizona Corporation Commission ("ACC") Rules of Practice and Procedure R14-3-219 that implement ARS §40-360 et seq. stipulate that applications for CECs must:

> "*Describe any existing scenic areas, historic sites and structures or archeological sites in the vicinity of the proposed facilities and state the effects, if any, the proposed facilities will have thereon.*"

SCENIC AND VISUAL RESOURCES

The purpose of the visual impact assessment is to identify and characterize the level of visual change and the perception of that change from public viewing locations that could result from the construction, operation, and maintenance of the Ocotillo Modernization Project ("Project").

OVERVIEW

This section of Exhibit E addresses scenic areas and visual resources that could be affected as a result of construction and operation of the proposed project. The study area for evaluating scenic areas and visual resources includes the existing Ocotillo Power Plant ("Ocotillo Site") and areas within 2 miles. Visual resource inventory data were collected based on a review of existing and future land use plans, aerial photography, and field reconnaissance. The following provides a description of the visual resource inventory and characterization of impacts to the landscape setting and sensitive viewers. The study area does not contain designated national, state, or local scenic areas.

INVENTORY RESULTS

Landscape Setting

The study area is located within the Sonoran Desert Subdivision of the Basin and Range Physiographic province in south-central Arizona (Fenneman 1931). The landscape setting for study area is associated with urban or developed land within the City of Tempe. The topographic character is generally flat, with areas of small rocky hills or buttes which are the major topographic features of visual interest. The Salt River traverses the study area near the northern boundary of the City of Tempe and the river is somewhat natural in appearance with modifications to protect adjacent development from flooding. Generally for an urban or developed area, visual settings correspond with the land uses including residential, commercial/mixed use, industrial, educational, and open space/recreation areas. Within the vicinity of the Ocotillo Site, the Salt River, Papago Park, and Hayden Butte Preserve are the only settings associated with a natural desert landscape typical of the Sonoran Desert; however, these isolated areas are surrounded by urban development. The Ocotillo Power Plant site is characterized by an industrial setting with several other industrial scale utilities such as transmission lines and communication towers adjacent to the Project.

Viewers

Viewing locations, such as residences, open space/recreation areas, and travel routes, are examples of locations where the viewing public may have a concern, or sensitivity to visual modifications of the landscape setting (see Figure E-1). Based on previous visual studies, residential viewers are typically associated with long viewing duration; therefore, viewer sensitivity is anticipated to be high. In general, public open space areas and/or regional parks are a destination for visitors (viewers), and are associated with high sensitivity because of the concern for aesthetics and the potential for long viewing duration. Viewers associated with commercial, educational, and athletic recreation facilities are anticipated to have moderate sensitivity, due to shorter or temporary viewing duration. Sensitivity is anticipated to be low for travel routes and industrial settings because these developments are associated with commuters and/or active industrial uses where viewers may not be as sensitive to changes in the landscape in the context of an urban setting and would have shorter viewing duration.

Residences – The Ocotillo Site is an industrial setting that is adjacent to an industrial area to the east (across McClintock Road). This industrial setting is currently viewed from existing residences, commercial areas, travel routes, and recreational areas (including Karsten Golf Course and Tempe Town Lake). Residential viewers include multi-family developments located south of the Ocotillo Site along University Drive between Rural Road and McClintock Road; these are the nearest residential viewers. A mixed-use residential development currently under construction will add residential viewers at the northwest corner of Dorsey Lane and University Drive.

Open Space/Recreation Areas – Recreational or open space viewers near the Ocotillo Site include those from the Karsten Golf Course, Tempe Town Lake, and Creamery Park, a local recreational park. Other recreational viewers in the study area can be found at Tempe Beach Park, Papago Park, Rolling Hills Golf Course, Rio Salado Golf Course, and Hayden Butte Preserve, which has a trail leading to an overlook at the top of the butte.

Travel Routes – There are no scenic or historic designated travel routes within the study area. Travel routes immediately adjacent to the Ocotillo Site include University Drive and McClintock Road which are major travel routes. Rio Salado Parkway is located north of the Ocotillo Site, and is adjacent to the Karsten Golf Course (portions of the course are located on the north and south sides of Rio Salado Parkway). Rural Road is approximately 0.5 mile to the west of the Ocotillo Site, near ASU's Tempe Campus predominant facilities. Highways include Loop 202, which parallels the Salt River heading east/west through Tempe (north of the Ocotillo Site), and Loop 101, which crosses the Salt River heading north/south (east of the Ocotillo Site).

IMPACT ASSESSMENT RESULTS

This assessment considers the effects of introducing new facilities (the Project) into the existing setting, and associated sensitive viewers. The analysis focuses on the influence of existing modifications (e.g., development) and proposed future conditions. Visual change, or contrast, typically results from:

- Landform modifications that are necessary to prepare a project site for construction
- Removal of vegetation to construct and maintain facilities including access roads
- Introduction of new structures into the landscape setting

As part of the visual impact assessment, photographic simulations were prepared to evaluate the predicted visual effects of the proposed future conditions (see Figures E-2 through E-4). Three locations for simulations were chosen to represent viewing locations and typical viewing conditions (distance and

visibility). The following section provides a general description of potential impacts on landscape setting and viewers in the study area, particularly those within 0.5 mile of the Ocotillo Site.

Landscape Setting

The Project would be contained entirely within the existing Ocotillo Site, and there would be no changes to the existing off-site infrastructure (pipelines, transmission lines, etc.); therefore, visual impacts result only from those changes at the Ocotillo Site. The Ocotillo Site is already an extensively modified landscape; landform modifications and vegetation removal within the site would be limited to minor grading and the eventual removal of a small pond. Visual changes would include the introduction of five new GT units, a new cooling system, onsite buildings, and two Generation Interconnections. To accommodate these additions at the Ocotillo Site, removal of some existing structural facilities would occur, including removal of five abandoned storage tanks, two cooling towers, and two steam turbine units (refer to Figure 3 in the Application). The introduction of new facilities with similar visual characteristics (i.e., industrial scale facilities with similar form, line, and texture) and subsequent removal of older facilities would result in a weak level of visual change. The new GT units would have a lower profile than the existing steam units and the vertical form and regular spacing would be similar to existing industrial facilities (i.e., transmission lines, communications tower, and other infrastructure). As a result, minimal impacts to the landscape setting would be anticipated because changes would be consistent with the existing industrial character of the Ocotillo Site.

Viewers

Residences – For the majority of the study area, views of the Project from residences beyond 0.5 mile are anticipated to be partially to completely screened by adjacent urban development including multi-story buildings and tall vegetation; thus impacts are anticipated to be minimal. Views from the Grigio Tempe Town Lake Apartments, approximately 0.6 mile from the Project, are generally unobstructed due to the presence of Tempe Town Lake (see Figure E-4). Multi-story residential units adjacent to the Ocotillo Site may have unobstructed views of the Project within 0.2 mile (see Figure E-3). These viewers include residents fronting University Drive, immediately south of the Ocotillo Site, and will include residents of the multi-family housing under construction on Dorsey Lane immediately west of the Project. These residential views are currently dominated by existing facilities including the steam turbine units, cooling towers, fuel storage tanks, substations, transmission generation interconnections, the fire training center, and communications tower. In general, from each of these residences, the visual changes at the Ocotillo Site would be visible, but resulting impacts are anticipated to be low because the Project's profile would be lower and would be slightly less dominant than the existing facilities. In addition, the Project would be viewed in context with existing urban development and transportation infrastructure.

Open Space/Recreation Areas – Low impacts are associated with viewers of the Ocotillo Site from the Hayden Butte Preserve primarily because the Project would be viewed in context with existing urban development including the high-profile football stadium, several high-voltage transmission lines, and other multi-story buildings. Views of the Project may occur along the hiking trail and overlook at the top of the Butte; however, the Project would be viewed at a distance of 1 mile and visual changes would be subordinate in the urban landscape setting. Impacts are not anticipated on viewers from Creamery Park because the Ocotillo Site would be completely screened by existing development including multi-story buildings. Viewers from nearby recreational areas, including the Karsten Golf Course and Tempe Town Lake, may have unobstructed views of the Ocotillo Site within 0.25 to 0.5 mile. However, the Project would result in a weak level of visual change compared to current conditions; thus, impacts are anticipated to be low for recreation viewers from Tempe Town Lake and the Karsten Golf Course (and/or future recreation viewers associated with open space or athletic facilities from that property).

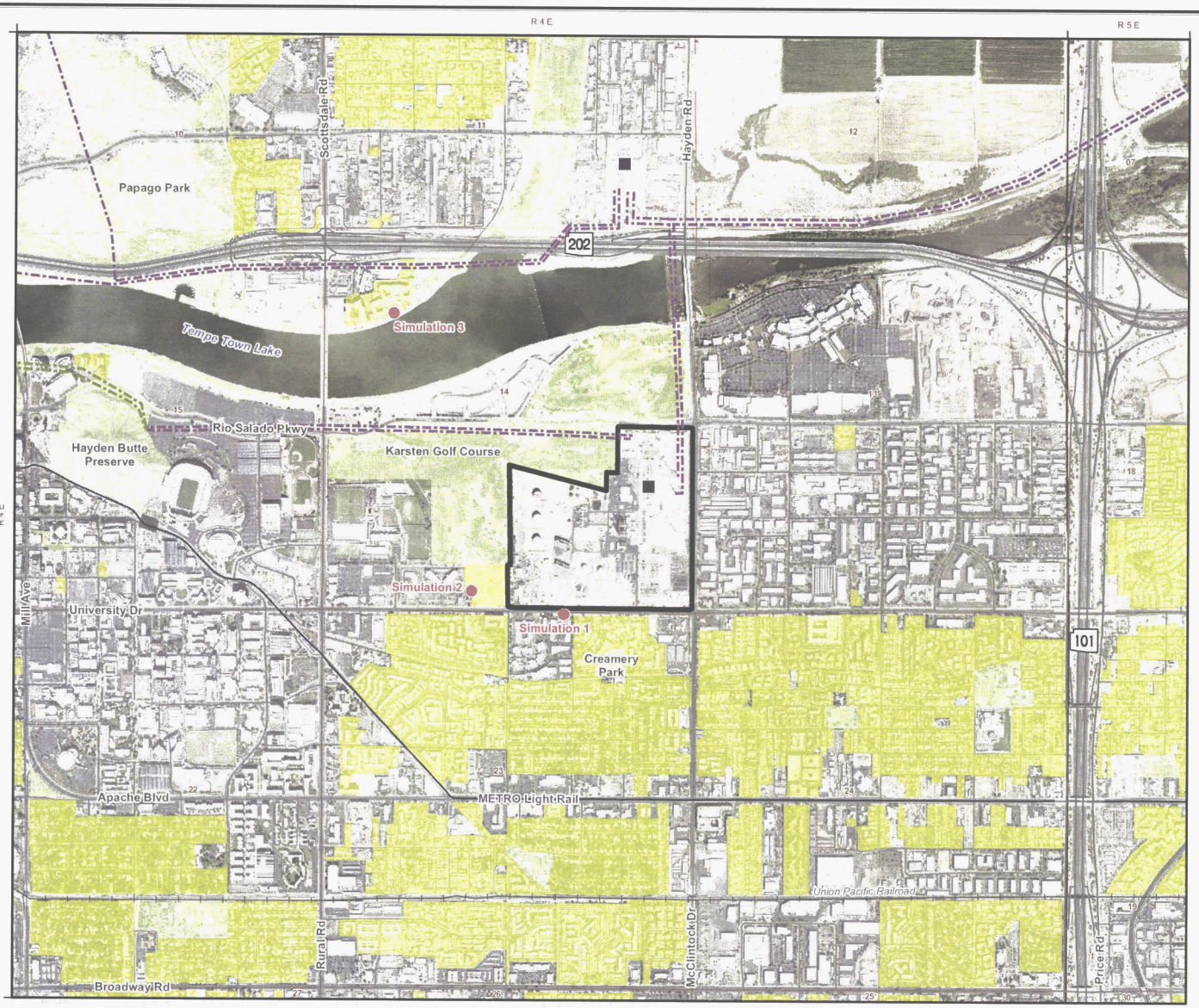

Figure E-1

Visual Resources

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Simulation Locations
- Existing Overhead Transmission Line
- Existing Underground Transmission Line
- Existing Substation \ Switchyard

Potential Viewers

- Residences
- Open Space/Recreation Areas

General Features

- Road
- Railroad
- Township and Range Boundary
- 22 Section Boundary

Source:
Simulation Locations: URS 2013
Transmission Lines: URS 2014
Base Map: ALRIS 1997 - 2014, ADOT 2014,
Valley Metro 2014, BTS 2013
Imagery: FCDMC 2012

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0 1,500 3,000
Feet
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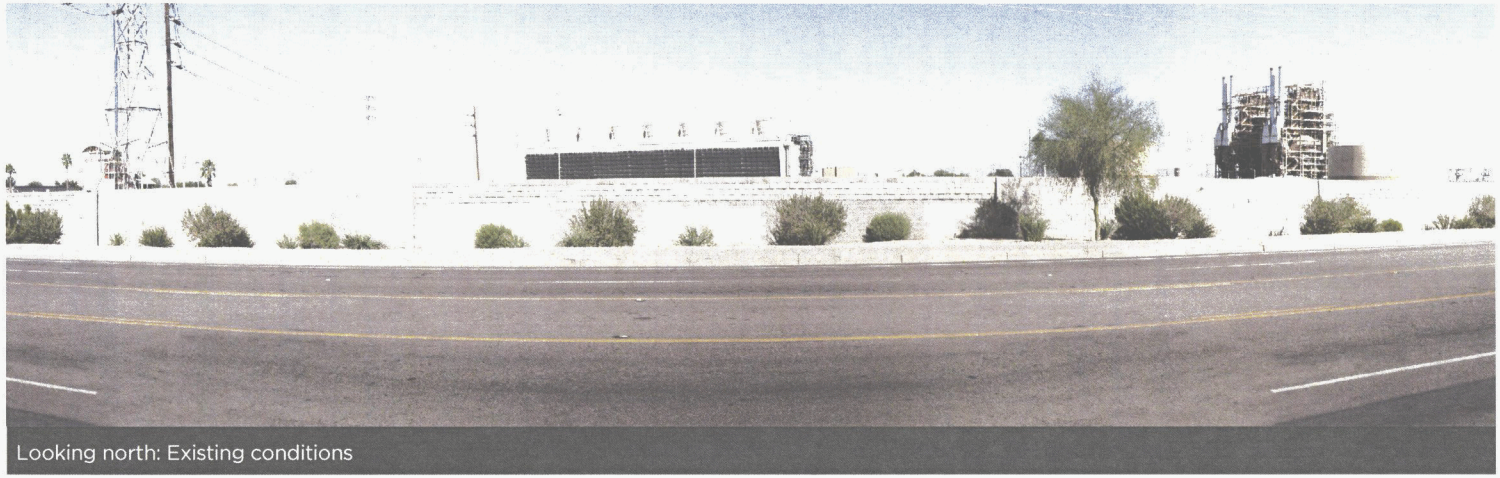
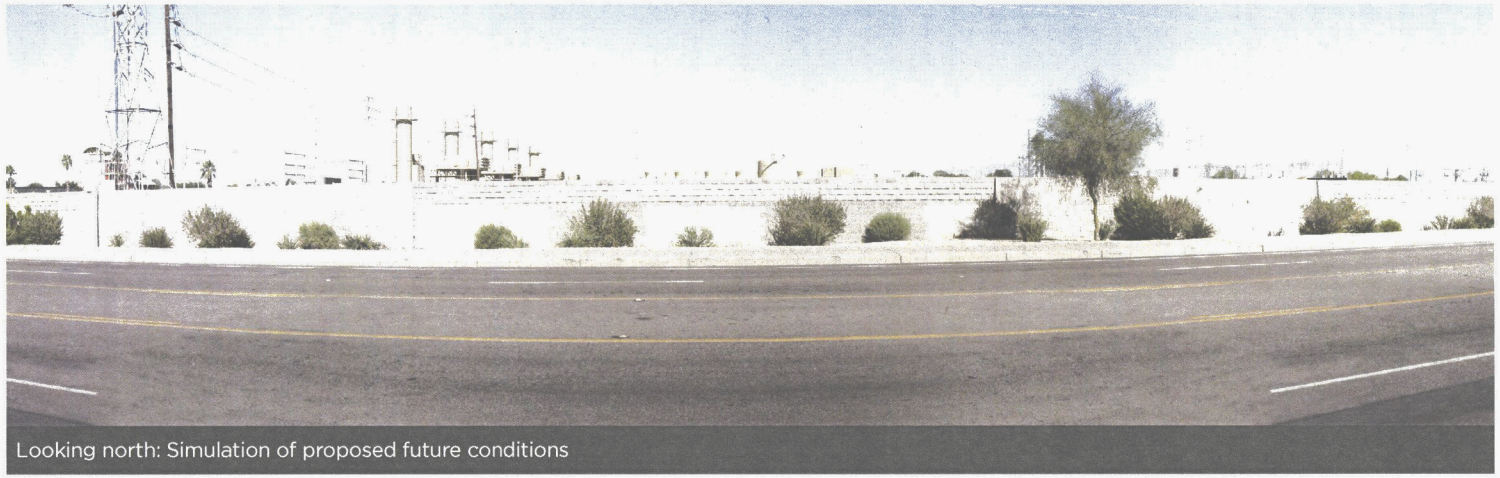


Looking north: Existing conditions

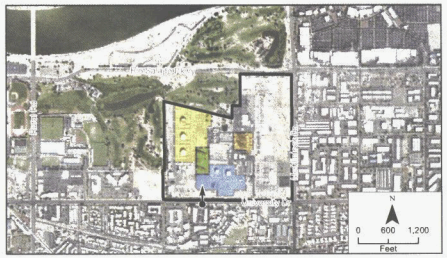


Looking north: Simulation of proposed future conditions

Figure E-2

Simulation 1 –
View from University Drive

Ocotillo Modernization Project



Legend

- Viewpoint
- Site Boundary
- Temporary Construction Area
- New Power Generating Units Area
- Cooling Towers Removal Area
- Aging Generating Units Removal Area

Photographic Information

Time of photograph:	11:24 AM
Date of photograph:	11-26-13
Weather condition:	Clear
Viewing direction:	North
Latitude:	33° 25' 18.756" N
Longitude:	111° 54' 54.416" W
Lighting condition:	Sidelight

aps

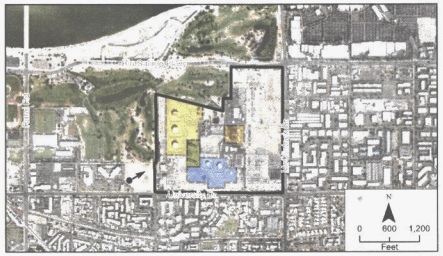
Looking northeast: Existing conditions

Looking northeast: Simulation of proposed future conditions

Figure E-3

Simulation 2 –
View from ASU Center B
Building Parking Lot

Ocotillo Modernization Project



Legend

- Viewpoint
- Site Boundary
- Temporary Construction Area
- New Power Generating Units Area
- Cooling Towers Removal Area
- Aging Generating Units Removal Area

Photographic Information

Time of photograph:	12:07 PM
Date of photograph:	11-26-13
Weather condition:	Clear
Viewing direction:	Northeast
Latitude:	33° 25' 21.9960" N
Longitude:	111° 55' 9.7070" W
Lighting condition:	Sidelight

aps

Looking southeast: Existing conditions

Looking southeast: Simulation of proposed future conditions

Figure E-4

Simulation 3 – View from Grigio Tempe Town Lake Apartments

Ocotillo Modernization Project

Legend

- Viewpoint
- Site Boundary
- Temporary Construction Area
- New Power Generating Units Area
- Cooling Towers Removal Area
- Aging Generating Units Removal Area

Photographic Information

Time of photograph:	3:08 PM
Date of photograph:	11-26-13
Weather condition:	Clear
Viewing direction:	Southeast
Latitude:	33° 26' 0.3748" N
Longitude:	111° 55' 22.7662" W
Lighting condition:	Sidelight

aps

Travel Routes – Views from travelers on Loop 202, approximately 0.5 mile north of the Ocotillo Site, generally would be unobstructed, but would be limited in exposure due to the angle of observation (generally east/west when the Project is located south) and high rate of speed. Resulting impacts are anticipated to be minimal due to weak levels of visual change that would be viewed for a short duration in the context of existing urban development. Impacts are not anticipated on travelers' views from Loop 101 or Rural Road because the Project would be completely screened by existing development including multi-story buildings and vegetation. Travel route viewers along University Drive, McClintock Road, and Rio Salado Parkway currently have unobstructed views of the Ocotillo Site within 0.25 mile (see Figure E-2). Impacts to viewers along these travel routes would be minimal because existing industrial development dominates the views toward the Ocotillo Site and the level of visual change would be weak.

SCENIC AND VISUAL RESOURCES CONCLUSION

The study area does not contain designated national, state, or local scenic areas. The changes associated with the Project would be consistent with the existing industrial character of the existing Ocotillo Site; thus, impacts would be minimal. Impacts are anticipated to be low for landscape setting and the viewing public.

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HISTORIC SITES AND STRUCTURES AND ARCHAEOLOGICAL SITES

Introduction and Summary of Assessment

Arizona Public Service Company ("APS") began constructing the Ocotillo Power Plant ("Power Plant") in March 1958 and completed the plant and put it into operation in 1960. When the plant was built there was no regulatory requirement to consider impacts on historical and archaeological resources. Pursuant to the ACC Rules of Practice and Procedure R14-3-219 that implement ARS §40-360 et seq., APS inventoried and assessed potential effects of the proposed modernization of the Ocotillo Power Plant on historic sites and structures and archaeological sites. The assessment also supports ACC compliance with the 1982 State Historic Preservation Act (ARS §41-861 et seq.), which requires state agencies to consider impacts of their programs on historic properties listed in or eligible for the Arizona Register of Historic Places ("Arizona Register"). [The criteria for inclusion in the Arizona Register are identical to those for the National Register of Historic Places ("National Register").]

The Power Plant is on a 126-acre parcel of land owned by APS, but construction activities that might disturb archaeological and historical resources would be mostly limited to about 15.8 acres, in the western part of the parcel where three large fuel oil storage tanks would be removed and five new gas turbines would be built. Construction of an internal access road and installation of new Generation Interconnection structures would disturb additional small areas. Another 10.4 acres would be used for temporary construction offices, materials laydown, and vehicle parking, but that area was previously disturbed and those uses are unlikely to have any potential to disturb archaeological and historical resources. Removal of the two steam units and associated cooling towers will disturb additional areas that were highly disturbed when the units were built.

The assessment concluded that:

- Although the Power Plant is of historic age, it lacks historical significance that warrants preservation and it is not eligible for the Arizona Register. Twenty-three historic districts, buildings, and structures previously listed in or determined to be eligible for the Arizona Register/ National Register are located within 1 mile of the Power Plant, and 87 more are within 1 to 2 miles. The proposed modernization is not expected to have any adverse visual or other indirect impacts on those properties.
- Prehistoric Hohokam artifacts (mostly potsherds) are scattered across the earthen berms of the retention basin around three large abandoned fuel oil storage tanks in the western part of the power plant parcel where the proposed new gas turbines would be constructed. Archaeological testing identified one buried feature—a small prehistoric Hohokam irrigation ditch that, along with the results of other prior archaeological investigations in nearby areas, indicates that at times between approximately A.D. 750 and 1450 the Hohokam farmed the Salt River floodplain where the Ocotillo Power Plant was built. The artifacts on the retention basin berms might be remnants of field activity areas or possibly field houses that were disturbed when the fuel oil tanks were installed. APS plans to conduct more extensive and deeper archaeological testing to determine if there are other buried features at the site, which was designated in the Arizona State Museum site survey system as AZ U:9:311(ASM). Further study of the artifacts on the berms of the retention basin is unlikely to yield important information because the artifacts are in such a disturbed context, but further investigation of the buried canal feature might yield important information about the prehistoric Hohokam occupation of the Phoenix Basin, which would make the site eligible for the Arizona Register. Because the canal feature is in the northwest corner of the power plant parcel, it might not be disturbed by the proposed power plant modernization. If the canal feature cannot be avoided or if further testing identifies additional intact archaeological deposits and features, APS will, in consultation with the State Historic Preservation Office

("SHPO") and other interested parties, develop and implement a plan to recover and preserve artifacts and information to mitigate the impacts of the proposed power plant modernization.

This exhibit summarizes the information on which those conclusions are based. That information was compiled by the three attached archaeological and historical studies that APS sponsored:

- *Cultural Resource Records Review and Archaeological Monitoring of Geotechnical Investigations at the Ocotillo Power Plant, Tempe, Maricopa County, Arizona*, 2013, URS Corporation, Phoenix, Arizona (Attachment E-1).
- *Ocotillo Power Plant District, State of Arizona Historic Property Inventory Form*, 2013, URS Corporation, Phoenix, Arizona (Attachment E-2).
- *Archaeological Testing at the Ocotillo Power Plant, Tempe, Maricopa County, Arizona*, 2014, URS Corporation, Phoenix, Arizona (Attachment E-3).

Inventory Methods

The identification of historic sites and structures and archaeological sites in the vicinity of the project area focused on resources listed in or eligible for the Arizona Register/National Register. To be eligible for the Arizona Register, districts, sites, buildings, structures or objects must be 50 years old (unless they have special significance) and have significance in the contexts of national, state, or local history, architecture, archaeology, engineering, or culture. They also must possess sufficient integrity of location, design, setting, materials, workmanship, feeling, or association to convey their historical significance, and meet at least one of four criteria:

Criterion A: be associated with an event that made a significant contribution to the broad patterns of history

Criterion B: be associated with the life of a historically important person

Criterion C: embody a distinctive characteristic of a type, period, or method of construction, represent the work of a master, possess high artistic value, or represent a significant and distinguishable entity whose components may lack individual distinction

Criterion D: have yielded or are likely to yield important prehistoric or historic information (Arizona Administrative Code, Title 12, Chapter 8, Article 3, R12-8-302)

The assessment of potential effects on historic sites and structures and archaeological sites was based on

- a record and literature review to identify information about prior studies and recorded archaeological and historical resources
- an evaluation of the historic significance of components of the Power Plant that are more than 50 years old
- archaeological monitoring of geotechnical borings
- archaeological testing

Information about prior cultural resource studies and cultural resources recorded within the power plant parcel and an area extending 1 mile around the parcel was compiled and mapped in a geographic information system database. Because modifications of the Power Plant might have potential indirect impacts on historic buildings and structures beyond 1 mile, additional information about properties listed in or evaluated as eligible for the Arizona Register, National Register, and Tempe Historic Property

Register ("Tempe Register") was compiled for an area extending between 1 and 2 miles from the power plant parcel.

Digital data were obtained from the AZSITE Cultural Resource Inventory, which is a geographic information system database that includes records of the AZSITE Consortium members (Arizona State Museum, Arizona State University ["ASU"], Museum of Northern Arizona, and SHPO), and other participating agencies such as the Bureau of Land Management. The AZSITE database includes information about properties listed in the Arizona Register and National Register. Records at ASU were checked for additional information that might not have been included in the AZSITE database. The Tempe Historic Preservation Office website and listings of the Tempe Register were checked as well. Historical maps and aerial photographs were examined for indications of potential unrecorded historical resources, and selected reports of prior studies were reviewed.

The Power Plant was visited in October 2013 to record historical components of the plant, and research was conducted to document the history of the plant. Archaeological fieldwork included monitoring of geotechnical borings in June and July 2013, and archaeological testing in November and December 2013.

Cultural History

To provide a context for evaluating the inventoried archaeological and historical resources, the cultural history of south-central Arizona is briefly summarized in this section. The history of the human occupation of the region can be divided into numerous periods that reflect changing adaptations and lifeways over approximately 14,000 years, including the Paleoindian (12,000 to 8500 B.C.), Archaic (8500 to 1500 B.C.), Late Archaic/Early Agricultural (1500 B.C. to A.D. 50), Early Ceramic (A.D. 50 to 450), Hohokam (A.D. 450 to 1450), protohistoric (A.D. 1450 to 1539), Spanish (1539 to 1821), Mexican (1821 to 1848/1854), and American (post-1848/1854) periods.

Evidence of the Paleoindian and Archaic hunting and gathering cultures that occupied the region for approximately 10,000 years is sparse in the Salt River Valley. As early as 2000 B.C. or even earlier, some groups in the region began to supplement their foraging subsistence strategies by growing domesticated plants such as maize, beans, and squash. As societies around the world adopted a sedentary agricultural way of life, they typically experienced a "Neolithic revolution" characterized by exponential population growth and increased economic, political, and social complexity. Regional populations do not seem to have experienced such a Neolithic revolution until the Hohokam culture developed around A.D. 450. The Hohokam occupation lasted for a millennium and is divided into four phases—Pioneer, Colonial, Sedentary, and Classic—based on changing styles of artifacts, house types, community structures, and burial customs. The Hohokam built the most extensive and sophisticated prehistoric irrigation systems in North America, and at their peak, tens of thousands of Hohokam lived in numerous villages throughout the valley and much of central and southern Arizona. The archaeological record of the Salt River Valley is dominated by remnants of the Hohokam occupation.

No native groups were residing in the Salt River Valley when the first European explorers arrived because the valley was contested territory between the Akimel O'odham (Pima), who resided in several villages along the Gila River to the south, and their enemies, the Yavapai, who lived to the north and west, and the Apache, who occupied uplands to the north and east. The Yuman-speaking Pee Posh (Maricopa), who migrated eastward along the lower Gila River, joined the Akimel O'odham in the mid-nineteenth century.

During the Spanish colonial era, De Niza and Coronado led expeditions through southeastern Arizona in 1539 and 1540, but Spanish colonization of Arizona began much later. In the late 1600s, Father Eusebio Kino established four missions in southern Arizona, but Spanish settlement never expanded north of the Tucson area, except for a missionary effort among the Hopi from 1629 to 1680 and a brief mission to the

west along the lower Colorado River in 1780 and 1781. Spanish rule of the area ended with the Mexican Revolution in 1821, but Hispanic settlers continued to live much as they had although the inability of the newly independent government to continue the Spanish policy of issuing food rations to Apaches led to renewal of conflicts.

At the end of the War with Mexico in 1848, Mexico ceded much of what is now the American Southwest to the United States, and the United States acquired more area south of the Gila River with the ratification of the Gadsden Purchase in 1854. The 1860s brought a mining boom that ended the area's relative isolation. To control Apache raiding, the U.S. Army established Fort McDowell along the lower Verde River in 1865, and within a decade, most of the resisting groups had surrendered and been relocated to reservations. The Yavapai tried to avoid the new settlers, but eventually were also drawn into the conflict and skirmishes continued until 1872, when the Yavapai suffered a devastating defeat at Skull Cave. The Yavapai were transferred to a reservation at Rio Verde and were subsequently moved to the San Carlos Apache Reservation until reservations were established for them in their own traditional territory.

The Army and miners created a market for food and supplies, and farmers and ranchers arrived soon after the soldiers and prospectors. Jack Swilling, with the help of other residents of Wickenburg, a mining community 50 miles northwest of the Salt River Valley, organized the Swilling Irrigating and Canal Company and in 1867 began excavating an irrigation canal amid remnants of Hohokam canals near the location of the modern Phoenix airport. The success of the Swilling canal soon brought other settlers to the valley, and the Phoenix townsite was laid out in 1870. Phoenix was incorporated in 1881 and grew to be a commercial and governmental center, but settlement of the Salt River Valley was based primarily on irrigation agriculture. Growth and prosperity led to the designation of Phoenix as the territorial capital in 1889. By 1910, Phoenix had a population of 11,150 and was the third largest city in the territory. Only Tucson and the Clifton/Morenci mining community were larger. By 1920 Phoenix had a population of 29,100 and had become Arizona's largest city. The tourism industry was launched in the 1920s, but agriculture continued to dominate the economy.

Like Phoenix, Tempe began as an agricultural community created by homesteaders moving into the area and developing canal systems among the remnants of long abandoned Hohokam canals on the south side of the Salt River. Charles T. Hayden established the Hayden Milling and Farming Ditch Company in November 1870, and began excavating a canal near Tempe Butte. William Kirkland and James McKinney also excavated a short irrigation ditch in 1870, and in 1871 they joined forces with Hayden and the Tempe Irrigating Canal Company (originally organized as the Hardy Irrigating Canal Company) to develop the first major historic-era canal system on the south side of the river.

In 1872, Hayden established a ferry crossing of the Salt River, built a store near Tempe Butte at the north end of what is today downtown Tempe, and a post office was established. Soon after, Hayden built a flour mill and more Anglo-American and Mexican-American settlers moved to the area. Located about 8 miles east of Phoenix and across the river, Hayden's Ferry became an important transportation and agricultural center. The name of the settlement was changed to Tempe in 1879. Several Hispanic barrio communities developed around Tempe, including an area just to the east known as East Tempe or Barrio San Pablo and later as Barrio al Centro. Tempe became a center of education for the territory in 1885 when the state legislature appropriated funds for the Territorial Normal School at Tempe. In 1887, a railroad between Phoenix and the Southern Pacific Railroad station at Maricopa was completed, passing through Tempe and strengthening its role as a node along the transportation corridor through the Salt River Valley.

Farmers near Tempe and throughout the Salt River Valley benefitted from a more reliable water supply and flood protection after Roosevelt Dam was completed in 1911, which proved to be a major factor in Arizona achieving statehood in 1912. From 1910 to 1930, Tempe grew much more slowly than Phoenix, with population increasing from 1,500 to 2,500. Agriculture dominated the economy of Tempe until after

World War II, when new industrial parks and high technology industries began to be developed, and the growing population after the war led to the building of new housing subdivisions. Tempe is now Arizona's eighth largest city with a population of more than 160,000, and is surrounded by numerous other cities that make up the Phoenix metropolitan area, which has a population of almost 4.3 million.

Record Review Results: Prior Cultural Resource Studies

The Euro-Americans who began to settle the Salt River Valley in the 1860s soon recognized evidence indicating prehistoric peoples had occupied the valley, and professional archaeological research was initiated as early as the 1880s. For more than a half century, a few professional and avocational archaeologists continued to map and investigate the ruins of major prehistoric villages and irrigation canal systems before they were masked by agricultural and then urban development.

In addition to reports and maps prepared by those early researchers, a records review identified 65 modern cultural resource studies conducted since the late 1950s within or overlapping the records review area (appended Table E-1). More than 60 percent of those studies, which were conducted primarily to address cultural resource management regulations, were completed since 2000. Only four of the studies were conducted within the power plant parcel, and all of those were surveys of very limited scope that together covered fewer than 2 acres in the southwest corner of the parcel. No archaeological or historical sites were identified, but the area had been highly disturbed by development prior to those surveys.

Record Review Results: Previously Recorded Archaeological Sites

A records review documented 15 archaeological sites recorded within 1 mile of the power plant parcel, but none were in the parcel (appended Table E-2). Nine of those sites are now considered part of the single large site of La Plaza/Barrio San Pablo, which includes remnants of a large Hohokam village and also the historic Barrio San Pablo and other barrios that developed east of the original Tempe townsite. The La Plaza/Barrio San Pablo site was previously evaluated as eligible for the Arizona Register/National Register under Criterion D for its potential to yield important information.

The prehistoric component of the La Plaza site has been mapped as covering a vast area about 0.6 mile wide and 1.6 miles long, but urban development has obliterated surface evidence and little is known about most of the site. Early researchers mapped three platform mounds probably used for community ceremonies at the site, indicating it was a major Hohokam village. Several archaeological excavations have been conducted at the site, primarily in conjunction with construction of facilities on the ASU campus and development of the Valley Metro light rail system. Although those investigations have been limited mostly to the northwestern part of the site, they have documented approximately a millennium of intensive Hohokam occupation along the southern margins of Tempe Butte, from the Pioneer through the Classic periods. Much of the southern and eastern parts of the large site probably were not permanent habitation areas, but were instead fields watered by irrigation canals that branched from the Salt River several miles upstream.

The Hohokam built the La Plaza village on the Mesa terrace, which is about 10 to 15 feet above the channel of the Salt River. The villagers farmed mostly on the Mesa terrace but had some fields on the lower Lehi terrace, which is the geologic floodplain that is only about 5 feet above the river channel. The power plant parcel is on the Lehi terrace, and the southern edge of the parcel is more than 500 feet north of the edge of the Mesa terrace and the boundary of the La Plaza site. The alignment of one of the major Hohokam irrigation canals that supplied water to La Plaza has been mapped as passing through the southern edge of the power plant parcel, but those maps often are imprecise and it is not known whether remnants of the relict canal are buried within the parcel.

Over the years, several archaeological sites were recorded on Tempe Butte, about 0.75 to 1.25 miles west of the Power Plant. Those sites have been consolidated in the AZSITE database as the Tempe Glyph site, AZ U:9:114(ASM), and the Terraced Butte site, AZ U:9:115(ASM), but they can be considered part of a large site encompassing virtually the entire butte. In 2011, approximately 59 acres of the butte owned by the City of Tempe were listed in the National Register under Criteria C and D, and the City designated that part of the butte as the Hayden Butte Preserve Park . A traditional Akimel O'odham song poem identifies Tempe Butte as the first stop on a mythic tale of a westward journey. The Akimel O'odham name for the butte (*oidbad duag*) is translated as dead field mountain and might be a reference to abandoned Hohokam fields around the butte.

Another Hohokam site about 1 mile southeast of the power plant parcel is named La Cuenca del Sedimento and designated AZ U:9:68(ASM). Investigations prior to construction of the Price Freeway (State Route 101L) interpreted that site as a Classic period farmstead or field house site adjacent to canals within the irrigation system that served several large Hohokam village sites to the south, including Los Muertos, one of the largest Hohokam village sites in the Salt River Valley.

Three other small archaeological sites have been recorded in the review area just south of the La Plaza site. Two Hohokam canals and a twentieth-century trash pit were identified at site AZ U:9:95(ASU). Features documented at site AZ U:9:281(ASM) included two Hohokam field houses, two canals, use surfaces, pits, two cremations (a subadult and a young adult), and an infant inhumation. Three trash-filled Hohokam pits, a fire pit, and an adobe puddling pit were documented at site AZ U:9:296(ASM), which also was interpreted as a field activity area.

Record Review Results: Previously Recorded Historic Districts, Buildings, and Structures

The records review identified 23 historic buildings, structures, and districts recorded outside the power plant parcel but within 1 mile (appended Table E-3). Nine of those properties are listed in the National Register. The closest are the Borden Milk Company Creamery and Ice Factory (now used as a brewery and restaurant) (listed under Criteria A and C) and the Elias-Rodriguez House (listed under Criterion C), which are about 0.1 and 0.4 mile to the south and southwest, respectively. Five others are almost 1 mile west of the power plant parcel, including four buildings (listed under Criterion C or Criteria A and C), which are within an ASU District that has been evaluated as eligible but not listed, as well as St. Mary's Church (listed under Criterion C) just north of the ASU District. The other building is the White Dairy Barn (listed under Criterion C) on Apache Boulevard, about 0.5 mile south of the power plant parcel. That barn, which is now used as a tavern, is also listed in the Tempe Register. The residential University Park District (listed under Criteria A and C) was developed between 1946 and 1956 about 1 mile southwest of the power plant parcel.

Eight other properties within 1 mile of the power plant parcel have been determined to be eligible for the National Register but have not been listed. The closest is the Creamery Branch railroad line (under Criterion A). A spur line from the Creamery Branch was used to deliver fuel oil to the Ocotillo Power Plant but the spur, along with the rest of the line, has been abandoned, and only a few segments of the track south of University Drive remain partially intact. The Phoenix Main Line of the Southern Pacific Railroad, which continues to be operated by Union Pacific and passes about 0.8 mile south of the power plant parcel, has been evaluated as eligible (under Criterion A).

The Tempe Canal has been evaluated as eligible (under Criterion A) as part of the Salt River Project system, but most of the canal near the power plant parcel has been buried in pipe. An open segment about 0.2 mile south of the power plant parcel is listed in the Tempe Register and the Bureau of Reclamation and Salt River Project have designated it for preservation as an open ditch.

The Arizona Department of Transportation has evaluated the multiplexed U.S. Highway 60/70/80/89, as a component of the historic state highway system developed between statehood in 1912 and 1955, as eligible (under Criterion D). A segment of the historic highway alignment, designated as Apache Boulevard, is about 0.5 mile south of the power plant parcel. A multi-property set of six buildings along the alignment also have been evaluated as eligible for the National Register (under Criterion A) because of their association with automobile tourism.

The ASU men's gym has been evaluated as eligible (under Criterion C) and the ASU District within which the gym is located has been evaluated as eligible (under Criteria A and C). The gym and district are almost 1 mile west of the power plant parcel. Marlatt's Garage, a commercial building built in 1922 and evaluated as eligible (under Criteria A and C), is about 0.2 mile south of the power plant parcel.

Two residential subdivisions are listed in the Tempe Register as historic districts. Borden Homes, developed between 1947 and 1957, and Tomlinson Estates, developed between 1950 and 1953, are about one-fourth to one-third mile south of the power plant parcel. The Tempe Historic Preservation Office has identified four other post-World War II subdivisions as warranting further evaluation as candidates for the Tempe Register. Those include Carlson Park, about 0.2 mile south of the power plant parcel, and Hudson Manor, Hudson Park, and University Heights, which are about 0.5 to 0.9 mile from the power plant parcel. An adobe house and outbuilding, reportedly constructed around 1906, were recorded as AZ U:9:269(ASM) about 0.5 mile southwest of the power plant parcel, but those buildings were subsequently demolished.

An additional 87 historic resources listed in or evaluated as eligible for the National Register and Tempe Register are located between 1 and 2 miles from the power plant parcel (appended Table E-4). Almost all of those are on the ASU campus or in the historic core of Tempe west of the power plant parcel. One of those properties is a historic district and 30 are individual properties listed in the National Register, and 8 other individual properties are listed in the Tempe Register. Thirty-three other properties, including 5 districts and 28 individual buildings have been evaluated as eligible for the National Register or Tempe Register but not formally listed. The other 15 properties are post-World War II subdivisions that the Tempe Historic Preservation Office identified as warranting further consideration for inclusion in the Tempe Register.

Record Review Results: Potential Unrecorded Historic Resources

Historical maps and aerial photographs were reviewed to assess the potential for unrecorded historical resources within the records review area. The review determined that the General Land Office conducted the first cadastral survey of the area in 1868. The General Land Office surveyors mapped no cultural features in the power plant parcel, and only a few were mapped in the vicinity, including a short irrigation ditch, a road, and a settler's cabin along the road west of the parcel on the north side of the Salt River. The road from Maricopa Wells to Fort McDowell was mapped about 3.5 miles southeast of the power plant parcel, and two other short segments of unnamed roads and an "old esca" (a term General Land Office surveyors apparently used to label features now interpreted as abandoned prehistoric Hohokam canals) were mapped farther to the northeast, east, and south. Cadastral surveys in 1888 and in 1910 covered part of the Salt River Indian Reservation on the north side of the Salt River, and mapped irrigation ditches, extensive fields, roads, fences, clusters of "huts" that must have been native homes, an old trading store, and a cemetery.

The U.S. Reclamation Service surveyed the Salt River Valley in 1902 and 1903 and the resulting topographic and irrigation map showed an irrigation lateral along the west side of the power plant parcel and another lateral oriented east-west through the parcel. Two other short laterals at the north edge of the parcel along the south edge of the Salt River channel angled across the northeastern part of the parcel.

Those laterals, which branched from the Hayden Canal, indicate the area was being farmed. The Reclamation map showed the Maricopa, Phoenix & Salt River Valley Railroad (which later became the Creamery Branch) just south of the eastern part of the southern boundary of the power plant parcel. A 1915 map labeled that railroad as the Arizona Eastern Railroad and showed a wagon road along the western and northern edges of the power plant parcel. A house was mapped just southwest of the parcel, south of what is today University Drive near the intersection with Dorsey Lane. As shown on the earlier Reclamation Service map, the 1915 map indicated the northeastern part of the parcel was within the sandy or gravelly margin of the Salt River channel.

The depictions of the power plant parcel were unchanged on 1938 and 1955 versions of topographic maps, but a 1957 map indicated the road near the western edge of the parcel terminated about 0.1 mile north of the southern boundary of the parcel at what appeared to be a farmstead with a house and two outbuildings, and two other houses were mapped on either side of the road south of the farmstead. The farmyard and houses were in an area that is now the Tempe/APS Joint Fire Training Center.

A 1934 aerial photograph indicates that almost the entire Power Plant parcel was being farmed except for a strip in the southwest corner where the buildings shown on the 1957 map were located. Even though those farmyards were not mapped on the 1938 and 1955 quadrangles, the photograph suggests they were already built by 1934, but the image is ambiguous. A 1954 aerial photograph indicates the power plant parcel continued to be farmed except for the strip in the southwest corner where houses and outbuildings stood. A more detailed 1957 aerial photograph indicated the parcel continued to be farmed and there were at least two farmyards in the southwest corner, and perhaps another farmhouse hidden by trees. A 1970 aerial photograph indicates the power plant and substations had been constructed, but the three large fuel oil storage tanks had not yet been built and the northwestern part of the power plant parcel was still being farmed. The farmhouses and buildings in the southwest corner of the parcel had been removed.

In summary, the review of historic maps and aerial photographs indicates that the power plant parcel was intensively farmed for decades before the power plant was developed. As many as three farmyards might have been built in the southwestern corner of the parcel, but apparently were demolished when the power plant was developed, and the subsequent development of the Tempe/APS Joint Fire Training Center probably obliterated any archaeological evidence of those farmyards. Archaeological remnants of historic irrigation laterals dating to the late nineteenth or early twentieth centuries might be present in the western, central, and northern parts of the parcel, but construction of the power plant and related facilities may have disturbed any archaeological evidence of those canals. The review indicated little potential for intact archaeological features dating to the historic era within the power plant parcel.

Evaluation of the Eligibility of the Ocotillo Power Plant for the Arizona Register

Because the Power Plant was completed in 1960 it is older than the 50-year age criterion for Arizona Register consideration. Facilities of the original Power Plant include steam generating units 1 and 2, a station building with steam turbines and generators, an administrative building/maintenance shop designed by local architect H.H. Green with elements of the International style, a large prefabricated steel and wood equipment building, two (2) smaller sheds of similar construction, two (2) cooling towers, a steel water storage tank, a steel diesel fuel storage tank, a 230-kilovolt (kV) substation, and a 69kV substation. An evaluation of those facilities concluded they did not have sufficient historical significance to warrant preservation and were not eligible for the Arizona Register.

Archaeological Monitoring

Although, very little of the power plant parcel had been surveyed for cultural resources, additional survey seemed unlikely to produce useful results because the parcel had been so intensively developed and

almost no natural ground surface was exposed within the parcel. APS arranged for archaeological monitoring of geotechnical investigations to check for evidence of unrecorded buried archaeological resources. Because the investigations were limited to 21 borings, each only 8 inches in diameter, the potential of the monitoring to detect archaeological resources was extremely limited and no evidence of buried archaeological deposits was identified in the sediments removed by the borings. Evidence of disturbance and placement of fill was detected in the upper levels of some of the borings, and as expected in floodplain settings, the other deposits were variable, but almost all were classified as sandy. The sandy deposits often were well sorted with little fine sediment, reflecting a relatively high energy depositional environment not conducive to preserving archaeological deposits. Some borings, however, revealed layers of sand mixed with silt, and less commonly with clay, and those sediments might represent lower energy over bank flood deposits that have potential to preserve archaeological deposits.

The area around each boring was inspected for artifacts. Only two Hohokam potsherds were found at one of the borings and it was later determined they had been brought in with imported fill dirt. More general inspection of the area, however, found many Hohokam artifacts on the earthen berms of the retention basin around the three large fuel oil storage tanks on the western side of the power plant parcel. The number and location of the artifacts suggested that construction of the fuel oil storage tanks might have disturbed archaeological deposits and features in fields associated with the nearby large Hohokam village site of La Plaza. Remnants of canals, seasonal field houses, and various types of pits have been found in Hohokam fields. Human burials are usually associated with village sites, but excavation at field house site AZ U:9:281(ASM), south of La Plaza, discovered three burials indicating that human remains also are sometimes associated with field houses.

Archaeological Testing

Because of the discovery of numerous Hohokam artifacts on the earthen berms of the retention basin in the area where the new gas turbines would be built, APS arranged for archaeological testing to determine if other archaeological deposits and features are buried in areas that could be disturbed by construction of new facilities. In conjunction with the testing, an estimated 85 to 90 percent of the surface artifacts concentrated on the berms of the retention basin were inventoried, and totaled 2,082 artifacts, most of which were Hohokam potsherds and pieces of flaked stone. Temporally diagnostic potsherds indicate the Hohokam probably farmed irrigated fields on the Lehi terrace within the power plant parcel sometime between the Gila Butte phase of the early Colonial period and the late Classic period Civano phase (circa A.D. 750 to 1450).

Thirteen test trenches, accumulating to 1,390 feet, were excavated mostly to depths of 4 to 5 feet with a backhoe equipped with a bucket 3 feet wide. The extent of testing was constrained by infrastructure in the power plant parcel, but the trenching constitutes about a 1 percent sample of the area that could be disturbed by construction of new facilities in areas that have not already been highly disturbed by construction of the three large fuel oil storage tanks and surrounding retention basin.

Testing to the east of the retention basin failed to find any archaeological features and the few artifacts that were found appeared to be in eroded contexts, suggesting that excavation of the retention basin may have disturbed most of the archaeological deposits and any archaeological features that were present. The only buried archaeological feature discovered by the test trenching is a Hohokam irrigation lateral canal oriented west/northwest. The canal was found about 3 to 5 feet below the surface in the very northwest corner of the power plant parcel. A layer of dark brown to brown clay to sandy clay loam to the north and south sides of the canal probably represents sediment accumulated in the fields that were watered by the ditch. Scattered charcoal may represent burning of field stubble. Three flakes and three potsherds were found in the trench walls in association with the ditch, and a Salado Polychrome potsherd recovered from the dirt excavated from the trench indicates the canal probably dates to the Civano phase of the late

Classic period and suggests pre-Classic period Hohokam or perhaps even pre-Hohokam archaeological deposits might be buried more deeply.

In general, the archaeological testing indicated that more than 2 feet of sediment were deposited across the project area by flood flows after the Hohokam occupation ended about 500 years ago, which essentially masks any surface indications of where archaeological deposits might be buried. One segment of a test trench, about 50 feet long, was dug to a depth of about 7 feet. That deeper trench proved to be within an erosion channel of undetermined lateral extent, but an eroded paleosol of undetermined age was found at the bottom of the channel, suggesting additional archaeological deposits might be buried deeper than the 4- to 5-foot depths tested by the backhoe trenches.

An archaeological site, designated AZ U:9:311(ASM), was defined to encompass the one buried canal feature that was found, the extensive scatter of disturbed artifacts on the berms of the retention basin, and a surrounding area where other buried features might be located. Because there are so few surface clues about the extent of the site, the site boundaries are somewhat arbitrary and further archaeological testing is necessary to better define the limits of the site. Further study of the highly disturbed scatter of artifacts on the berms of the retention basin is unlikely to yield important information, but investigation of the buried canal feature, which has not been disturbed by construction of the power plant and earlier agricultural tilling, might yield important information about the prehistoric Hohokam occupation of the Phoenix Basin, which would make the site eligible for the Arizona Register.

IMPACT ASSESSMENT

Evaluation of the historic-age buildings and structures of the Ocotillo Power Plant that would be affected by the proposed modernization of the plant concluded that none have historic significance that would make them eligible for the Arizona Register. A records review identified 110 historic districts, buildings, and structures listed in or eligible for the Arizona Register/National Register/Tempe Register. The closest of those is about 0.1 mile south of the power plant, 10 others are within 0.5 mile, 12 between 0.5 and 1.0 mile, and 87 between 1 and 2 miles of the power plant parcel. The height and massing of the five proposed new gas turbines would be approximately equivalent to five relatively small 3 story buildings with their stacks reaching heights of approximately 85 feet, which is substantially less than the two considerably more massive steam turbines at the power plant that are 178 feet tall. Because the project would involve removal of the two steam units, two large cooling towers, and three large abandoned fuel oil storage tanks, the modified power plant facilities are likely to be less visible than the current facilities are from historic properties in the surrounding area, and no adverse indirect visual impacts are anticipated.

Archaeological investigations resulted in the designation of site AZ U:9:311(ASM) to encompass the single buried lateral canal feature discovered by archaeological testing and more than 2,000 Hohokam artifacts found in highly disturbed contexts on the earthen berms of the retention basin around the three large fuel oil storage tanks in the project area. Further study of the artifacts on the retention basin berms is unlikely to yield important information because the artifacts are in such a disturbed context, but further investigation of the buried canal feature might have potential to yield important information about the prehistoric Hohokam occupation of the Phoenix Basin, which would make the site eligible for the Arizona Register. The canal feature is in the very northwest corner of the power plant parcel, and it might not be disturbed by the proposed power plant modernization. If development of final designs for the project concludes avoidance is not feasible, disturbance by construction activities would be an adverse impact on the archaeological site.

Additional Investigation and Potential Mitigation

Investigations have identified only limited intact archaeological resources in the project area, but APS plans to conduct deeper and more extensive preconstruction archaeological testing to determine whether other archaeological features might be buried in areas that could be disturbed by construction of the new facilities, and if so, whether they are in locations that can or cannot be avoided. If the single canal feature identified at site AZ U:9:311(ASM) cannot be avoided or if further testing identifies additional intact archaeological deposits and features that would be disturbed by construction activities, APS will develop and implement a plan to recover and preserve artifacts and information to mitigate the impacts of the proposed power plant modernization.

APS has consulted with the SHPO, the Tempe City Historic Preservation Office, and potentially interested tribes (see copies of correspondence included in Exhibit J). APS will continue to consult with those parties to plan and implement measures to mitigate any adverse effect on archaeological site AZ U:9:311(ASM).

Table E-1. Prior Cultural Resource Studies

	Project Name/Number	Project Number	Scope	Results
1	1958 to 1960 ASU (Michael Harner) excavations	none	1 site	1 site, AZ U:9:3(ASU) (within La Plaza)
2	Wells Fargo Arena data recovery	none	1 site	1 site, AZ U:9:72(ASU) (within La Plaza)
3	ASU parking lot data recovery	none	1 site	1 site, AZ U:9:73(ASU) (within La Plaza)
4	Rio Salado survey	none	not mapped	3 previously recorded sites (AZ U:9:3, 72, and 73(ASU), and 11 sites discovered, 2 in records review area, AZ U:9:30 and 77(ASU)
5	ASU Nobel Science Library data recovery	none	data recovery	1 site, AZ U:9:87(ASU) [AZ U:9:64(ASM)] (within La Plaza)
6	ASU Goldwater Building data recovery	none	data recovery	remnants of historical residential and commercial buildings
7	ASU Physical Sciences Building data recovery	none	data recovery	remnants of historical residential and commercial buildings
8	ASU dormitory testing	none	about 1 acre	1 site, AZ U:9:95(ASU)
9	ASU Parking Structure No. 5 data recovery	none	1 site	1 previously recorded site, AZ U:9:72(ASU)
10	Salt River channelization overview and survey	none	not determined	1 previously recorded site, Terraced Butte site, AZ U:9:115(ASM) [AZ U:9:77(ASU)]; 1 newly recorded site, AZ U:9:80(ASM)
11	Tempe Carrier Annex survey	7.2962.SHPO	about 6 acres	no sites
12	ASU Sun Devil Stadium expansion data recovery	none	data recovery	1 site, AZ U:9:72(ASU) (within La Plaza)
13	Rio Salado Parkway survey	1994-166.ASM	6 acres	no sites
14	Hayden Road (McKellips Road to Red Mountain Freeway) survey	1995-261.ASM, 7.3285.SHPO	23 acres	no sites
15	Tempe Butte trail improvement survey	none	not determined	1 site, Tempe Glyph site, AZ U:9:114(ASM) [AZ U:9:30(ASU)]
16	Tempe Butte petroglyphs study	none	not determined	232 petroglyph panels
17	Elias-Rodriguez House testing	none	testing	1 site, AZ U:9:165(ASM) (within La Plaza)
18	University Village Apartments easement survey	1997-41.ASM	<1 acre	no sites
19	Hayden's Ferry redevelopment area survey	1997-227.ASM	43 acres	2 previously recorded sites, Terraced Butte site [AZ U:9:115(ASM)] and Tempe Glyph site [AZ U:9:114(ASM)]
20	Rio Salado project buried power lines survey	1997-369.ASM	27 acres	no sites
21	Tempe Butte trail improvement testing	none	not determined	1 site, Tempe Glyph site, AZ U:9:114(ASM) [AZ U:9:30(ASU)]
22	Peabody Hotel Group survey	1998-11.ASM	39 acres	no sites
23	Tempe Butte Challenge Trail survey	none	1 acre	1 previously recorded site, Tempe Glyph site, AZ U:9:114(ASM) [AZ U:9:30(ASU), east locus]
24	Pacific Bell Network fiber-optic line survey	1999-587.ASM	641 acres	7 previously recorded sites; 14 sites discovered, none in records review area
25	Williams fiber-optic project survey	none	10,346 acres	138 sites, 1 in records review area, Phoenix main line, Southern Pacific Railroad [AZ T:10:84(ASM)]
26	AT&T NexGen/Core Project fiber-optic line survey	2000-723.ASM	866 acres	28 previously recorded sites, 1 in records review area, Phoenix main line, Southern Pacific Railroad; 8 sites discovered, none in records review area
27	1007-1115 E. 8th Street survey	SHPO-2000-2297	2 acres	1 previously recorded site, La Plaza [AZ U:9:165(ASM)]
28	Verizon PHX-168A cell tower survey	SHPO-2000-3448	<1 acre	no sites
29	ASU 2000 North Dormitory data recovery	none	data recovery	1 site, AZ U:9:73(ASU), 13 Hohokam features, including burials; 22 historical features (within La Plaza)
30	Salt River Project PM-10 roads survey	2001-228.ASM	80 acres	no sites
31	Tempe Storage cell tower survey	2001-360.ASM	<1 acre	1 previously recorded site, La Plaza [AZ U:9:165(ASM)]

	Project Name/Number	Project Number	Scope	Results
32	1820 E. Broadway Road cell tower monitoring	2001-451.ASM	<1 acre	no sites
33	Elias-Rodriguez House data recovery	none	data recovery	1 site, AZ U:9:165(ASM) (within La Plaza)
34	El Paso Natural Gas 2214 line pig-launcher survey	2002-111.ASM	1.5 acres	no sites
35	Ocotillo Power Plant cell tower survey	SHPO-2002-244	<1 acre	no sites
36	ASU Two cell tower survey	SHPO-2002-1273	<1 acre	no sites
37	World Gym cell tower survey	SHPO-2002-2828	<1 acre	no sites
38	1934-1944 E. Apache Boulevard survey	2003-817.ASM	2.8 acres	no sites
39	Rio Salado Parkway/ McClintock Drive cell tower survey	2003-1052.ASM	<1 acre	no sites
40	Sun Devil cell tower survey	2003-1330.ASM	<1 acre	no sites
41	ASU Alumni Foundation data recovery	none	3 acres	2 sites, AZ U:9:265 and 266(ASM)
42	Dos Gringos project monitoring	2004-4.ASM	monitoring	1 site, La Plaza [AZ U:9:165(ASM)]
43	Rio Salado cell tower survey	2004-298.ASM	1 acre	no sites
44	ASU Research Services Laboratory discovery	none	<1 acre	Hohokam midden, roasting pit, and inhumation (within La Plaza)
45	Tempe Butte review and survey	none	17 acres	17 locations within previously recorded Tempe Butte site, AZ U:9:30(ASU)
46	Lemon Street and Terrace Road survey	2005-234.ASM	2.75 acres	1 previously recorded site, AZ U:9:165(ASM), and 1 site discovered, AZ U:9:269(ASM)
47	University Drive (Casitas Drive to Evergreen Road) survey	2005-679.ASM	not determined	1 previously recorded site, La Cuenca del Sedimento [AZ U:9:68(ASM)]
48	Curry Road (Scottsdale Road to McClintock Drive) survey	2005-680.ASM, SHPO-2006-1546	5 acres	no sites
49	Tempe Transportation Center data recovery	2006-330.ASM	data recovery	1 site, La Plaza [AZ U:9:165(ASM)]
50	El Adobe Apartments data recovery	2006-512.ASM	data recovery	1 site, La Plaza [AZ U:9:165(ASM)]
51	Club Rio survey	2006-979.ASM	15 acres	no sites
52	ASU south campus dormitory, testing	none	15 acres	no sites
53	ASU Interdisciplinary Science and Technology Building IV testing	2008-389.ASM	5 acres	1 site, AZ U:9:284(ASM)
54	ASU new recreation field testing	none	4 acres	no sites
55	ASU Weatherup Center testing	none	2 acres	no sites
56	ASU Barrett Honors College testing and data recovery	none	9 acres	1 site, AZ U:9:281(ASM)
57	Ocotillo Hall data recovery	none	3 acres	1 site, AZ U:9:296(ASM)
58	Patriot cell tower surveys	2010-92.ASM	<1 acre	no sites
59	ASU athletic fields water line monitoring	none	<1 acres	no sites
60	ASU high resolution transmission electron microscope facility testing	none	<1 acre	no sites
61	Marina Heights survey	2011-607.ASM	36 acres	no sites
62	Valley Metro light rail data recovery	none	data recovery	29 sites, 1 in records review area, La Plaza [AZ U:9:165(ASM)]
63	ASU Alpha Drive development data recovery	none	11 acres	1 site, La Plaza [AZ U:9:165(ASM)]
64	Beal Derkenne housing development monitoring	2012-103.ASM	monitoring	1 site, La Plaza [AZ U:9:165(ASM)]
65	ASU South Alpha Drive development data recovery	none	6 acres	1 site, La Plaza [AZ U:9:165(ASM)]

NOTE: ASU = Arizona State University

Table E-2. Archaeological Sites Previously Recorded within 1 Mile of the Ocotillo Power Plant

	Site Name/Number	Affiliation and Age	Site Type	National Register of Historic Places Status
1	AZ U:9:3(ASU), within La Plaza site	Hohokam, Classic period	village	excavated in 1958 to 1960, no longer extant
2	AZ U:9:20(PG), within La Plaza site	Hohokam	1 cremation, 1 inhumation	burials excavated, no longer extant
3	AZ U:9:64(ASM) [AZ U:9:87(ASU)], within La Plaza site	Hohokam; Euro-American	3 Hohokam inhumations, 1 cremation, 3 canals, potsherds, flaked stone, and ground stone; historical house foundation and artifacts	excavated, no longer extant
4	La Cuenca del Sedimento, AZ U:9:68(ASM)	Hohokam	farmstead/field house and canals (Las Acequias/Los Muertos system)	excavated, no longer extant
5	AZ U:9:72(ASU), within La Plaza site	Hohokam	11 pit houses, cemetery area	excavated, no longer extant
6	AZ U:9:73(ASU), within La Plaza site	Hohokam	burials, potsherds, flaked stone, ground stone, and shell	excavated, no longer extant
7	AZ U:9:95(ASU)	Hohokam; Euro-American	2 Hohokam canals; early twentieth-century trash pit	excavated, no longer extant
8	Tempe Glyph site, AZ U:9:114(ASM), AZ U:9:30(ASU), Mesa 1:4 and 9 (GP), within Tempe Butte site	Hohokam	petroglyphs	eligible, Criterion D
9	Terraced Butte site, AZ U:9:115(ASM), AZ U:9:77(ASU), Mesa 1:5 (GP), within Tempe Butte site	Hohokam	habitation, petroglyphs, and terraced gardens	eligible, Criterion D
10	La Plaza/Barrio San Pablo (al Centro), AZ U:9:165(ASM)	Hohokam; Euro-American	Hohokam village; historical Hispanic neighborhood	eligible, Criterion D
11	AZ U:9:265(ASM), within La Plaza site)	historic Mexican American	2 house floors, 4 foundations, trash pits within Barrio San Pablo	eligible, Criterion D, excavated, no longer extant
12	AZ U:9:266(ASM), within La Plaza site)	Hohokam	2 hearths and artifact scatter	eligible, Criterion D, excavated, no longer extant
13	AZ U:9:281(ASM)	Hohokam	24 features, including 2 field houses, 2 canals, pits, and 3 burials	eligible, Criterion D, excavated, no longer extant
14	AZ U:9:284(ASM), within La Plaza site	Hohokam	3 hearths, 1 trash pit, artifact scatter	excavated, no longer extant
15	AZ U:9:296(ASM)	Hohokam	field area with 3 trash pits, 1 thermal pit, 1 puddling pit	eligible, Criterion D, excavated, no longer extant

Table E-3. Historic Buildings, Structures, and Districts Recorded within 1 Mile of the Ocotillo Power Plant

	Site Name/Number	Description	National Register Status
1	Administration/Science Building (Arizona State University District)	Richardsonian Romanesque-style building constructed in 1909, 451 E. University Drive	listed, Criteria A and C
2	Borden Milk Company Creamery and Ice Factory	Mission Revival-style dairy building built in 1892, 1300-1360 E. 8th Street	listed, Criteria A and C
3	Elias-Rodriguez House AZ U:9:165(ASM)	Sonoran-style/ vernacular adobe house built circa 1882, 927 E. 8th Street	listed, Criterion C; also listed in Tempe Register
4	Industrial Arts, Anthropology Building (Arizona State University District)	Neoclassical Revival-style building constructed in 1914, 900 S. College Avenue	listed, Criteria A and C
5	Main Building, Tempe Normal School (Old Main) (Arizona State University District)	Victorian-style building with Queen Anne characteristics built between 1894 and 1898, 400 E. Tyler Street	listed, Criteria A and C
6	President's House/Arizona State University Archives, (Arizona State University District)	Colonial Revival-style residence constructed in 1907, 450 E. Tyler Street	listed, Criterion C
7	St. Mary's Church/Our Lady of Mt. Carmel Catholic Church, AZ U:9:62(ASM)	Romanesque-style church constructed in 1903, 230 E. University Drive	listed, Criterion C; also listed in Tempe Register
8	University Park District	1946-1956 residential subdivision roughly bounded by Apache Boulevard, railroad, Mill Avenue, and McAlister Avenue	listed, Criteria A and C
9	White (E.M.) dairy barn	river-cobble dairy barn constructed in 1919; converted to commercial use in the 1930s, 1810 E. Apache Boulevard	listed, Criterion A; also listed in Tempe Register
Determined Eligible for the National Register			
10	Arizona State University District	educational buildings approximately bounded by S. Palm Walk, Mill Avenue, Forest Avenue, University Drive, Gammage Parkway, and Apache Boulevard	7 listed properties, 8 individually eligible properties, 1 contributor
11	Creamery Branch rail line [Phoenix, Tempe, & Mesa Railway; Phoenix & Eastern Railroad]	railroad lines built in 1895 and 1903	eligible, Criterion A, but little remains extant
12	Marlatt's Garage	commercial box-style building constructed in 1922, 1249 E. 8th Street	individually eligible, Criteria A and C
13	Men's Gym (Arizona State University District)	gymnasium with characteristics of the International style, constructed in 1927, 451 E. Orange Street	individually eligible, Criterion C
14	Phoenix main line, Southern Pacific Railroad AZ T:10:84(ASM)	1926 railroad built to serve Phoenix	eligible, Criterion A
15	Route 89 Multiple Properties	6 buildings along U.S. Highway 89 associated with tourism (4 automobile courts, 1 motel, 1 tavern)	eligible, Criterion A
16	Tempe Canal/Hayden Canal/ Kirkland/McKinney Ditch, AZ U:9:189(ASM)	water power and irrigation canal excavated in 1870, mostly converted to buried pipe, but segment along old 8th Street between Gary Drive and Una Avenue remains open and designated by Salt River Project for preservation	eligible (as part of Salt River Project), Criterion A, open segment listed in Tempe Register as Kirkland/McKinney Ditch
17	U.S. Highway 60 AZ V:2:101(ASM) U.S. Highway 70 AZ CC:2:175(ASM) U.S. Highway 80 AZ FF:9:17(ASM) U.S. Highway 89 AZ I:3:10(ASM)	multiplexed segment of 1912 to 1950 state highway system	eligible, Criterion D
18	Borden Homes Subdivision	1947-1957 residential subdivision roughly bounded by Apache Boulevard, Orange Street, McClintock Drive, and alley west of Una Avenue	listed in Tempe Register

	Site Name/Number	Description	National Register Status
19	Tomlinson Estates Historic District	1950-1953 residential subdivision roughly bounded by Apache Boulevard, Lemon Street, Dorsey Lane, and the alley west of Una Drive	listed in Tempe Register
20	Carlson Park	1957-1959 residential subdivision bounded roughly by 8th Street, Don Carlos Avenue, Dorsey Lane, and alley west of Una Avenue	potentially eligible, Criteria A and C
21	Hudson Manor	1948-1955 residential subdivision bounded roughly by Apache Boulevard and Union Pacific Railroad, Dorsey Lane, and Elm Street	potentially eligible, Criteria A and C
22	Hudson Park	1958-1959 residential subdivision bounded roughly by Howe Street, Orange Street, Price Road, and Lola Lane	potentially eligible, Criteria A and C
23	University Heights	1954-1960 residential subdivision bounded roughly by Broadway Road and the Union Pacific Railroad, Rural Road, and Ventura Drive	potentially eligible, Criteria A and C
Demolished			
24	AZ U:9:269(ASM)	adobe house and outbuilding at 1010 Lemon Street, built circa 1906	demolished

NOTES: National Register = National Register of Historic Places, Tempe Register = Tempe Historic Property Register, ADOT = Arizona Department of Transportation, ASU = Arizona State University

Table E-4. Historic Buildings, Structures, and Districts within 1 to 2 Miles of the Ocotillo Power Plant

	Site Name/Number	Description	National Register Status
1	Andre Building, AZ U:9:58(ASM)	1900 Victorian/Neoclassical-style building, 401-403 S. Mill Avenue	listed, Criteria A and C
2	Elliott House	1929 Bungalow-style residence, 1010 S. Maple Avenue	listed, Criterion C
3	Farmer-Goodwin House, Goodwin Homes District	1886 adobe residence, 820 S. Farmer Avenue	listed, Criteria A and C; listed in Tempe Register
4	Frankenberg House, AZ U:9:60(ASM)	1920 Neoclassical-style residence constructed with rusticated concrete block, moved to 150 S. Ash Avenue, originally at 129 E. University Drive	listed, Criterion C
5	Frankenberg (D.J.) House	1915 Western Colonial box-style residence, 2222 S. Price Road	listed Criteria B and C; listed in Tempe Register
6	Gammage (Grady) Auditorium, ASU District	1964 performing arts center designed by Frank Lloyd Wright, 1200 S. Mill Avenue	listed, Criterion C
7	Gonzales/Martinez House	1880 National Folk-style residence, 302 W. 1st Street	listed, Criterion C
8	Goodwin Building	1907 panel brick commercial-style building, 512-518 S. Mill Avenue	listed, Criteria B and C; listed in Tempe Register
9	Hackett (Roy) House, Tempe (Hildge) Bakery, AZ U:9:49(ASM)	1888 panel brick commercial-style building, 401-405 W. 4th Street	listed, Criteria A and C; listed in Tempe Register
10	Harrington-Birchett House	1895 Victorian/English Tudor Revival-style residence, 202 E. 7th Street	listed, Criterion C
11	Hayden (Charles T.) House, La Casa Vieja	1873 Mexican row house, 3 W. 1st Street	listed, Criteria A and C; listed in Tempe Register
12	Hiatt House, Park Tract District	1928 Georgian-style residence with Bungalow characteristics, 1104 Ash Avenue	listed, Criterion C; listed in Tempe Register
13	Laird (Hugh) House	1908 Neocolonial-style residence, 821 S. Farmer Avenue	listed, Criteria B and C
14	Long (Samuel C.) House	1910 Colonial Revival-style residence, moved to 150 S. Ash Avenue, originally at 27 E. 6th Street	listed, Criterion C
15	Matthews Hall, ASU District	1918 Neoclassical/Prairie School-style dormitory, 951 S. Forest Mall	listed, Criteria A and C
16	Moeur (B.B.) Activity Building, ASU District	1939 Federal Moderne-style building, 201 E. Orange Street	listed, Criteria A and C
17	Moeur (Governor Benjamin B.) House	1892 Western Colonial box/Bungalow-style residence, (remodeled from Victorian style), 34 E. 7th Street	listed, Criterion B; listed in Tempe Register
18	Moeur (William A.) House, Gage Addition District	1910 Colonial Revival-style residence with Bungalow characteristics, 850 Ash Avenue	listed, Criterion B; listed in Tempe Register
19	Morrow (Thomas)/Hudson (E.W.) House	1904 Queen Anne Victorian-style residence, 1203 E. Alameda Drive	listed, Criteria B and C
20	Mullen (C.P.) House, Gage Addition Historic District	1924 Georgian Revival-style residence, 918 S. Mill Avenue	listed, Criterion C
21	Redden (Byron) House, Gage Addition District	1918 Bungalow-style residence, 948 Ash Avenue	listed, Criterion C
22	Roosevelt Addition District	1946-1950 subdivision on 3rd Street cul-de-sac west of Roosevelt Street	listed, Criterion C; listed in Tempe Register
23	Scudder (B.H.) Rental House	1919 prefabricated Bungalow-style residence, 919 S. Maple Avenue	listed, Criterion C
24	Selleh House	1940 Ranch-style residence, 1104 S. Mill Avenue	listed, Criteria B and C; listed in Tempe Register
25	Tempe Beach Stadium	1937 terraced, river-cobble bleachers, Ash Avenue at 1st Street	listed, Criterion A; listed in Tempe Register
26	Tempe Concrete Arch Highway Bridge (Mill Avenue Bridge)	1931 reinforced concrete, arched bridge, Mill Avenue at Rio Salado Parkway	listed, Criterion A; listed in Tempe Register
27	Tempe Hardware/Curry Hall/ I.O.O.F. Hall	1898 Late Victorian/panel brick commercial style building, 520 S. Mill Avenue	listed, Criteria A and C; listed in Tempe Register
28	Tempe Bridge/Ash Avenue Bridge abutment, 7.135.SHPO	1913 historic bridge abutment, 1st Street and old Ash Avenue	listed, Criteria A and C; listed in Tempe Register

	Site Name/Number	Description	National Register Status
29	Tempe Women's Club, Park Tract District	1936 adobe clubhouse, 1290 S. Mill Avenue	listed, Criterion A; listed in Tempe Register
30	Vienna Bakery	1893 Spanish Colonial Revival-style building (originally Victorian style), 415 S. Mill Avenue	listed, Criteria A and C
31	Walker (Harry) House	1903 Neocolonial-style residence, 118 E. 7th Street	listed, Criterion C
Listed in the Tempe Register			
32	Carns-Buck House, Farmer's Addition District MPAEXP-9877	1914 Bungalow-style residence, 902 S. Farmer Avenue	listed in Tempe Register
33	Butler (Gray) House, Park Tract Historic District	1939 Ranch-style residence, 1220 S. Mill Avenue	listed in Tempe Register
34	College (Valley Art) Theater	1938 panel brick commercial-style theatre, 505-509 S. Mill Avenue	listed in Tempe Register
35	First Congregational Church	1948 Colonial Revival-style church, 101 E. 6th Street	listed in Tempe Register and Tempe Historic Landmark
36	Hayden flour mill and silos	1918 Industrial-style flour mill, 119 S. Mill Avenue	listing in Tempe Register pending
37	Pyle (Governor Howard J.) House, Park Tract Historic District	1938 Ranch-style residence, 1120 S. Ash Avenue	listed in Tempe Register
38	Tempe Municipal Building	1970 Modern commercial-style municipal building, 31 E. 5th Street	individually eligible, Criterion C
39	Tempe National Bank Building	1912 Egyptian Revival-style building, 526 S. Mill Avenue	listed in Tempe Register and Tempe Historic Landmark
40	Bauer House	1934 Southwestern-style residence, 599 W. 5th Street	eligible for Tempe Register
41	Blakely House	1927 residence associated with Tempe's historic Section 16, 305 S. Roosevelt Street	eligible for Tempe Register
42	Brown/Strong House	1883 Neocolonial/Georgian Revival-style residence, 604 Ash Avenue	individually eligible, Criterion C
43	Burket House	1945 Southwestern-style residence, 501 W. 5th Street	eligible for Tempe Register
44	Cavalier Hills District	1960 residential subdivision bounded roughly by McKellips and Scottsdale roads, Weber Drive, and McAllister Avenue	eligible, Criteria A and C
45	Center for Family Studies, ASU District	1939 Art Moderne-style building, 851 S. Forest Mall	individually eligible, Criteria A and C
46	Chavez House	1910 Sonoran-style residence, 927 S. Farmer Avenue	eligible for Tempe Register
47	College View District	1946-1953 residential subdivision bounded roughly by Ash Avenue, Mill Avenue, 13th Street, and Hudson Lane	eligible, Criteria A and C
48	Cummins House	1909 National Folk-style residence, 839 S. Farmer Avenue	eligible for Tempe Register
49	Curry House	1927 residence associated with Tempe's historic Section 16, 225 S. Roosevelt Street	eligible for Tempe Register
50	Diefenderfer House	1939 Bungalow-style residence, 1223 S. Farmer Avenue	eligible for Tempe Register
51	Dines-Hight House	1889 Bungalow-style residence, 508 W. 5th Street	eligible for Tempe Register
52	Gage Addition District, includes Nichols and Scudder house	1919-1954 residential subdivision roughly bounded by University Drive, 10th Street, railroad, and Mill Avenue	eligible, Criteria A and C
53	Gage (George) House, Gage Addition District	1888 Georgian Revival-style residence, 115 W. University Drive	individually eligible, Criterion B
54	Gammage (Dixie) Hall, ASU District	1941 Neoclassical-style building, 1001 S. Forest	individually eligible, Criteria A and C
55	Goodwin Homes District	1949-1959 residential subdivision roughly bounded by University Drive, alley north of 11th Street, Roosevelt Street, and Farmer Avenue	eligible, Criteria A and C
56	Guthrie House	1922 Bungalow-style farm house, 600 W.5th Street	eligible for Tempe Register
57	Hotel Casa Loma	1899 Spanish Colonial-style building (originally Victorian style), 398 S. Mill Avenue	individually eligible
58	Irish Hall, ASU District	1940 Art Moderne-style building, 1250 S. College Avenue	individually eligible, Criteria A and C

Site Name/Number		Description	National Register Status
59	Judd House	1915 National Folk-style farm house, 1208 S. Farmer Avenue	eligible for Tempe Register
60	Lowenthal Rental, Park Tract District	1942 Norman Revival-style residence, 1029 S. Maple Street	individually eligible, Criterion C
61	Lyceum Theater, ASU District	1930 to 1940 Wrightian-style building, 901 S. Forest Mall	individually eligible, Criteria A and C
62	Marriott House	1935 residence associated with Tempe's historic Section 16, 606 S. Roosevelt Street	eligible for Tempe Register
63	Matthews Library, ASU District	1930 Neoclassical-style building, 950 S. College Avenue	individually eligible, Criterion C
64	Miller House	1941 Southwestern-style residence, 513 W. 5th Street	eligible for Tempe Register
65	Minson House	1925 Bungalow-style residence, 1034 S. Mill Avenue	individually eligible, Criterion C
66	Moeur (Sidney B.) House, Gage Addition District	1921 Craftsman Bungalow-style residence, 903 S. Ash Avenue	individually eligible, Criterion C
67	Park Tract District, includes Baker and Nichols houses	1930 to 1960 subdivision roughly bounded by 10th and 13th streets, Mill Avenue, and railroad	eligible, Criteria A and C
68	Patio Palms	1960 multifamily residence, 626 S. Wilson Street	eligible for Tempe Register
69	Science/Agriculture Building, ASU District	1948 Art Moderne-style building, 250 E. Lemon Street	individually eligible, Criteria A and C
70	Spear House	1893 National Folk-style residence, 1015 S. Farmer Avenue	eligible for Tempe Register
71	Terrell House	1927 residence associated with Tempe's historic Section 16, 521 W. 5th Street	eligible for Tempe Register
72	West Hall, ASU District	1936 Neoclassical-style building, 1000 S. College Avenue	individually eligible, Criteria A and C
73	Broadmor Manor District	1955-1960 subdivision bounded by Broadway Road, Alameda Drive, College Avenue, and Ventura Drive	potentially eligible, Criteria A and C
74	Broadmor Vista District	1958-1960 subdivision bounded roughly by Broadway Road, and Broadmor, La Rosa and Ventura drives	potentially eligible, Criteria A and C
75	Campus Homes District	1952-1955 subdivision bounded roughly by Farmer Avenue, and Roosevelt, 13th and 17th streets	potentially eligible, Criteria A and C
76	Date Palm Manor District	1953-1959 subdivision bounded roughly by Broadway Road, Palmcroft Drive, railroad, and Mill Avenue	potentially eligible, Criteria A and C
77	Hughes Acres District	1954-1959 subdivision bounded roughly by Rural Road, Rita Lane, and Loma Vista and Broadmor drives	potentially eligible, Criteria A and C
78	Laird Estates District	1955-1959 subdivision bounded roughly by University Drive, and Wilson, 10th, and Roosevelt streets	potentially eligible, Criteria A and C
79	Mitchell's Subdivisions District	1950-1960 subdivision bounded roughly by University Drive, Farmer Avenue, and 10th and Roosevelt streets	potentially eligible, Criteria A and C
80	Nu-Vista District	1958-1960 subdivision bounded roughly by Mill and College avenues, Alameda Drive, and Southern Avenue	potentially eligible, Criteria A and C
81	Papago Parkway District	1954-1960 subdivision bounded roughly by Continental Drive, McAllister Avenue, and Campo and Papago drives	potentially eligible, Criteria A and C
82	Sunset Vista District	1958-1960 subdivision bounded roughly by Broadway Road, Broadmor Drive, Rural Road, and Granada Avenue	potentially eligible, Criteria A and C
83	Tempe Estates District	1958-1960 subdivision including Palmcroft Drive between Mill and College avenues	potentially eligible, Criteria A and C
84	Tempe Terrace District	1951-1960 subdivision bounded roughly by 10th Street, Farmer Avenue, and Howe and Roosevelt streets	potentially eligible, Criteria A and C
85	University Estates District	1948-1960 subdivision bounded roughly by Mill and College avenues, railroad, and Broadway Road	potentially eligible, Criteria A and C
86	University Homes District	1951-1960 subdivision bounded roughly by Farmer Avenue, Roosevelt and 17th streets, and Broadway Road	potentially eligible, Criteria A and C
87	University Terrace District	1950-1955 subdivision bounded roughly by College Avenue, alley west of Ventura Drive, railroad, and Broadway Road	potentially eligible, Criteria A and C

NOTES: ASU = Arizona State University, National Register = National Register of Historic Places, Tempe Register = Tempe Historic Property Register

ATTACHMENT E-1 – CULTURAL RESOURCE RECORDS REVIEW AND ARCHAEOLOGICAL MONITORING OF GEOTECHNICAL INVESTIGATIONS AT THE OCOTILLO POWER PLANT, TEMPE, MARICOPA COUNTY, ARIZONA

CULTURAL RESOURCE RECORDS REVIEW AND ARCHAEOLOGICAL MONITORING OF GEOTECHNICAL INVESTIGATIONS AT THE OCOTILLO POWER PLANT, TEMPE, MARICOPA COUNTY, ARIZONA

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URS Cultural Resource Report 2013-27(AZ)

Restrict Distribution

To avoid vandalism, restrict information in this report about the location of archaeological sites, as provided for by Section 304 of the National Historic Preservation Act, Section 9(a) of the Archaeological Resources Protection Act, and Section 39-125 of the Arizona Revised Statutes.

Disclaimer

In preparing this report, URS Corporation used background information that was compiled by prior studies in the project vicinity. URS Corporation relied on this information as furnished, and is neither responsible for nor has confirmed the accuracy of this information.

September 2013

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ACRONYMS AND ABBREVIATIONS

ACC	Arizona Corporation Commission
APS	Arizona Public Service
ADOT	Arizona Department of Transportation
Arizona Register	Arizona Register of Historic Places
ASM	Arizona State Museum
ASU	Arizona State University
CEC	Certificate of Environmental Compatibility
cm	centimeter
km	kilometer
m	meter
National Register	National Register of Historic Places
SHPO	State Historic Preservation Office
TCHPO	Tempe City Historic Preservation Office
Tempe Register	Tempe Historic Property Register

ABSTRACT

Agencies: none at this phase of project planning

Report Title and Date: *Cultural Resource Records Review and Archaeological Monitoring of Geotechnical Investigations at the Ocotillo Power Plant, Tempe, Maricopa County, Arizona.* September 2013

Permits: none required

Project Numbers: URS Job 23446491

Project Description: The Arizona Public Service Company developed the Ocotillo Power Plant in the late 1950s. APS currently operates two 110-megawatt gas-fired steam units and two 55-megawatt combustion turbines at the plant, and is considering upgrades to the facility. To accommodate the engineering design of potential future modifications, APS undertook geotechnical investigations that involved excavation of 21 borings. To proactively comply with potential future regulatory requirements and consider potential effects on archaeological and historical resources from the earliest stages of project planning, APS retained URS Corporation to archaeologically monitor the geotechnical investigations. This report documents a records review that compiled information about prior cultural resource studies and recorded cultural resources within and near the project area and the methods and results of the archaeological monitoring.

Location: The APS Ocotillo power plant is on the south side of the Salt River in the Phoenix Basin. The power plant is on a parcel of land owned by APS at 1500 East University Drive in north Tempe, within the SE1/4 of Section 14, Township 1 North, Range 4 East, Gila and Salt River Meridian. The area is mapped on the Tempe, Arizona, U.S. Geological Survey 7.5-minute topographic quadrangle.

Scope of Project and Jurisdiction: The power plant parcel covers about 126 acres (51 hectares), but facilities other than the Ocotillo Power Plant are located on the parcel, including electrical substations and the Tempe/APS Joint Fire Training Center. The area of potential effects for the geotechnical investigations consisted of those areas where ground disturbance could occur. This includes an area about 100 feet (30 meters) square centered on each of the 21 borings, which accumulates to about 5 acres (2.0 hectares) within the power plant parcel. Setting up and operating the drill rig truck and parking other vehicles actually resulted in very shallow disturbance of previously disturbed ground and the deeper disturbance from the borings accumulated to less than 10 square feet (1 square meter).

Personnel and Dates of Fieldwork: Archaeologists Chad Kirvan and Ronald Savage conducted 4 person-days of archaeological monitoring between 26 June and 1 July 2013. Dr. A.E. (Gene) Rogge served as principal investigator.

National Register-Eligible Properties: none

National Register-Ineligible Properties: A few hundred Hohokam artifacts (mostly plain ware, buff ware, and red ware Hohokam potsherds, a few pieces of flaked stone, 1 piece of ground stone, and perhaps a few pieces of faunal bone that might be natural rather than cultural deposits) were

found in such highly disturbed contexts that they have virtually no potential to yield important information. They were not recorded as an archaeological site at this time.

Conclusion and Recommendations:

A records review documented that the prehistoric Hohokam farmed in the vicinity of the power plant parcel and occupied a large village site known as La Plaza for several centuries (from the Pioneer to the Classic periods, circa A.D. 500 to 1400) southwest of the power plant parcel. The village site is on the Mesa terrace, which is a higher landform than the Lehi terrace on which the power plant parcel is located. Prior archaeological investigations have found only evidence of farming and seasonal field houses on the lower Lehi terrace.

The archaeological monitoring found a few hundred Hohokam artifacts on the surface of the ground in highly disturbed contexts, suggesting that construction activities on the power plant parcel probably disturbed archaeological deposits within a Hohokam field area—perhaps a field house site that might have had evidence of temporary shelters, canals, and various types of pits. Human burials usually are associated with village sites, but three burials were found at a field house site excavated south of the La Plaza site, indicating that burials also can be associated with field house sites. The archaeological monitoring identified no evidence that any burials had been disturbed by construction activities within the power plant parcel.

The sediments documented in the geotechnical borings are varied, as expected in a floodplain adjacent to a dynamic braided and migrating river channel. The deposits are primarily sands that reflect a relatively high energy depositional environment that is not conducive to the preservation of archaeological deposits. However, pockets of finer sediment reflecting gentler, overbank flood deposits that are conducive to preserving archaeological deposits might remain intact, as identified by prior archaeological excavations at the margins of the La Plaza site about 0.5 mile (0.8 kilometer) west of the power plant parcel.

Ideally, archaeological testing could be conducted to further explore whether intact archaeological deposits might remain buried in the power plant parcel, but the extent of infrastructure within the parcel greatly limits the potential to undertake archaeological testing safely. We recommend that APS consider whether some archaeological testing might be conducted to expose vertical profiles of sediments, which could be analyzed to better understand the nature and age of the deposits and better gauge whether significant archaeological deposits might remain intact within the parcel.

Documentation of the structure and texture of exposed deposits would provide more information about the geomorphology of the sediments, particularly with regard to whether the energy of the depositional environment would have been conducive to preservation of archaeological deposits. If charred materials are exposed, they could be collected and radiocarbon dated to better understand the chronology of the deposits. If appropriate contexts are identified, sediment samples could be collected and analyzed to extract pollen and biosilicates that could provide information about the local environment and how it changed over time and whether the Hohokam used the area for farming and what crops they might have grown.

Smoothing vertical faces on the side walls of the spill containment pits around the three large fuel storage tanks in the western part of the power plant parcel might be an

alternative to excavating new test trenches. Archaeological monitoring of the removal of obsolete facilities or construction of new facilities also might provide additional opportunities to determine whether significant archaeological deposits are buried within the power plant parcel.

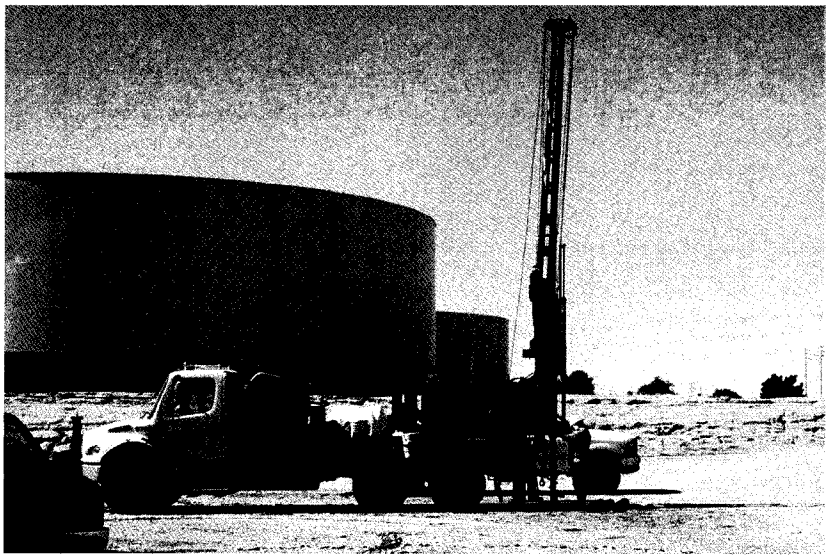
In summary, field observations made during the archaeological monitoring of the geotechnical borings documented that prehistoric Hohokam artifacts were present in the power plant parcel but they were in contexts disturbed by construction activities. It is recommended that APS consider opportunities for additional archaeological testing or monitoring to further investigate whether any buried archaeological deposits might remain intact. If intact archaeological deposits were found, it is recommended that they be evaluated and treated in accordance with any applicable local government, state, or federal regulations.

CULTURAL RESOURCE RECORDS REVIEW AND ARCHAEOLOGICAL MONITORING OF GEOTECHNICAL INVESTIGATIONS AT THE OCOTILLO POWER PLANT, TEMPE, MARICOPA COUNTY, ARIZONA

INTRODUCTION

The Arizona Public Service Company (APS) developed the Ocotillo Power Plant in the late 1950s. APS currently operates two 110-megawatt gas-fired steam units and two 55-megawatt combustion turbines at the plant, and is considering upgrades to the facility. To accommodate the engineering design of potential future modifications, APS undertook geotechnical investigations that involved excavation of 21 borings.

The borings were 8 inches (20 centimeters [cm]) in diameter and ranged from 12 to 22 feet (3.7 to 6.7 meters [m]) deep, except for Boring B-21, which was stopped at a depth of 4 feet (1.2 m) when an apparent abandoned concrete storm drain was encountered. The other borings were halted by auger refusal to penetrate deposits of sand, gravel, cobbles, and possible boulders. The field operations involved a truck-mounted hollow-stem auger, a smaller flatbed truck with a water tank, and a pickup truck. The auger truck was about 8.5 feet (2.6 m) wide and 34 feet (10.4 m) long and equipped with a mast about 33 feet (10 m) tall (**Photograph 1**).

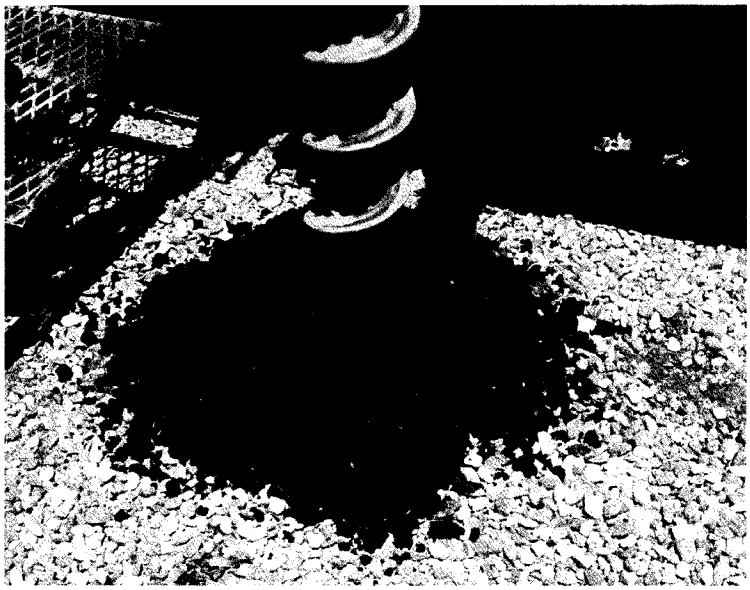


Photograph 1. Truck Mounted Hollow-Stem Auger Set Up at Boring B-07 (view north)

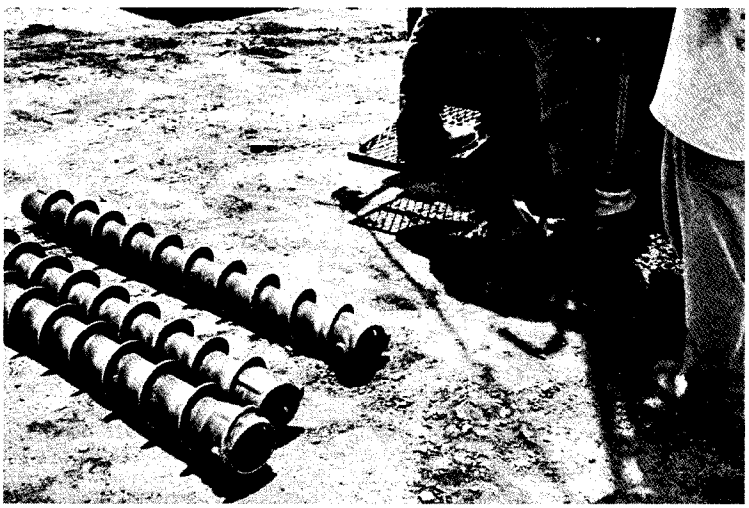
No mud pits were dug and no spoil piles were generated. At selected intervals, soil samples were collected using one of the following techniques:

- collection of bulk samples of sediments from the material augured from the borings (**Photograph 2**),
- driving a split-barrel sampler (standard penetration test spoon) through the hollow auger to collect samples 1.4 inches (3.5 cm) in diameter and 18 inches (46 cm) long (**Photographs 3 and 4**), or
- driving a modified split-barrel collection tube with brass rings to collect relatively undisturbed samples 2.4 inches (6.1 cm) in diameter and 18 inches (46 cm) long.

Bentonite was used to backfill each boring. Field activities were confined to the immediate area around each boring. Except for the 8-inch-diameter borings, ground disturbance was very shallow, resulting only from parking of the vehicles used in the operations. A two-person crew from Enviro-Drill excavated each boring in less than an hour and a geologist from Ninyo & Moore supervised the collection of samples and prepared boring logs to document the sediments found in each boring. APS provided the geotechnical investigation report (Ninyo & Moore 2013) so the results could be considered in preparing this report.



Photograph 2. Soil Augered from the Upper 4 Feet of Boring B-21



Photograph 3. Hollow-Stem Auger used to Collect Soil Samples, Boring B-9

Photograph 4. Split-Barrel Sample from Boring B-9

Cultural Resource Regulatory Requirements

The Ocotillo Power Plant parcel has been highly disturbed by construction and operation of the facility and decades of farming prior to that. APS nevertheless recognized that there is some potential for archaeological resources to be deeply buried within the parcel. No federal, state, or local government permits were required to conduct the geotechnical investigations and there was no regulatory requirement to consider potential impacts on cultural resources.

Future modifications of the power plant might require a Certificate of Environmental Compatibility (CEC) from the Power Plant and Transmission Line Siting Committee of the Arizona Corporation Commission (ACC). The ACC *Rules of Practice and Procedure R14-3-219* stipulate that Exhibit E of CEC applications describe historic sites and structures or archaeological sites in the vicinity of the proposed facilities and the effects that the facilities could have on those resources.

Exhibit E of CEC applications supports ACC compliance with the State Historic Preservation Act (Arizona Revised Statutes 41-861 through 41-864), which requires State agencies to consider impacts of their programs on historic properties listed in or eligible for the Arizona Register of Historic Places (Arizona Register). To be eligible for the Arizona Register, properties must be 50 years old (unless they have special significance) and have national, state, or local significance in American history, architecture, archaeology, engineering, or culture (Arizona Administrative Code, Title 12, Chapter 8, Article 3, R12-8-302). They also must possess sufficient integrity of location, design, setting, materials, workmanship, feeling, and association to convey their historical significance, and meet at least one of four criteria:

- Criterion A: be associated with significant historical events or trends
- Criterion B: be associated with historically significant people
- Criterion C: have distinctive characteristics of a style or type, or have artistic value, or represent a significant entity whose components may lack individual distinction
- Criterion D: have yielded or have potential to yield important information

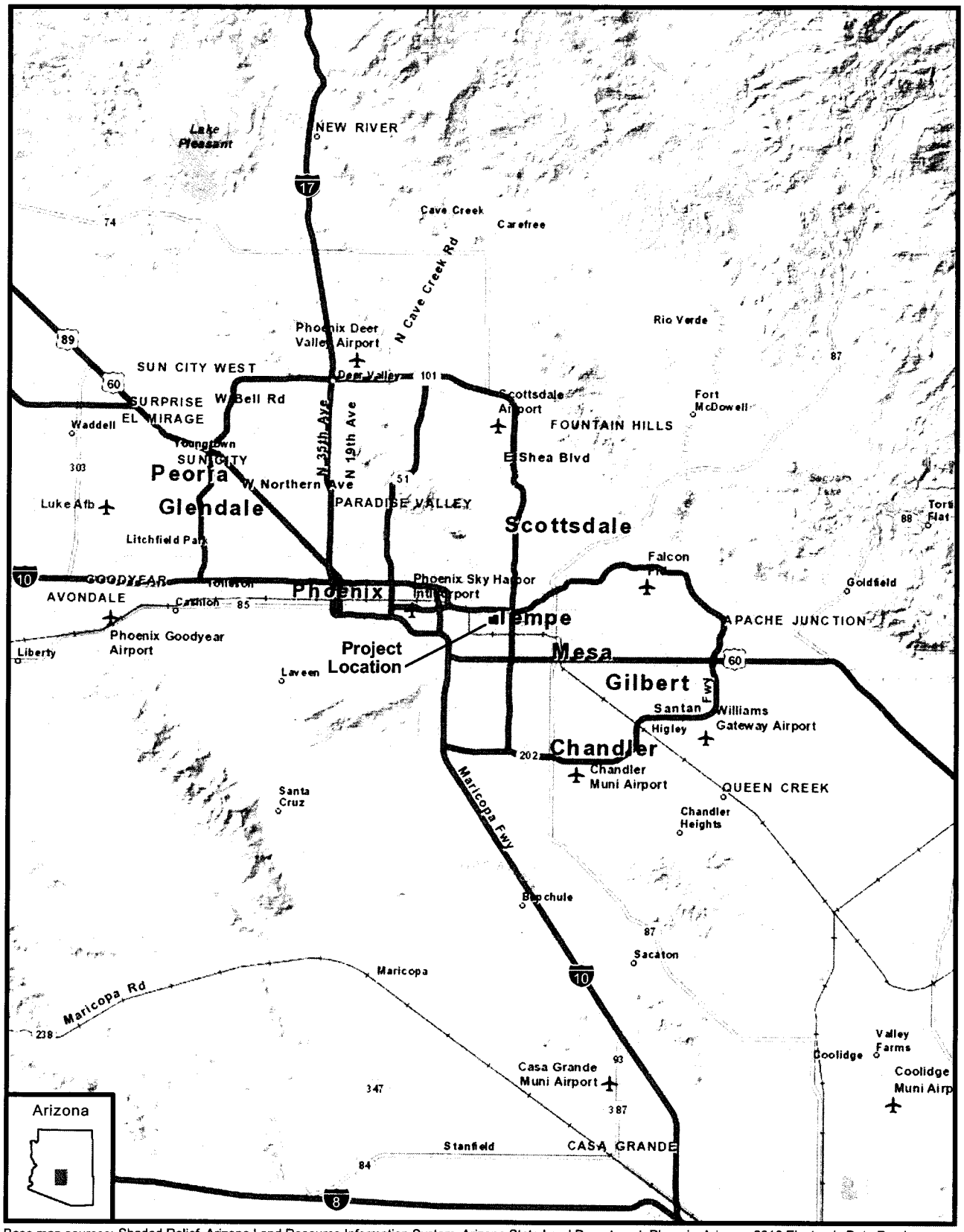
Pursuant to the State Historic Preservation Act, the ACC provides the State Historic Preservation Office (SHPO) 30 working days to comment on proposed projects that affect properties listed in or eligible for the Arizona Register.

The City of Tempe is a Certified Local Government under the auspices of the Arizona Historic Preservation Plan. The city enacted a local historic preservation ordinance, designated a City Historic Preservation Officer, and formed a Historic Preservation Commission. The local ordinance establishes procedures for designating historic overlay zoning as a measure to protect historic properties. The SHPO expects the Tempe City Historic Preservation Office to be consulted about projects that could affect historic properties within the City of Tempe.

To proactively comply with potential future regulatory requirements and consider potential effects on archaeological and historical resources from the earliest stages of project planning, APS retained URS Corporation to archaeologically monitor the geotechnical investigations. This report documents a records review that compiled information about prior cultural resource studies and recorded cultural resources within and near the project area and the methods and results of the archaeological monitoring.

Project Location and Area of Potential Effects

The APS Ocotillo power plant is on the south side of the Salt River in the Phoenix Basin (**Figure 1**). The power plant is on a parcel of land owned by APS at 1500 East University Drive in north Tempe. The parcel covers about 126 acres (51 hectares) in the SE1/4 of Section 14, Township 1 North, Range 4 East, Gila and Salt River Meridian. The area is mapped on the Tempe, Arizona, U.S. Geological Survey 7.5-minute



Base map sources: Shaded Relief. Arizona Land Resource Information System, Arizona State Land Department, Phoenix, Arizona. 2010 Electronic Data Purchase. Esri, DeLorme, NAVTEQ, TomTom, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), and the GIS User Community. Use athorized by Esri license.

0 5 10 Miles

0 5 10 15 Kilometers

N

■ Project Location

General Project Location
Figure 1

topographic quadrangle (see appended **Figure A-1**). Other facilities other than the Ocotillo Power Plant are located on the parcel, including electrical substations and the Tempe/APS Joint Fire Training Center.

The Salt River is dammed north of the power plant to create Tempe Town Lake, about 1,500 feet (460 m) north of the project area. The Rio Salado Parkway passes by the northern end of the power plant parcel and the Arizona State University (ASU) Karsten Golf Course is between the parcel and Tempe Town Lake, forming much of the northern and western boundary of the parcel. University Drive is along the southern edge of the parcel and McClintock Drive is along the eastern boundary (**Figure 2**). Tempe Butte (also known as Hayden Butte or A Mountain, as well as *Oitbad* [Deadfield Mountain] to the Akimel O'odham), rises about 300 feet (90 m) above the surrounding terrain about 1 mile (1.6 kilometer [km]) west of the project area.

The area of potential effects for the geotechnical investigations consisted of those areas where ground disturbance could occur. This includes an area about 100 feet (30 m) square centered on each of the 21 borings, which accumulates to about 5 acres (2.0 hectares) within the power plant parcel. Setting up and operating the drill rig truck and parking other vehicles actually resulted in very shallow disturbance of previously disturbed ground and the deeper disturbance from the borings accumulated to less than 10 square feet (1 square m).

Personnel and Permits

Archaeologists Chad Kirvan and Ronald Savage conducted 4 person-days of archaeological monitoring between 26 June and 1 July 2013. Dr. A.E. (Gene) Rogge served as principal investigator. No permits were required for the monitoring.

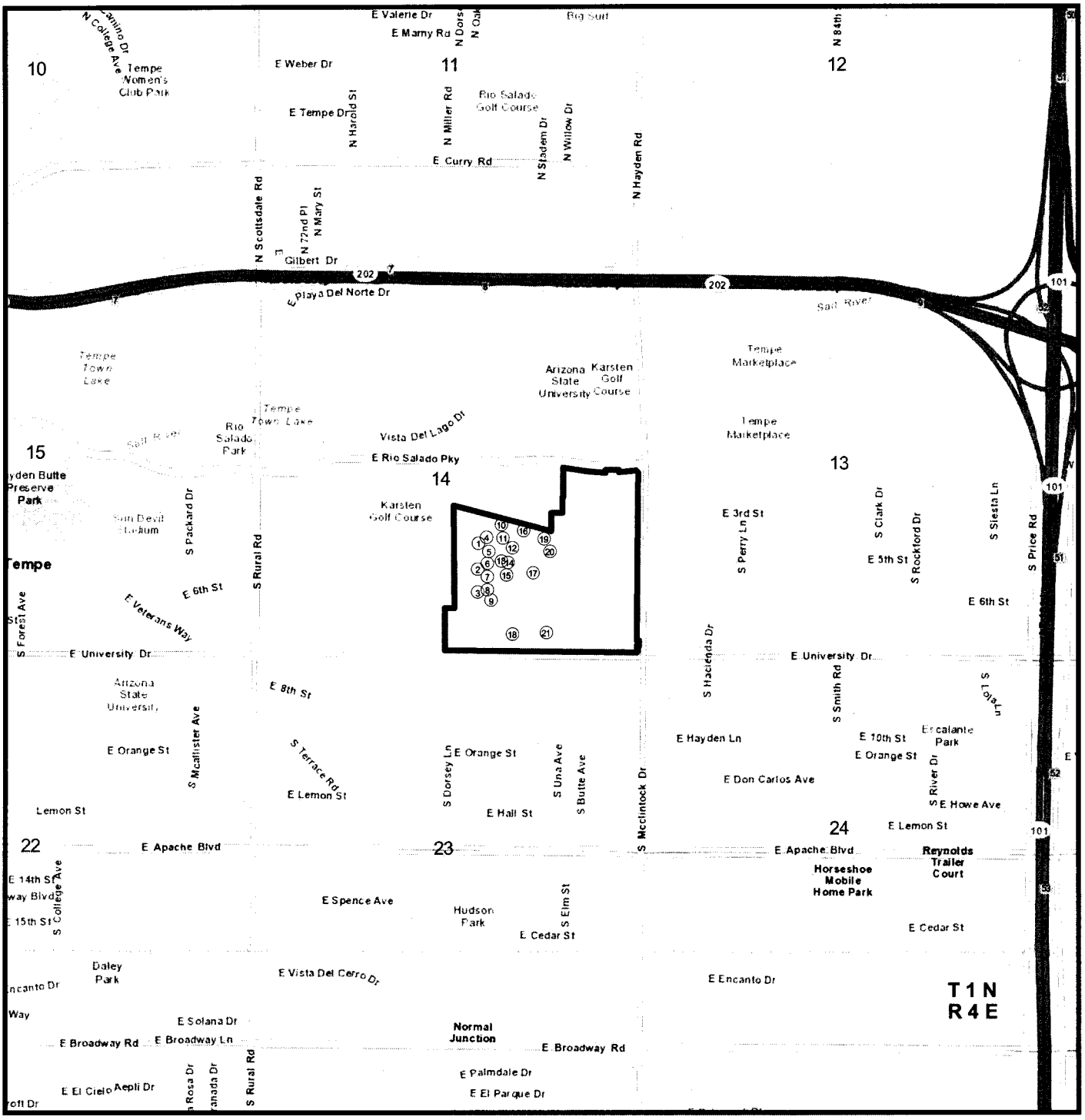
ENVIRONMENTAL SETTING

The Phoenix Basin is within the Basin and Range physiographic province that is characteristic of much of the interior western United States (Thornbury 1965). The topography of the valley floor slopes gently to the southwest. The power plant parcel is on the Lehi terrace at an elevation of approximately 1,170 feet (357 m) above sea level. The Lehi terrace is the lowest and youngest of four terraces identified along the Salt River, being only about 5 feet (1.5 m) above the river bed in the Tempe area and of late Pleistocene age. The higher and older terraces include the Blue Point, Mesa, and Sawik (Wellendorf and others 1986).

The climate is hot and arid. High summer temperatures and mild winters with considerable variation in daily temperatures are characteristic of the area. Average annual precipitation is less than 8 inches (20 cm), and typically occurs as brief, violent summer thunderstorms and lighter winter showers with durations of up to several days (Sellers and Hill 1974).

Natural vegetation was been removed from the project parcel first by historic agricultural development and subsequently by development of the Ocotillo Power Plant. A reconstruction of natural vegetation based on 1860s General Land Office survey records indicates the project parcel was in a saltbush community just south of a cottonwood-willow gallery forest along the Salt River (Jacobs and Rice 2002). The riparian zone along the Salt River would have been a major source of natural resources for aboriginal populations, and was a major source of irrigation water for the prehistoric Hohokam and historic farming economies.

Small mammals, including rabbits, ground squirrels, rats, and mice, were probably the most numerous faunal species living in the project vicinity during the prehistoric era (Lowe 1964). Reptiles such as lizards and snakes also would have been common, and the Salt River and low-lying marshy areas undoubtedly supported populations of fish, turtles, and amphibians, and probably attracted large numbers of birds. Mule deer and coyotes also may have been relatively abundant in the general project vicinity.



Base Map Source: Sources: Esri, DeLorme, NAVTEQ, USGS, Intermap, iPC, NRCAN, Esri, Japan, METI, Esri China (Hong Kong), Esri (Thailand), TomTom, 2012. Use authorized with Esri license.

Legend

○ Geotechnical Boring

▭ Project Parcel

0 1,000 2,000 3,000 Feet

0 250 500 750 1,000 Meters

N

Ocotillo Power Plant Parcel
Figure 2

CULTURAL HISTORY

The cultural history of south-central Arizona can be divided into numerous periods that reflect changing adaptations and lifeways over approximately 14,000 years. Those include the Paleoindian (12,000 to 8500 B.C.), Archaic (8500 to 1500 B.C.), Late Archaic/Early Agricultural (1500 B.C. to A.D. 50), Early Ceramic (A.D. 50 to 450), Hohokam (A.D. 450 to 1450), protohistoric (A.D. 1450 to 1539), Spanish (1539 to 1821), Mexican (1821 to 1848/1854), and American (post-1848/1854) periods. The following sections summarize the regional cultural history. Cordell and McBrinn (2012) and Reid and Whittlesey (1997) provide more details about the prehistoric occupation, and Sheridan (2012) and Spicer (1962) discuss the historic period.

Paleoindian and Archaic Periods

Nomadic hunters known as the Paleoindians were the first well-documented occupants of the Southwest, although evidence of earlier occupation continues to be found elsewhere in the New World. Their prey included now-extinct large game species, such as mammoth and giant bison. Evidence of early Paleoindian hunter groups has been found at several sites in southeastern Arizona (Huckell 1982, 1984), but the only evidence of Paleoindian occupation in the Phoenix Basin is limited to isolated projectile points, perhaps because Paleoindian sites have been buried by deep layers of alluvial deposits, or they have been eroded away. Alternatively, the area may have been only minimally occupied at that time.

The subsequent Archaic period reflects a continuing hunting-and-gathering lifeway and a subsistence-settlement strategy involving annual rounds of seasonal camp movements through expansive territories. Archaic populations adapted to the changing climate and the extinction of big-game animals by hunting smaller game, such as deer and rabbits, and intensively exploiting a diversity of wild plants, particularly hard seeds. Huckell (1984) divided the Archaic era into three broad periods: Early (circa 8500 to 4800-4000 B.C.), Middle (circa 4800–4000 to 1500 B.C.), and Late (circa 1500 B.C. to A.D. 300).

The rare Early Archaic sites that have been identified are commonly characterized by concentrations of burned rock used in hearths and cooking pits and by diagnostic artifacts such as ground stone milling tools—particularly one-hand manos and slab metates—and stemmed projectile points (Huckell 1996; Mabry and Faught 1998). Only one site in the Phoenix Basin, AZ T:11:94(ASM), has yielded radiocarbon dated features from the Early Archaic period. Calibrated calendar dates of about 4900 B.C. and 4500 B.C. were obtained from two pit structures at that site and a hearth was dated at about 3900 B.C. The features lacked diagnostic artifacts, and a mesquite post and a maize cupule recovered from the fill of the oldest pit structure yielded anomalous younger dates, but geomorphological analysis identified the context of the features as rare middle Holocene deposits, supporting the Early Archaic dates (Graves and others 2009).

The Middle Archaic period is perhaps the least understood period in Arizona prehistory (Gregory 1999; Huckell 1996; Mabry, ed. 1998). During the Middle Archaic period, the summers apparently were hotter and the winters cooler, with perhaps lower effective moisture (Mabry, ed. 1998; Thompson and others 1993). The landscape was subjected to episodes of alluvial cutting and filling (Waters 1986) and lake desiccation (Waters 1989), which may have destroyed or deeply buried many Middle Archaic sites.

In southern Arizona, the most substantial evidence of a Middle Archaic occupation is documented along the Santa Cruz River in the Tucson Basin and surrounding mountains (Huckell 1984; Stacy and Hayden 1975). Features discovered at Middle Archaic sites include use surfaces, hearths, thermally altered rock, and occasional middens (Bayham and others 1986; Fish 1967; Gregory 1999; Huckell and Vint 2000). The most substantial evidence of a Middle Archaic occupation in the Phoenix Basin was found at the Last Ditch site [AZ U:5:33(ASM)], where investigations have discovered more than 200 features dating to the Middle Archaic period, including hearths, what may be remnants of two temporary shelters, and use surfaces (Phillips and others 2001; Rogge 2011). Middle Archaic structures have been found at site

AZ T:7:419(ASM) on the lower bajada of the White Tanks Mountains in the western Phoenix Basin (Statistical Research 2012), and other archaeological features have been found buried in alluvial fans along the margins of the western Phoenix Basin (CH2M Hill and French 1992).

Late Archaic/Early Agricultural Period

The subsequent Late Archaic period is now referred to as the Early Agricultural period in some parts of southern and central Arizona because research over the last two decades has clearly demonstrated that domesticated crops were being grown in some areas at that time (Diehl 2005; Gregory and others 2007; Huckell 1995, 1996; Matson 1991; Roth 1992, 1993; Thiel and Mabry 2006; Wills 1988). The Early Agricultural period begins with the appearance of maize in the archaeological record, now dated to about 2100 B.C. in the Tucson Basin, and ends with the beginning of a ceramic-container technology at about A.D. 50 (Gregory and others 2007; Thiel and Diehl 2006). Local populations grew maize and squash and probably beans, all of which had been domesticated in Mesoamerica to the south. They also may have grown cotton and tobacco that may have been domesticated or local indigenous varieties. A variety of other indigenous seed-bearing plants such as amaranth and goosefoot also may have been encouraged, but local groups continued to rely heavily on hunting game and gathering indigenous plants for food. This "farmaging" subsistence strategy was pursued for more than 2,500 years before the region witnessed the substantial transformation of a Neolithic Revolution to a fully sedentary, village-farming, pottery-using way of life (Altschul 1995; Deaver and Ciolek-Torrello 1995; Diehl 2005; Gregory and Mabry 1998:11; Huckell 1995, 1996; Mabry 1998; Mabry and others 1997) and a Neolithic demographic transition to rapid population growth that is typical of the adoption of a village-based farming around the world (Bocquet-Appel 2011; Childe 1936).

During the Early Agricultural period, populations began settling in semipermanent or permanent villages of circular pit houses along river floodplains (Fish and others 1986; Huckell 1990; Mabry and others 1997; Roth 1992; Wills and Huckell 1994). Irrigation canals associated with these early villages in the Tucson Basin have been dated to about 1500 B.C. at the Clearwater site (Thiel and Mabry 2006) and about 1200 to 1100 B.C. at the Las Capas site, making them the oldest canals in the Southwest (Mabry 1999). In addition to locations along river margins, some Late Archaic sites have been found at higher elevations at the bases of mountains. These sites are generally situated near natural travel corridors, and they are frequently close to springs or seeps (Hackbarth 1999).

Artifacts diagnostic of the Late Archaic/Early Agricultural period include corner-notched dart points and dart points with contracting stems, triangular knives, flake scrapers, and ground stone milling tools. The region's oldest ceramics, including small bowls and fired-clay figurines, also were produced in this period (Gregory 1999; Heidke 1997; Heidke and Ferg 1998; Mabry and others 1997; Thiel and Mabry 2006).

Hackbarth (1998) documented a Late Archaic/Early Agricultural component at the Last Ditch site dated to the last four centuries B.C. Other Late Archaic/Early Agricultural sites have been recorded in the McDowell Mountains (Ellis 1997a, 1997b; Hohmann 1999; Marshall and Bostwick 1999; Opfenring 1965; Owens 1995a, Wright 1999). The New River-Stricklin site [AZ T:4:1(ASU)], adjacent to the New River, dates to about 800 to 400 B.C. and appears to be a Late Archaic base camp (Kenny 1987; Rodgers 1989:7). Late Archaic features also were discovered recently at site AZ T:7:419(ASM) (Statistical Research 2012).

Early Ceramic Period

During the subsequent Early Ceramic period (A.D. 50 to 500), use of containers made of plain ware pottery became widespread, with storage jars and worked potsherds dominating ceramic assemblages (Lindeman and Wallace 2004). The earliest evidence of ceramic-producing populations in the Phoenix Basin is associated with the Red Mountain phase (Abbott 2000; Cable 1991; Cable and Doyel 1985a, 1985b, 1987; Mabry 2000). Morris (1969) first identified this phase four decades ago, but only recently has it been

documented more thoroughly. From the few sites that have been investigated, the Red Mountain phase appears to be similar to the Early Ceramic sites that have been found more frequently in the Tucson Basin. Cable (1991) and Cable and Doyel (1987) suggested that the Red Mountain phase represents a Phoenix Basin variant of a local Sonoran Desert ceramic plain ware tradition. The limited information available suggests that these populations resided in large, square pit houses and were dependent on maize agriculture (Cable 1991). Although the Red Mountain phase often is considered the beginning of the Hohokam Pioneer period, it probably is more appropriately classified as representative of a pre-Hohokam plain ware tradition (Lindeman and Wallace 2004; Wallace and others 1995).

Hohokam Era

At about A.D. 500, red ware pottery began to be made and villages of numerous pit houses were built around central plazas in a pattern that indicates multiple kin groups made a commitment to live together. Wallace (2004) argues that this marks the beginning of the Hohokam culture, which seems to represent a delayed Neolithic Revolution and Neolithic demographic transition.

The remains of the village-dwelling Hohokam farmers overwhelmingly dominate the archaeological record of the Phoenix Basin, and they have been the subject of intensive study in central and southern Arizona (for example, Doyel 1981; Gladwin and others 1938; Haury 1976; Wilcox and Sternberg 1983). The Hohokam culture is noted for its extensive irrigation systems along the Salt River and Gila River (Gumerman 1991). The Hohokam occupation is reasonably well dated between about A.D. 450 and 1450 (Dean 1991; Eighmy and McGuire 1988; Haury 1976; Lindeman and Wallace 2004; Schiffer 1982; Wallace 2001, 2004).

There are four (some archaeologists argue five) major periods in the Hohokam chronology, which in turn are divided into a number of phases based on differences in decorated ceramics, artifact and architecture styles, and mortuary practices. In the Phoenix Basin, the Hohokam Pioneer period (circa A.D. 450 to 750) is divided into four phases: Vahki, Estrella, Sweetwater, and Snaketown (Dean 1991).

Phases defined for the Colonial period (circa A.D. 750 to 900 or 950) are Gila Butte and Santa Cruz. During the Colonial period, domestic architectural units began to be arranged in courtyard groups (Howard 1985; Wilcox and others 1981). Community architecture in the form of ballcourts also has been discovered at some of the larger villages occupied during the Colonial period in the Phoenix Basin.

The Sacaton phase is associated with the Sedentary period (circa A.D. 900 or 950 to 1125 or 1150), although some archaeologists argue for an additional Santan phase transitional to the subsequent Classic period (Doyel 2000). The Sedentary period witnessed further expansion of settlements and canal irrigation systems as well as the development of various other agricultural strategies. The construction of ballcourts continued, and platform mounds, another form of community architecture used for ceremonies or elite residences, began to be built at the larger villages near the end of the Sedentary period. Hierarchical relationships among Sedentary period sites are recognized in the Phoenix Basin as well as in the Tucson Basin (Doelle and others 1987; Gregory 1991; Howard 1987; Wilcox and Sternberg 1983).

The Classic period (circa A.D. 1125 or 1150 to 1350 to 1450) is divided into two phases—the Soho and Civano. The Classic period exhibits substantial changes in artifact styles, mortuary practices, settlement patterning, and architecture, including adobe-walled rooms and compounds. Agricultural practices intensified during the Classic period. A late Classic or post-Classic occupation, labeled the Polvorón phase, has been identified at a few sites in the Phoenix Basin (Chenault 1996; Crown and Sires 1984; Sires 1983). Researchers are still struggling with the interpretation of this phase (for example, Chenault 2000; Craig 1995; Henderson and Hackbarth 2000), which is represented by clusters of pit houses, sometimes constructed on top of apparently abandoned residential compounds and even on platform mounds. High quantities of obsidian, Salado Polychrome, red-on-brown decorated ceramics, and often a few Hopi yellow ware ceramics also are characteristic of sites dating to this period.

Ethnohistoric Period

When Europeans first arrived in the Phoenix area, they found no permanent occupants (Cable 1990). The basin was the contested boundary of territories used by the Yavapai, who lived to the north and west; the Apache, who occupied uplands to the east; and Akimel O'odham (Pima) villagers, who resided along the Gila River to the south. The Yuman-speaking Pee Posh (Maricopa), who migrated from the west along the lower Gila River, also joined the Akimel O'odham in the mid-nineteenth century.

Determining the relationship between these ethnohistoric groups and the preceding Hohokam has been archaeologically challenging (Bostwick and others 1996). Based on O'odham and Hopi oral traditions (for example, Bahr and others [1994]), Teague (1993) argues that social turmoil was a key factor in the final demise of the Hohokam. Although few protohistoric and historic-period Piman sites have been identified and investigated in southern Arizona, recent archaeological investigations (Bostwick and others 1996; Henderson 1995a, 1995b) suggest that there may be technological similarities between Hohokam and historic-period Piman ceramics that support a continuum from the Hohokam to the Pima, but Rea (1997:6-8) reports contradictory oral history.

Historic Period

De Niza and Coronado led expeditions through southeastern Arizona in 1539 and 1540, but Spanish colonization of southern Arizona began much later. In the late 1600s, Father Eusebio Kino established missions at Tumacacori, Guevavi, San Xavier del Bac, and San Cayetano, but Spanish settlement never expanded north of the Tucson area, except for a missionary effort among the Hopi from 1629 to 1680 (Spicer 1962:190-194).

Spanish rule of the area ended with the Mexican Revolution in 1821, but Hispanic settlers continued to live much as they had under Spanish rule although the inability of the new government to continue issuing food rations to Apaches led to renewal of conflicts. In 1848, much of what is now the American Southwest was ceded to the United States at the end of the War with Mexico, and more area south of the Gila River was acquired through the Gadsden Purchase, which was ratified in 1854 (Sheridan 2012).

The 1860s brought a mining boom and, consequently, an end to the area's relative isolation (Pry 1997). The Mexican and Anglo miners who came to the region feuded with each other over access to gold deposits, water, and timber, but they were united in their hostility toward the Apaches, who resisted the newcomers. The U.S. Army established Fort McDowell along the lower Verde River in 1865 to control Apache raiding. The conflict continued for more than a decade, but by the 1870s, most of the resisting groups had surrendered and been relocated to reservations (Carlson 1988:21-27).

The Yavapai initially tried to avoid the new settlers, but were often mistaken for Apaches. When two Yavapai boys were killed after wandering into a mining camp, the Yavapai went on the offensive. Skirmishes continued until 1872, when the Yavapai suffered a devastating defeat at Skull Cave. The Yavapai were then transferred to the reservation at Rio Verde and then moved to the San Carlos Apache Reservation until reservations were established for them in their own traditional territory (Pry 1997).

The Army and the miners created a market for food and supplies (Luckingham 1989:12-13), and farmers and ranchers soon followed. Jack Swilling, with the help of other residents of Wickenburg, a mining community 50 miles northwest of the valley, organized the Swilling Irrigating and Canal Company and in 1867 began excavating an irrigation canal amid the remnants of Hohokam canals near the location of the modern Phoenix airport. He often is referred to as the Father of Phoenix because of his efforts in restoring the agricultural splendor of the aboriginal Hohokam culture (Luckingham 1989:13-14). The success of the Swilling canal soon brought other settlers to the valley.

The Phoenix townsite was laid out in 1870. Settlement was based primarily on irrigation agriculture, but Phoenix grew to be a commercial and governmental center (Luckingham 1989; Mawn 1979). Growth and prosperity led to the designation of Phoenix as the territorial capital in 1889. By 1910, Phoenix had a population of 11,150 and was the third largest city in the territory (Sargent 1988); only Tucson and the Clifton/Morenci mining community were larger. With a population of 29,100 by 1920, Phoenix had become Arizona's largest city. The tourism industry was launched in the 1920s, but agriculture continued to dominate the economy.

Similar to the early days of Phoenix, Tempe also began as an agricultural community created by homesteaders moving into the area and developing canal systems, following those of their Hohokam predecessors on the south side of the Salt River. Charles T. Hayden established the Hayden Milling and Farming Ditch Company in November 1870. Hayden began excavating a ditch near Tempe Butte to power a flour mill. William Kirkland and James McKinney also excavated a short ditch in 1870, and in 1871 they joined forces with Hayden and the Tempe Irrigating Canal Company (originally organized as the Hardy Irrigating Canal Company) to develop the first major historic-era canal irrigation system on the south side of the river. This Tempe Canal was extended to provide outlying farmers with irrigation water as the settlement expanded. Early settlers included both Anglo-Americans and Mexican-Americans. Hispanic residents of the Barrio San Pablo (Barrio al Centro) community on the east side of Tempe worked in exchange for water rights, and secured employment with valley residents, including Charles Hayden (Aguila 1999:4; Jones 2011; Smith 1990).

In 1872, Hayden established a ferry crossing of the Salt River and a store near Tempe Butte at the north end of the modern-day Tempe downtown. Soon after, he built a flour mill, and a post office called Hayden's Ferry was established. Located about 8 miles east of Phoenix and across the river, Hayden's Ferry became an important transportation and agricultural center and the name of the settlement was changed to Tempe in 1879. Tempe became a center of education for the territory in 1885, when the state legislature appropriated funds for the Territorial Normal School at Tempe (renamed Normal School of Arizona in 1895 and then the Tempe Normal School of Arizona in 1903). The arrival of the railroad in 1887 strengthened Tempe's role as a transportation center for the Salt River Valley (Aguila 1999:3-4).

Farmers in the Tempe area and throughout the Salt River Valley benefited from increased reliability of the water supply and flood protection when Roosevelt Dam was completed in 1911, which was a major factor in Arizona achieving statehood in 1912. Tempe, however, grew much more slowly than Phoenix. From 1910 to 1930, Tempe's population increased from 1,500 to only 2,500. The community consisted largely of farmers and students at the Tempe Normal School, and agriculture dominated the economy of Tempe until after World War II.

In 1925, the Normal School was renamed the Tempe State Teacher's College, and in 1928 the Arizona State Teacher's College at Tempe, reflecting its growth. The Great Depression had relatively little adverse effect in Tempe. During the 1930s, more people moved into the city hoping to find economic success or cures for health problems. During World War II, Tempe continued as a community based in agriculture and education. The growth of businesses along the city's main street, Mill Avenue, and a small surrounding residential area stagnated due to wartime restrictions on building materials. Attendance at Arizona State Teacher's College declined as young men went to war.

Dramatic growth after the war more than made up for the minor economic slump that Tempe experienced during the war. By 1950, the Tempe population increased to 7,700—more than 160 percent greater than the 1940 population. Many military personnel, who had been stationed in Arizona during World War II, relocated to Tempe, and many became students, taking advantage of the GI Bill and crowding into Arizona State College at Tempe, as it was renamed in 1945 (and subsequently designated as ASU in 1959). The growing population after the war led to the development of new housing subdivisions, and new industrial

parks and high technology industries also came to the valley. Transportation to, from, and through Tempe remained important as the city grew. Apache Boulevard was designated as part of U.S. Highway 60, as well as a common segment of other highways, and played a key role in connecting Tempe with Phoenix to the west and Mesa to the east. Today, Tempe is Arizona's eighth largest city with a population of more than 160,000, surrounded by the numerous other cities that make up the Phoenix metropolitan area, which, with a population of almost 4.3 million, is the nation's thirteenth largest metropolitan area.

RECORDS REVIEW

Methods

A records review was conducted to compile information about prior cultural resource studies and cultural resources recorded within the power plant parcel and a surrounding area 1 mile (1.6 km) wide. The information was mapped in a geographic information system database. Digital data were obtained from the AZSITE Cultural Resource Inventory, a geographic information system database that includes records of the AZSITE Consortium members (Arizona State Museum [ASM], ASU, Museum of Northern Arizona, and SHPO), and participating agencies such as the Bureau of Land Management (AZSITE Consortium 2013). AZSITE includes information about properties listed in the Arizona Register and National Register of Historic Places (National Register). Records at ASU also were checked to follow up on a suggestion that an archaeological investigation might have been conducted prior to the development of the ASU Karsten Golf Course, adjacent to the power plant parcel, but not incorporated into the AZSITE database. The listings of the Tempe Historic Property Register (Tempe Register) and other data on the Tempe Historic Preservation Office website were checked as well. General Land Office plats on file at the Bureau of Land Management Arizona State Office and other historic maps and aerial photographs also were examined for indications of potential unrecorded historical resources. Selected reports of prior studies were reviewed.

Prior Cultural Resource Studies

Archaeological studies in the vicinity of the power plant parcel began with the 1886 to 1889 Hemenway Southwestern Archaeological Expedition (Haury 1945). The nonnative settlers who came to the Salt River Valley in the late 1860s and early 1870s recognized a large archaeological site southeast of Tempe (Hayden) Butte and in 1887 they showed it to Frank Cushing, director of the Hemenway expedition (Hinsley and Wilcox 2002). The expedition mapped a prehistoric canal in the vicinity of Tempe Butte, but did not further investigate the site (Haury 1945:40).

Perhaps as early as 1878, Herbert Patrick, a local engineer who worked for various canal companies and served as the Commissioner of Immigration for the Arizona Territory, began mapping prehistoric sites and irrigation canals in the Salt River Valley. He may have issued maps as early as 1884, but the earliest surviving one was published in 1903. That map depicts ruins of cities along what he called Ancient Canal Number 9, which was an irrigation canal that passed through the southern edge of the power plant parcel (Howard 1991:2.9, 2.17).

A quarter century later, Omar Turney (1929) first named the site southeast of Tempe Butte. On his map of prehistoric irrigation canals he labeled it La Plaza, but also referred to it as La Plaza de Tempe or Plaza Tempe in the text of his monograph on archaeological sites of the Salt River Valley. Turney (1929:88) reported that "of the three large buildings at the Plaza Tempe, nothing remains except the vestiges of the northwest one, in the edge of town," and he quoted James Goodwin, a local farmer who had worked with the Hemenway Expedition to map prehistoric sites and canals, as having described the site as a "large pueblo and many small houses" (Turney 1929:88). Turney's map depicts the large "communal or temple" building (platform mound) that remained partially intact, and a second one about 0.5 mile (0.8 km) to the southeast. His map shows the ruins of several other prehistoric buildings at the site, but they probably were only schematic (Howard 1991-2.17).

Turney's protégé, Frank Midvale, later identified the third platform mound at the site, but labeled the site on his 1966 map of Hohokam sites and canals as one of the less well known or smaller Hohokam villages. During the 1920s, Midvale and perhaps other researchers of the Gila Pueblo Archaeological Foundation recorded archaeological sites within La Plaza and on the adjacent Tempe Butte. One site also was recorded in the Pueblo Grande Museum site files in 1939 after a local resident reported excavating a cremation and an inhumation in his yard within the La Plaza site (Kelley 1939).

The record review identified 65 "modern" cultural resource studies conducted within or overlapping the records review area (**Table 1, Figure 3**). One of those studies was conducted in the late 1950s, 3 in the 1970s, 5 in the 1980s, 16 in the 1990s, and the other 40 since 2000. Those studies were conducted primarily to address cultural resource management regulations.

Table 1. Prior Cultural Resource Studies

	Project Name/Number	Project Number	Scope	Results	References
1	1958 to 1960 ASU (Michael Harner) excavations	none	1 site	1 site, AZ U:9:3(ASU) (within La Plaza)	see Kwiatkowski and Wright 2004; Bruson-Hadley 2006
2	Wells Fargo Arena data recovery excavations	none	1 site	1 site, AZ U:9:72(ASU) (within La Plaza)	Bruder 1972; Hanson 1972
3	ASU parking lot data recovery	none	1 site	1 site, AZ U:9:73(ASU) (within La Plaza)	Stark 1974
4	Rio Salado survey	none	not mapped	3 previously recorded sites (AZ U:9:3, 72, and 73(ASU), and 11 sites discovered, 2 in records review area, AZ U:9:30 and 77(ASU)	see Brunson-Hadley 2006
5	ASU Nobel Science Library data recovery	none	data recovery	1 site, AZ U:9:87(ASU) [AZ U:9:64(ASM)] (within La Plaza)	Brunson 1981
6	ASU Goldwater Building data recovery	none	data recovery	remnants of historical residential and commercial buildings	James and Rice 1988a; James 1991
7	ASU Physical Sciences Building data recovery	none	data recovery	remnants of historical residential and commercial buildings	James and Rice 1988b
8	ASU dormitory testing	none	about 1 acre	1 site, AZ U:9:95(ASU)	Rice 1989
9	ASU Parking Structure No. 5 data recovery	none	1 site	1 previously recorded site, AZ U:9:72(ASU)	Rice and James 1989; Simon 1989
10	Salt River channelization overview and survey	none	not determined	1 previously recorded site, Terraced Butte site, AZ U:9:115(ASM) [AZ U:9:77(ASU)]; 1 newly recorded site, AZ U:9:80(ASM)	Stone 1990a, 1990b
11	Tempe Carrier Annex survey	7.2962.SHPO	about 6 acres	no sites	Rodgers 1990
12	ASU Sun Devil Stadium expansion data recovery	none	data recovery	1 site, AZ U:9:72(ASU) (within La Plaza)	Stone 1991
13	Rio Salado Parkway survey	1994-166.ASM	6 acres	no sites	Foster and Turner 1994
14	Hayden Road (McKellips Road to Red Mountain Freeway) survey	1995-261.ASM, 7.3285.SHPO	23 acres	no sites	Owens 1995b
15	Tempe Butte trail improvement survey	none	not determined	1 site, Tempe Glyph site, AZ U:9:114(ASM) [AZ U:9:30(ASU)]	Loendorf 1995
16	Tempe Butte petroglyphs study	none	not determined	232 petroglyph panels	Loendorf and Loendorf 1995
17	Elias-Rodriguez House testing	none	testing	1 site, AZ U:9:165(ASM) (within La Plaza)	Jackman Jensen and others 1996
18	University Village Apartments easement survey	1997-41.ASM	<1 acre	no sites	Telles 1997

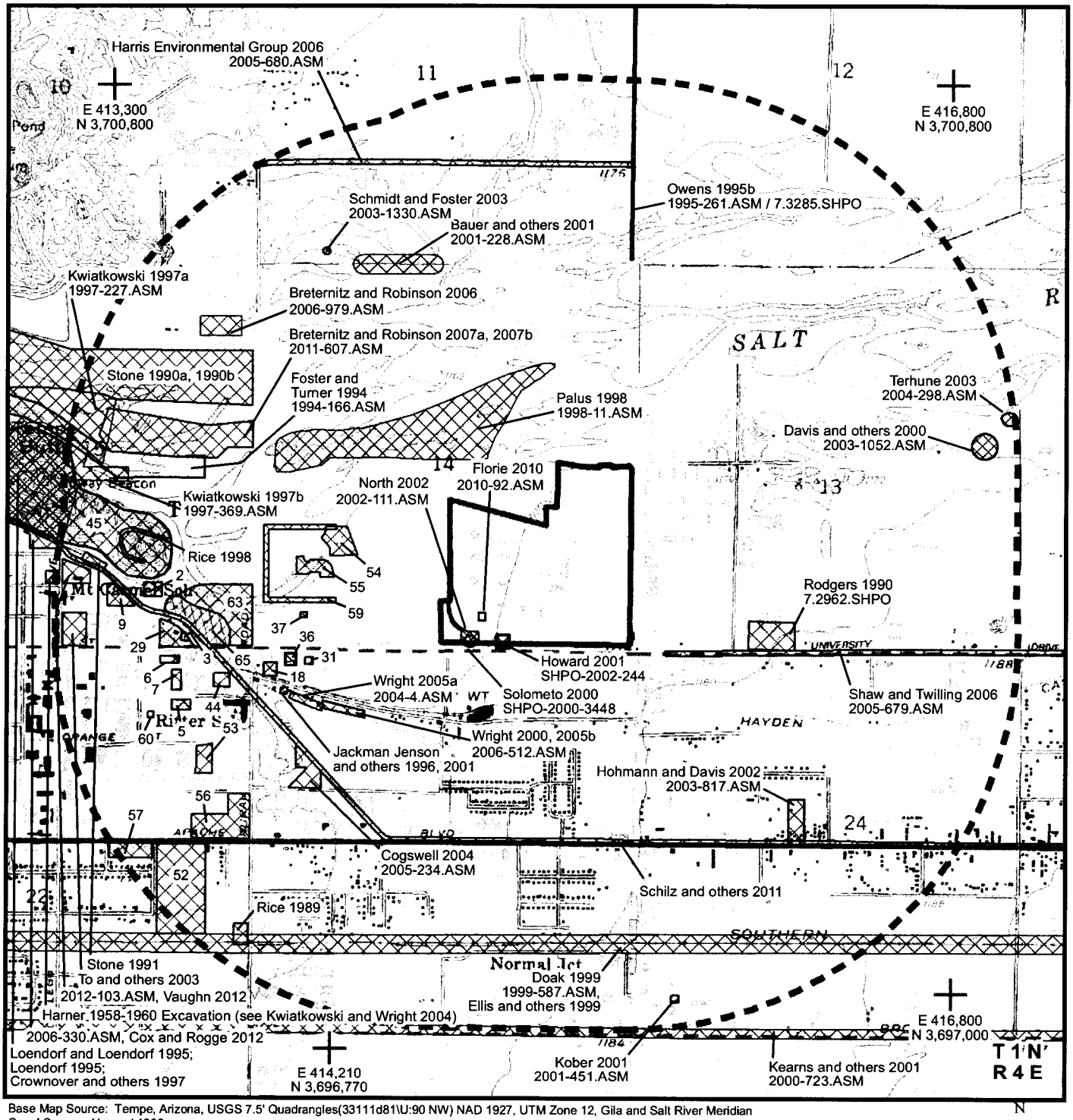
	Project Name/Number	Project Number	Scope	Results	References
19	Hayden's Ferry redevelopment area survey	1997-227.ASM	43 acres	2 previously recorded sites, Terraced Butte site [AZ U:9:115(ASM)] and Tempe Glyph site [AZ U:9:114(ASM)]	Hackbarth and Ruble 1997; Kwiatkowski 1997a
20	Rio Salado project buried power lines survey	1997-369.ASM	27 acres	no sites	Kwiatkowski 1997b
21	Tempe Butte trail improvement testing	none	not determined	1 site, Tempe Glyph site, AZ U:9:114(ASM) [AZ U:9:30(ASU)]	Crownover and others 1997
22	Peabody Hotel Group survey	1998-11.ASM	39 acres	no sites	Palus 1998
23	Tempe Butte Challenge Trail survey	none	1 acre	1 previously recorded site, Tempe Glyph site, AZ U:9:114(ASM) [AZ U:9:30(ASU), east locus]	Rice 1998
24	Pacific Bell Network fiber-optic line survey	1999-587.ASM	641 acres	7 previously recorded sites; 14 sites discovered, none in records review area	Doak 1999
25	Williams fiber-optic project survey	none	10,346 acres	138 sites, 1 in records review area, Phoenix main line, Southern Pacific Railroad [AZ T:10:84(ASM)]	Ellis and others 1999
26	AT&T NexGen/Core Project fiber-optic line survey	2000-723.ASM	866 acres	28 previously recorded sites, 1 in records review area, Phoenix main line, Southern Pacific Railroad; 8 sites discovered, none in records review area	Kearns and others 2001
27	1007-1115 E. 8th Street survey	SHPO-2000-2297	2 acres	1 previously recorded site, La Plaza [AZ U:9:165(ASM)]	Wright 2000
28	Verizon PHX-168A cell tower survey	SHPO-2000-3448	<1 acre	no sites	Solometo 2000
29	ASU 2000 North Dormitory data recovery	none	data recovery	1 site, AZ U:9:73ASU), 13 Hohokam features, including burials; 22 historical features (within La Plaza)	Jacobs 2001
30	Salt River Project PM-10 Roads survey	2001-228.ASM	80 acres	no sites	Bauer and others 2001
31	Tempe Storage cell tower survey	2001-360.ASM	<1 acre	1 previously recorded site, La Plaza [AZ U:9:165(ASM)]	Slawson 2001
32	1820 E. Broadway Road cell tower monitoring	2001-451.ASM	<1 acre	no sites	Kober 2001
33	Elias-Rodriguez House data recovery	none	data recovery	1 site, AZ U:9:165(ASM) (within La Plaza)	Jackman Jensen and others 2001
34	El Paso Natural Gas 2214 line pig-launcher survey	2002-111.ASM	1.5 acres	no sites	North 2002
35	Ocotillo Power Plant cell tower survey	SHPO-2002-244	<1 acre	no sites	Howard 2001
36	ASU Two cell tower survey	SHPO-2002-1273	<1 acre	no sites	Adamson and Dobschuetz 2002
37	World Gym cell tower survey	SHPO-2002-2828	<1 acre	no sites	Slawson 2002
38	1934 to 1944 E. Apache Boulevard survey	2003-817.ASM	2.8 acres	no sites	Hohmann and Davis 2002
39	Rio Salado Parkway/ McClintock Drive cell tower survey	2003-1052.ASM	<1 acre	no sites	Davis and others 2000
40	Sun Devil communications tower survey	2003-1330.ASM	<1 acre	no sites	Schmidt and Foster 2003
41	ASU Alumni Foundation data recovery	none	3 acres	2 sites, AZ U:9:265 and 266(ASM)	To and others 2003
42	Dos Gringos project monitoring	2004-4.ASM	monitoring	1 site, La Plaza [AZ U:9:165(ASM)]	Wright 2005a
43	Rio Salado cell tower survey	2004-298.ASM	1 acre	no sites	Terhune 2003
44	ASU Research Services Laboratory discovery	none	<1 acre	Hohokam midden, roasting pit, and inhumation (within La Plaza)	Rice 2004
45	Tempe Butte review and survey	none	17 acres	17 locations within previously recorded Tempe Butte site, AZ U:9:30(ASU)	Kwiatkowski and Wright 2004

	Project Name/Number	Project Number	Scope	Results	References
46	Lemon Street and Terrace Road survey	2005-234.ASM	2.75 acres	1 previously recorded site, AZ U:9:165(ASM), and 1 site discovered, AZ U:9:269(ASM)	Cogswell 2004
47	University Drive (Casitas Drive to Evergreen Road) survey	2005-679.ASM	not determined	1 previously recorded site, La Cuenca del Sedimento [AZ U:9:68(ASM)]	Shaw and Twilling 2006
48	Curry Road (Scottsdale Road to McClintock Drive) survey	2005-680.ASM, SHPO-2006-1546	5 acres	no sites	Harris Environmental Group 2006
49	Tempe Transportation Center data recovery	2006-330.ASM	data recovery	1 site, La Plaza [AZ U:9:165(ASM)]	Cox and Rogge 2012
50	El Adobe Apartments data recovery	2006-512.ASM	data recovery	1 site, La Plaza [AZ U:9:165(ASM)]	Wright 2005b
51	Club Rio survey	2006-979.ASM	15 acres	no sites	Breternitz and Robinson 2006
52	ASU south campus dormitory, testing	none	about 15 acres	no sites	Steinbach 2006
53	ASU Interdisciplinary Science and Technology Building IV testing	2008-389.ASM	5 acres	1 site, AZ U:9:284(ASM)	Steinbach, Watkins, and Bustoz 2008
54	ASU new recreation field testing	none	4 acres	no sites	Steinbach and Watkins 2008a
55	ASU Weatherup Athletic Center testing	none	2 acres	no sites	Steinbach and Watkins 2008b
56	ASU Barrett Honors College testing and data recovery	none	9 acres	1 site, AZ U:9:281(ASM)	Steinbach, Watkins, and Rice 2008
57	Ocotillo Hall data recovery	none	3 acres	1 site, AZ U:9:296(ASM)	Bustoz and Cureton 2009
58	Patriot cell tower surveys	2010-92.ASM	<1 acre	no sites	Florie 2010
59	ASU athletic fields water line monitoring	none	<1 acres	no sites	Rockhill and Rice 2010
60	ASU high resolution transmission electron microscope facility testing	none	<1 acre	no sites	Steinbach and Rice 2010
61	Marina Heights survey	2011-607.ASM	36 acres	no sites	Breternitz and Robinson 2007a, 2007b
62	Valley Metro light rail data recovery	none	data recovery	29 sites, 1 in records review area, La Plaza [AZ U:9:165(ASM)]	Schilz and others 2011
63	ASU Alpha Drive development data recovery	none	11 acres	1 site, La Plaza [AZ U:9:165(ASM)]	Rice and others 2011
64	Beal Derkenne housing development monitoring	2012-103.ASM	monitoring	1 site, La Plaza [AZ U:9:165(ASM)]	Vaughn 2012
65	ASU South Alpha Drive development data recovery	none	6 acres	1 site, La Plaza [AZ U:9:165(ASM)]	Rice 2013

NOTE: ASU = Arizona State University

Four of the prior studies were within the power plant parcel, but all were of limited scope. Three were surveys for wireless telecommunications facilities (Florie 2010; Howard 2001; Solometo 2000) and the other was for a natural gas pipeline pig-launcher facility (North 2002). All together those surveys probably covered fewer than 2 acres (0.8 hectare) in the southwest corner of the parcel. None discovered any archaeological or historical sites, but the areas surveyed were highly disturbed by development.

Dr. Alfred Dittert of the ASU faculty directed a survey by students in 1977 for the proposed Rio Salado project. That survey is not included in the AZSITE database, but a summary report indicates undeveloped areas east and west of the power plant were surveyed and no sites were discovered (Brunson-Hadley 2006).



Base Map Source: Tempe, Arizona, USGS 7.5' Quadrangles(33111d81\U:90 NW) NAD 1927, UTM Zone 12, Gila and Salt River Meridian
Canal Source: Howard 1992

0 1,000 2,000 3,000 Feet
0 250 500 750 1,000 Meters

Study Caption

Numbered same as Table 1.
Prior Cultural Resource Studies

2 Bruder 1972; Hanson 1972
3 Stark 1974
5 Brunson 1981
6 James and Rice 1988a; James 1991
7 James and Rice 1988b
9 Rice and James 1989; Simon 1989
18 1997-41.ASM, Telles 1997
29 Jacobs 2001
31 2001-360.ASM, Slawson 2001
36 SHPO-2002-1273, Adamson and Dobschuetz 2002
37 SHPO-2002-2828, Slawson 2002
44 Rice 2004
45 Kwiatkowski and Wright 2004
52 Steinbach 2006
53 2008-389.ASM, Steinbach, Watkins, and Bustoz 2008
54 Steinbach and Watkins 2008a
55 Steinbach and Watkins 2008b
56 Steinbach, Watkins, and Rice 2008
57 Bustoz and Cureton 2009
59 Rockhill and Rice 2010
60 Steinbach and Rice 2010
63 Rice and others 2011
65 Rice 2013

Legend

Prior Project (any hatch pattern and color)
Project Parcel
Records Review Area

Prior Cultural Resource Studies
Figure 3

The results of that survey were used in 1988 to determine that no archaeological resources would be affected by the then proposed development of the ASU Kartsten Golf Course and no additional survey was conducted (Arleyn Simon, Archaeological Research Institute, ASU, personal communication, 17 July 2013.) After the development of the Tempe Town Lake, more recent surveys covered about 75 acres (30.4 hectares) on the south side of the lake and northwest of the power plant parcel, and found no sites (Breternitz and Robinson 2007a, 2007b; Palus 1998).

Many of the other prior studies were archaeological excavations in conjunction with construction of buildings on the ASU campus or other nearby commercial and residential developments. Many of those investigated areas were assigned individual site numbers but are now considered part of the La Plaza site. Two recent excavations conducted to mitigate impacts of constructing the light rail transit project (Schilz and others 2011) and the Tempe Transportation Center adjacent to the light rail (Cox and Rogge 2012) discovered many house remnants and burials reflecting long-term habitation of the La Plaza site.

Five other archaeological excavation and monitoring projects within approximately 0.5 mile (0.8 km) to the west of the power plant parcel are of more direct relevance because they are on the same river terrace—the Lehi terrace, which is only about 5 feet (1.5 m) above the bed of the Salt River (Wellendorf and others 1986). The evidence of habitation at the La Plaza site is on the higher Mesa river terrace, which is about 10 to 15 feet (3.0 to 4.5 m) above the river bed. Three of those projects documented that the migrating river channel had scoured away part of the Lehi terrace east of Tempe Butte during the early twentieth century (Rockhill and Rice 2010; Steinbach and Watkins 2008a, 2008b). (That scouring did not extend eastward far enough to reach the power plant parcel.) Another project overlapped the scoured channel and the Lehi terrace and found a canal, field house, hearth, and two pits, and documented Hohokam use of the low terrace for farming (Rice and others 2011). The fifth project overlapped the boundary between the Lehi and Mesa terrace and found evidence of Hohokam farming on the Lehi terrace, and evidence of more permanent habitation confined to the higher Mesa terrace (Rice 2013).

Three other excavations conducted in conjunction with development of ASU facilities designated three small archaeological sites just south of the La Plaza site and those too reflect field activities (Bustoz and Cureton 2009; Rice 1989; Steinbach and others, eds. 2008). Other recent studies involved survey on Tempe (Hayden) Butte, which the City of Tempe has designated as a preserve and was recently listed in the National Register.

Several other prior studies were surveys of narrow corridors for street or utility projects, and most of those identified no archaeological sites. Kwiatkowski and Wright (2004), Wright (2005b), Punzmann (2011), and Cox and Rogge (2012) provide more detailed reviews of prior studies in the area.

Previously Recorded Archaeological Resources

The records review identified 15 archaeological sites recorded within the records review area (**Table 2**, refer to Figure A-1). Nine of the sites, however, are now considered part of the single large site of La Plaza/Barrio San Pablo, which is designated AZ U:9:165(ASM). Two others can be considered part of a consolidated Tempe Butte site.

Howard's (1991) map of major Hohokam sites and canals plotted the La Plaza site as covering a vast area about 0.6 mile (1 km) wide and 1.6 miles (2.5 km) long, south and southwest of the power plant parcel. [Rice and others (2011) documented that flood flows during the early to middle twentieth century eroded away part of the La Plaza site east of Tempe Butte, and adjusted the site boundary to eliminate the eroded part of the site that is no longer extant.] Howard also mapped three platform mounds within the site, which along with its size, suggests La Plaza must have been one of the most important Hohokam villages in the Phoenix basin. Urban development now masks the site and the size of the site and information about the platform mounds remains ambiguous.

Table 2. Archaeological Sites Recorded in the Records Review Area

	Site Name/Number	Affiliation and Age	Site Type	National Register of Historic Places Status	Reference
1	AZ U:9:3(ASU), within La Plaza site	Hohokam, Classic period	village	excavated in 1958 to 1960, no longer extant	see Kwiatkowski and Wright 2004; Brunson-Hadley 2006
2	AZ U:9:20(PG), within La Plaza site	Hohokam	1 cremation, 1 inhumation	burials excavated, no longer extant	Kelley 1939
3	AZ U:9:64(ASM) [AZ U:9:87(ASU)], within La Plaza site	Hohokam; Euro-American	3 Hohokam inhumations, 1 cremation, 3 canals, potsherds, flaked stone, and ground stone; historical house foundation and artifacts	excavated, no longer extant	Brunson 1981
4	La Cuenca del Sedimento, AZ U:9:68(ASM)	Hohokam	farmstead/field house and canals (Las Acequias/Los Muertos system)	excavated, no longer extant	Masse 1987; Ackerly and Henderson 1989; Henderson 1989
5	AZ U:9:72(ASU), within La Plaza site	Hohokam	11 pit houses, cemetery area	excavated, no longer extant	Bruder 1972; Hanson 1972; Stone 1991
6	AZ U:9:73(ASU), within La Plaza site	Hohokam	burials, potsherds, flaked stone, ground stone, and shell	excavated, no longer extant	Stark 1974
7	AZ U:9:95(ASU)	Hohokam; Euro-American	2 Hohokam canals; early twentieth-century trash pit	excavated, no longer extant	Rice 1989
8	Tempe Glyph site, AZ U:9:114(ASM), AZ U:9:30(ASU), Mesa 1:4 and 9 (GP), within Tempe Butte site	Hohokam	petroglyphs	eligible, Criterion D	Kwiatkowski and Wright 2004
9	Terraced Butte site, AZ U:9:115(ASM), AZ U:9:77(ASU), Mesa 1:5 (GP), within Tempe Butte site	Hohokam	habitation, petroglyphs, and terraced gardens	eligible, Criterion D	Kwiatkowski and Wright 2004
10	La Plaza/Barrio San Pablo (al Centro), AZ U:9:165(ASM)	Hohokam; Euro-American	Hohokam village; historical Hispanic neighborhood	eligible, Criterion D	Jackman Jensen and others 1996; Wright 2005a; 2005b; Schilz 2011; Cox and Rogge 2012
11	AZ U:9:265(ASM), within La Plaza site)	historic Mexican American	2 house floors, 4 foundations, trash pits within Barrio San Pablo	eligible, Criterion D, excavated, no longer extant	To and others 2003
12	AZ U:9:266(ASM), within La Plaza site)	Hohokam	2 hearths and artifact scatter	eligible, Criterion D, excavated, no longer extant	To and others 2003
13	AZ U:9:281(ASM)	Hohokam	24 features, including 2 field houses, 2 canals, pits, and 3 burials	eligible, Criterion D, excavated, no longer extant	Steinbach and others, eds. 2008
14	AZ U:9:284(ASM), within La Plaza site	Hohokam	3 hearths, 1 trash pit, artifact scatter	excavated, no longer extant	Steinbach and others 2008
15	AZ U:9:296(ASM)	Hohokam	field area with 3 trash pits, 1 thermal pit, 1 puddling pit	eligible, Criterion D, excavated, no longer extant	Bustoz and Cureton 2009

Although only a small percentage of La Plaza has been excavated, the evidence indicates it was a sizeable village occupied from the Pioneer through the Classic periods. La Plaza, however, generally is not considered among the primary Hohokam villages of the Salt River Valley, which include Los Muertos, Pueblo Grande, Mesa Grande, and Las Colinas.

Rice and Jacobs (2001) pointed out that almost all evidence of habitation at La Plaza is limited to the area along Canal Tempe, the name that Midvale (1966) gave to the main irrigation canal that passed through the

northern part of the site. They argued that inclusion of the southern two-thirds or more of the site, which probably was only fields, exaggerated the size of the village.

Recent investigations along the light rail transit corridor through the entire length of the site found many archaeological features in the northwestern part of the site, consistent with prior studies, but almost nothing in the southeastern two-thirds (Punzmann 2011). Although the light rail transit investigations found no evidence of the platform mound that Turney had described as partially intact in the 1920s, the study did identify maps and aerial photographs that documented where remnants of the mound had survived into the 1950s and the light rail transit excavations found numerous archaeological features in the vicinity to support the documentary evidence. In contrast, that study found no evidence of the second platform mound even though the study corridor passed through the area where Turney had mapped the mound (Stuart 2011).

The La Plaza site includes not only remnants of a Hohokam village, but also features of the historic Barrio San Pablo (also known as Barrio al Centro). The La Plaza site has been evaluated as eligible for the National Register under Criterion D for its potential to yield important information.

Numerous sites also have been designated on Tempe Butte, and are currently consolidated in the AZSITE database as the Tempe Glyph site, AZ U:9:114(ASM) and the Terraced Butte site, AZ U:9:115(ASM). Those two sites also can be considered part of a large site encompassing virtually all of Tempe Butte. About 59 acres (23.9 hectares) of the butte, which is owned by the City of Tempe, was listed in the National Register under Criteria C and D in 2011, and the city has designated that part of the butte as the Hayden Butte Park Preserve.

Three other archaeological sites recorded just south of the La Plaza site (refer to Figure A-1), as well as the result of the light rail transit investigations in the southeastern part of the site, lend support to the observation of Rice and Jacobs (2001) that much of the southern and southeastern part of the La Plaza site is probably limited to fields rather than permanent habitation. Two Hohokam canals and a twentieth-century trash pit were identified at site AZ U:9:95(ASU) (Rice 1989). Features documented at site AZ U:9:281(ASM), a Hohokam field house site, included two field houses, two canals, use surfaces, pits, two cremations (subadult and young adult), and an infant inhumation (Steinbach and others, eds. 2008). Three trash filled pits, a thermal pit, and a puddling pit were documented at site AZ U:9:296(ASM), which also was interpreted as a field activity area (Bustoz and Cureton 2009).

The other recorded site in the records review area is La Cuenca del Sedimento, AZ U:9:68(ASM). That site, which is about 1 mile (1.6 km) southeast of the power plant parcel, is a Classic Period Hohokam farmstead or field house site with irrigation canal features that are part of the Las Acequias/Los Muertos system (Ackerly and Henderson 1989; Henderson 1989; Masse 1987).

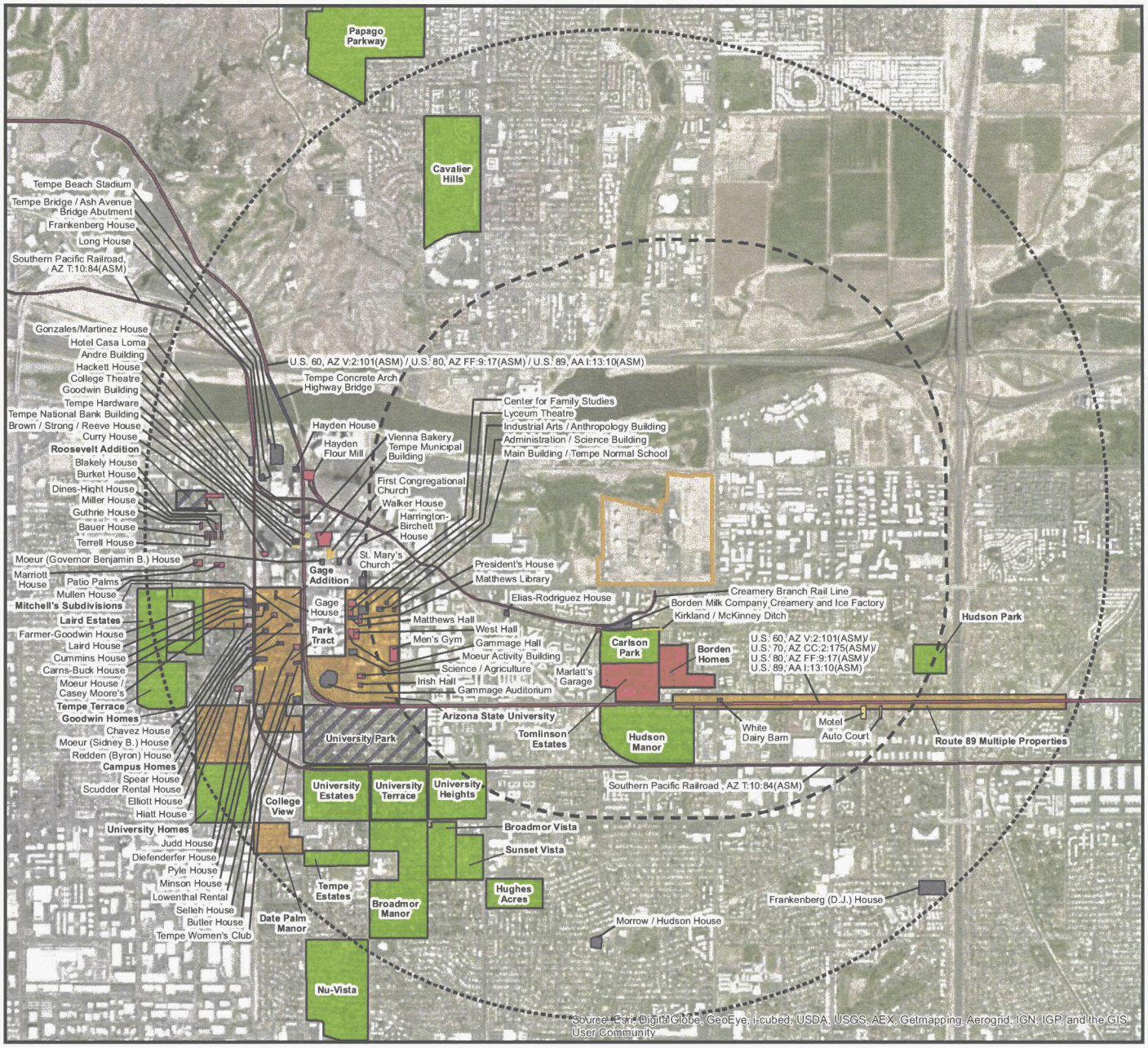
Howard's (1991) map indicates that the northernmost canal that supplied water to La Plaza passed through the southern part of the power plant parcel (refer to Figure A-1). Although construction of the power plant and other facilities have involved considerable ground disturbance, it is possible that remnants of the relict canal might be buried within the parcel.

Previously Recorded Historic Buildings, Structures, and Districts

In addition to archaeological resources, the records review compiled information about historic buildings, structures, and districts.

Historic Resources within 1 Mile

The review identified 24 historic buildings, structures, and districts recorded within 1 mile (1.6 km) of the power plant parcel (**Figure 4, Table 3**).



Legend

- Power Plant
- 1-Mile Records Review Area
- 2-Mile Records Review Area

District

- Listed in National Register of Historic Places
- Listed in Tempe Historic Property Register
- Eligible for National Register of Historic Places or Tempe Historic Property Register
- Warrants Further Consideration

Individual Property

- Listed in National Register of Historic Places
- Listed in Tempe Historic Property Register
- Eligible for National Register of Historic Places or Tempe Historic Property Register

Aerial Source: Esri, DigitalGlobe, GeoEye, i-cubed, USDA, USGS, AEX, Getmapping, Aerogrid, IGN, IGP, and the GIS User Community, use authorized by Esri license

0 500 1,000 1,500 Meters

0 0.5 1 Miles

Historic Buildings, Structures, and Districts
Figure 4

Table 3. Historic Buildings, Structures, and Districts within 1 Mile

	Site Name/Number	Description	National Register Status	Reference
Listed in the National Register				
1	Administration/Science Building (Arizona State University District)	Richardsonian Romanesque-style building constructed in 1909, 451 E. University Drive	listed, Criteria A and C	Ryden 1997
2	Borden Milk Company Creamery and Ice Factory	Mission Revival-style dairy building built in 1892, 1300 to 1360 E. 8th Street	listed, Criteria A and C	Janus Associates 1983; Ryden 1997
3	Elias-Rodriguez House AZ U:9:165(ASM)	Sonoran-style/ vernacular adobe house built circa 1882, 927 E. 8th Street	listed, Criterion C; also listed in Tempe Register	Janus Associates 1983; Ryden 1997
4	Industrial Arts, Anthropology Building (Arizona State University District)	Neoclassical Revival-style building constructed in 1914, 900 S. College	listed, Criteria A and C	Ryden 1997
5	Main Building, Tempe Normal School (Old Main) (Arizona State University District)	Victorian-style building with Queen Anne characteristics built between 1894 and 1898, 400 E. Tyler	listed, Criteria A and C	Ryden 1997
6	President's House/Arizona State University Archives, (Arizona State University District)	Colonial Revival-style residence constructed in 1907, 450 E. Tyler	listed, Criterion C	Ryden 1997
7	St. Mary's Church/Our Lady of Mt. Carmel Catholic Church, AZ U:9:62(ASM)	Romanesque-style church constructed in 1903, 230 E. University Drive	listed, Criterion C; also listed in Tempe Register	Janus Associates 1983; Ryden 1997
8	University Park District	1946 to 1956 residential subdivision roughly bounded by Apache Boulevard, railroad, Mill Avenue, and McAlister	listed, Criteria A and C	Solliday 2001
9	White (E.M.) dairy barn	river-cobble dairy barn constructed in 1919; converted to commercial use in the 1930s, 1810 E. Apache Boulevard	listed, Criterion A; also listed in Tempe Register	Janus Associates 1983; Ryden 1997
Determined Eligible for the National Register				
10	Arizona State University District	educational buildings approximately bounded by S. Palm Walk, Mill Avenue, Forest Avenue, University Drive, Gammage Parkway, and Apache Boulevard	7 listed properties, 8 individually eligible properties, 1 contributor	Ryden 1997
11	Creamery Branch rail line [Phoenix, Tempe, & Mesa Railway; Phoenix & Eastern Railroad]	railroad lines built in 1895 and 1903	eligible, Criterion A, but little remains extant	Rogge and others 2002
12	Marlatt's Garage	commercial box-style building constructed in 1922, 1249 E. 8th Street	individually eligible, Criteria A and C	Ryden 1997
13	Men's Gym (Arizona State University District)	gymnasium with characteristics of the International style, constructed in 1927, 451 E. Orange	individually eligible, Criterion C	Ryden 1997
14	Phoenix main line, Southern Pacific Railroad AZ T:10:84(ASM)	1926 railroads built to serve Phoenix	eligible, Criterion A	Harmon and Beyer 1995
15	Route 89 Multiple Properties	6 buildings along U.S. Highway 89 associated with tourism (4 automobile courts, 1 motel, 1 tavern)	eligible, Criterion A	Ryden 1997
16	Tempe Canal/Hayden Canal/ Kirkland/McKinney Ditch, AZ U:9:189(ASM)	water power and irrigation canal excavated in 1870, mostly converted to buried pipe, but segment along old 8th Street between Gary Drive and Una Avenue remains open and designated by Salt River Project for preservation	eligible (as part of Salt River Project), Criterion A, open segment listed in Tempe Register as Kirkland/McKinney Ditch	Ryden 1997; Aguila 1998; Kwiatkowski 1999; Schilz 2011; Cox and Rogge 2012

Table 3. Historic Buildings, Structures, and Districts within 1 Mile

	Site Name/Number	Description	National Register Status	Reference
17	U.S. Highway 60 AZ V:2:101(ASM) U.S. Highway 70 AZ CC:2:175(ASM) U.S. Highway 80 AZ FF:9:17(ASM) U.S. Highway 89 AZ I:3:10(ASM)	multiplexed segment of 1912 to 1950 state highway system	eligible, Criterion D	Spalding and Lefthand 1995; Wright 1992, 1994; Wright and Woodall 1993; ADOT 2002
Tempe Register-Listed				
18	Borden Homes Subdivision	1947 to 1957 residential subdivision roughly bounded by Apache Boulevard, Orange Street, McClintock Drive, and alley west of Una Avenue	listed in Tempe Register	Solliday 2001
19	Tomlinson Estates Historic District	1950 to 1953 residential subdivision roughly bounded by Apache Boulevard, Lemon Street, Dorsey Road, and the alley west of Una Drive	listed in Tempe Register	Solliday 2001
Tempe Register- Warrant Further Consideration				
20	Carlson Park	1957 to 1959 residential subdivision bounded roughly by 8th Street, Don Carlos, Dorsey, and alley west of Una Avenue	potentially eligible, Criteria A and C	Solliday 2001
21	Hudson Manor	1948 to 1955 residential subdivision bounded roughly by Apache Boulevard and Union Pacific Railroad, Dorsey, and Elm Street	potentially eligible, Criteria A and C	Solliday 2001
22	Hudson Park	1958 to 1959 residential subdivision bounded roughly by Howe Street, Orange Street, Price Road, and Lola Lane	potentially eligible, Criteria A and C	Solliday 2001
23	University Heights	1954-1960 residential subdivision bounded roughly by Broadway Road and the Union Pacific Railroad, Rural Road, and Ventura Drive	potentially eligible, Criteria A and C	Solliday 2001
Unevaluated				
24	AZ U:9:269(ASM)	adobe house and outbuilding at 1010 Lemon Street, built circa 1906	demolished	Cogswell 2004

NOTES: National Register = National Register of Historic Places, Tempe Register = Tempe Historic Property Register, ADOT = Arizona Department of Transportation, ASU = Arizona State University

Nine of those are listed in the National Register. The closest to the power plant parcel are the Borden Milk Company Creamery and Ice Factory (Criteria A and C) and the Elias–Rodriguez House (Criterion C), which are about 0.1 and 0.4 mile (0.2 and 0.6 km) to the south and southwest, respectively. Five others are almost 1 mile (1.6 km) west of the power plant parcel, and include four listed buildings within the ASU District (Criterion C or Criteria A and C). The district has been evaluated as eligible but has not been listed. St. Mary's Church (Criterion C), adjacent to the district, also is listed. The other listed building is the White Dairy Barn (Criterion C) on Apache Boulevard, about 0.5 mile (0.8 km) south of the power plant parcel. The barn has been converted to commercial use and also is listed in the Tempe Register. The residential University Park District, developed between 1946 and 1956, is listed in the National Register under Criteria A and C. The district is about 1 mile (1.6 km) southwest of the power plant parcel.

Eight other properties within 1 mile have been determined to be eligible for the National Register. The closest to the power plant parcel is the Creamery Branch rail line (Criterion A). A spur line from the Creamery Branch used to deliver fuel to the Ocotillo Power Plant, but the spur, along with the rest of the line, has been abandoned. Only a few segments of the track south of University Drive remain partially intact. The Phoenix Main Line of the Southern Pacific Railroad (which continues to be operated by Union Pacific) also has been evaluated as eligible (Criterion A).

The Tempe Canal (Hayden Canal, Kirkland-McKinney Ditch) has been evaluated as eligible (Criterion A), but most of the canal in the vicinity of the power plant parcel has been buried in pipe. The exception is an open segment about 0.2 mile (0.3 km) south of the power plant parcel, which is considered eligible for the National Register as part of the Salt River Project system and is listed in the Tempe Register. The Salt River Project has designated that segment for preservation as an open ditch.

The Arizona Department of Transportation (2002) and SHPO previously agreed that the multiplexed U.S. Highways 60, 70, 80, and 89, as a component of the historic state highway system developed between statehood in 1912 and 1955, is eligible for the National Register under Criterion D for its potential to yield important information. The multiplexed highway, designated in Tempe as Apache Boulevard, is about 0.5 mile (0.8 km) south of the power plant parcel. Six buildings along the highway also have been evaluated as National Register eligible (Criterion A) because of their association with tourism.

The men's gym and the ASU District within which it is located also have been evaluated as National Register eligible. They are almost 1 mile (1.6 km) west of the power plant parcel. Marlatt's Garage, a commercial building constructed in 1922 about 0.2 mile (0.3 km) south of the power plant parcel, also has been evaluated as eligible for the National Register.

Two residential subdivisions are listed in the Tempe Register as historic districts. Borden Homes was developed between 1947 and 1957 and Tomlinson Estates was developed between 1950 and 1953 about one-fourth to one-third mile (0.4 to 0.5 km) south of the power plant parcel. The Tempe Historic Preservation Office has identified four other post-World War II subdivisions as warranting further evaluation as candidates for the Tempe Register. Those include Carlson Park, about 0.2 mile (0.3 km) south of the power plant parcel, and Hudson Manor, Hudson Park, and University Heights, which are more distant. An adobe house and outbuilding [designated AZ U:9:269(ASM)] reportedly constructed around 1906 were recorded about 0.5 mile (0.8 km) southwest of the power plant parcel. No documentation of the eligibility evaluation of that property or treatment of that property was identified, but a recent aerial photograph indicates the buildings have been demolished.

Historic Resources within 1 to 2 Miles

Project impacts on properties evaluated as register eligible for their potential to yield information usually can be satisfactorily mitigated by recovery and preservation of that information. Historic components of the built environment commonly are evaluated as eligible for other values and often there is more sentiment for preserving those properties in place and avoiding adverse impacts to such resources. Because modifications of the Ocotillo Power Plant might be perceived as having potential indirect impacts on the historic built environment beyond 1 mile, additional information about historic resources listed in or evaluated as eligible for the National Register and Tempe Register was compiled for the area between 1 and 2 miles from the power plant parcel. The review identified 87 additional properties, almost all of which are on the ASU campus or in the historic core of Tempe west of the power plant parcel (**Table 4**, refer to Figure 4).

Table 4. Historic Buildings, Structures, and Districts within 1 to 2 Miles

	Site Name/Number	Description	National Register Status	Reference
Listed in the National Register				
1	Andre Building, AZ U:9:58(ASM)	1900 Victorian/Neoclassical-style building, 401-403 S. Mill Avenue	listed, Criteria A and C	Janus Associates 1983
2	Elliott House	1929 Bungalow-style residence, 1010 S. Maple Avenue	listed, Criterion C	Janus Associates 1983; Ryden 1997
3	Farmer-Goodwin House, Goodwin Homes District	1886 adobe residence, 820 S. Farmer Avenue	listed, Criteria A and C; listed in Tempe Register	Janus Associates 1983; Ryden 1997

Table 4. Historic Buildings, Structures, and Districts within 1 to 2 Miles

	Site Name/Number	Description	National Register Status	Reference
4	Frankenberg House, AZ U:9:60(ASM)	1920 Neoclassical-style residence constructed with rusticated concrete block, moved to 150 S. Ash, originally at 129 E. University Drive	listed, Criterion C	Janus Associates 1983
5	Frankenberg (D.J.) House	1915 Western Colonial box-style residence, 2222 S. Price Road	listed Criteria B and C; listed in Tempe Register	Janus Associates 1983
6	Gammage (Grady) Auditorium, ASU District	1964 performing arts center designed by Frank Lloyd Wright, 1200 S. Mill Avenue	listed, Criterion C	Ryden 1997
7	Gonzales/Martinez House	1880 National Folk-style residence, 302 W. 1st Street	listed, Criterion C	Janus Associates 1983; Ryden 1997
8	Goodwin Building	1907 panel brick commercial-style building, 512-518 S. Mill Avenue	listed, Criteria B and C; listed in Tempe Register	Janus Associates 1983; Ryden 1997
9	Hackett (Roy) House, Tempe (Hildge) Bakery, AZ U:9:49(ASM)	1888 panel brick commercial-style building, 401-405 W. 4th Street	listed, Criteria A and C; listed in Tempe Register	Janus Associates 1983; Ryden 1997
10	Harrington-Birchett House	1895 Victorian/English Tudor Revival-style residence, 202 E. 7th Street	listed, Criterion C	Janus Associates 1983; Ryden 1997
11	Hayden (Charles T.) House, La Casa Vieja	1873 Mexican row house, 3 W. 1st Street	listed, Criteria A and C; listed in Tempe Register	Janus Associates 1983; Ryden 1997
12	Hiatt House, Park Tract District	1928 Georgian-style residence with Bungalow characteristics, 1104 Ash Avenue	listed, Criterion C; listed in Tempe Register	Janus Associates 1983; Ryden 1997
13	Laird (Hugh) House	1908 Neocolonial-style residence, 821 S. Farmer Avenue	listed, Criteria B and C	Janus Associates 1983; Ryden 1997
14	Long (Samuel C.) House	1910 Colonial Revival-style residence, moved to 150 S. Ash, originally at 27 E. 6th Street	listed, Criterion C	Janus Associates 1983
15	Matthews Hall, ASU District	1918 Neoclassical/Prairie School-style dormitory, 951 S. Forest	listed, Criteria A and C	Ryden 1997
16	Moeur (B.B.) Activity Building, ASU District	1939 Federal Moderne-style building, 201 E. Orange	listed, Criteria A and C	Ryden 1997
17	Moeur (Governor Benjamin B.) House	1892 Western Colonial box/Bungalow-style residence, (remodeled from Victorian style), 34 E. 7th Street	listed, Criterion B; listed in Tempe Register	Janus Associates 1983; Ryden 1997
18	Moeur (William A.) House, Gage Addition District	1910 Colonial Revival-style residence with Bungalow characteristics, 850 Ash Avenue	listed, Criterion B; listed in Tempe Register	Janus Associates 1983; Ryden 1997
19	Morrow (Thomas)/Hudson (E.W.) House	1904 Queen Anne Victorian-style residence, 1203 E. Alameda Drive	listed, Criteria B and C	Janus Associates 1983; Ryden 1997
20	Mullen (C.P.) House, Gage Addition Historic District	1924 Georgian Revival-style residence, 918 S. Mill Avenue	listed, Criterion C	Janus Associates 1983; Ryden 1997
21	Redden (Byron) House, Gage Addition District	1918 Bungalow-style residence, 948 Ash Avenue	listed, Criterion C	Janus Associates 1983; Ryden 1997
22	Roosevelt Addition District	1946 to 1950 subdivision on 3rd Street cul-de-sac west of Roosevelt Street	listed, Criterion C; listed in Tempe Register	Solliday, Jones, and Vargas 2009
23	Scudder (B.H.) Rental House	1919 prefabricated Bungalow-style residence, 919 S. Maple Avenue	listed, Criterion C	Janus Associates 1983; Ryden 1997
24	Selleh House	1940 Ranch-style residence, 1104 S. Mill Avenue	listed, Criteria B and C; listed in Tempe Register	Ryden 1997
25	Tempe Beach Stadium	1937 terraced, river-cobble bleachers, Ash Avenue at 1st Street	listed, Criterion A; listed in Tempe Register	Ryden 1997

Table 4. Historic Buildings, Structures, and Districts within 1 to 2 Miles

	Site Name/Number	Description	National Register Status	Reference
26	Tempe Concrete Arch Highway Bridge (Mill Avenue Bridge)	1931 reinforced concrete, arched bridge, Mill Avenue at Rio Salado	listed, Criterion A; listed in Tempe Register	Ryden 1997
27	Tempe Hardware/Curry Hall/ I.O.O.F. Hall	1898 Late Victorian/panel brick commercial style building, 520 S. Mill Avenue	listed, Criteria A and C; listed in Tempe Register	Janus Associates 1983; Ryden 1997
28	Tempe Bridge/Ash Avenue Bridge abutment, 7.135.SHPO	1913 historic bridge abutment, 1st Street and old Ash Avenue	listed, Criteria A and C; listed in Tempe Register	Ryden 1997
29	Tempe Women's Club, Park Tract District	1936 adobe clubhouse, 1290 S. Mill Avenue	listed, Criterion A; listed in Tempe Register	Janus Associates 1983; Ryden 1997
30	Vienna Bakery	1893 Spanish Colonial Revival-style building (originally Victorian style), 415 S. Mill Avenue	listed, Criteria A and C	Janus Associates 1983
31	Walker (Harry) House	1903 Neocolonial-style residence, 118 E. 7th Street	listed, Criterion C	Janus Associates 1983; Ryden 1997
Listed in the Tempe Register				
32	Carns-Buck House, Farmer's Addition District MPAEXP-9877	1914 Bungalow-style residence, 902 S. Farmer Avenue	listed in Tempe Register	Janus Associates 1983; Ryden 1997
33	Butler (Gray) House, Park Tract Historic District	1939 Ranch-style residence, 1220 S. Mill Avenue	listed in Tempe Register	Ryden 1997
34	College (Valley Art) Theater	1938 panel brick commercial-style theatre, 505-509 S. Mill Avenue	listed in Tempe Register	Ryden 1997
35	First Congregational Church	1948 Colonial Revival-style church, 101 E. 6th Street	listed in Tempe Register and Tempe Historic Landmark	Ryden 1997
36	Hayden flour mill and silos	1918 Industrial-style flour mill, 119 S. Mill Avenue	listing in Tempe Register pending	Ryden 1997
37	Pyle (Governor Howard J.) House, Park Tract Historic District	1938 Ranch-style residence, 1120 S. Ash Avenue	listed in Tempe Register	Ryden 1997
38	Tempe Municipal Building	1970 Modern commercial-style municipal building, 31 E. 5th Street	individually eligible, Criterion C	Ryden 1997
39	Tempe National Bank Building	1912 Egyptian Revival-style building, 526 S. Mill Avenue	listed in Tempe Register and Tempe Historic Landmark	Nucci 2006
Determined Eligible for National or Tempe Register				
40	Bauer House	1934 Southwestern-style residence, 599 W. 5th Street	eligible for Tempe Register	TCHPO 2013
41	Blakely House	1927 residence associated with Tempe's historic Section 16, 305 S. Roosevelt Street	eligible for Tempe Register	TCHPO 2013
42	Brown/Strong House	1883 Neocolonial/Georgian Revival-style residence, 604 Ash Avenue	individually eligible, Criterion C	Janus Associates 1983; Ryden 1997
43	Burket House	1945 Southwestern-style residence, 501 W. 5th Street	eligible for Tempe Register	TCHPO 2013
44	Cavalier Hills District	1960 residential subdivision bounded roughly by McKellips and Scottsdale roads, Weber Drive, and McAllister Avenue	eligible, Criteria A and C	Solliday 2001
45	Center for Family Studies, ASU District	1939 Art Moderne-style building, 851 S. Forest	individually eligible, Criteria A and C	Ryden 1997
46	Chavez House	1910 Sonoran-style residence, 927 S. Farmer Avenue	eligible for Tempe Register	TCHPO 2013
47	College View District	1946 to 1953 residential subdivision bounded roughly by Ash Avenue, Mill Avenue, 13th Street, and Hudson Lane	eligible, Criteria A and C	Solliday 2001

Table 4. Historic Buildings, Structures, and Districts within 1 to 2 Miles

	Site Name/Number	Description	National Register Status	Reference
48	Cummins House	1909 National Folk-style residence, 839 S. Farmer Avenue	eligible for Tempe Register	TCHPO 2013
49	Curry House	1927 residence associated with Tempe's historic Section 16, 225 S. Roosevelt Street	eligible for Tempe Register	TCHPO 2013
50	Diefenderfer House	1939 Bungalow-style residence, 1223 S. Farmer Avenue	eligible for Tempe Register	TCHPO 2013
51	Dines-Hight House	1889 Bungalow-style residence, 508 W. 5th Street	eligible for Tempe Register	TCHPO 2013
52	Gage Addition District, includes Nichols and Scudder house	1919 to 1954 residential subdivision roughly bounded by University Drive, 10th Street, railroad, and Mill Avenue	eligible, Criteria A and C	Ryden 1997
53	Gage (George) House, Gage Addition District	1888 Georgian Revival-style residence, 115 W. University Drive	individually eligible, Criterion B	Ryden 1997
54	Gammage (Dixie) Hall, ASU District	1941 Neoclassical-style building, 1001 S. Forest	individually eligible, Criteria A and C	Ryden 1997
55	Goodwin Homes District	1949 to 1959 residential subdivision roughly bounded by University Drive, alley north of 11th Street, Roosevelt, and Farmer	eligible, Criteria A and C	Ryden 1997; Solliday 2001
56	Guthrie House	1922 Bungalow-style farm house, 600 W.5th Street	eligible for Tempe Register	TCHPO 2013
57	Hotel Casa Loma	1899 Spanish Colonial-style building (originally Victorian style), 398 S. Mill Avenue	individually eligible	Janus Associates 1983; Ryden 1997
58	Irish Hall, ASU District	1940 Art Moderne-style building, 1250 S. College	individually eligible, Criteria A and C	Ryden 1997
59	Judd House	1915 National Folk-style farm house, 1208 S. Farmer Avenue	eligible for Tempe Register	TCHPO 2013
60	Lowenthal Rental, Park Tract District	1942 Norman Revival-style residence, 1029 S. Maple Street	individually eligible, Criterion C	Ryden 1997
61	Lyceum Theater, ASU District	1930 to 1940 Wrightian-style building, 901 S. Forest	individually eligible, Criteria A and C	Ryden 1997
62	Marriott House	1935 residence associated with Tempe's historic Section 16, 606 S. Roosevelt Street	eligible for Tempe Register	TCHPO 2013
63	Matthews Library, ASU District	1930 Neoclassical-style building, 950 S. College	individually eligible, Criterion C	Ryden 1997
64	Miller House	1941 Southwestern-style residence, 513 W. 5th Street	eligible for Tempe Register	TCHPO 2013
65	Minson House	1925 Bungalow-style residence, 1034 S. Mill Avenue	individually eligible, Criterion C	Ryden 1997
66	Moeur (Sidney B.) House, Gage Addition District	1921 Craftsman Bungalow-style residence, 903 S. Ash Avenue	individually eligible, Criterion C	Janus Associates 1983; Ryden 1997
67	Park Tract District, includes Baker and Nichols houses	1930 to 1960 subdivision roughly bounded by 10th and 13th streets, Mill Avenue, and railroad	eligible, Criteria A and C	Ryden 1997; Solliday 2001
68	Patio Palms	1960 multifamily residence, 626 S. Wilson Street	Tempe Register eligible	TCHPO 2013
69	Science/Agriculture Building, ASU District	1948 Art Moderne-style building, 250 E. Lemon	individually eligible, Criteria A and C	Ryden 1997
70	Spear House	1893 National Folk-style residence, 1015 S. Farmer Avenue	Tempe Register eligible	TCHPO 2013
71	Terrell House	1927 residence associated with Tempe's historic Section 16, 521 W. 5th Street	Tempe Register eligible	TCHPO 2013
72	West Hall, ASU District	1936 Neoclassical-style building, 1000 S. College	individually eligible, Criteria A and C	Ryden 1997
Tempe Register – Warrant Further Consideration				
73	Broadmor Manor District	1955 to 1960 subdivision bounded by Broadway Road, Alameda Drive, College Avenue, and Ventura Drive	potentially eligible, Criteria A and C	Solliday 2001

Table 4. Historic Buildings, Structures, and Districts within 1 to 2 Miles

	Site Name/Number	Description	National Register Status	Reference
74	Broadmor Vista District	1958 to 1960 subdivision bounded roughly by Broadway Road, and Broadmor, La Rosa and Ventura drives	potentially eligible, Criteria A and C	Solliday 2001
75	Campus Homes District	1952 to 1955 subdivision bounded roughly by Farmer Avenue, and Roosevelt, 13th and 17th streets	potentially eligible, Criteria A and C	Solliday 2001
76	Date Palm Manor District	1953 to 1959 subdivision bounded roughly by Broadway Road, Palmcroft Drive, railroad, and Mill Avenue	potentially eligible, Criteria A and C	Solliday 2001
77	Hughes Acres District	1954 to 1959 subdivision bounded roughly by Rural Road, Rita Lane, and Loma Vista and Broadmor drives	potentially eligible, Criteria A and C	Solliday 2001
78	Laird Estates District	1955 to 1959 subdivision bounded roughly by University Drive, and Wilson, 10th, and Roosevelt streets	potentially eligible, Criteria A and C	Solliday 2001
79	Mitchell's Subdivisions District	1950 to 1960 subdivision bounded roughly by University Drive, Farmer Avenue, and 10th and Roosevelt streets	potentially eligible, Criteria A and C	Solliday 2001
80	Nu-Vista District	1958 to 1960 subdivision bounded roughly by Mill Avenue and College avenues, Alameda Drive, and Southern Avenue	potentially eligible, Criteria A and C	Solliday 2001
81	Papago Parkway District	1954 to 1960 subdivision bounded roughly by Continental Drive, McAllister Avenue, and Campo and Papago drives	potentially eligible, Criteria A and C	Solliday 2001
82	Sunset Vista District	1958 to 1960 subdivision bounded roughly by Broadway Road, Broadmor Drive, Rural Road, and Granada Avenue	potentially eligible, Criteria A and C	Solliday 2001
83	Tempe Estates District	1958 to 1960 subdivision including Palmcroft Drive between Mill and College avenues	potentially eligible, Criteria A and C	Solliday 2001
84	Tempe Terrace District	1951 to 1960 subdivision bounded roughly by 10th Street, Farmer Avenue, and Howe, and Roosevelt streets	potentially eligible, Criteria A and C	Solliday 2001
85	University Estates District	1948 to 1960 subdivision bounded roughly by Mill and College avenues, railroad, and Broadway Road	potentially eligible, Criteria A and C	Solliday 2001
86	University Homes District	1951 to 1960 subdivision bounded roughly by Farmer Avenue, Roosevelt and 17th streets, and Broadway Road	potentially eligible, Criteria A and C	Solliday 2001
87	University Terrace District	1950 to 1955 subdivision bounded roughly by College Avenue, alley west of Ventura Drive, railroad, and Broadway Road	potentially eligible, Criteria A and C	Solliday 2001

NOTES: ASU = Arizona State University, National Register = National Register of Historic Places, TCHPO = Tempe City Historic Preservation Office, Tempe Register = Tempe Historic Property Register

One historic district and 30 individual properties are listed in the National Register. Eight additional individual properties are listed in the Tempe Register. Thirty-two other properties, including 5 districts and 28 individual buildings have been evaluated as eligible for the National Register or Tempe Register. The Tempe Historic Preservation Office also has sponsored studies of post-World War II subdivisions, which represent a period of rapid growth of the city, and 15 subdivisions have been identified as warranting further consideration for inclusion in the Tempe Register.

Review of Historic Maps and Aerial Photographs

The General Land Office conducted the first cadastral survey of Township 1 North, Range 4 East, where the power plant parcel is located, and the adjacent Township 1 North, Range 5 East in 1868. The resulting

plats were filed in 1870, the year the Phoenix townsite was designated and a year before Charles Hayden developed a ferry across the Salt River at the location that became Tempe. No cultural features were mapped in the project parcel (**Figure 5**), and only a few cultural features were mapped on the two plats. A short irrigation ditch was shown branching from the north side of the Salt River about 1.5 miles (2.4 km) northwest of the power plant parcel. A road was mapped heading west from the ditch, and a settler's cabin was mapped along the road about 2.25 miles (3.6 km) west of the irrigation ditch. The plat indicates the road passed the "ruins of an adobe house surrounded by a wall" about 0.5 mile (0.8 km) west of the cabin, and then connected with the road between Wickenburg and Fort McDowell about 0.25 mile (0.4 km) farther west. The ruins on the plat are the Hohokam platform mound and surrounding compound within what is now the Pueblo Grande Museum. The plat was annotated to show the Papago Saguaro National Monument established by proclamation on 31 January 1914 on the north side of the Salt River northwest of the project parcel. The national monument was abolished in 1930 and the land was transferred to the state.

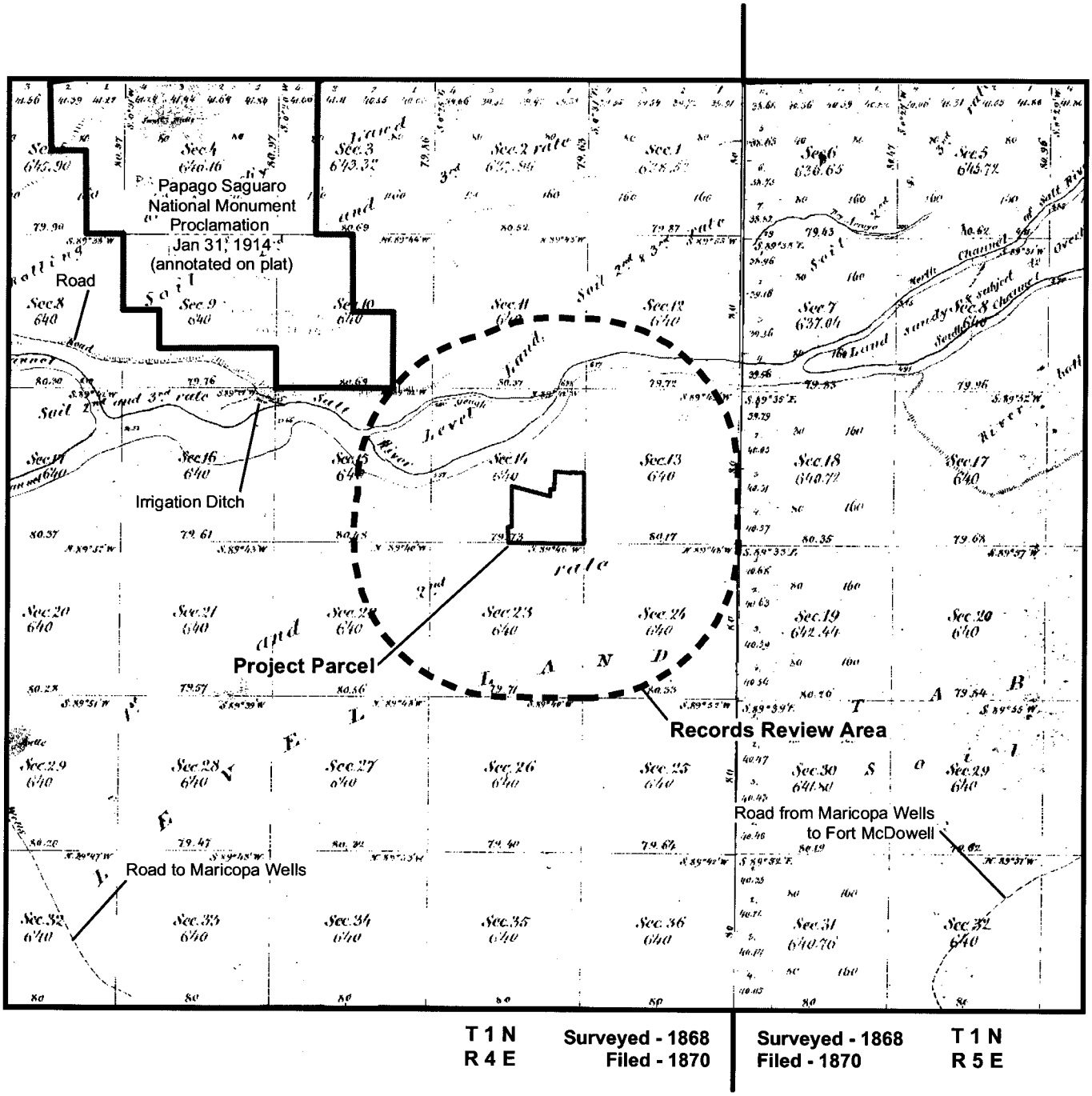
The plat of Township 1 North, Range 5 East mapped the road from Maricopa Wells to Fort McDowell passing within about 3.5 miles (5.6 km) to the southeast of the project parcel. The only other cultural features on the plat of that township were an unnamed road that crossed the eastern boundary of the township, another unnamed road that crossed the southern boundary, and an "old esca" that followed the edge of a river terrace in the northeast corner of the township. "Esca" appears to be a term used by General Land Office surveyors for what are now identified as long abandoned Hohokam canals.

The northwest part of Township 1 North, Range 5 East, north of the Salt River, was resurveyed in 1888, apparently because the area was within the designated Salt River Indian Reservation. The plat depicted a northeast-southwest trending irrigation ditch through what appear to be extensive fields in Sections 4, 5, 6, 7, and 8 of Township 1 North, Range 5 East. The plat also mapped 8 clusters of "huts," which must have been native homes, within and adjacent to the fields. Additional clusters of huts were mapped at 3 locations on a level mesa north of the fields, along with an "old trading store."

The northeast part of Township 1 North, Range 4 East and northwest part of Township 1 North, Range 5 East within the Salt River Indian Reservation were surveyed again in 1910. The resulting plats, which were filed in 1913, showed the irrigation ditch mapped in 1888 and depicted it extending into the southern half of Section 12 of Township 1 North, Range 4 East, as well as another approximately parallel ditch about 0.3 mile (0.5 km) to the north (**Figure 6**). The plat also shows several unnamed roads, numerous segments of fences within what appear to be fields, and ambiguous symbols that might represent two houses, but none of the clusters of huts mapped in 1888 were shown. A cemetery also was mapped in the NW1/4 SW1/4 of Section 6, Township 1 North, Range 5 East. All the features mapped by the resurveys, except for a few of the roads, fences, and probable fields in the southwest part of Section 12, Township 1 North, Range 4 East, are outside the records review area.

The Reclamation Service surveyed the Salt River Valley in 1902 and 1903 and the resulting topographic and irrigation map shows an irrigation lateral along the west side of the power plant parcel and another oriented east-west through the parcel, as well as two other short laterals along the south edge of the Salt River floodplain/sandy river bed, which angled across the northeastern part of the parcel (refer to Figure A-1). Those laterals branched from the Hayden Canal to the south, and suggest the area was being farmed. The Reclamation map indicated the Maricopa, Phoenix & Salt River Valley Railroad ran just south of the eastern part of the southern boundary of the power plant parcel.

A series of historic topographic maps and aerial photographs available on the Internet also were reviewed (http://www.historicaerials.com/). A 1915 topographic map identified the railroad just south of the power plant parcel as the Arizona Eastern Railroad and also showed a north-south wagon road along the approximate centerline of the section. At the center of the section, the road turned to the east along the northern boundary of the power plant parcel. A house was mapped just outside the power plant parcel along



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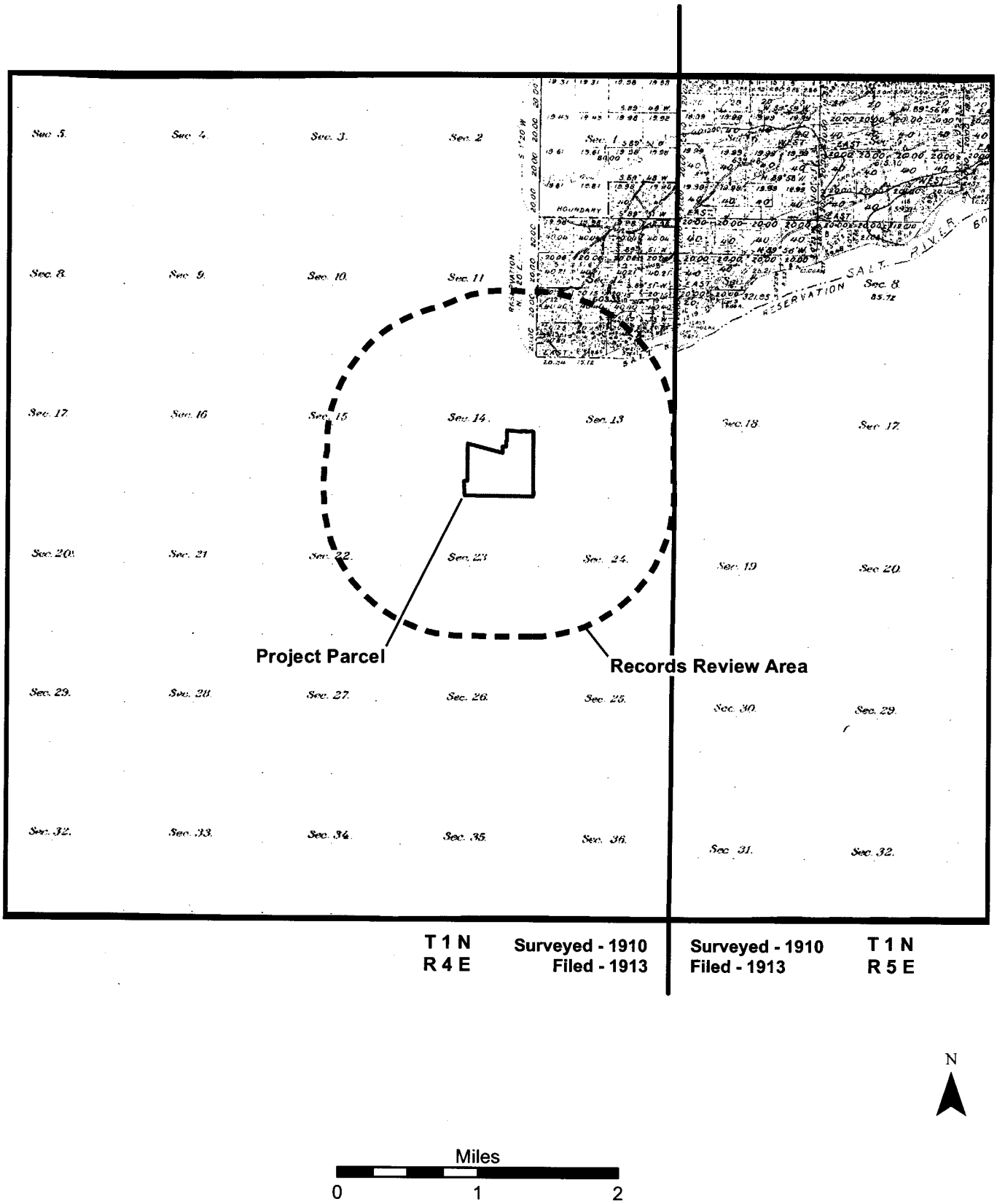
1868 General Land Office
Cadastral Survey Plats
Figure 5



1910 General Land Office
Cadastral Survey Plats
Figure 6

the north-south road, just south of what is today University Drive near the intersection with Dorsey Lane. As shown on the earlier Reclamation Service map, the northern part of the parcel was mapped as being within the sandy floodplain of the Salt River.

The depictions of the power plant parcel were unchanged on 1938 and 1955 versions of topographic maps, but a 1957 topographic map indicated that the north-south road then terminated about 0.1 mile (0.2 km) north of the southern boundary of the section at what appears to be a farmstead with a house and two outbuildings. Two other houses were mapped on either side of the north-south road just north of the section line. A 1934 aerial photograph indicates that almost the entire power plant parcel was being farmed except for a strip in the southwest corner where the buildings shown on the 1957 quadrangle were located (Honker 2000). Even though those farmyards were not mapped on the 1938 and 1955 quadrangles, the photograph suggests they might already have been built by 1934, but the image is ambiguous. A 1954 aerial photograph indicates the power plant parcel continued to be farmed except for the strip in the southwest corner. A more detailed 1957 aerial photograph indicates the parcel continues to be farmed and there were at least two farmyards in the southwest corner, and perhaps another farmhouse hidden by trees. A 1970 aerial photograph indicates the power plant and substations had been constructed, but the fuel storage tanks were not yet in place and the northwestern part of the power plant parcel was still being farmed. The farmhouses and buildings in the southwest corner of the parcel had been removed.

In summary, the review of historic maps and aerial photographs indicate that remnants of historic irrigation laterals dating to the late nineteenth or early twentieth centuries might be present in the western, central, and northern parts of the power plant parcel. In addition, remnants of perhaps as many as three farmyards might be present in the southwestern corner of the parcel, but demolition of those farmyards and subsequent development of the Tempe/APS Joint Fire Training Center may have obliterated evidence of those farmyards. Most of the historic use of the power plant parcel was limited to farming. Because of the extensive ground disturbance associated with construction of the power plant and other facilities, the potential for intact archaeological features dating to the historic era appears to be low.

MONITORING GOALS AND METHODS

Geotechnical investigations at the Ocotillo Power Plant were archaeologically monitored as an initial investigation to determine whether modification of the power plant could affect significant archaeological and historical resources. It was recognized that the potential of the monitoring to detect archaeological resources was limited because the upper level of sediments within the power plant parcel had been highly disturbed by decades of farming and then construction of the power plant, which masked surface evidence of any archaeological resources that might be present.

The monitoring, however, did provide an opportunity to determine whether archaeological resources might be buried beneath the disturbed upper level. It was recognized that the sample provided by 21 borings would be extremely small, but sediments from the borings could yield information about the environment in which the sediments were deposited. It is very unlikely that archaeological resources would be preserved in deposits of sand, gravel, and cobbles that reflect high energy depositional environments. In contrast, archaeological resources could be preserved in finer silt and clay deposits that reflect low energy depositional environments. Research over the last couple of decades, for example, discovered deeply buried archaeological deposits without any surface indications of their presence on the floodplain of the Santa Cruz River in the Tucson Basin and provided significant information about pre-Hohokam occupation (Gregory 1999; Gregory and Mabry 1998; Gregory and others 2007; Mabry 1998; Mabry and others 1997; Thiel and Mabry 2006).

Prior to the excavation of each of the 21 borings, an archaeological monitor inspected an area about 130 feet (40 m) square centered on each boring location by walking transects no more than 65 feet (20 m)

wide. The sediments augured from each boring were inspected for artifacts and changes in color and texture that might indicate archaeological features. The sediments were not screened. Split barrel samples also were inspected but samples collected with closed barrels were not available for inspection.

The Ninyo & Moore (2013) geotechnical team prepared boring logs to document the sediments. URS reviewed those logs for information about the energy of the depositional environment and potential for preservation of archaeological resources.

MONITORING RESULTS

As anticipated, inspection of the boring locations determined that no natural ground surface remains intact. The extent of disturbance varied across the project area. Eight borings were excavated within spill containment pits around three large fuel storage tanks on the western part of the power plant parcel. The floors of those pits are approximately 6 feet (1.8 meters) below grade, and earthen berms around the perimeters of the pits are 3 to 4 feet (0.9 to 1.2 m) above grade. Much of the rest of the investigated area is covered with sorted gravel (**Photograph 5**). The installation of a network of buried electrical grounding cables and pipes and placement of fill dirt has altered much of the area. Boring B-21, for example, was stopped by what appears to be an abandoned concrete storm drain at a depth of about 4 feet (1.2 m).

Photograph 5. Gravel-Covered Ground Surface at Boring B-18 (view southeast)

The archaeological monitoring of the 21 borings did not identify any artifacts or evidence of archaeological features. Most of the deposits at the borings were characterized as loose to dense sand, sometimes well sorted and sometimes poorly sorted (**Table 5**). The borings could be considered to represent three north-south transects across the western part of the power plant parcel.

Table 5. Archaeologically Monitored Geotechnical Borings[1]

Boring	Depth	Sediments		Comments
B-1	21 feet (6.4 meters)	0-3.5 feet: 3.5-7.5 feet: 7.5-21 feet:	loose, silty sand medium dense, clayey sand very dense sand with gravel, cobbles, possible boulders	in tank spill containment pit (about 6 feet below grade)

Table 5. Archaeologically Monitored Geotechnical Borings[1]

Boring	Depth	Sediments		Comments
B-2	14.5 feet (4.4 meters)	0-3.5 feet: 3.5-12 feet: 12-14.5 feet:	medium dense, silty sand (trace of plastic debris) medium dense, poorly graded sand (trace of gravel) very dense, well-graded sand with gravel, cobbles, and possible boulders	in tank spill containment pit (about 6 feet below grade)
B-3	17 feet (5.2 meters)	0-7 feet: 7-17 feet:	loose, silty sand dense, well-graded sand with gravel, cobbles, and possible boulders	in tank spill containment pit (about 6 feet below grade)
B-4	13.7 feet (4.2 meters)	0-3.5 feet: 3.5-13.7 feet:	loose, silty sand loose, well-graded sand with gravel, cobbles, and possible boulders	in tank spill containment pit (about 6 feet below grade)
B-5	21 feet (6.4 meters)	0-6 feet: 6-8 feet: 8-21 feet:	loose, clayey sand with gravel hard, sandy clay very dense, well-graded sand with gravel	in tank spill containment pit (about 6 feet below grade) rare clay layer
B-6	18.2 feet (5.5 meters)	0-3 feet: 3-4.5 feet: 4.5-18.2 feet:	fill (medium dense well-graded sand with gravel) dense, silty sand dense, well-graded sand with gravel	in tank spill containment pit (about 6 feet below grade)
B-7	19 feet (5.8 meters)	0-3.5 feet: 3.5-13.5 feet: 13.5-19 feet:	fill (medium dense, silty sand with trace of gravel) medium dense, well-graded sand with aggregate medium dense gravel with sand, cobbles, and possible boulders	in tank spill containment pit (about 6 feet below grade)
B-8	17 feet (5.2 meters)	0-4 feet: 4-6 feet: 6-17 feet:	fill (medium dense, clayey sand) medium, dense, silty sand medium dense, well-graded sand with trace of gravel	in tank spill containment pit (about 6 feet below grade)
B-9	19.5 feet (5.9 meters)	0-2 feet: 2-7 feet: 7-12 feet: 12-19.5 feet:	fill (medium dense, clayey sand with gravel) stiff, sandy silt medium dense, poorly graded sand medium dense, well-graded sand with gravel	rare silt layer
B-10	18.5 feet (5.6 meters)	0-3 feet: 3-6.5 feet: 6.5-18.5 feet:	fill (loose, silty sand) loose, clayey sand loose, well-graded sand with trace of gravel	
B-11	22 feet (6.7 meters)	0-3.5 feet: 3.5-13.5 feet: 13.5-22 feet:	loose, silty sand medium dense, silty sand with gravel medium dense, well-graded sand with gravel	
B-12	22 feet (6.7 meters)	0-8.5 feet: 8.5-18.5 feet: 18.5-22 feet:	dense, silty sand with gravel medium dense, clayey sand, moderately cemented medium dense, poorly graded sand with trace of gravel	
B-13	22 feet (6.7 meters)	0-3.5 feet: 3.5-6 feet: 6-8.5 feet: 8.5-22 feet:	fill (medium dense, clayey gravel) medium dense, clayey sand with gravel loose, sandy silt medium dense, well-graded sand with gravel	rare silt layer
B-14	18 feet (5.5 meters)	0-3.5 feet: 3.5-11.5 feet: 11.5-14 feet: 14-18 feet:	fill (medium dense, clayey sand with gravel) loose, silty sand with gravel medium dense, poorly graded gravel medium dense, well-graded sand with gravel	
B-15	20 feet (6.1 meters)	0-3.5 feet: 3.5-6 feet: 6-13.5 feet: 13.5-20 feet:	fill (medium dense, clayey sand with gravel) stiff, sandy silt medium dense, well-graded sand with gravel medium dense, well-graded sand with subrounded gravel	rare silt layer
B-16	12 feet (3.7 meters)	0-5.5 feet: 5.5-9.5 feet: 9.5-12 feet:	loose, poorly graded sand medium dense, silty sand medium dense, silty gravel, cobbles, and possible boulders	
B-17	22 feet (6.7 meters)	0-10 feet: 10-22 feet:	vacuumed around buried utilities; no samples medium dense, silty, poorly graded sand with gravel	
B-18	16 feet (4.9 meters)	0-13.5 feet: 13.5-16 feet:	fill (very stiff, sandy clay) dense, well-graded sand with gravel	rare clay layer but fill material

Table 5. Archaeologically Monitored Geotechnical Borings[1]

Boring	Depth	Sediments		Comments
B-19	17 feet (5.2 meters)	0-6 feet: 6-8.5 feet: 8.5-17 feet:	fill (loose, silty sand with trace of gravel) loose, silty sand medium dense, well-graded sand with gravel	
B-20	18 feet (5.5 meters)	0-10 feet: 10-18 feet:	vacuumed around buried utilities; no samples dense, silty, well-graded sand with gravel	
B-21	4 feet (1.2 meters)	0-4 feet:	fill (loose, silty sand with gravel)	two attempts 5 feet apart stopped by abandoned storm drain

NOTES: [1] abstracted from Ninyo & Moore (2013)
m = meters

From north to south, the western transect includes borings B-4, B-1, B-5, B-6, B-2, B-7, B-8, and B-3, all of which were within the spill containment pits around the fuel storage tanks and began approximately 6 feet (1.8 m) below the natural grade. Those borings reached depths ranging from about 14 to 21 feet (4.3 to 6.4 m) where they were typically stopped after being driven into about 10 feet (3 m) of dense sand with gravel and usually cobbles and possibly boulders. The higher deposits were more variable ranging from medium dense well-graded to poorly graded sand and loose silty sand to dense clayey sand. The only deposit finer than sand was 2 feet (0.6 m) of hard sandy clay at a depth of 6 to 8 feet in boring B-5. The upper 3 to 4 feet (0.9 to 1.2 m) of deposits in borings B-6, B-7, and B-8 were classified as fill and ranged from clayey sand, to silty sand with a trace of gravel, to well-graded sand with gravel. The upper 3.5 feet (1.1 m) of boring B-2 was silty sand with a trace of plastic and also might be fill material.

From north to south, the central transect includes borings B-10, B-16, B-11, B-12, B-13, B-14, B-15, B-9, and B-18. (Boring B-9 is somewhat closer to the western transect but is included in the central transect because it is outside the spill containment pits.) The depths of those borings ranged from 12 to 22 feet, where they were typically stopped by sand deposits with gravel but not cobbles and possible boulders. Because this transect of borings began approximately 6 feet (1.8 m) higher and closer to the natural grade than the western transect, the deeper deposits of cobbles with possible boulders that typically terminated the borings in the western transect were not usually reached. The exception was the shallowest boring (B-16), where a layer of gravel with cobbles and possible boulders was encountered. The higher deposits were variable, ranging from loose to dense silty sand to loose to medium dense and moderately cemented clayey sand. The only deposits finer than sand included a layer of sandy silt at a depth of 6 to 8.5 feet (1.6 to 2.6 m) in boring B-13, 3.5 to 6 feet (1.1 to 1.8 m) in boring B-15, and 2 to 7 feet (0.6 to 2.1 m) in boring B-9. A layer of very stiff sandy clay also was found in boring B-18 from the surface to a depth of 13.5 feet (4.1 m) but was classified as fill material. The upper 3 to 3.5 feet (0.8 to 1.1 m) of borings B-13, B-14, and B-15 also were identified as fill material, which ranged from silty sand to clayey sand with gravel to clayey gravel.

From north to south, the eastern transect includes borings B-19, B-20, B-17 and B-21. Boring B-21 was stopped at a depth of 4 feet (1.2 m) when an apparent abandoned concrete storm drain was encountered after penetrating fill consisting of silty sand with gravel. The other three holes reached depths of 17 to 22 feet (5.2 to 6.7 m) into well-graded sand or silty sand with gravel. To prevent damage to nearby buried utilities the upper 10 feet (3 m) of deposits in borings B-17 and B-20 were vacuumed and no information was collected. The upper 6 feet (1.8 m) of boring B-19 also was identified as fill consisting of loose silty sand with a trace of gravel. Overall, the eastern transect yielded little information about natural deposits but in general they seem to be sands similar to the majority of deposits found in the central and western transects.

In general, the deposits documented in the borings are quite variable as might be expected on the floodplain of a braided, meandering river channel. Most of the deposits are sands, which indicate a relatively high energy depositional environment that would not be conducive to preservation of archaeological deposits. A

few lenses of finer silt and clay were detected that would have more potential for intact archaeological deposits. The chronology of the deposits has not been established other than a general late Pleistocene age for the Lehi terrace (Wellendorf and others 1986). Silt deposits were found in borings B-13 and B-15 at depth of 3.5 to 8.5 feet (1.1 to 2.6 m) that might be of an age that could include pre-Hohokam and Hohokam deposits. The clay deposit detected at a depth of 6 to 8 feet (1.8 to 2.4 m) in boring B-5 in a sediment containment pit are likely to be closer to 12 to 14 feet (3.7 to 4.3 m) below the natural grade and probably predate any evidence of human occupation of the region.

Artifacts evidencing Hohokam use of the area were identified at several locations on the surface of the ground. The first artifacts were noted while accessing boring B-8, the first to be excavated. The artifacts were found on the berm of the spill containment pit and eroding off the berm. Further inspection found artifacts at several other locations on the berms (**Figure A-2**). Artifacts also were noted on the floors of the southern and northern spill containment pits, probably because they had been incorporated in fill deposits. Two additional potsherds were found at Boring B-15 east of the spill containment pits, where the upper deposits were identified as fill, which might have derived from the excavation of the spill containment pits. All artifacts appear to be in disturbed contexts, and not in deposits in which they were originally discarded.

The artifacts include primarily potsherds, with some flaked stone, one piece of ground stone, and possibly a few pieces of faunal bone, although the bone might be natural rather than a cultural deposit. The ceramics include Hohokam plain ware, red ware, and buff ware sherds. None of the buff ware sherds had temporally diagnostic painted designs, but the red ware suggests a Classic period date. The artifacts were not inventoried, but it estimated that more than 100 and fewer than 500 artifacts were noted.

The number and location of the artifacts suggests that construction of the three large fuel storage tanks along the western side of the power plant parcel and excavation of the spill containment pits around them disturbed archaeological deposits within a Hohokam field—perhaps a seasonal field house site associated with the nearby large Hohokam village site of La Plaza. Such sites could have remnants of canals, ephemeral field houses, and various types of pits. Three burials were found at field house site AZ U:9:281(ASM) indicating that human remains can be associated with field houses, but they are much more common in village sites. The intensive, long-term evidence of habitation at the La Plaza site is limited to the higher Mesa terrace and it is unlikely that the disturbed archeological deposits in the power plant parcel on the lower Lehi terrace were part of a Hohokam village.

CONCLUSION AND RECOMMENDATIONS

A records review documented that the prehistoric Hohokam farmed in the vicinity of the power plant parcel and occupied a large village site known as La Plaza for several centuries (from the Pioneer to the Classic periods, circa A.D. 500 to 1400) southwest of the parcel. The village site is on the Mesa terrace, which is a higher landform than the Lehi terrace on which the power plant parcel is located. Prior archaeological investigations have found only evidence of farming and seasonal field houses on the lower Lehi terrace.

The archaeological monitoring found a few hundred Hohokam artifacts on the surface of the ground in highly disturbed contexts, suggesting that the construction activities on the power plant parcel probably disturbed archaeological deposits within a Hohokam field area—perhaps a field house site that might have had evidence of temporary shelters, canals, and various types of pits. Human burials usually are associated with village sites, but three burials were found at a field house site excavated south of the La Plaza site indicating that burials also can be associated with field house sites. The archaeological monitoring identified no evidence that any burials had been disturbed by construction activities within the power plant parcel.

The sediments documented in the geotechnical borings are varied as expected in a floodplain adjacent to a dynamic braided and migrating river channel. The deposits are primarily sands that reflect a relatively high

energy depositional environment that is not conducive to the preservation of archaeological deposits. However, pockets of finer sediment reflecting gentler, overbank flood deposits that are conducive to preserving archaeological deposits might remain intact, as identified by prior archaeological excavations at the margins of the La Plaza site about 0.5 mile (0.8 km) west of the power plant parcel.

Ideally, archaeological testing could be conducted to further explore whether intact archaeological deposits might remain buried in the power plant parcel, but the extent of infrastructure within the parcel greatly limits the potential to undertake archaeological testing safely. We recommend that APS consider whether some archaeological testing might be conducted to expose vertical profiles of sediments, which could be analyzed to better understand the nature and age of the deposits and better gauge whether significant archaeological deposits might remain intact within the parcel.

Documentation of the structure and texture of the exposed deposits would provide more information about the geomorphology of the sediments, particularly with regard to whether the energy of the depositional environment would have been conducive to preservation of archaeological deposits. If charred materials are exposed, they could be collected and radiocarbon dated to better understand the chronology of the deposits. If appropriate contexts are identified, sediment samples could be collected and analyzed to extract pollen and biosilicates that might provide information about the local environment and how it changed over time and whether the Hohokam used the area for farming and what crops they might have grown.

Smoothing vertical faces on the side walls of the spill containment pits around the three large fuel storage tanks in the western part of the power plant parcel might be an alternative to excavating new test trenches. Archaeological monitoring of the removal of obsolete facilities or construction of new facilities also might provide additional opportunities to determine whether significant archaeological deposits are buried within the power plant parcel.

In summary, field observations made during archaeological monitoring of the geotechnical borings documented that prehistoric Hohokam artifacts were present in the power plant parcel but were in contexts disturbed by construction activities. It is recommended that APS consider opportunities for additional archaeological testing or monitoring to further investigate whether any buried archaeological deposits might remain intact. If intact archaeological deposits were found, it is recommended that they be evaluated and treated in accordance with any applicable local government, state, or federal regulations.

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The restricted distribution maps in this appendix have been removed from this copy of the report.

APPENDIX– RESTRICTED DISTRIBUTION MAPS

A-1 Previously Recorded Archaeological Sites
A-2 Monitoring Results

ATTACHMENT E-2 – STATE OF ARIZONA – HISTORIC PROPERTY INVENTORY FORM

STATE OF ARIZONA – HISTORIC PROPERTY INVENTORY FORM

PROPERTY IDENTIFICATION

Inventory No: OPP-1

Name: Ocotillo Power Plant District **Survey Area:** Ocotillo Power Plant parcel

Address: 1500 E. University Drive **City:** Tempe **County:** Maricopa

Quarter Section: SE1/4 **Section:** 14 **Township:** 1N **Range**: 4E **USGS 7.5-minute quadrangle:** Tempe

Plats: N/A

Architect: EBASCO Services / Marley Co. / H.H. Green

Builder: Arizona Public Service / Homes & Sons

Construction Date: 1958-1960

Number of Resources: 13 (Steam Unit 1, Steam Unit 2, station building with turbines and generators, a service building/maintenance shop designed by architect H.H. Green, a large prefabricated steel and wood equipment warehouse, two smaller sheds of similar construction, two cooling towers, a steel water storage tank, a steel fuel storage tank, a 230-kilovolt (kV) switchyard, and a 69-kV switchyard, plus others that are less than 50 years old)

STRUCTURAL CONDITION

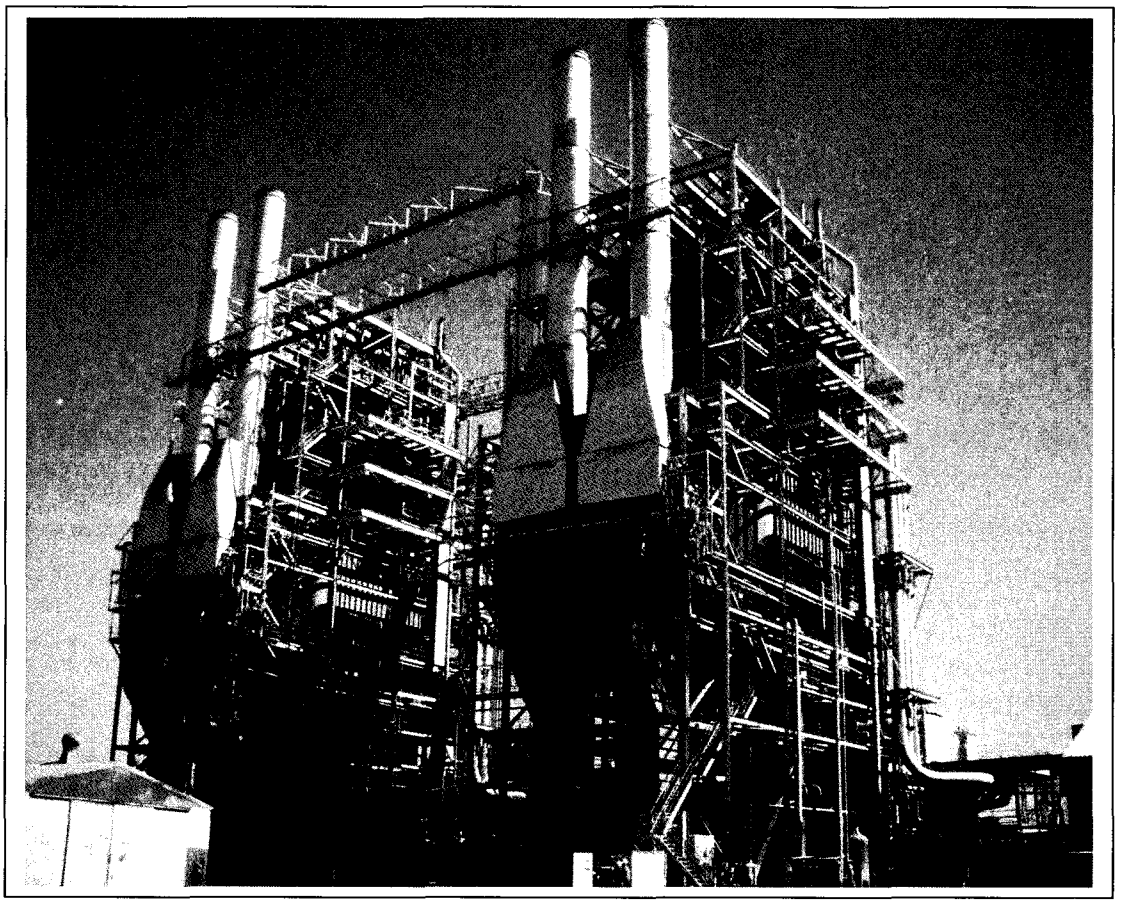
[X] Good [ ] Fair [ ] Poor

USES/FUNCTIONS

Historic Use: INDUSTRY/energy facility
Building Type: natural gas power plant
Present Use: INDUSTRY/energy facility

PHOTOGRAPH INFORMATION

Subject: steam turbine boilers **Date:** 11 October 2013 **View:** northeast **Photograph No.**: 2856



SIGNIFICANCE

See continuation sheets.

INTEGRITY

Location: [X] Original Site [ ] Moved

Design: The cooling towers were rebuilt in 2012; mechanical upgrades have been made, including a transition from pneumatic controls to a computerized system. Additional buildings and structures have been constructed within the power plant.

Setting: The Ocotillo Power Plant is within an urban area in the Tempe city limits. The surrounding area includes commercial properties, apartment buildings, Arizona State University's Karsten Golf Course, the Salt River (Tempe Town Lake), and the Loop 202 freeway.

In the late 1950s, the study area was outside the Tempe city limits and the project parcel and adjacent parcels were agricultural fields. There was some light industrial development to the south along University Drive and the area further south had been developed with residential subdivisions.

Materials: See continuation sheets.

Workmanship: See continuation sheets.

NATIONAL REGISTER STATUS

☐ Individually listed ☐ Contributor ☐ Non-contributor to_____ Historic District

Date Listed:_____ ☐ Determined eligible by keeper of National Register date:_____

RECOMMENDATIONS OF ELIGIBILITY

Property ☐ is ☒ is not eligible individually

Property ☐ is ☒ is not eligible as a contributor to a potential historic district.

☐ More information needed to evaluate

If not considered eligible, state reason: insufficient significance

Form Completed By

Name and Affiliation: Kirsten Johnson, URS Corporation
Date: 28 October 2013
Mailing Address: 7720 N. 16th Street, Suite 100, Phoenix, Arizona 85020
Phone Number: (602) 371-1100

SIGNIFICANCE

History

Arizona Public Service Company (APS) purchased a parcel of land bounded by the Salt River to the north, University Drive to the south, Rural Road to the west, and McClintock Drive to the east to develop the Ocotillo Power Plant. APS developed only a portion of this parcel, roughly bounded by Rio Salado Parkway and University Drive to the north and south, and Dorsey Lane and McClintock Drive to the west and east, and later sold the remaining property. Construction of the Ocotillo Power Plant on that parcel of land began in 1958 and was completed in 1960.

The history of the Arizona Public Service Company (APS) began in 1886 when the Phoenix City Council granted a gas and electric franchise to Japanese immigrant Hutchion Ohnick (Hachiro Onuki). In 1920, the Central Arizona Light and Power Company (CALAPCO) took over Ohnick's Phoenix Illuminating Gas and Electric Company, which had been renamed the Pacific Gas and Electric Company in 1906. Four years later, CALAPCO became part of the American Power and Light System, a holding company that provided the funding to modernize CALAPCO's utility facilities. During the 1920s, CALAPCO's service area expanded to the surrounding communities of Buckeye, Chandler, Gilbert, and Tempe, and in the 1930s to Peoria, Scottsdale, and Glendale (Reilly 1970).

By the end of the 1920s, more power was needed to supply the Phoenix metropolitan area, and in 1930 construction of the 18,000-kilowatt (18 megawatt) Phoenix Power Plant was completed at 4606 W. Hadley Street. (The capacity of the plant was subsequently expanded to 1,000 megawatts and is now known as the West Phoenix Power Plant.) After World War II, commercial and technological development in the Phoenix metropolitan area boomed. Residential subdivisions were constructed and suburbs expanded in response to the rapidly increasing population. The dramatic post-war development resulted in the need for expanded utility services (Reilly 1970).

In 1945, the American Power and Light System sold its stock holdings in CALAPCO and CALAPCO became a publicly owned company. Four years later, CALAPCO acquired the Northern Arizona Light and Power Company, which provided power to most of Yavapai, Coconino, Navajo, and Gila counties. That acquisition more than doubled CALAPCO's service area. In 1951, CALAPCO merged with Arizona Edison, which had formed in the 1920s when several small utilities in southern and central Arizona communities combined. After that merger, the company became known as APS and served approximately 200,000 customers in a 37,000 square-mile area across ten counties (APS 1961a; Reilly 1970).

In 1952, APS began a long-range construction program to bolster their generation capacity, which included the construction of three new natural gas-fired power plants. The first of these was the Saguaro Power Plant in the vicinity of Red Rock in southern Pinal County. The Saguaro plant was constructed to supplement hydroelectric power provided by the Parker and Davis dams to the southern Arizona mining communities of Douglas and Bisbee and to provide power to the San Manuel Mine, which purchased much of the electricity produced by the power plant. Construction of the Saguaro Power Plant began in 1952 and the first 100-megawatt steam turbine went into service on 1 July 1954. Construction of a second 100-megawatt steam turbine began in 1954 and it went into service in June 1955. The power plant was completed at a cost of more than $25 million and in late 1955 the plant was producing more electric energy than the entire state had used in 1942. In 1955, the Arizona Power Authority entered into a contract with APS to purchase up to 30 megawatts of electricity per year (APS 1951, 1953, 1954, 1955; Biegler 1980; Reilly 1970).

In 1956, APS entered into an agreement with California Electric Power Company for the joint construction of a 160-megawatt generating station near Yuma and began planning a 220-megawatt steam electric generating plant in Tempe. APS would call the Yuma facility the Yucca Power Plant and

the Tempe facility the Ocotillo Power Plant to continue the desert flora theme initiated with the Saguaro Power Plant. Construction of the Yucca Power Plant began in 1957 and was completed in 1959 (APS 1956, 1957, 1959, 1960).

Construction of the Ocotillo Power Plant began in March 1958 (Scottsdale Progress 1958). The 1958 APS annual report estimated the total construction costs for the plant would be about $30 million. By the end of 1958, construction of the plant was 15 percent complete and the total costs for the year were around $7 million. The natural gas power plant, which could also operate with fuel oil, was to include two twin steam units with 15-story steam boilers, turbines, and generators; cooling towers; and service and warehouse buildings. At the time it was constructed, the Ocotillo facility had a generating capacity of 220 megawatts and was the largest electric generating plant in Arizona. In 1959, Elmer O. Dowies, who had been an employee of APS since 1949 and the superintendent at the Saguaro Power Plant since 1953, was appointed superintendent of the new Ocotillo Power Plant and charged with the organization and training of the staff to operate the plant. In September 1958, a 370-man crew was at work constructing the Ocotillo facility and the first steam turbine unit was expected to go into service in March 1960 (APS 1958, 1959; Arizona Republic 1959;).

All three of APS's new natural gas-powered plants were designed with 3 major cost-saving features. One was a centralized control room where the pneumatic controls of many of the plant functions could be operated, which reduced the number of employees required to operate the plant around-the-clock from 76 to about 42. The open-air design of the facilities that was used for the boilers in all three of the APS natural gas-fired power plants also saved on construction costs. Open-air construction was a relatively new design that was feasible because of southern Arizona's mild climate. Historically, boilers, turbines, and generators had been housed inside masonry buildings that were more expensive to construct. APS was one of the first utility companies to use an open-air design when the company constructed the partially outdoor West Phoenix Power Plant in 1930. The other cost-saving feature of the power plants was the location of machinery maintenance shops on site, which allowed the company to fabricate and repair damaged parts more efficiently (APS 1959).

Construction of the Ocotillo Power Plant was completed in 1960 for a total cost of $26 million. Between 1940 and 1960, the number of customers served by APS tripled and the 220-megawatt Ocotillo Power Plant increased the company's total generating capacity to 625 megawatts, which was 223 percent more than in 1950 (APS 1960; Arizona Republic 1960a). In 1960, APS celebrated its 75th Anniversary, and 1 year later the company initiated another long-range construction program that included construction of new coal-fired power plants. The increasing cost of natural gas coupled with the continuing increases in energy demand led APS to concentrate their research and development activities on coal. APS's first coal-fired plant, the Cholla Power Plant, began operations in 1962 in northern Arizona between the communities of Joseph City and Holbrook. APS' second coal-fired plant, the Four Corners Power Plant, opened in 1963 in northwestern New Mexico (APS 1960, 1961b; 1963, 1966; Reilly 1970).

Architecture (Plant Description)

The Ocotillo Power Plant was constructed between 1958 and 1960 at 1500 E. University Drive in Tempe with two twin steam units, a station building, a service building/machinery maintenance shop, two cooling towers, a prefabricated steel and wood equipment warehouse, 2 smaller sheds of similar construction, a steel water storage tank, 69-kV and 230kV switchyards, and a steel fuel tank south of the turbines (Figure 1). To ensure that a ready supply of natural gas was available, the power plant was built next to a buried El Pas Natural Gas pipeline that had been installed in the 1930s. A railroad spur built to deliver materials and equipment during construction of the plant was later used to deliver supplemental fuel oil for operating the plant. The spur branched from the Southern Pacific Railroad and was abandoned after the Union Pacific Railroad acquired the Southern Pacific Railroad in 1996, and backup fuel is now delivered by truck.

The original 1960s power plant facilities, with the exception of the railroad spur and some small ancillary buildings, remain extant. EBASCO Services, a New York engineering consulting and construction firm, designed the twin steel boilers, which are within 178-foot-tall, open-air, structural steel frameworks supported by reinforced concrete foundations. The boilers are Combustion Engineering Type R steam generators. A 2-story concrete masonry unit station building, which houses the control room, is adjacent to the east side of the boiler structures. Twin Westinghouse generators, each with a capacity to produce 110 megawatts, are installed on the roof of the station building. The steam units have been updated as technologies evolved, but most of the original materials remain and the overall appearance is unchanged. Older technologies that are no longer used are still in place adjacent to their modern counterparts, including the original General Electric control panel in the central control room, which is opposite a bank of modern computers that are now used to operate the power plant. Pneumatic tubes that once were used to operate the plant are still in place on the steel framework of the steam boiler structures adjacent to modern cable trays. An illuminated 175-foot-long APS sign with 8-foot-tall Plexiglas letters was once mounted on the east side of the steel boiler structures, and when lit could be seen for several miles (Arizona Republic 1960b). APS stopped lighting the sign in 1973 after President Richard Nixon implemented energy conservation measures to offset effects of an energy crisis resulting from an embargo by Arab oil producers. The sign was later removed and donated to the Tempe Historical Museum (personal communication, Randy Clawson, Arizona Public Service, 11 October 2013).

The two cooling towers are southwest of the boilers. Water from the boilers is transported to the cooling towers through a buried reinforced concrete pipe that is 6 feet in diameter. Three deep wells provide cooling water for the plant equipment and condensate for the boilers. When running at full capacity, the Ocotillo Power Plant uses about 300,000 gallons of water daily, most of which is consumed by evaporation from the cooling towers (APS 2013). The Marley Company manufactured the cooling towers, which consist of concrete basins approximately 52 feet wide, 198 feet long, and 6 feet deep, that support double flow louvered cooling towers approximately 65 feet wide and 35 feet high with seven 22-foot-diameter air fans mounted in 14-foot-tall cylinders on a deck atop each cooling tower (Marley Company 1958). Metal stairs on the short ends of the towers were used to access the fan decks. The long sides of the cooling towers had corrugated asbestos cement louvers. Both cooling towers were rebuilt in 2012 with a design that mimics the old and the exterior appearance of the towers is mostly unchanged (personal communication, Randy Clawson, Arizona Public Service, 11 October 2013).

The service building/machine shop is south of the boilers. Noted local architect H.H. (Herbert Harmon) Green designed the building with elements of the International style, and Homes & Son Construction Company constructed the building. The L-shaped, one-story building faces south, and the east/west oriented section of the building houses administrative offices, meeting rooms, and a cafeteria. The north/south section of the building is a machine shop. The building is constructed of concrete block with a pre-cast concrete double-tee roof system. The roof is flat and built-up. The service section of the building is about 11 feet tall and the machine shop is 16 feet tall to accommodate vehicles and other machinery.

The building was designed in a simple modernistic style with most of the architectural detailing around the front entry, which is on the southeast corner of the building. The double-entry storefront doors face east and there is a plate glass sidelight to the north. The south-facing wall outside the doors is faced with ceramic tile that continues into the interior lobby. The entrance is shaded by the overhanging roof and an 8-foot-tall convex concrete wall faced with stone veneer encloses the entryway on the east side. Other exterior ornamentation is limited to 4 pierced concrete block panels on the front of the building. An APS sign on the front of the building is not original. The north side of the service section of the building is accessed through four single-entry doors. The east and west sides of the machine shop each have a single entry door and a steel roll-up door and there is one steel roll-up door on the north side.

Most of the original steel casement windows appear to have been replaced and some of the window openings have been modified.

Architect H.H. Green began his professional career in Chicago, where he designed both residential and commercial buildings. He arrived in Phoenix in the 1920s and became well-known for his designs of Period Revival residential properties in the Phoenix Country Club and other affluent residential neighborhoods in the city. In the 1930s, Green's work began to move away from regional period-revival styles to modernism, both in residential and commercial designs. After World War II, Green focused his work on public and commercial buildings and continued his stylistic shift to mid-twentieth century modern architecture, using design elements of the International style. Examples of his later work include Phoenix College buildings, several Valley National Bank branches, the Arizona Power Authority and Registrar of Contractors (now Vital Records) buildings, and the Phoenix Public Library (Weisiger and Ryden 1982; Zacharias 2008).

A large prefabricated steel and wood equipment warehouse, which was part of the original power plant construction, is west of the service building/machine shop. The 1-story shed has a front gable roof clad with composition roll. Its primary entrance is on the north side and consists of double sliding doors. Two smaller sheds of similar construction and a steel water tank are immediately west of the boilers and a small discharge pond is northwest of the boilers. The fuel tank south of the boilers that was part of the original plant construction once stored diesel fuel, which was used in testing and as an additional backup fuel. Two switchyards (69kV and 230kV) were built east of the steam units.

Between 1972 and 1973, APS built two combustion turbines west of the boilers, which increased the capacity of the plant to 330 megawatts, and another smaller fuel tank was added south of the service building/machine shop in the 1970s. In 1975, 3 large fuel tanks were built along the western edge of the power plant parcel to store No. 6 Residual Fuel Oil that was used to supplement natural gas at the Ocotillo Power Plant until the mid-1980s or early 1990s. Diesel fuel also was used for testing and as another backup fuel until about 2000. Today, the power plant is fueled exclusively by natural gas and the fuel tanks are no longer used (personal communication, Randy Clawson, Arizona Public Service, 11 October 2013).

In 1988, APS installed the Solar Test and Research (STAR) Center south of the service building/machine shop. A remnant of the facility continues to generate commercial power. The Tempe/APS Joint Fire Training Center was constructed in 1993 in the southwest corner of the power plant parcel. The Butte 69kV Substation, which provides electric power to Arizona State University and west Tempe, also is in that corner of the parcel. Immediately north of the boilers is an APS construction yard with a few buildings and a paved parking and storage lot. The yard is separated from the power plant by a chain-link fence.

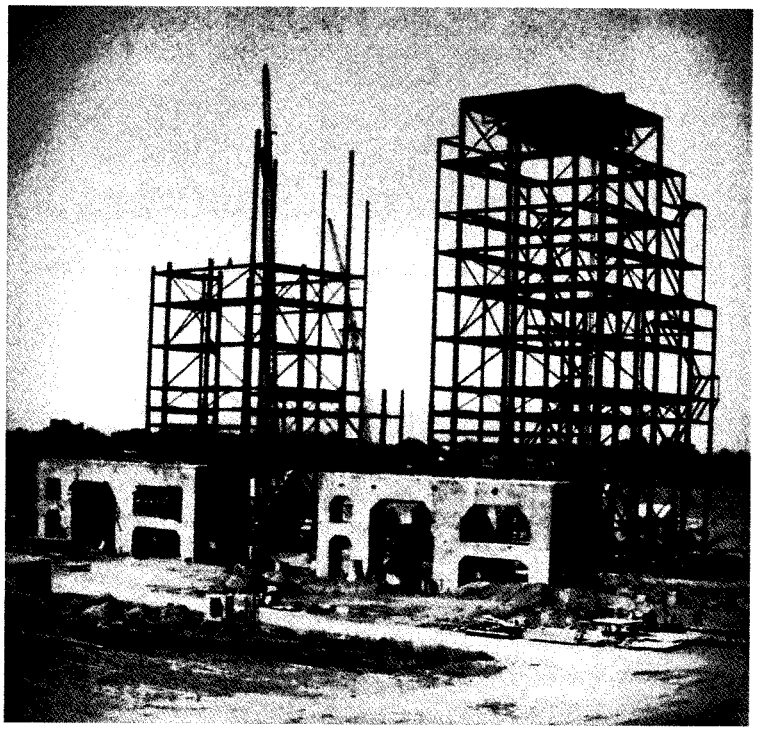
Evaluation

The Ocotillo Power Plant was one of three natural gas power plants constructed by APS between 1952 and 1960 as part of a post-World War II long-range construction program, which was implemented in response to the rapid population growth and development in Arizona. The Ocotillo Plant was the last of the three to be completed, but was also the largest. At the time of its construction, the Ocotillo Power Plant was the largest electric generating plant in Arizona but was eclipsed as larger power plants were developed. The power plant is representative of a local power company's response to the increased power needs during a time of monumental change in the demography and economy of the state. The facilities within the power plant are, however, largely utilitarian machines that have been upgraded as technology evolved. The Ocotillo Power Plant is associated with post-World War II growth—an important theme in the Arizona history—but the plant lacks sufficient significance to be considered eligible for the Arizona Register of Historic Places (Arizona Register) under Criterion A.

The open-air, structural steel design of the boiler structures represents a design adapted to local environmental conditions that APS used for the Saguaro and Yucca power plants that also were constructed as part of the company's post-World War II long-range construction plan. Although APS was one of the first utility companies to construct generating structures with an open-air design, this type of utilitarian construction was commonly used after World War II, and the Salt River Project also constructed similar generating units at their Kyrene Generating Station, which was built somewhat earlier between 1951 and 1954 (Caraco 1954; Salt River Project 2013). Most of the other original buildings and structures within the power plant are storage and maintenance buildings or support facilities for the main power plant operations and are of a common design. Therefore the power plant is not eligible for the Arizona Register under Criterion C.

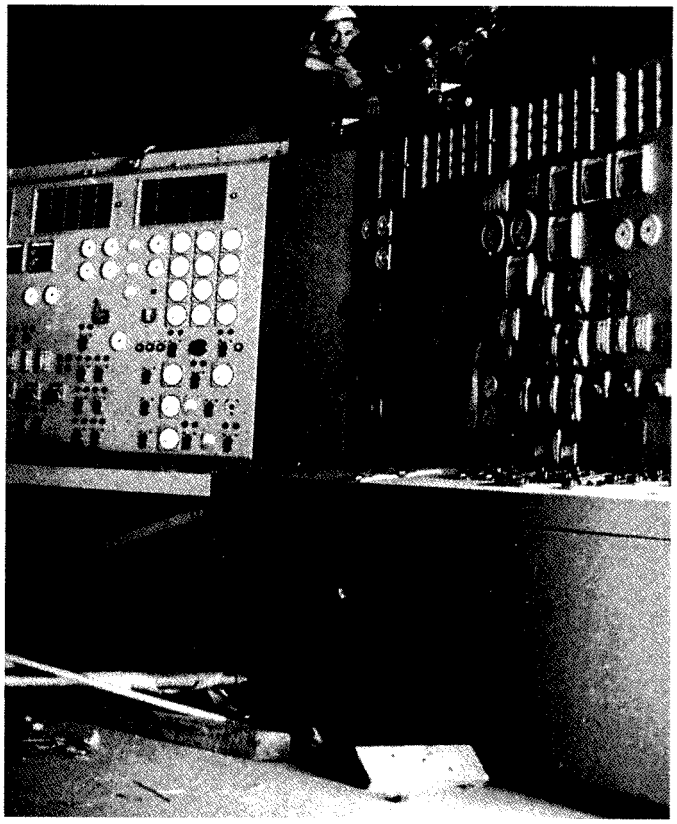
The service building/machine shop, which has elements of the International style, was designed by noted local architect H.H. Green. When the Ocotillo Power Plant was constructed, it was a large expenditure for APS and a symbol of the company's commitment to provide power to the rapidly increasing population in the Phoenix metropolitan area. It is probably for this reason that the company commissioned an architect to design a service building that was likely to be seen by the public. The Ocotillo Power Plant service building/machine shop is a fairly utilitarian building. Its minimalist design features—the front entry and the pierced concrete block panels—are limited to the front of the building, which is in the public eye. The only major alteration to the building appears to be the replacement of its original steel casement windows and its overall integrity is good. If the power plant as a whole were to be considered an eligible district, the service building/machine shop would be considered a contributor. Because the power plant is not recommended eligible as district, the individual eligibility of the building was considered because it was designed by a local master architect. The building is an unexceptional example of Green's work and other architecturally significant buildings designed by Green in the same era are still extant in the Phoenix metropolitan area and in other parts of the state. Therefore it is recommended that the building be considered not individually eligible under Criterion C.

The power plant also is not eligible under Criterion B or D because research did not identify any associations with historically significant persons and additional research is unlikely to yield important information.

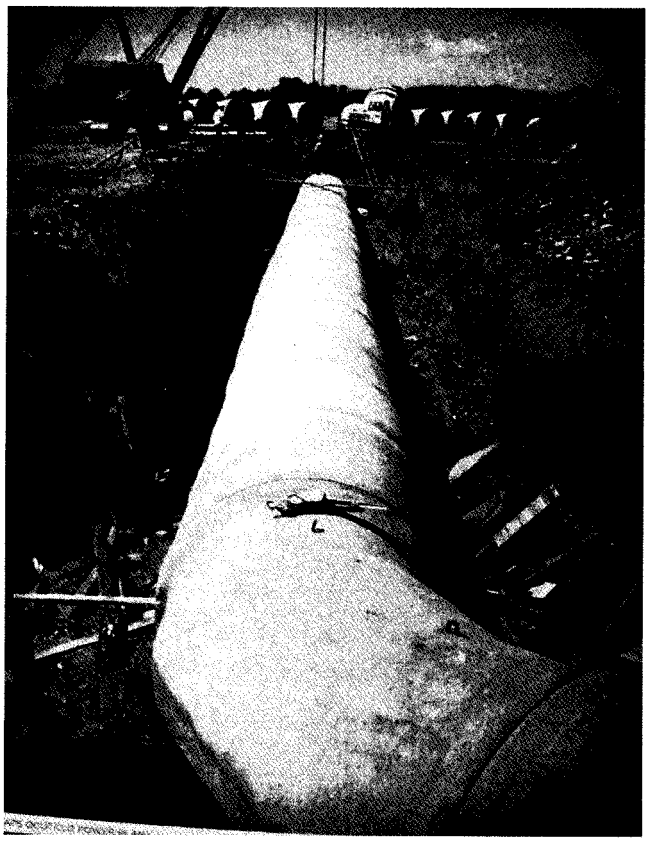


Ocotillo Power Plant Steam Boiler Structures and Station Building under Construction in August 1958 (photograph courtesy of APS)

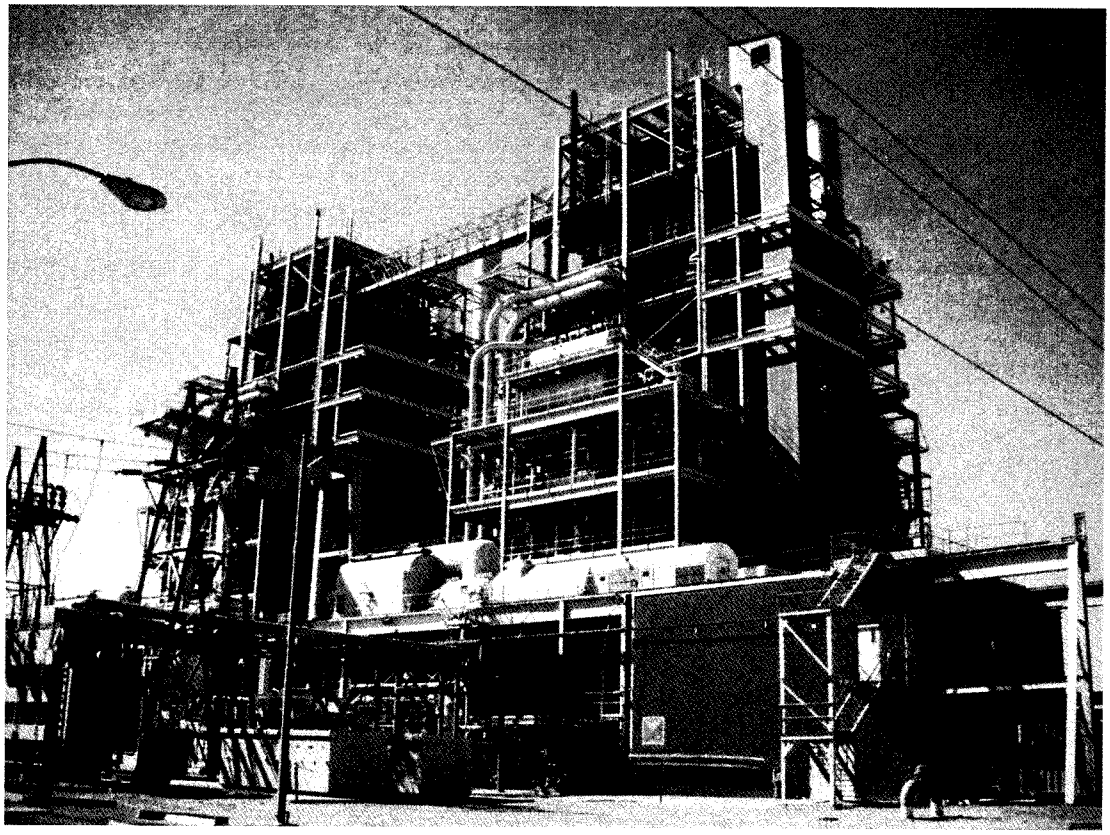
Ocotillo Power Plant Concrete Foundations under Construction in August 1958 (photograph courtesy of APS)



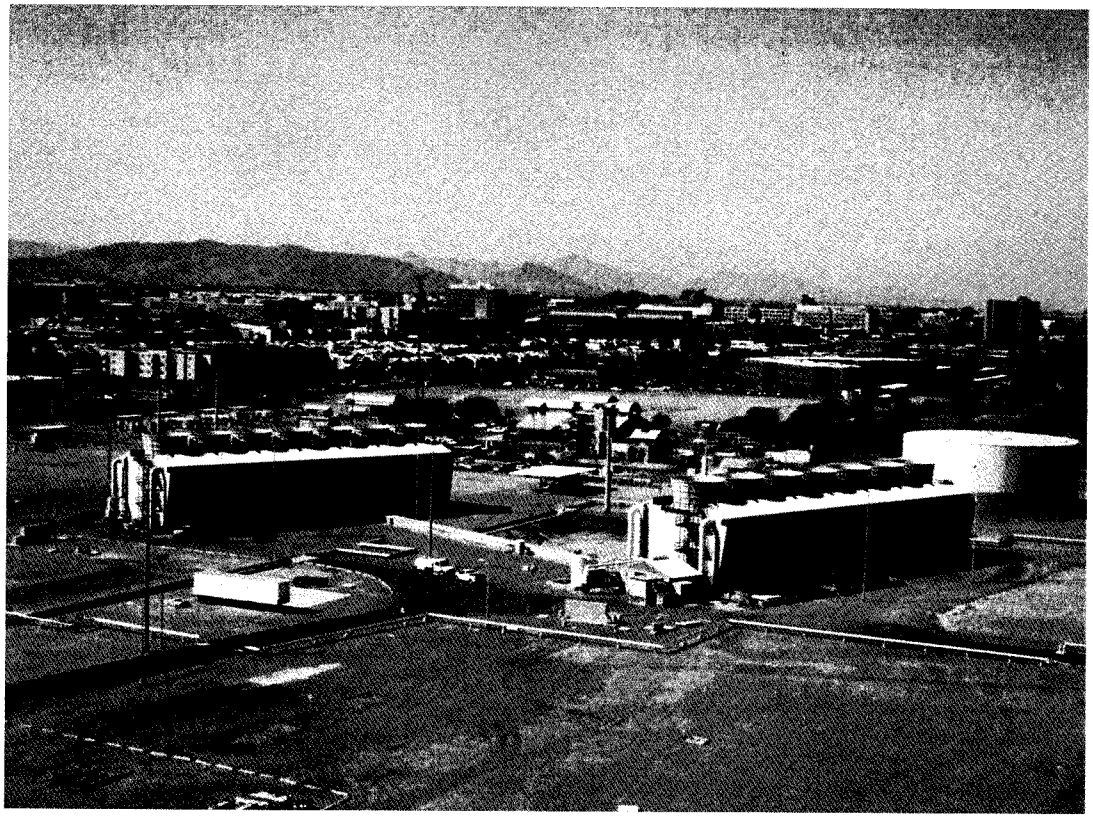
Ocotillo Power Plant Control Panel under Construction (photograph courtesy of APS)



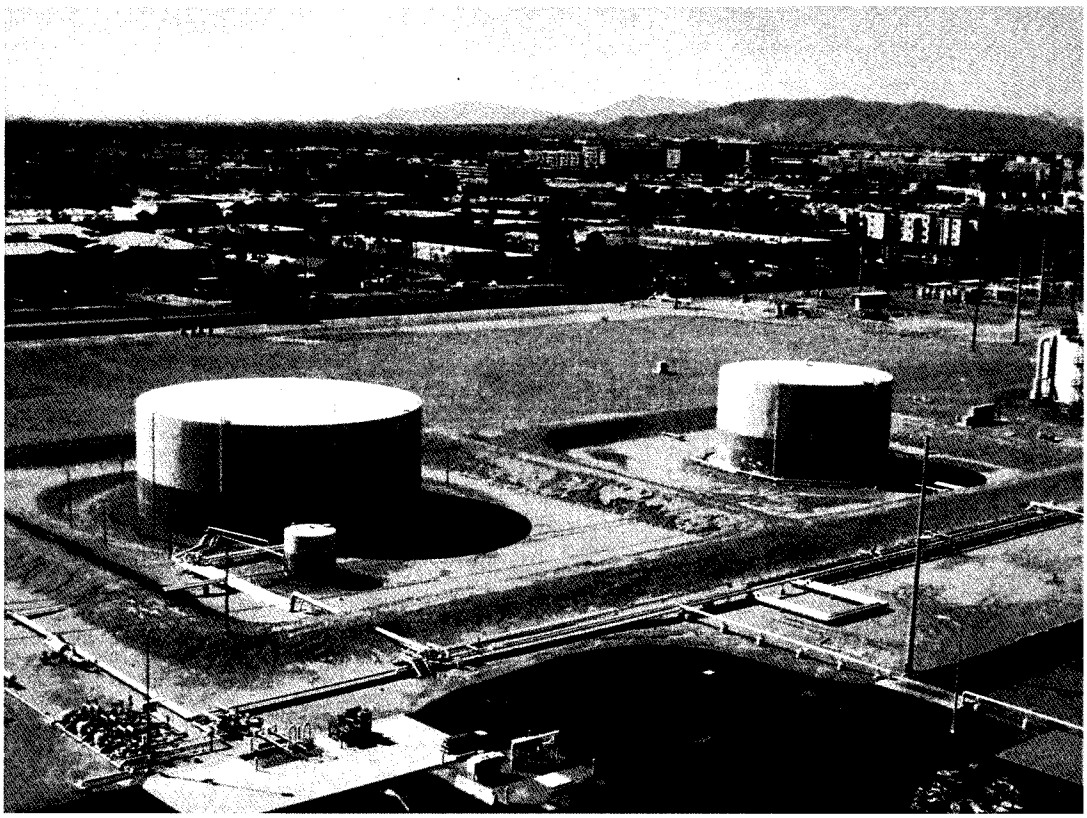
Installation of Buried 6-Foot Diameter Concrete Water Pipe between Steam Units and Cooling Towers (photograph courtesy of APS)



Ocotillo Power Plant Steam Units 1 and 2 with Station Building (view southwest)

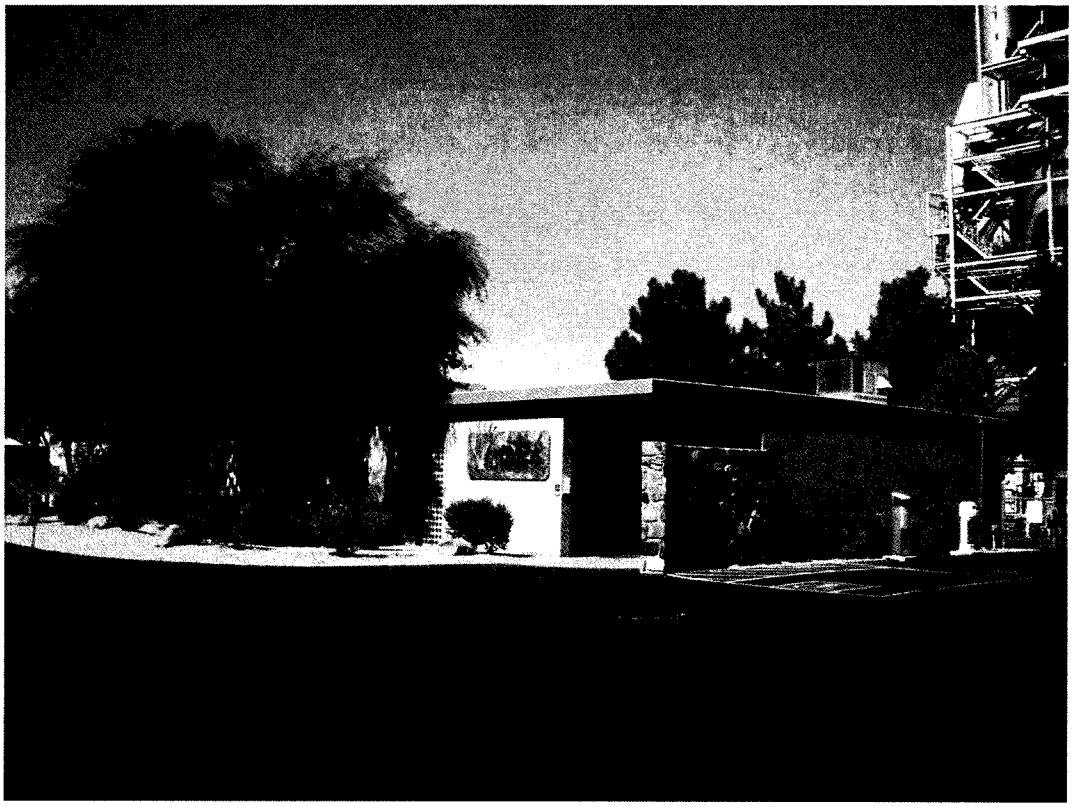


Ocotillo Power Plant Rebuilt Cooling Towers (view southwest)

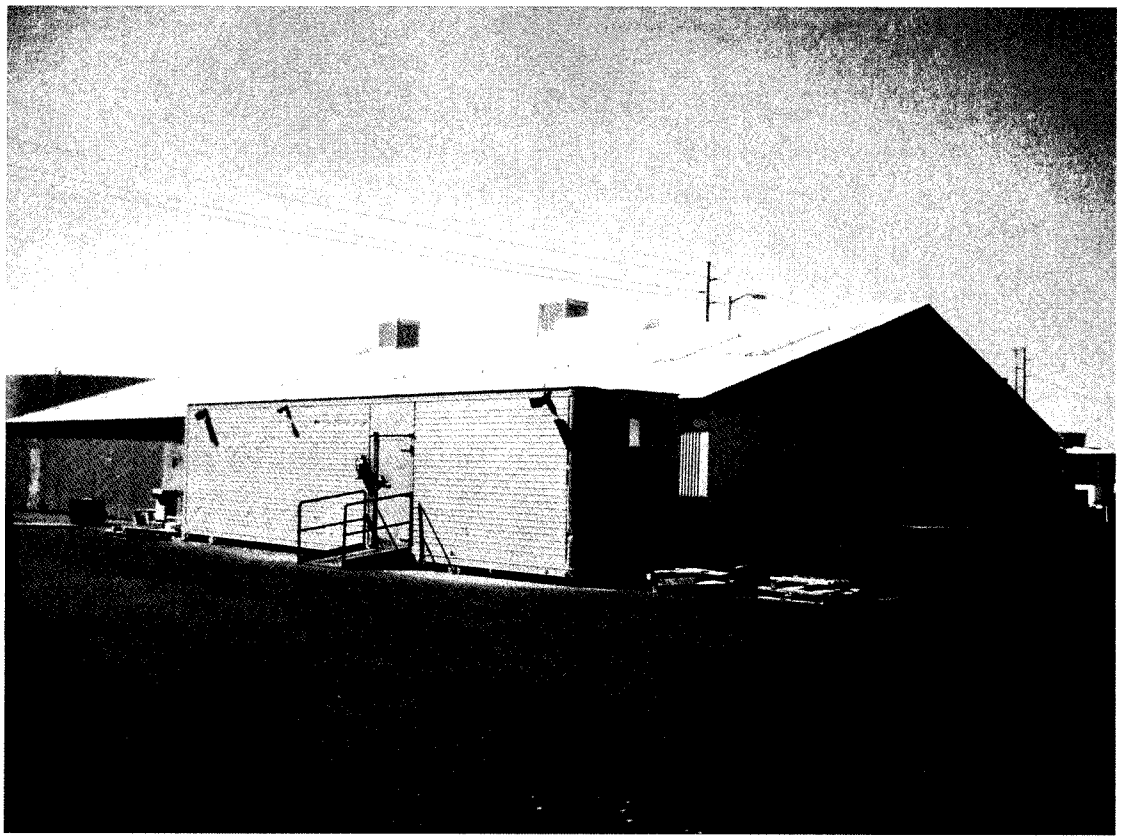


Ocotillo Power Plant Fuel Tanks South of the Steam Units 1 and 2 (view southwest)

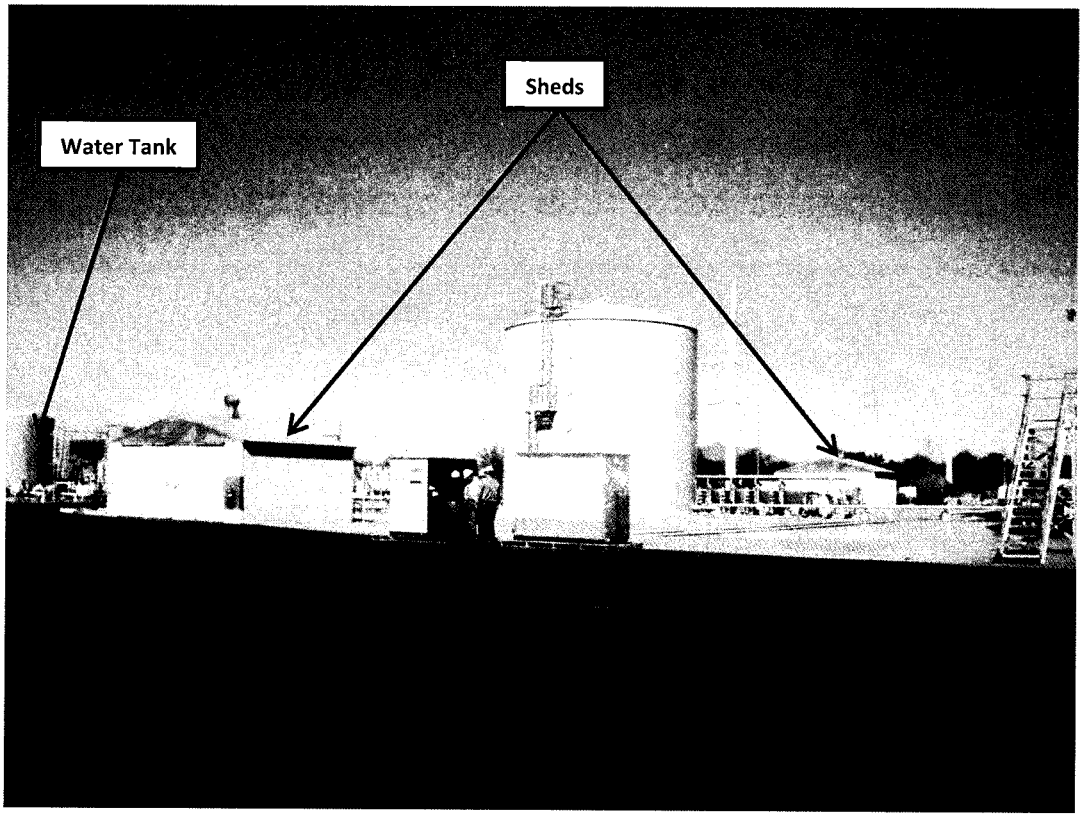
Ocotillo Power Plant Service Building/Machine Shop (steam units are in the background in this view to the north)



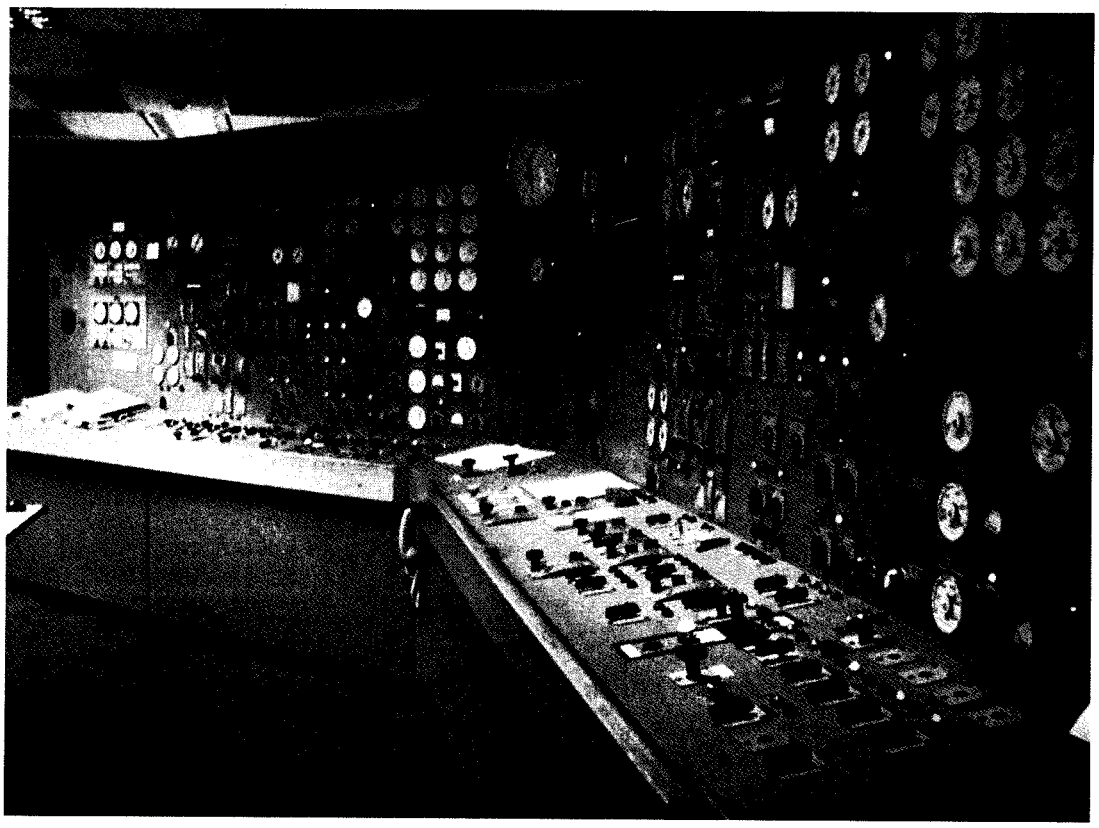
Ocotillo Power Plant Service Building/Machine Shop (view northwest)



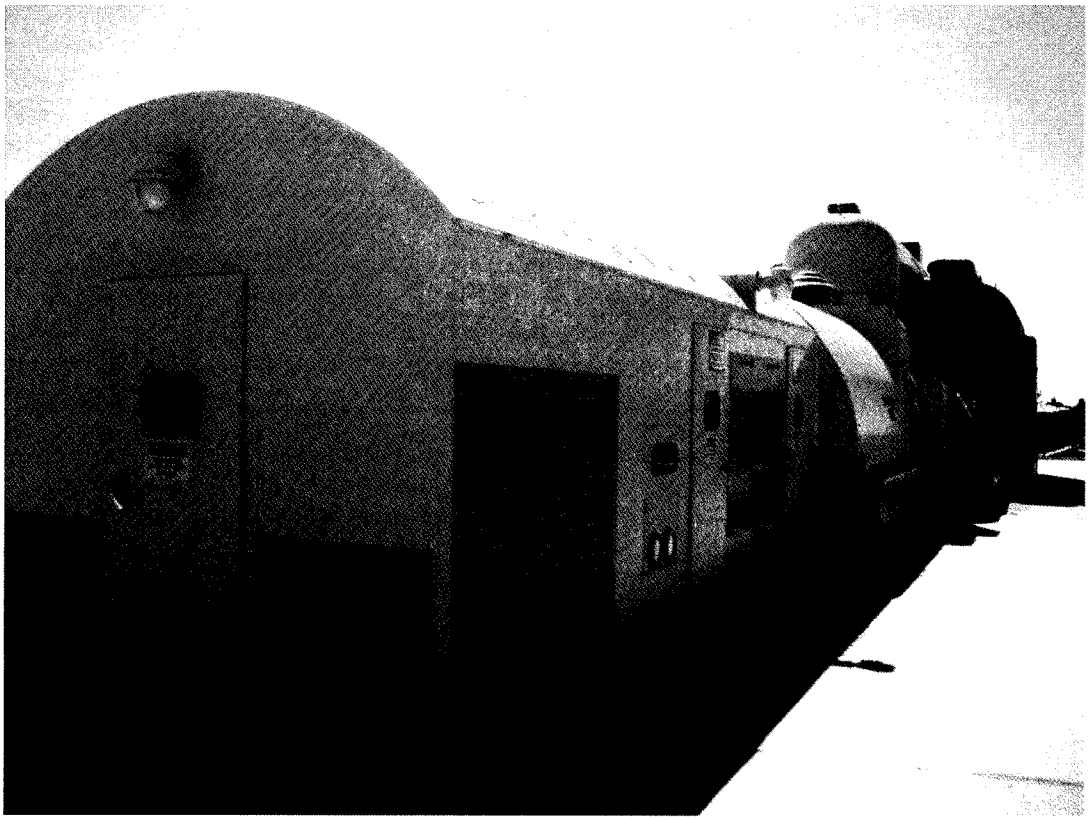
Ocotillo Power Plant Equipment Warehouse (view southwest)



Ocotillo Power Plant 1960 Sheds and Water Tank (view north-northwest)



Ocotillo Power Plant Control Panel



Ocotillo Power Plant Westinghouse Generator on Station Building Roof (view south)

1972-1973 Combustion Turbines with 1975 Fuel Tanks in Background at Ocotillo Power Plant (view west)

APS Construction Yard and Adjacent 230-Kilovolt Switchyard (view north)

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ATTACHMENT E-3 – ARCHAEOLOGICAL TESTING AT THE APS OCOTILLO POWER PLANT, TEMPE, MARICOPA COUNTY, ARIZONA

ARCHAEOLOGICAL TESTING AT THE APS OCOTILLO POWER PLANT, TEMPE, MARICOPA COUNTY, ARIZONA

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URS Cultural Resource Report 2014-4(AZ)

Restrict Distribution

To avoid vandalism, restrict information in this report about the location of archaeological sites, as provided for by Section 304 of the National Historic Preservation Act, Section 9(a) of the Archaeological Resources Protection Act, and Section 39-125 of the Arizona Revised Statutes.

Disclaimer

In preparing this report, URS Corporation used background information that was compiled by prior studies in the project vicinity. URS Corporation relied on this information as furnished, and is neither responsible for nor has confirmed the accuracy of this information.

April 2014

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ACRONYMS AND ABBREVIATIONS

APE	area of potential effects
APS	Arizona Public Service
Arizona Register	Arizona Register of Historic Places
cm	centimeter
km	kilometer
m	meter
National Register	National Register of Historic Places
URS	URS Corporation

ABSTRACT

Agencies: Arizona Corporation Commission

Report Title and Date: *Archaeological Testing at the APS Ocotillo Power Plant, Tempe, Maricopa County, Arizona.* April 2014

Permits: none required

Project Numbers: URS Job 23446558

Project Description: The Arizona Public Service Company (APS) began constructing the Ocotillo Power Plant in the late 1950s, and currently operates the two 110-megawatt gas-fired steam units that were put into service in 1960 as well as two 55-megawatt combustion turbines that were added to the plant in 1972 and 1973. APS proposes to modernize the power plant by decommissioning the two aging 110-megawatt units and replacing them with five new 102-megawatt combustion turbines powered by natural gas. APS cannot implement the plan unless the Arizona Corporation Commission issues a Certificate of Environmental Compatibility. The application for a certificate requires APS to address potential impacts of the proposed project on historic sites and structures and archaeological sites. APS retained URS Corporation (URS) to conduct cultural resource studies to support the permit application.

URS staff previously completed and reported (1) a cultural resource literature review and a check of records of prior studies and archaeological and historical resources recorded near the Ocotillo Power Plant, (2) an evaluation of the historic significance of the power plant, and (3) archaeological monitoring of geotechnical investigations within the power plant parcel. The monitoring identified no evidence of buried artifacts or archaeological features but in accessing the geotechnical boring locations, the archaeological monitors found many Hohokam artifacts on the berms of the retention basin around the three large fuel storage tanks on the western part of the power plant parcel. Based on those findings and the results of the records and literature review, it was hypothesized that construction of the three large fuel storage tanks had disturbed one or more Hohokam field houses or an activity area within a Hohokam field that probably had been farmed by the residents of a nearby Hohokam village site known as La Plaza. There were no regulatory requirements for APS to consider impacts on cultural resources when the power plant and fuel storage tanks were constructed.

APS authorized URS to conduct archaeological testing to determine whether buried archaeological deposits might remain intact in areas that could be disturbed by the proposed modernization of the power plant. This report documents that testing as well as field inventory of a large sample of the surface artifacts in the project area.

Location: The APS Ocotillo power plant is at 1500 East University Drive in north Tempe on the south side of the Salt River within the Phoenix Basin. The parcel is in the SE1/4 of Section 14, Township 1 North, Range 4 East, Gila and Salt River Meridian, which is mapped on the Tempe, Arizona, U.S. Geological Survey 7.5-minute topographic quadrangle.

Scope of Project and Jurisdiction: APS owns the power plant parcel, which covers about 126 acres (51 hectares), but facilities other than the Ocotillo Power Plant are located on the parcel, including an APS

maintenance yard, electrical substations, and the Tempe/APS Joint Fire Training Center. The area of potential effects (APE) for direct construction impacts of potential modifications of the power plant encompasses about 15.8 acres (6.4 hectares) in the northwestern part of the parcel. A total of 13 test trenches, accumulating to 1,390 feet (424 meters [m]), were excavated. One 50-foot (15-m) trench was excavated to a depth of 7 feet (2.1 m) and the rest were dug to depths of 4 to 5 feet (1.2 to 1.5 m). The extent of testing constitutes about a 0.6 percent sample of the APE, but more than 1 percent of the APE that has not been highly disturbed by excavation of the fuel tanks retention basin and other power plant infrastructure.

Personnel and Dates of Fieldwork:

APS archaeologist Jon Shumaker was the APS representative for the project and APS archaeologist Chris Watkins assisted him. URS archaeologist Chad Kirvan led the URS archaeological crew, which included Ronald Savage and Regina Chapin-Pyritz. Bruce Phillips of EcoPlan Associates was the project geoarchaeologist. Dr. A.E. (Gene) Rogge served as principal investigator. A total of 36 person-days were devoted to the archaeological fieldwork between 4 November and 12 December 2013. Because the testing was on privately owned land, no permits other than APS' authorization were required.

Arizona Register-Eligible Properties:

1 site, AZ U:9:311(ASM)

Arizona Register-Ineligible Properties:

none

Conclusion and Recommendations:

Temporally diagnostic potsherds among the 2,082 artifacts that were field inventoried indicate the Hohokam probably farmed on the Lehi terrace (geologic floodplain) within the power plant parcel between the Gila Butte phase of the early Colonial period and the late Classic period Civano phase (circa A.D. 750 to 1450). As expected, the testing revealed that construction of the power plant, decades of earlier farming, and flood flows on the floodplain had disturbed much of the project area but the discovery of buried strata with archaeological deposits and an irrigation canal feature at depths of about 3 to 5 feet (1 to 1.5 m) in the northwest corner of the power plant parcel indicate that the archaeological record of the project area remains at least partially intact. Those strata thin to the east or are deeper than the 5-foot (1.5-m) deep test trenches. Because the canal appears to date to the final Civano phase of the Hohokam occupation there is potential that earlier Hohokam or perhaps even pre-Hohokam deposits might be more deeply buried in parts of the project area.

Testing east of the fuel tank retention basin failed to find any archaeological features or many artifacts, suggesting that excavation of the retention basin may have removed most of the intact archaeological deposits and any archaeological features that were present. The testing also indicated that 2.3 feet (0.7 m) or more of sediments have been deposited across the area by flood flows after the Hohokam occupation ended about 5 centuries ago. The one trench that was dug deeper revealed an erosion channel that extended to a depth of about 7 feet (2.1 m) and was underlain by an eroded paleosol of undetermined age. Although the lateral extent of the erosion channel was not determined it could be extensive and the few artifacts found in trenches east of the retention basin appear to be in eroded contexts.

An archaeological site, designated AZ U:9:311(ASM), was defined to encompass the extensive scatter of disturbed artifacts and the one buried canal feature that was found. Because the extent of test excavation was limited and too shallow in most areas to test for potential archaeological deposits below 5 feet (1.5 m), the site boundaries are somewhat arbitrary and are likely to warrant modification as more is learned about the site. Because the canal is buried, horizontal exposure has potential to identify a network of field ditches, which have been documented only rarely at other sites. Accordingly, it is recommended that site AZ U:9:311(ASM) be considered eligible for the Arizona Register of Historic Places under Criterion D for its potential to yield important information.

Because the canal and adjacent field areas are buried in the very northwestern corner of the power plant parcel, it might be possible to design the proposed new facilities to avoid disturbance of the area. If not, it is recommended that a plan be developed and implemented to recover information and artifacts from the area prior to any construction activity.

Deeper testing is needed to further evaluate whether intact archaeological features and deposits might be present in areas beyond the northwestern corner of the power plant parcel below the depths of the test trenches that were excavated. The safety requirements for stepping back or shoring trenches deeper than 5 feet (1.5 m) make such testing complicated and costly. The proposed modernization of the power plant will involve deep excavations for new combustion turbine foundations. If the construction schedule could be designed to dig the required deeper excavations early in the process and accommodate archaeological monitoring and time to excavate any archaeological features that might be discovered, that could be an effective strategy for checking for deeper archaeological deposits. Such a strategy entails the risk of construction delays if archaeological excavations to recover and preserve artifacts and information take more time than allocated.

An alternative would be to excavate more test trenches during continued design of the new facilities and preconstruction planning, targeting the testing in those areas that would be disturbed by deep excavations for turbine foundations. It should be recognized that more preconstruction testing may not eliminate a need for archaeological monitoring of construction activities unless the results indicate there is little potential for human remains to be buried in areas that would be disturbed by construction of the proposed new facilities. Even if more preconstruction testing does not eliminate the need for archaeological monitoring of construction to ensure that human remains are treated in accordance with the Arizona Burial Law, additional testing should provide information about the extent of disturbed deposits and what areas would not warrant monitoring.

ARCHAEOLOGICAL TESTING AT THE APS OCOTILLO POWER PLANT, TEMPE, MARICOPA COUNTY, ARIZONA

INTRODUCTION

The Arizona Public Service Company (APS) began constructing the Ocotillo Power Plant in the late 1950s, and currently operates the two 110-megawatt gas-fired steam units that were put into service in 1960 as well as two 55-megawatt combustion turbines that were added to the plant in 1972 and 1973. APS proposes to modernize the power plant by decommissioning the two aging 110-megawatt units and replacing them with five new 102-megawatt combustion turbines powered by natural gas. APS cannot implement the plan unless the Arizona Corporation Commission issues a Certificate of Environmental Compatibility. The application for a certificate requires APS to address potential impacts of the proposed project on historic sites and structures and archaeological sites. APS retained URS Corporation (URS) to conduct cultural resource studies to support the permit application.

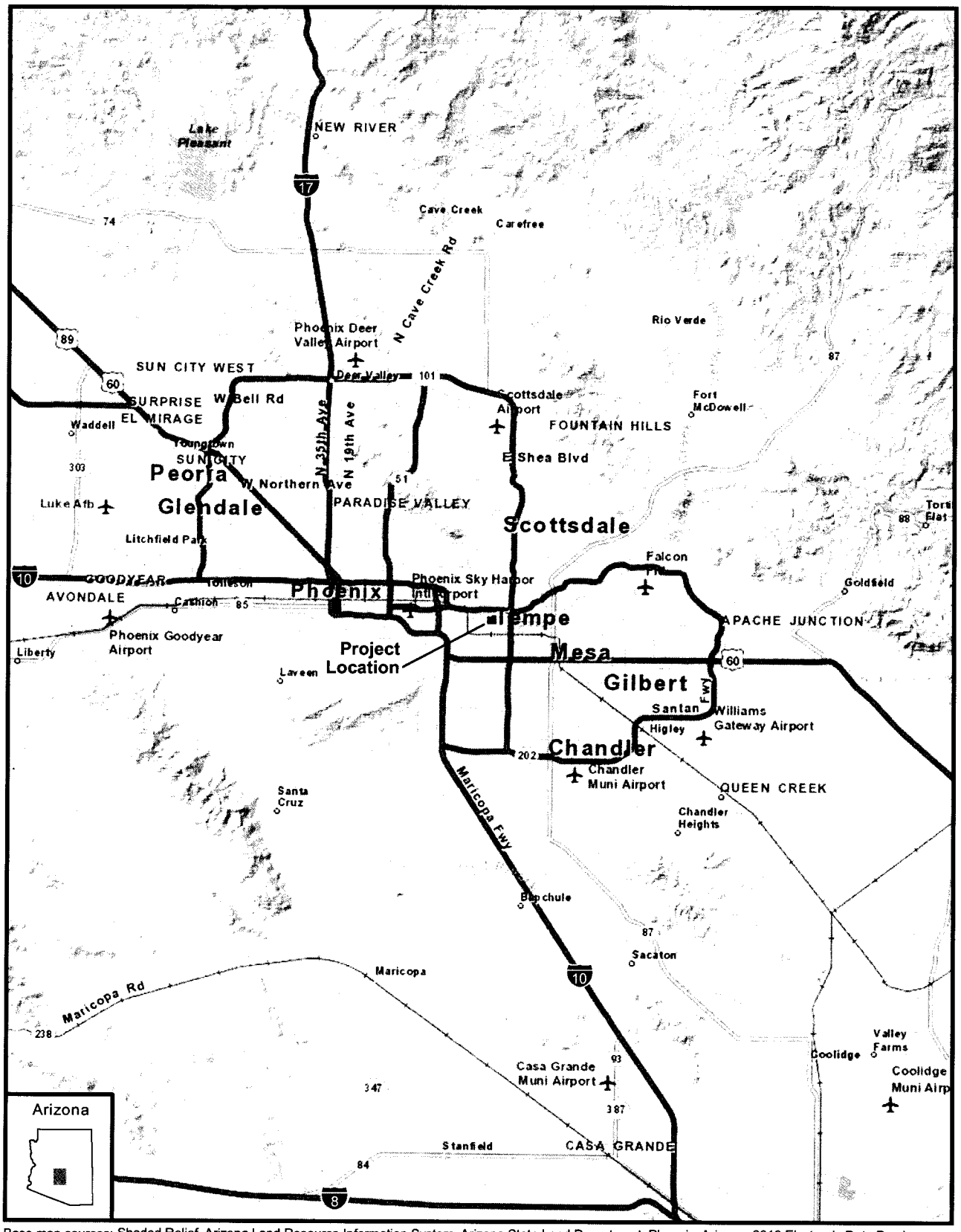
Project Location and Area of Potential Effects

The APS Ocotillo Power Plant is in the Phoenix Basin on the south side of the Salt River (**Figure 1**) at 1500 East University Drive in north Tempe (**Figure 2**). The power plant is on a parcel of land owned by APS, covering about 126 acres (51 hectares) in the SE1/4 of Section 14, Township 1 North, Range 4 East, Gila and Salt River Meridian. The area is mapped on the Tempe, Arizona, U.S. Geological Survey 7.5-minute topographic quadrangle (appended **Figure A-1**).

Facilities on the parcel, other than the power plant, include electrical substations, an APS maintenance yard, and the Tempe/APS Joint Fire Training Center. The area of potential effects (APE) for direct construction impacts of the proposed power plant modernization includes 15.8 acres (6.4 hectares), mostly in the western part of the parcel where three large fuel storage tanks would be removed and the five new turbines would be built (**Figure 3**). Another 10.4 acres (4.2 hectares) would be used for temporary construction offices, materials laydown, and vehicle parking. That area has been disturbed and those uses are unlikely to have any potential to disturb any intact archaeological resources that might be buried in that area. An internal access road would be built from the main power plant entrance road to the new generating units and construction of the road could disturb buried archaeological resources, depending on the depth of subgrade preparation. Ground disturbance associated with removal of the two steam units and two associated cooling towers would be confined to areas that were disturbed when those facilities were built.

Prior Studies

URS staff previously completed a cultural resource literature review and a check of records of prior studies within an area that included the power plant parcel and a surrounding area 1 mile (1.6 kilometers [km]) wide (Rogge and Kirvan 2013). The review documented that archaeological research in the Salt River Valley began in the 1880s, and although the early investigations were intermittent, they provided valuable information about prehistoric sites and irrigation canal systems before they were masked by agricultural development and subsequent urbanization. The review identified 65 modern cultural resource studies conducted since the late 1950s within the records review area. Only four of those studies were within the power plant parcel itself, and together they covered less than 2 acres (0.8 hectare) that had been highly disturbed by development (Florie 2010; Howard 2001; North 2002; Solometo 2000). None of those surveys discovered any archaeological or historical sites, but other studies had recorded 15 archaeological sites and 24 historic districts, buildings, and structures within the records review area. The review identified 87 more historic districts, buildings, and structures, which have been listed in or determined eligible for the Arizona Register of Historic Places (Arizona Register), the National Register of Historic Places (National Register), or the Tempe Historic Property Register, between 1 to 2 miles (1.6 to 3.2 km) of the power plant parcel.



Base map sources: Shaded Relief. Arizona Land Resource Information System, Arizona State Land Department, Phoenix, Arizona. 2010 Electronic Data Purchase. Esri, DeLorme, NAVTEQ, TomTom, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), and the GIS User Community. Use authorized by Esri license.

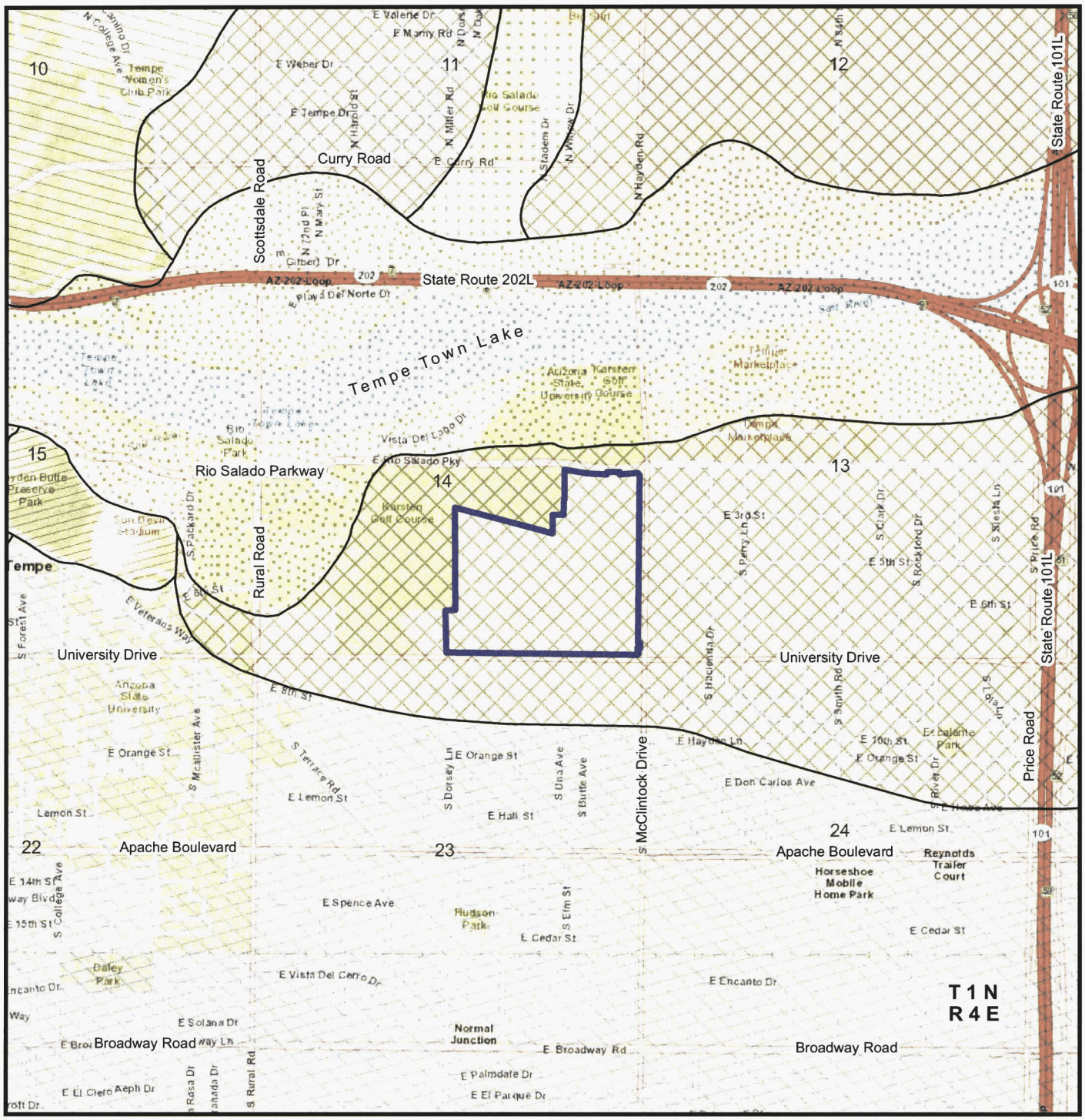
0 5 10 Miles

0 5 10 15 Kilometers

N

■ Project Location

General Project Location
Figure 1



Base Map Source: Sources: Esri, DeLorme, NAVTEQ, USGS, Intermap, iPC, NRCAN, Esri, Japan, METI, Esri China (Hong Kong), Esri (Thailand), TomTom, 2012.
Use authorized with Esri license.
Landform Source: Wellendorf and Others 1986

Landform

- Floodplain of Indian Bend Wash
- Active Geologic Floodplain of the Salt River
- Tempe Butte Bedrock Highlands and Colluvial Slopes
- Lehi Terrace
- Mesa Terrace
- Eroded Papago Buttes Pediment

Legend

- Power Plant Parcel

0 1,000 2,000 3,000 Feet
0 250 500 750 1,000 Meters
N

Ocotillo Power Plant Parcel
Figure 2

Aerial Source: Flood Control District of Maricopa County 2012, Data Purchase

0 100 200 300 Feet

0 25 50 75 100 Meters

N

Legend

- Main Construction Area
- Access Road
- Ammonia Storage
- Transmission Line Structure Location
- Cooling Towers Removal Area (demolition in previously disturbed area)
- Steam Turbine Generating Units Removal Area (demolition in previously disturbed area)
- Temporary Construction Yard (no potential to affect archaeological resources)

Area of Potential Effects for Direct Construction Impacts
Figure 3

Because components of the power plant are more than 50 years old and their historical significance had not been previously evaluated, they were inventoried and researched. The evaluation concluded they lack historical significance worthy of preservation, and it was recommended that they be considered ineligible for the Arizona Register or National Register, which have identical eligibility criteria (Johnson 2013).

Many of the archaeological sites recorded in the review area are within a large prehistoric Hohokam village site known as La Plaza, which is located at the base of Tempe Butte southwest of the power plant (refer to Figure A-1). The Hohokam occupied that village from the Pioneer period through the Classic period (circa A.D. 450 to 1450), and built a network of irrigation canals to farm the surrounding land. The village was situated on the Mesa terrace, which is about 10 to 15 feet (3.0 to 4.5 meters [m]) above the bed of the Salt River. The adjacent, lower Lehi terrace is only about 5 feet (1.5 m) above the riverbed, and represents the geologic floodplain (Wellendorf and others 1986). The Ocotillo Power Plant parcel is on the lower Lehi terrace (refer to Figure 2).

Although most of the irrigated Hohokam fields near La Plaza were on the higher Mesa terrace above the floodplain, archaeological investigations conducted about 0.5 mile (0.8 km) west of the power plant parcel documented that the Hohokam also farmed on the floodplain (Lehi terrace). Three studies documented that during the early twentieth century the meandering channel of the Salt River scoured away part of the Lehi terrace east of Tempe Butte but the scouring did not extend east as far as the power plant parcel (Rockhill and Rice 2010; Steinbach and Watkins 2008a, 2008b). Another investigation overlapped the scoured river channel and the Lehi terrace and found a canal, a field house, a hearth, and two pits on parts of the terrace that had not been eroded, and documented that the Hohokam grew maize on the floodplain (Rice and others 2011). Another recent archaeological excavation overlapped the boundary between the Lehi terrace and the higher Mesa terrace and found more evidence of Hohokam farming on the Lehi terrace, as well as remnants of pit houses, middens, hearths and cooking pits, other pits, and numerous human burials of the La Plaza village but evidence of the village was confined to the Mesa terrace (Rice 2013).

In June and July 2013, URS staff archaeologically monitored 21 geotechnical borings within the Ocotillo Power Plant parcel as an initial check for unrecorded archaeological resources within the project area. Most borings, which were 8 inches (20 centimeters [cm]) in diameter, reached depths of 12 to 22 feet (3.7 to 6.7 m) before they were halted by deposits of sand, gravel, cobbles, and possible boulders. One boring penetrated to a depth of only 4 feet (1.2 m) because it was stopped by a buried concrete object (possibly an abandoned storm drain). No buried artifacts or archaeological features were detected, but the area exposed accumulated to less than 8 square feet (0.7 square m). In accessing the boring locations, however, the archaeological monitors found many Hohokam artifacts around the retention basin surrounding the three large fuel storage tanks on the western part of the power plant parcel. The only other artifacts noted were two potsherds found on the surface of fill dirt that had been previously placed near Boring B-15 about 165 feet (50 m) east of the retention basin. The archaeological monitors estimated that there were approximately 100 to 500 artifacts (mostly potsherds) on the ground surface (Rogge and Kirvan 2013). Based on those findings and the results of the records and literature review, it was hypothesized that construction of the three large fuel storage tanks had disturbed one or more Hohokam field houses or an activity area within a Hohokam field that probably had been farmed by the occupants of the nearby La Plaza village site. There were no regulatory requirements for APS to consider impacts on cultural resources when the power plant and fuel storage tanks were constructed.

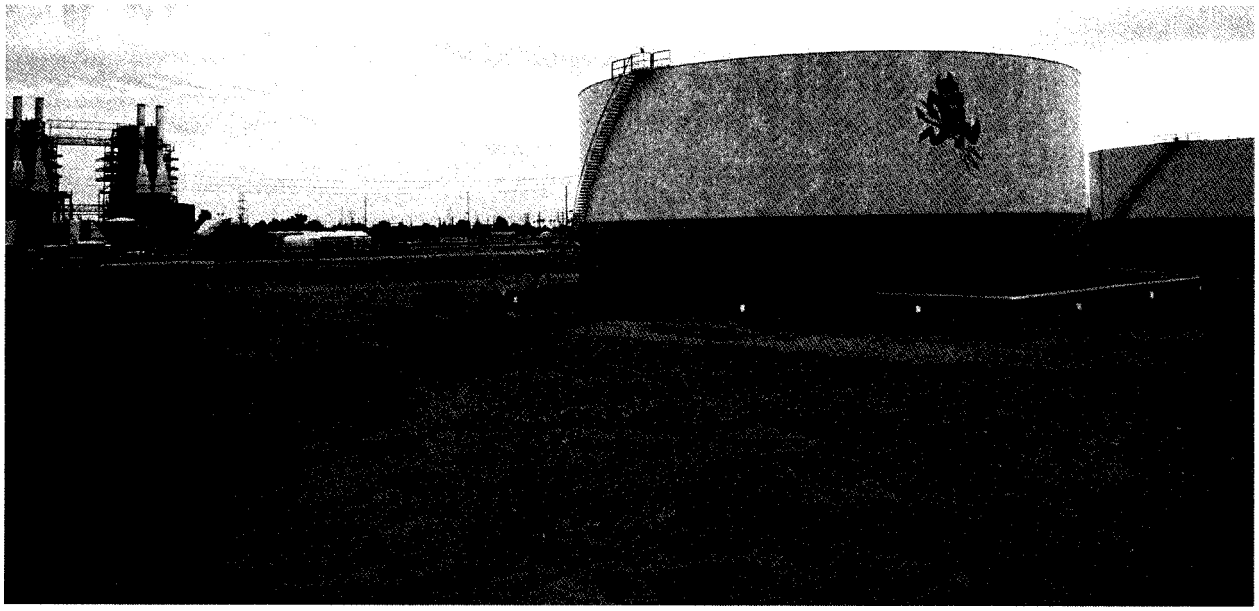
APS authorized archaeological testing to determine whether buried archaeological deposits might remain intact in areas that could be disturbed by the proposed modernization of the power plant. This report documents that testing. The previously prepared report (Rogge and Kirvan 2013) discusses regulatory requirements, the environmental and cultural history context of the project area, and the results of the records and literature review and can be consulted for that information, which is not repeated in this report.

Personnel and Permits

APS archaeologist Jon Shumaker was the APS representative for the project and APS archaeologist Chris Watkins assisted him. URS archaeologist Chad Kirvan led the URS archaeological crew, which included Ronald Savage and Regina Chapin-Pyritz. Bruce Phillips of EcoPlan Associates was the project geoarchaeologist. Dr. A.E. (Gene) Rogge served as principal investigator. A total of 36 person-days were devoted to the archaeological fieldwork between 4 November and 12 December 2013. Because the testing was on privately owned land, no permits other than APS' authorization were required.

INVENTORY OF SURFACE ARTIFACTS

The artifacts that had been noted during the archaeological monitoring of geotechnical investigations apparently were dug up when the retention basin was excavated to a depth of about 5 feet (1.5 m) around the fuel tanks (**Photograph 1**). The artifacts are most dense on earthen berms surrounding the basin, and apparently were concentrated as a lag deposit as the berms eroded. Because of the extent of disturbance, it was concluded that additional laboratory analysis of the artifacts was not warranted and they were not collected, but a large sample was inventoried in conjunction with the archaeological testing.



Photograph 1. Fuel Tanks Retention Basin Berms with Dense Surface Artifacts (view southeast)

The field inventory of the artifacts was organized by superimposing a grid of 109 units, each 65 feet (20 m) square, across the artifact scatter. Each of the 3 tanks occupies approximately 4 grid units, leaving 97 grid units covering approximately 9.6 acres (38,800 square m). Two person-days had been allocated for the field inventory but the quantity of artifacts proved to be more than 4 times greater than originally estimated. After 6 person-days of field effort (by a 2-person URS crew assisted by APS archaeologist Chris Watkins, an experienced pottery analyst who classified the pottery), the inventory was halted with 12 grid units along the southern edge of the targeted area remaining uncounted. It was decided that the 2,082 artifacts that had been inventoried were an adequate sample (**Figure 4**).

About 87 percent of the inventoried artifacts are potsherds and 12 percent are flaked stone (**Table 1**). Less common types of artifacts include 6 pieces of ground stone, 3 hammerstones, 3 pieces of marine shell, and 2 pieces of what appeared to be burned daub, which suggests some type of earth-covered shelter may have been present and burned. A fragment of worked and burned faunal bone (probably part of an awl or hairpin) also was inventoried.

This figure has been removed from this report to restrict distribution of sensitive information.

Legend

- Area of Potential Effects for Direct Construction Impacts
- Artifact Inventorying and Testing Grid
- Surface Artifact Inventory Area
- Test Trench
- Stepped Back to Deepen Trench to 7 Feet (2.1 meters)
- Blue Stake Existing Utility: Gas
- Blue Stake Existing Utility: Electric
- Blue Stake Existing Utility: Water

Artifact Count

- 0
- 1 - 9
- 10 - 24
- 25 - 49
- 50 - 99
- 100 +
- Inventory Not Completed

0 100 200 300 Feet

0 25 50 75 100 Meters

N

Other Surface Artifacts

- Sparse Scatter of Approximately 6 Plain Ware Sherds
- Metate
- Metate Fragment

Surface Artifacts
Figure 4

An additional 47 fragments of inventoried faunal remains almost certainly were naturally deposited and do not reflect human activities. Those remains include 16 bone fragments identified as jackrabbit, 4 as cottontail, 1 as rabbit, 7 as bird, 3 as large mammal, 2 as medium-large mammal, 2 as medium mammal, 1 as small-medium mammal, and 1 as small mammal, as well as 10 fish bones or fish scales. (The crew saw an osprey perching in the project area with a fish that probably had been caught in Tempe Town Lake.)

Table 1. Summary of Field Inventoried Artifacts

Artifact Type	Count	Percentage
ceramics	1,813	87.1%
flaked stone	254	12.2%
ground stone	6	0.3%
hammerstones	3	0.1%
marine shell	3	0.1%
daub	2	0.1%
faunal bone	1	0.0%
total	2,082	100%

About 84 percent of the sherds are plain ware, which is not temporally diagnostic but reflects a Hohokam affiliation, although 4 atypical unidentified sherds do not appear to be of Hohokam origin (**Table 2**). Almost 70 percent of the plain ware sherds have sand temper indicative of locally made Salt Plain (formerly Gila Plain, Salt variety). Gila Plain (formerly Gila Plain, Gila variety) sherds with mica schist temper were probably made in the Gila River valley and are less common (12 percent). About 13 percent of the plain ware sherds are Wingfield Plain (phyllite temper), and almost 2 percent have Squaw Peak schist temper, indicating they were made in the northern part of the Salt River valley. Steinbach and others (2008) hypothesized that the uncommon occurrence of Wingfield Plain and Squaw Peak schist tempered pottery in field house site AZ U:9:281(ASM) south of the La Plaza site could indicate the residents of the La Plaza village hired "migrant laborers" from the north side of the Salt River valley to work their fields. The relatively high percentage of the phyllite and Squaw Peak schist tempered plain ware in another field context at site AZ U:9:311(ASM) near the La Plaza village site lends some support to that hypothesis.

Table 2. Summary of Field Inventoried Ceramics

Pottery Type	Count	Percentage
Salt Plain (sand temper)	1,068	58.9%
Wingfield Plain (phyllite temper)	243	13.4%
buff ware	210	11.6%
Gila Plain (mica schist temper)	177	9.8%
Salt Red (sand temper)	45	2.5%
plain ware (Squaw Peak schist temper)	30	1.7%
Gila Red (mica schist temper)	21	1.2%
Salado Polychrome (6 Gila Polychrome)	7	0.4%
Gila Butte Red-on-buff	4	0.2%
unidentified plain ware (probably not Hohokam)	4	0.2%
Sacaton Red-on-buff	3	0.2%
unidentified ware	1	0.1%
total	1,813	100%

About 12 percent of the inventoried sherds are buff ware, most of which lack painted red designs. Buff ware proportions of 20 percent or more are typical of pre-Classic period assemblages (Watts and others 2012). Casa Grande Red-on-buff was used during the Soho phase of the early Classic period, but Classic period assemblages generally have less than 10 percent buff ware because red ware was becoming more common. The Hohokam no longer made buff ware during the Civano phase of the late Classic period.

Four sherds have designs characteristic of Gila Butte Red-on-buff, which dates to the early Colonial period, and 3 sherds are Sacaton Red-on-buff, which dates to the subsequent Sedentary period. About 4 percent of the inventory is red ware, with locally made sherds with sand temper (Salt Red) being about twice as

common as those with mica schist temper (Gila Red), which were probably made in the Gila River valley. The red ware is indicative of the Classic period. The inventory includes 7 Salado Polychrome sherds (6 identified specifically as Gila Polychrome), which date to the Civano phase.

In summary, few of the inventoried sherds are temporally diagnostic but they indicate at least intermittent use of the site over a period of approximately seven centuries from the early Colonial period through the late Classic period (circa A.D. 750 to 1450). Although buff ware sherds are more than three times as numerous as red ware sherds, the relatively low percentage of buff ware within the assemblage suggests the most intensive use might have been during the Classic period.

The flaked stone that constitutes 12 percent of the artifact inventory is mostly knapping debris and primarily secondary and tertiary flakes. No projectile points were identified, but one tertiary rhyolite flake appeared to be a tool fragment, two fine-grain basalt tertiary flakes had unifacially worked edges, and a chert flake had evidence of use wear. Two bifacial thinning flakes were identified. Seven pieces are cores of fine-grain basalt, dacite, and chert, which are the most common types of toolstone in the assemblage (85 percent) (**Table 3**). A piece of obsidian shatter probably was not of local origin, but the other types of toolstone probably could have been collected from the gravels of the Salt River channel and terraces.

Table 3. Summary of Field Inventoried Flaked Stone

Type	Basalt	Dacite	Chert	Quartzite	Rhyolite	Jasper	Obsidian	Quartz	Granite	Unidentified	Totals	%
core	1	5	1								7	2.8%
primary flake[1]	8	2	3	1						1	15	5.9%
secondary flake[2]	72	24	9	12	4	1		1	1	2	126	49.6%
tertiary flake[3]	64	6	13	4	4	1				4	96	37.8%
bifacial thinning			2								2	0.8%
shatter	2		4		1		1				8	3.1%
totals	147	37	32	17	9	2	1	1	1	7	254	100%
percentages	57.9%	14.6%	12.6%	6.7%	3.5%	0.8%	0.4%	0.4%	0.4%	2.8%	100%	

Note: [1] dorsal surface covered with cortex, [2] some cortex on dorsal surface, [3] no cortex

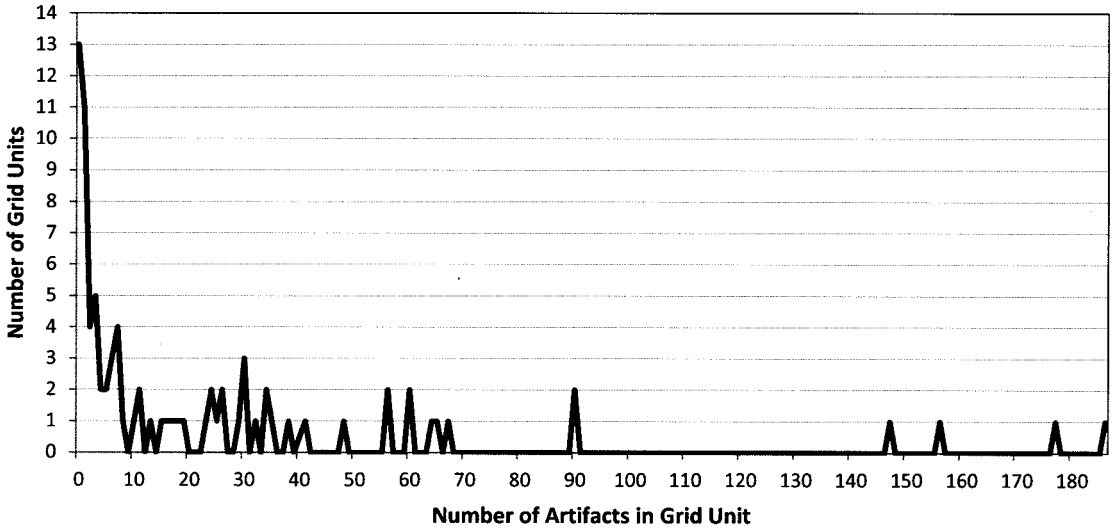
The inventory includes six pieces of ground stone of vesicular basalt or unidentified types of rock (**Table 4**). One of the artifacts is a complete one-hand mano that was used not only for grinding but wear on one end indicated it also was used for pounding. Two other pieces are mano fragments. Another piece was a fragment of a metate with a well-worn trough, and another piece of indeterminate form might have been part of a metate. An uncommon form of ground stone artifact was a sphere about the size of a softball.

Table 4. Summary of Field Inventoried Ground Stone

Type	Type of Rock
mano, 1-hand, with pounding wear on end	vesicular basalt
mano, mid-section fragment	vesicular basalt
mano, mid-section fragment	unidentified
metate, fragment with well-worn trough	vesicular basalt
indeterminate form, possible metate fragment	vesicular basalt
ball	unidentified

The rarer types of inventoried items include three small pieces of worked marine shell. One was half of a tinkler ornament, probably made from a cone shell (*Conus* sp.). Another fragment had ridges suggesting it was a scallop shell (*Pecten* sp. or *Argopecten* sp.). The third piece was a nondiagnostic fragment.

The average number of artifacts inventoried in each grid unit is 25 (about 6 artifacts per 1,076 square feet or 100 square m). As is typical of archaeological data, the spatial distribution of the surface artifacts was highly clustered. Only about one-third of the grid units had 25 or more artifacts. The 6 grid units with the most artifacts had 41 percent of the entire inventory (**Figure 5**). Those high density units were along the eastern edge of the retention basin, particularly on the berm along the southeastern part of the basin.



Frequency Distribution of Field Inventoried Surface Artifacts per Grid Unit
Figure 5

Artifacts were generally sparse on the floor of the retention basin. Artifact densities are higher on the earthen berms probably because the berms have eroded considerably leaving the artifacts as a lag deposit on the ground surface. The distribution of surface artifacts clearly is the result of construction disturbance, but because the method used to construct the berms is not documented, it is difficult to surmise where the artifacts might have originated, other than somewhere within the basin.

The possibility that the dirt used to create the berms might have been imported was considered, because the local soil does not seem to be particularly stable, and the surface of the berms seemed to have more clay than the local sediments. Closer inspection of the berms, however, indicated that what appeared to be fine sediment probably is a coating of some type of soil cement that might have been applied to retard erosion of the berms. A check of construction drawings indicated the berms were built of "common fill" and there was no documentation to indicate the dirt was hauled in from outside the power plant parcel. The cost of importing dirt strongly suggests the berms were built of material excavated from the basin (Scott McClellan, APS project manager, personal communication, 6 November 2013).

A scatter of approximately six plain ware potsherds were found about 200 feet (60 m) east of the southern part of the basin, and a whole metate was found 65 feet (20 m) northeast of that sparse scatter (refer to Figure 4). The backhoe operator who excavated the archaeological test trenches told the crew that he had dumped about 1 foot (30 cm) of dirt in the area in 2012. He had excavated the dirt to expand a storm water retention basin in the southeastern part of the power plant parcel. (APS archaeologist Chris Watkins inspected that retention basin and found no artifacts.) The only other artifact on the ground surface was a metate fragment about 220 feet (67 m) east of the northern part of the fuel storage tank retention basin.

The number of inventoried potsherds is more than seven times greater than the number of pieces of flaked stone, but their distributions are generally similar (**Figure 6**). The other types of artifacts are so rare that they cannot be expected to indicate meaningful patterning, especially in such a disturbed context. The distribution of buff ware, red ware, and polychrome sherds are, in general, similarly distributed, but the grid units with the densest buff ware are on the southeastern berm of the retention basin, and many of the grids with the highest red ware densities are in the northern part of the retention basin (**Figure 7**). Four of the seven Salado Polychrome sherds were clustered in two adjacent grid units on the central part of the eastern retention basin berm, but contrary to what might be expected if those sherds were indicative of a late Classic period locus, buff ware was much more common than red ware in those units.

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Legend

- Area of Potential Effects for Direct Construction Impacts
- Testing Grid
- Surface Artifact Inventory Area
- Test Trench
- Stepped Back to Deepen Trench to 7 Feet (2.1 meters)
- Blue Stake Existing Utility: Gas
- Blue Stake Existing Utility: Electric
- Blue Stake Existing Utility: Water
- Inventory Not Completed

Flaked Stone / Potsherds

Potsherds

- 0 (18 units)
- 1 - 29 (49 units)
- 30 - 85 (14 units)
- 86 - 163 (4 units)

Flaked Stone

- 0 (37 units)
- 1 - 5 (35 units)
- 6 - 10 (13 units)
- 11 - 24 (4 units)

0 100 200 300 Feet

0 25 50 75 100 Meters

N

Sparse Scatter of Approximately 6 Plain Ware Sherds

S = Shell (marine)
H = Hammerstone
GS = Ground Stone
D = Daub

Distribution of Field Inventoried Surface Potsherds, Flaked Stone, and Other Artifacts
Figure 6

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Legend

- Area of Potential Effects for Direct Construction Impacts
- Testing Grid
- Surface Artifact Inventory Area
- Test Trench
- Stepped Back to Deepen Trench to 7 Feet (2.1 meters)
- Blue Stake Existing Utility: Gas
- Blue Stake Existing Utility: Electric
- Blue Stake Existing Utility: Water
- Inventory Not Completed

Red Ware / Buff Ware

Red Ware

- 0 (55 units)
- 1 (14 units)
- 2 - 3 (11 units)
- 4 - 8 (4 units)

Buff Ware

- 0 (38 units)
- 1 - 5 (32 units)
- 6 - 10 (10 units)
- 11 - 22 (4 units)

(buff ware counts include Gila Butte and Sacaton red-on-buff sherds)

0 100 200 300 Feet

0 25 50 75 100 Meters

N

Sparse Scatter of Approximately 6 Plain Ware Sherds

Distribution of Field Inventoried Surface Buff Ware, Red Ware and Polychrome Potsherds

Figure 7

ARCHAEOLOGICAL TEST TRENCHING

Thirteen test trenches were excavated with a backhoe equipped with a bucket 3 feet (0.9 m) wide (**Table 5**). The trenches accumulated to 1,390 linear feet (424 m) and 0.09 acre (388 square m) of area. Two of the trenches were outside the APE that was eventually defined for the project and the other 11 trenches constitute only about a 0.6 percent sample of the APE. About 7.4 acres (3.0 hectares) of the APE, however, has been extensively disturbed by excavation of the fuel tanks retention basin and buried or overhead utilities and other components of the power plant such as paved roads restricted testing. The extent of trenching represents more than a 1 percent sample of remaining areas of the APE.

Table 5. Archaeological Test Trenches

	Length		Depth			
Trench	**feet**	**meters**	**feet**	**meters**	**Orientation**	**Results**
1a	345	105	4	1.2	north-south	7 chert flakes (all probably from one core) and 1 quartzite flake in east wall
1b	190	58	4	1.2	north-south	1 plain ware sherd and 1 red-on-buff sherd in east wall
1c	150	46	4	1.2	east-west	
2	50	15	4	1.2	north-south	Holocene gravels in lower part of trench
3	50	15	4	1.2	north-south	
4	50	15	5	1.5	north-south	Feature 1, irrigation lateral canal; 3 sherds (including 1 Salado Polychrome) in west wall, 3 flakes in east wall
5	50	15	5	1.5	north-south	
6	50	15	5	1.5	north-south	1 plain ware sherd and 3 rocks in west wall
7	50	15	5	1.5	north-south	1 sherd and 1 rock (manuport) in west wall
8	50	15	5	1.5	north-south	
9	50	15	5	1.5	north-south	
10	50	15	5	1.5	east-west	1 large plain ware sherd in bottom of trench, almost entire soil column fill material or eroded deposits
11	120	37	5	1.5	northwest-southeast	2 sherds in north wall
12	50	15	5	1.5	east-west	mottled charcoal/ash stains in south wall
13	50	15	5	1.5	east-west	mottled charcoal/ash stains in south wall
total	1,390	424				

Note: All the trenches were excavated with a backhoe equipped with a bucket 3 feet (0.9 m) wide.

APS retained Vargas General Engineering, a firm with prior experience at the Ocotillo Power Plant, to excavate the trenches. The first phase of testing involved excavating a long L-shaped trench east of the retention basin (**Figure 8**). Because of safety concerns, the trench was dug to a depth of only 4 feet (1.2 m). The north-south part of the trench was divided into north (1a) and south (1b) segments that were about 345 feet (105 m) long and 190 feet (58 m) long, respectively (**Photographs 2 and 3**). A gap about 25 feet (8 m) long between 1a and 1b was not excavated to avoid cutting off access to a storage yard. The east-west segment (1c) was 150 feet (46 m) long (**Photograph 4**). Ramps were left unexcavated at intervals of 50 feet (15 m) to provide safe exits.

Trench 1 revealed that the uppermost layer (Stratum I) consisted of approximately about 0.5 foot (15 cm) of platy sandy clay loam often mixed with gravel and represents a zone of disturbance and fill related to development of the power plant. Stratum II typically is a dark brown sandy clay loam that extends to a depth of about 1.2 feet (37 cm), and represents the plow zone that was disturbed by decades of farming prior to construction of the power plant. Lower strata exposed in Trench 1 are C horizon loam that is lighter brown or yellowish brown with various amounts of sand, silt, and clay. Subdivisions of the horizon were ambiguous.

No archaeological features were identified, but 6 maroon-brown chert flakes were found clustered in the east wall of Trench 1a at the bottom of the trench about 3.9 feet (1.2 m) below the surface (appended **Figure C-2**). Another chert flake of the same color was found about 16 inches (40 cm) to the north and

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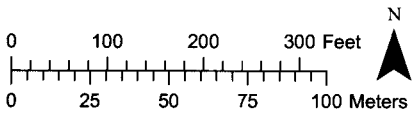


Legend

- Exterior Edge of Berm
- Area of Potential Effects for Direct Construction Impacts

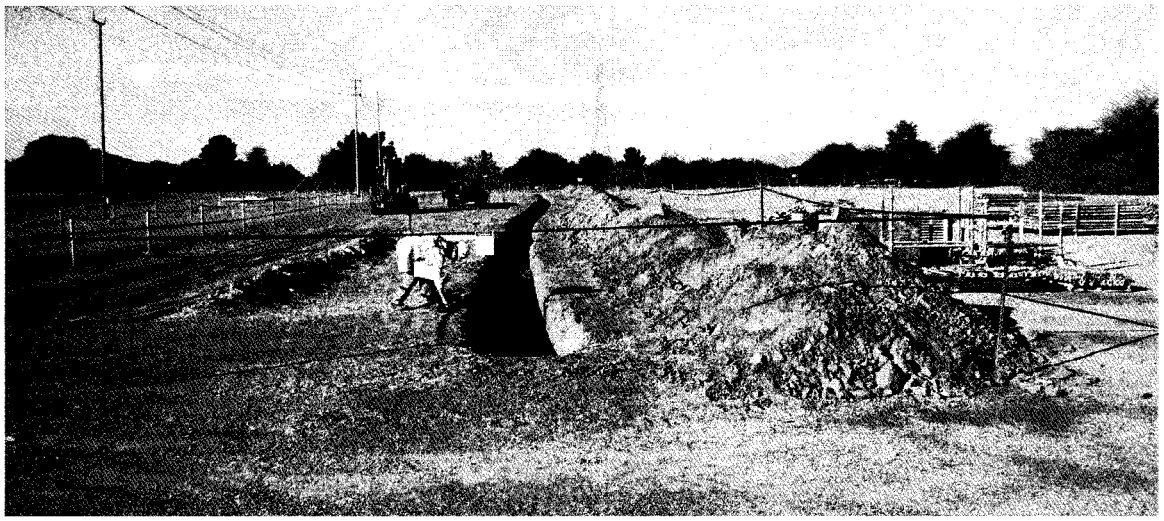
Tr # = Trench Number

- Test Trench

Existing Utilities

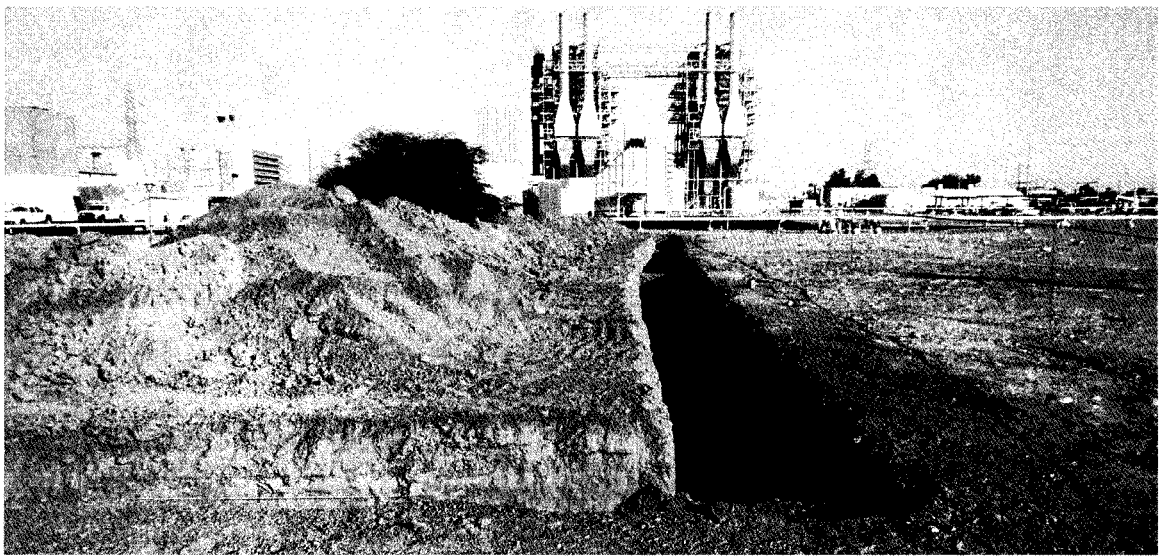
- Blue Stake Existing Utility: Gas
- Blue Stake Existing Utility: Electric
- Blue Stake Existing Utility: Water

Archaeological Test Trenches
Figure 8



Photograph 2. Test Trench 1a (view north)

Photograph 3. Test Trench 1b (view south)



Photograph 4. Test Trench 1c (view east)

about 5.5 inches (14 cm) higher in the profile and a secondary gray quartzite flake was found at a similar depth just above the cluster of 6 chert flakes (**Photograph 5**). The cluster of flakes appeared to be in an erosional cut or the north slope of a bench or subterrace but the profile exposure was ambiguous. The similarity of the chert flakes suggest they were struck from a single core, and the relatively minor horizontal and vertical dispersion of the artifacts in the profile suggests they had not been severely eroded.

Photograph 5. Flakes found in Trench 1a (view north) (background squares are 0.1 inch [2.5 millimeters])

An eroded red-on-buff sherd (without diagnostic designs) and a plain ware sherd were found in the walls of Trench 1b about 4 feet (1.2 m) below the surface. No other artifacts or archaeological features were noted in Trench 1. The meager findings suggested that whatever archaeological deposits that had been disturbed when the fuel tanks retention basin was excavated to the west and resulted in the deposition of thousands of artifacts on the surrounding berms did not extend to the vicinity of Trench 1.

To further investigate the cluster of flakes exposed in the east wall of Trench 1a, the southern end of the trench was extended to connect with Trench 1b (after APS identified an alternate access to the adjacent storage yard and approved trenching through the access road), and a segment of the trench about 50 feet (15 m) long was widened to about 19 feet (6 m) so the trench could be safely excavated deeper without shoring. The trench reached a depth of about 7 feet (2.1 m). The trench proved to be within a broad erosional channel, and yielded no information about deeper strata outside the channel that might contain archaeological features and artifacts.

Because the results of the initial test trench were ambiguous, a second phase of testing was conducted and 12 more trenches were excavated. The locations of those trenches were judgmentally selected to avoid buried gas, electrical, and water lines and to disperse them across the area that might be disturbed by construction of potential upgrades of the power plant (refer to Figure 8). Trenches 2 and 3 within the retention basin were dug to a depth of 4 feet (1.2 m) and the rest were excavated to 5 feet (1.5 m).

Cobbles with calcium carbonate (caliche) coatings, which require thousands of years to form, were found near the bottom of Trench 2 at an estimated depth of about 9 feet (2.7 m) below the modern ground surface outside the retention basin. Although that layer of cobbles was not found in Trench 3, it probably underlies the entire project area but has an undulating swale and bar morphology formed by flood flows. The cobbles, which probably date to the mid-Holocene (5,000 to 8,000 years ago), represent a high energy

deposit that would not preserve archaeological features and deposits and therefore define the maximum potential depth of archaeological materials.

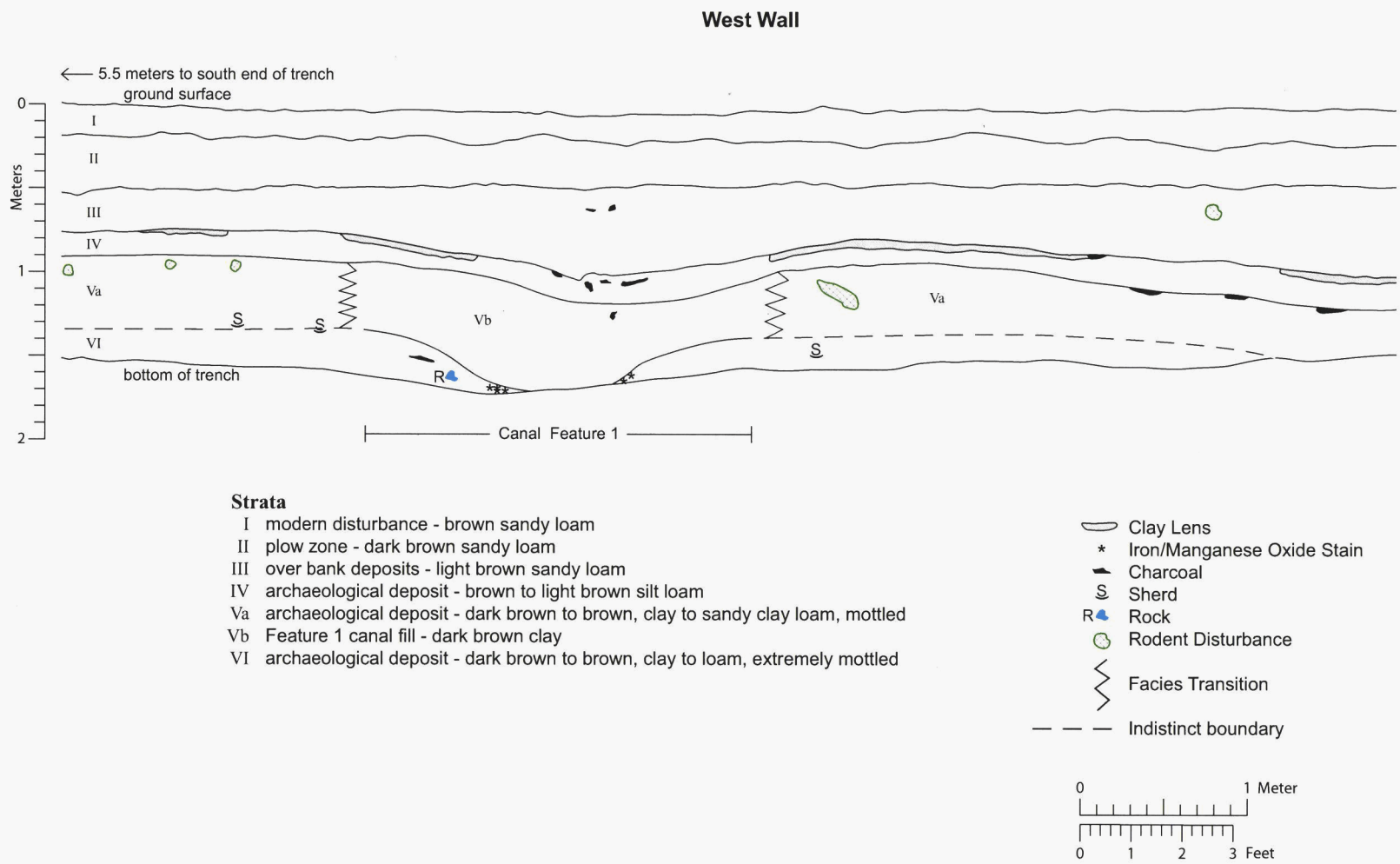
Trenches 4, 5, 6, and 7 were then excavated to the north and northeast of the retention basin, in an area that appears to be relatively undisturbed by the development of the power plant. Trenches 8, 9, 10, 12, and 13 were excavated to further explore areas east of Trench 1.

Trench 4, in the very northwest corner of the power plant parcel, cross-sectioned a buried Hohokam irrigation canal and yielded the most useful information about the site stratigraphy (**Figure 9**). Stratum III, as defined in that trench, is a layer of light brown sandy loam that represents over bank deposits of Salt River flood flows that postdate the Hohokam occupation. The underlying Strata IV, V, and VI contain archaeological deposits. The canal, which was oriented to the west/northwest, had been excavated into Stratum VI, a layer of mottled brown to dark brown clay to loam (**Photograph 6**).

Photograph 6. Profile of Canal Feature 1, West Wall, Trench 4 (trench is about 5 feet [1.5 meters] deep)

The bottom of the canal extended slightly below the bottom of the test trench, which was dug to a depth of 5 feet (1.5 m). The sediments on the north and south sides of the canal vary from dark brown to brown clay to sandy clay loam, and were designated Stratum Va. Those deposits probably accumulated in fields watered by the canal, but further investigation is needed to confirm that the Hohokam farmed the surrounding area. The ditch is filled with dark brown clay that was designated Stratum Vb. Scattered charcoal in Stratum V and the overlying Stratum IV, a brown to light brown silt loam, may represent burning of field stubble or weeds.

Three flakes and three sherds were found in the trench walls in association with the ditch and a Salado Polychrome sherd recovered from the dirt excavated from the trench suggests the canal probably dates to the late Classic period Civano phase. Pre-Classic period Hohokam or perhaps even pre-Hohokam archaeological deposits might be buried more deeply.



Feature 1, a Hohokam Irrigation Canal in Test Trench 4
Figure 9

Trench 11 was excavated to connect Trenches 4 and 5 to trace the strata bearing archaeological materials. Those strata pinch out or are more deeply buried to the east and it is not clear how extensive they might be. Strata correlations in trenches farther to the east are ambiguous, but a sherd and some charcoal flecking were noted at a depth of about 3.3 feet (1 m) in Trench 6, and charcoal staining was noted at depths of about 3.3 to 3.9 feet (1.0 to 1.2 m) in Trenches 12 and 13 farther to the east, suggesting that pockets of intact archaeological deposits might be present in those areas.

A rain storm during the testing fieldwork flooded the test trenches and eroded some of the test trench walls. Selected segments of trench walls were scraped again and a sample of soil column profiles were recorded (refer to Appendix C).

GEOARCHAEOLOGY OF THE OCOTILLO POWER PLANT PARCEL

This section summarizes the geomorphological setting of the Ocotillo Power Plant parcel, based on prior mapping of landforms (Wellendorf and others 1986) and soils (Adams 1974) and the results of the archaeological testing, and discusses implications for the archaeological record of the project area. The Ocotillo Power Plant is on the Lehi terrace approximately 0.5 mile (0.8 km) south of the Salt River (refer to Figure 2) and 5 feet (1.5 m) above the river channel, where inflatable flood control dams were installed in the late 1990s to create Tempe Town Lake, an urban recreational facility that is about 800 to 1,200 feet (240 to 360 m) wide, 2 miles (3.2 km) long, and an average of about 13 feet (4 m) deep. Prior to 1911, when Roosevelt Dam was completed about 50 miles (80 km) upstream, river flows fluctuated seasonally and floods periodically inundated the Lehi terrace which is the lowest terrace of the Salt River and represents the geologic floodplain. Over bank flood flows deposited extensive layers of sand, silt, and clay on the floodplain, along with sand and gravel in erosional channels.

Gilman Loam covers broad swaths of the Lehi terrace, including the project area. Typically, the upper A horizon of Gilman Loam is pale brown and has been plowed to a depth of at least 1 foot (0. 3m). The C1 horizon, which commonly extends to a depth of 2 feet (0.6 m), is light yellowish brown. The C2 horizon, of similar color and texture, extends to depths of at least 5 feet (1.5 m). Gilman Loam is highly variable, both vertically and horizontally, particularly on floodplains, reflecting the dynamic nature of the landscape (Adams 1974). The soil tends to be moderately alkaline but is suitable for irrigation agriculture.

During the middle Holocene, approximately 5,000 to 8,000 years ago, the main channel of the Salt River was broad, extending well south of the project area. The channel was characterized by gravel bars and sandy swales. A remnant of this ancient channel was exposed in the lower part of Trench 2 excavated in the southern part of the fuel tanks retention basin. Cobbles within that deposit had calcium carbonate (caliche) coatings that require thousands of years to form. The mid-Holocene gravels and cobbles were not found in the similar Trench 3 excavated farther north within the retention basin, indicating that the upper surface of those cobbles and gravels undulates beneath the project area.

The modern floodplain landscape is the result of aggradation over the past 5,000 years or so, under a climate regime similar to that of today. Between approximately A.D. 750 and 1450, the Hohokam farmed on the floodplain, and the canal Feature 1 and artifacts found in the project area are evidence of that use. Large Hohokam villages, including the nearby La Plaza site just south and west of the power plant parcel, were located on the higher Mesa terrace some 10 to 15 feet (3.0 to 4.5 m) above the Salt River channel.

Figure 10 illustrates a generalized schematic cross section of the six sedimentary units identified within the archaeological test trenches. Those units include:

Stratum I: 0 to 0.5 feet (0 to 15 cm); modern disturbance; platy sandy clay loam to milled gravel

Stratum II: 0.5 to 1.2 feet (15 to 35 cm); plow zone; dark brown sandy clay loam

Stratum III: 1.2 to 2.3 feet (35 to 70 cm); C1 horizon; light brown sandy loam to sand; texture and depth vary across project area

Stratum IV: 2.3 to 2.8 feet (70 to 85 cm); C2 horizon; brown to light brown silt loam; occasional charcoal lenses at upper boundary; northwestern part of project area culturally modified; pinches out to the east

Stratum V: 2.8 to 4.0 feet (85 to 120 cm); C3 horizon; dark brown to brown clay to sandy clay loam, mottled; dark brown and culturally modified in northwestern project area where the unit is associated with agricultural irrigation; brown in southeastern part of project area with occasional artifacts; found at or below the base of 4-foot (1.2-m) trenches

Stratum VI: 4.0+ feet (120+ cm); C4 horizon; brown to reddish brown loam; seen only in 5-foot (1.5-m) trenches in the northwestern project area; expected below the bases of other trenches.

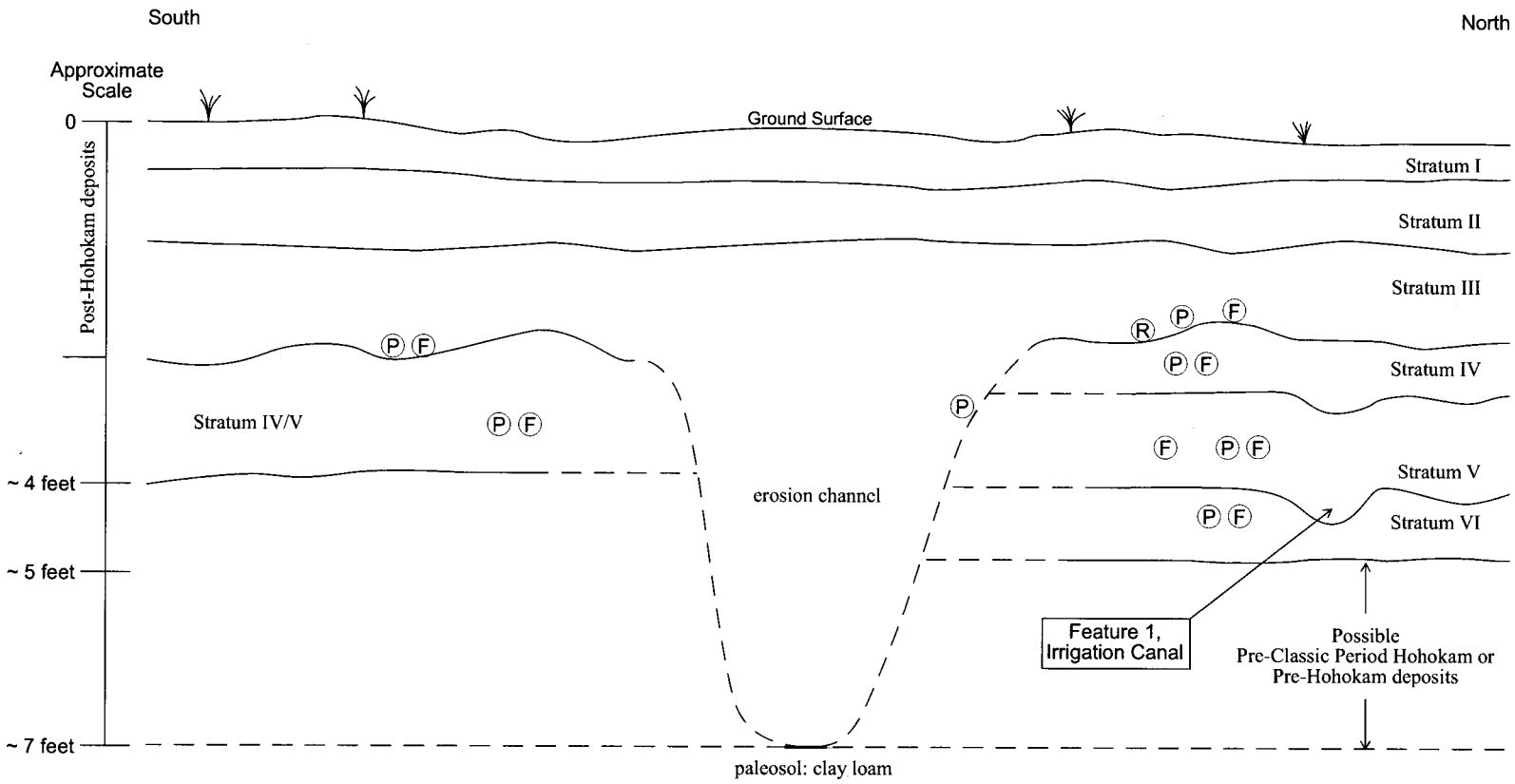
In the northwest part of the project area, a remnant of a Hohokam irrigation ditch was exposed in Trench 4. The ditch was filled with sediment that extended out of the canal and into Stratum V. Artifacts within and adjacent to the canal indicate the canal and Stratum V probably date to the Hohokam Classic Period (circa A.D. 1150 to 1450), indicating that the approximately 2.3 feet (70 cm) of Strata I through III were deposited during the 5 to 6 centuries after the Hohokam abandoned the area. Hohokam artifacts found in those layers are in eroded secondary contexts—not the locations in which they were originally deposited.

Because Stratum V, which dates to the Hohokam Classic period, was found near the base or extended below the bases of most of the test trenches, it is possible that archaeological deposits dating to the Hohokam pre-Classic period or perhaps even pre-Hohokam times might be present at greater depths. Unfortunately, the short segment of the southern end of Trench 1a that was excavated deeper provided no evidence about the potential for earlier deposits because the trench was within a sand and gravel filled erosional channel that originated in Stratum III and postdates the Hohokam occupation. Because of the limited extent of deep trenching, the extent of that erosion channel was not determined.

At the base of the deep trench, channel deposits of Stratum III rested on an eroded paleosol represented by clay loam with evidence of soil development that represents a period of landscape stability, possibly on the order of a few centuries. The contact between the bottom of the Stratum III channel and the lower paleosol is an unconformity representing an unknown extent of erosion and length of time. Because none of the trenches exposed the relationship of the paleosol to the higher stratigraphic units in the soil column, the paleosol was not assigned a numeric designation. Although limited, the evidence suggests there could be approximately 3 feet (1 m) of sediment between Stratum V and the paleosol elsewhere on site, and those deposits could contain artifacts and archaeological features dating to the Hohokam pre-Classic period or perhaps even the pre-Hohokam Early Agriculture or Archaic periods.

CONCLUSION AND RECOMMENDATIONS

Archaeological studies initiated as early as the 1880s have documented that the Hohokam occupied a large village known as La Plaza for approximately a thousand years from about A.D. 450 to 1450. That village was located within 0.5 mile (0.8 km) or less to the south and west of the Ocotillo Power Plant parcel. Two archaeological excavation projects conducted since 2010 in the northeastern part of the Arizona State University campus about 0.5 mile (0.8 km) west of the power plant parcel demonstrated that the Hohokam farmed on the low Lehi Terrace—the geologic floodplain landform on which the power plant is located. Archaeological features such as canals, activity areas with fire pits and other types of pits, and remnants of temporary field houses are typically found in areas that the Hohokam farmed.



Ⓟ Potsherd
Ⓕ Flaked Stone
Ⓡ Rock (manuport)
— — — indistinct boundary

Stratum I: 0 to 0.5 feet (0-15 cm); modern disturbance; platy sandy clay loam to milled gravel
Stratum II: 0.5 to 1.2 feet (15-35 cm); plow zone; dark brown sandy clay loam
Stratum III: 1.2 to 2.3 feet (35-70 cm); C1 horizon; light brown sandy loam to sand; texture and depth vary across project area
Stratum IV: 2.3 to 2.8 feet (70-85 cm); C2 horizon; brown to light brown silt loam; occasional charcoal lenses at upper boundary; northwestern part of project area culturally modified; pinches out to the east
Stratum V: 2.8 to 3.9 feet (85-120 cm); C3 horizon; dark brown to brown clay to sandy clay loam, mottled; dark brown and culturally modified in northwestern project area where the unit is associated with agricultural irrigation; brown in southeastern part of project area with occasional artifacts; found at or below the base of 4-foot (1.2-m) trenches
Stratum VI: 3.9+ feet (120+ cm); C4 horizon; brown to reddish brown loam; seen only in 5-foot (1.5 m) trenches in the northwestern project area; expected below the bases of other trenches.

Generalized Schematic Stratigraphy of the Project Area
Figure 10

Evidence of the La Plaza village site, which includes pit houses arranged in courtyard groups and later adobe houses often within adobe-walled compounds, ceremonial structures such as platform mounds, cemeteries, and various other types of features reflecting permanent habitation, is confined to the higher Mesa terrace. Three human burials have been found at a field house site south of La Plaza (Steinbach and others 2008) indicating human remains are sometimes present in field settings, but Hohokam burials are much more commonly found within village sites (for example, Cox and Rogge 2012).

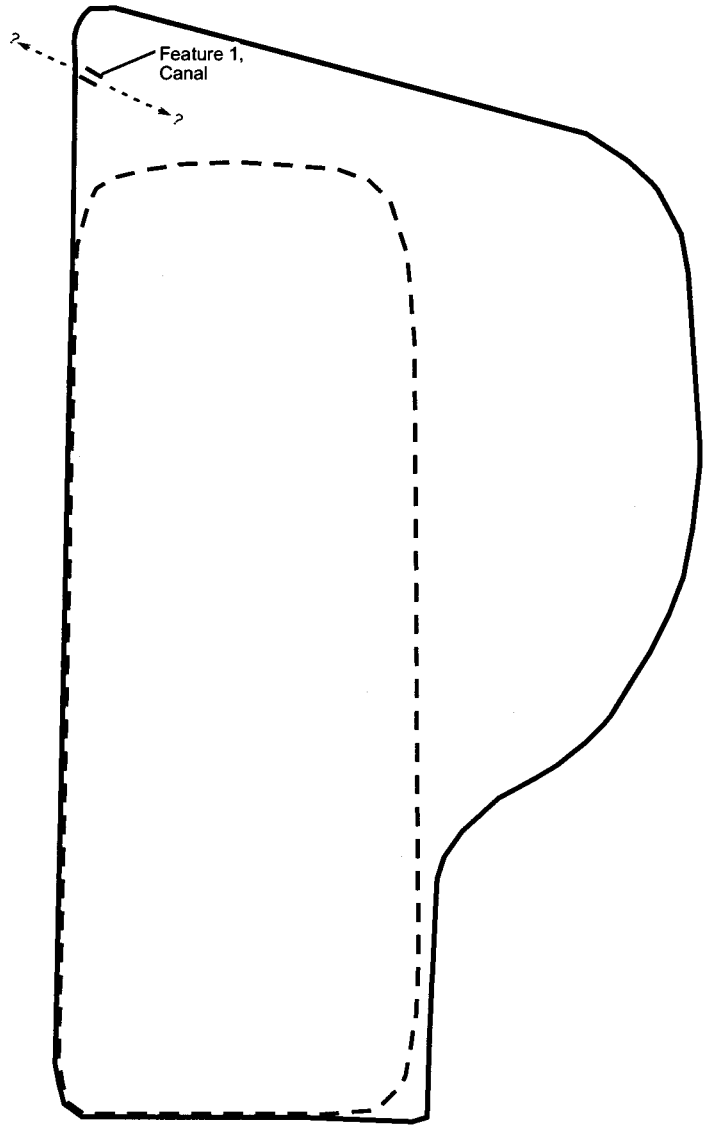
Temporally diagnostic potsherds among the 2,082 artifacts that were field inventoried indicate the Hohokam probably farmed on the Lehi terrace (geologic floodplain) within the power plant parcel between the Gila Butte phase of the early Colonial period and the late Classic period Civano phase (circa A.D. 750 to 1450). As expected, the testing revealed that construction of the power plant, decades of earlier farming, and flood flows on the floodplain had disturbed much of the project area, but the discovery of buried strata with archaeological deposits and an irrigation canal at depths of about 3 to 5 feet (1 to 1.5 m) in the northwest corner of the power plant parcel indicate that the archaeological record of the project area remains at least partially intact. Those strata thin to the east or are deeper than the test trenches. Because the canal appears to date to the final Civano phase of the Hohokam occupation there is potential that earlier Hohokam or perhaps even pre-Hohokam deposits might be more deeply buried in parts of the project area.

Testing east of the fuel tanks retention basin failed to find any archaeological features or many artifacts, suggesting that excavation of the retention basin may have removed most of the intact archaeological deposits and any archaeological features that were present. The testing also indicated that 2.3 feet (0.7 m) or more of sediments have been deposited across the area by flood flows after the Hohokam occupation ended about 5 centuries ago. The one trench that was dug deeper revealed an erosion channel that extended to a depth of about 7 feet (2.1 m) and was underlain by an eroded paleosol of undetermined age. Although the lateral extent of the erosion channel was not determined it could be extensive and the few artifacts found in trenches east of the retention basin appear to be in eroded contexts.

An archaeological site, designated AZ U:9:311(ASM), was defined to encompass the extensive scatter of disturbed artifacts, the one buried canal feature that was found, and a surrounding area where a few buried artifacts were found and other features might be present (**Figure 11**). Because there are so few surface clues about the extent of the site, the site boundaries are somewhat arbitrary and further excavation is necessary to better define the limits of the site. The currently available information is summarized in an attached site description (**Appendix D**).

Site AZ U:9:311(ASM) appears to be the remnants of a Hohokam field that probably was farmed during the late Classic period Civano phase (circa A.D. 1300-1450) and perhaps as early as the Gila Butte phase of the Colonial period (circa A.D. 750). It is recommended that the site be considered eligible for the Arizona Register under Criterion D for its potential to yield important information about Hohokam agriculture and settlement of the Phoenix Basin. Because the canal feature identified at the site is buried, horizontal exposure has potential to identify a network of field ditches, which have been documented only rarely at other Hohokam sites. Analyses of charred macrobotanical specimens, pollen, and biosilicates could identify what crops the Hohokam grew on the Salt River floodplain; radiocarbon dating has potential to determine when the Hohokam used the area; and study of the canal could yield information about its engineered characteristics such as flow rates, capacity, and amount of area that it could have watered.

The canal and adjacent field areas are buried in the very northwestern corner of the power plant parcel, and it might be possible to design the proposed new facilities to avoid disturbance of the area. If not, it is recommended that a plan be developed and implemented to recover information and artifacts from the area prior to any construction activity.



The aerial photograph background has been removed from this copy of the report.

Restrict distribution of this map to avoid vandalism of archaeological sites, as provided for by Section 304 of the National Historic Preservation Act, Section 9(a) of the Archaeological Resources Protection Act, and Section 39-125 of the Arizona Revised Statutes.

Aerial Source: Flood Control District of Maricopa County 2012, Data Purchase

Legend

Site AZ U:9:311(ASM) Boundary

Highly Disturbed Artifact Scatter

N

0 100 200 300 Feet

0 25 50 75 100 Meters

Map of Site AZ U:9:311(ASM)
Figure 11

Deeper testing is needed to further evaluate whether intact archaeological deposits might be present in areas beyond the northwestern corner of the power plant parcel below the depths of the test trenches that were excavated. The safety requirements for stepping back or shoring trenches deeper than 5 feet (1.5 m) make such testing complicated and costly. The proposed modernization of the power plant will involve deep excavations for new combustion turbine foundations. If the construction schedule could be designed to dig the required deeper excavations early in the process and accommodate archaeological monitoring and time to excavate any archaeological features that might be discovered, monitoring could be an effective strategy for checking for deeper archaeological deposits. Such a strategy entails the risk of construction delays if archaeological excavations to recover and preserve artifacts and information take more time than allocated.

An alternative would be to excavate more test trenches during continued design of the new facilities and preconstruction planning, targeting the testing in those areas that would be disturbed by deep excavations for turbine foundations. A schematic layout of five trenches, each about 50 feet (15 m) long, is shown on **Figure 12.** Those locations are proposed because they are closest to the proposed locations of the turbines that would require deep structural foundations and are immediately outside the previously disturbed fuel tanks retention basin and the basin berms where surface artifacts were dense. Obsolete piping for the fuel storage tanks would need to be removed before trenches could be dug at the depicted locations.

Additional test trenches are proposed along the east-west segment of the internal access road if it is determined that subgrade preparation would extend below the plow zone and deeper deposits may be relatively undisturbed. No testing is proposed along the north-south segment of the road alignment because installation of large buried water and gas lines have disturbed that corridor and excavating test trenches near those major utility lines would entail safety risks and could damage the lines, which are critical for operating the power plant.

It should be recognized that more preconstruction testing may not eliminate a need for archaeological monitoring of construction activities unless the results indicate there is little potential for human remains to be buried in areas that would be disturbed by construction of the proposed new facilities. Even if more preconstruction testing does not eliminate the need for archaeological monitoring of construction to ensure that human remains are treated in accordance with the Arizona Burial Law, additional testing should provide information about the extent of disturbed deposits and what areas would not warrant monitoring.

This figure has been removed from this report to restrict distribution of sensitive information.

0 100 200 300 Feet

0 25 50 75 100 Meters

N

Legend

- Conceptual Modernization Plan
- Facilities Removal Area
- Excavated Test Trench
- Proposed Additional Test Trench

Schematic Strategy for Deeper Testing
Figure 12

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The restricted distribution map in this appendix has been removed from this copy of the report.

Appendix A

Figure A-1. Archaeological Sites and Hohokam and Historic Canal Alignments near the Ocotillo Power Plant

RESTRICT DISTRIBUTION TO PREVENT VANDALISM

To avoid vandalism, restrict information about the location of archaeological sites, as provided for by Section 39-125 of the Arizona Revised Statutes.

Appendix B – Field Inventory of Surface Artifacts

(compiled by Chad Kirvan, Regina Chapin-Pyritz, and Christopher Watkins on 26 and 27 November 2013)

Table B-1. Ceramic Potsherds
Table B-2. Flaked Stone
Table B-3. Ground Stone
Table B-4. Hammerstones
Table B-5. Marine Shell
Table B-6. Faunal Bone
Table B-7. Other Materials

Table B-1. Ceramic Potsherds

Grid Unit	Count	Ware	Type	Temper
A01	1	plain	Salt Plain	sand
B03	1	plain	Salt Plain	sand
B04	1	plain	Salt Plain	sand
C02	1	red	Salt Red	sand
C02	1	plain	Salt Plain	sand
C03	1	buff		
C03	2	plain	Salt Plain	sand
C04	3	red	Salt Red	sand
C09	5	plain	Gila Plain	mica schist
D01	1	red	Salt Red	sand
D01	1	plain	Gila Plain	mica schist
D01	2	plain		Squaw Peak schist
D02	1	red	Gila Red	mica schist
D02	1	plain	Gila Plain	mica schist
D02	5	plain	Salt Plain	sand
D03	2	buff		
D03	1	plain	Gila Plain	mica schist
D03	3	plain	Salt Plain	sand
D03	3	plain	Wingfield Plain	phyllite
D04	2	buff		
D04	1	red	Gila Red	mica schist
D04	2	red	Salt Red	sand
D04	6	plain	Gila Plain	mica schist
D04	8	plain	Salt Plain	sand
D04	1	plain	Wingfield Plain	phyllite
D04	1	plain		Squaw Peak schist
D05	1	red	Salt Red	sand
D05	4	plain	Gila Plain	mica schist
D05	7	plain	Salt Plain	sand
D05	1	plain		Squaw Peak schist
D06	2	buff		
D06	1	red	Salt Red	sand
D06	4	plain	Salt Plain	sand
E01	1	buff		
E01	1	plain	Gila Plain	mica schist
E01	5	plain	Salt Plain	sand
E02	1	plain	Salt Plain	sand
E06	1	red	Salt Red	sand
E06	4	plain	Salt Plain	sand
E06	1	plain	Wingfield Plain	phyllite
E07	1	not determined		
F01	1	red	Gila Red	mica schist
F01	3	red	Salt Red	sand
F01	8	plain	Salt Plain	sand
F05	1	buff	Gila Butte Red-on-buff	
F06	1	buff		
F06	1	Salado Polychrome	Gila Polychrome	
F06	1	red	Gila Red	mica schist
F06	4	red	Salt Red	sand
F06	4	plain	Gila Plain	mica schist
F06	15	plain	Salt Plain	sand
F06	1	plain	Wingfield Plain	phyllite
G01	2	Salado Polychrome	Gila Polychrome	
G01	1	plain	Gila Plain	mica schist
G01	6	plain	Salt Plain	sand
G02	2	buff		
G02	2	plain	Salt Plain	sand

Grid Unit	Count	Ware	Type	Temper
G03	1	buff		
G03	1	red	Salt Red	sand
G03	1	plain	Wingfield Plain	phyllite
G04	1	plain		Squaw Peak schist
G05	1	buff		
G05	1	plain	Gila Plain	mica schist
G05	3	plain	Salt Plain	sand
G06	1	buff	Gila Butte Red-on-buff	
G06	1	buff		
G06	3	red	Salt Red	sand
G06	1	plain	Gila Plain	mica schist
G06	18	plain	Salt Plain	sand
G06	1	plain		Squaw Peak schist
G06	1	plain	Wingfield Plain	phyllite
H01	1	buff	Sacaton Red-on-buff	
H01	3	buff		
H01	1	plain	Gila Plain	mica schist
H01	17	plain	Salt Plain	sand
H01	2	plain		Squaw Peak schist
H02	5	buff		
H02	1	red	Gila Red	mica schist
H02	5	red	Salt Red	sand
H02	4	plain	Gila Plain	mica schist
H02	23	plain	Salt Plain	sand
H02	3	plain		Squaw Peak schist
H02	6	plain	Wingfield Plain	phyllite
H03	5	buff		
H03	3	red	Salt Red	sand
H03	1	plain	Gila Plain	mica schist
H03	9	plain	Salt Plain	sand
H03	3	plain		Squaw Peak schist
H03	4	plain	Wingfield Plain	phyllite
H04	6	buff		
H04	5	plain	Gila Plain	mica schist
H04	23	plain	Salt Plain	sand
H04	17	plain	Wingfield Plain	phyllite
H05	1	buff	Sacaton Red-on-buff	
H05	3	buff		
H05	6	plain	Gila Plain	mica schist
H05	19	plain	Salt Plain	sand
H05	3	plain	Wingfield Plain	phyllite
H06	2	buff		
H06	2	red	Salt Red	sand
H06	1	plain	Gila Plain	mica schist
H06	15	plain	Salt Plain	sand
H06	2	plain		Squaw Peak schist
H06	2	plain	Wingfield Plain	phyllite
I01	4	buff		
I01	1	plain	Gila Plain	mica schist
I01	7	plain	Salt Plain	sand
I01	1	plain	Wingfield Plain	phyllite
I02	3	buff		
I02	1	red	Salt Red	sand
I02	7	plain	Gila Plain	mica schist
I02	19	plain	Salt Plain	sand
I03	8	buff		
I03	9	plain	Gila Plain	mica schist
I03	34	plain	Salt Plain	sand
I03	8	plain	Wingfield Plain	phyllite

Grid Unit	Count	Ware	Type	Temper
I04	2	buff		
I04	1	red	Gila Red	mica schist
I04	4	plain	Gila Plain	mica schist
I04	27	plain	Salt Plain	sand
I04	2	plain		Squaw Peak schist
I04	1	plain	Wingfield Plain	phyllite
I05	2	buff		
I05	1	red	Gila Red	mica schist
I05	1	red	Salt Red	sand
I05	5	plain	Gila Plain	mica schist
I05	19	plain	Salt Plain	sand
I05	1	plain		Squaw Peak schist
I06	10	buff		
I06	2	red	Gila Red	mica schist
I06	6	red	Salt Red	sand
I06	3	plain	Gila Plain	mica schist
I06	53	plain	Salt Plain	sand
I06	1	plain		Squaw Peak schist
I06	9	plain	Wingfield Plain	phyllite
J01	3	buff		
J01	1	red	Gila Red	mica schist
J01	1	plain	Gila Plain	mica schist
J01	13	plain	Salt Plain	sand
J01	3	plain	Wingfield Plain	phyllite
J02	1	buff		
J02	4	plain	Salt Plain	sand
J02	1	plain	Wingfield Plain	phyllite
J04	1	plain	Salt Plain	sand
J05	1	buff		
J05	2	plain	Salt Plain	sand
J05	2	plain	Wingfield Plain	phyllite
J06	9	buff		
J06	3	Salado Polychrome	Gila Polychrome	
J06	38	plain	Salt Plain	sand
J06	11	plain	Wingfield Plain	phyllite
K01	1	buff	Sacaton Red-on-buff	
K01	2	buff		
K01	3	plain	Gila Plain	mica schist
K01	15	plain	Salt Plain	sand
K01	2	plain	Wingfield Plain	phyllite
K05	3	plain	Salt Plain	sand
K06	11	buff		
K06	1	Salado Polychrome		
K06	2	red	Salt Red	sand
K06	5	plain	Gila Plain	mica schist
K06	30	plain	Salt Plain	sand
K06	7	plain	Wingfield Plain	phyllite
L01	1	buff		
L01	1	plain	Gila Plain	mica schist
L01	15	plain	Salt Plain	sand
L01	5	plain	Wingfield Plain	phyllite
L02	1	plain	Gila Plain	mica schist
L02	2	plain	Salt Plain	sand
L02	1	plain	Wingfield Plain	phyllite
L06	2	buff		
L06	6	plain	Gila Plain	mica schist
L06	12	plain	Salt Plain	sand
L06	3	plain	Wingfield Plain	phyllite

Grid Unit	Count	Ware	Type	Temper
M01	1	buff		
M01	2	red	Gila Red	mica schist
M01	1	red	Salt Red	sand
M01	4	plain	Gila Plain	mica schist
M01	8	plain	Salt Plain	sand
M01	9	plain	Wingfield Plain	phyllite
M01	1	plain	not Hohokam?	
M02	3	buff		
M02	2	red	Gila Red	mica schist
M02	1	red	Salt Red	sand
M02	5	plain	Gila Plain	mica schist
M02	29	plain	Salt Plain	sand
M02	9	plain	Wingfield Plain	phyllite
M03	2	buff		
M03	3	red	Gila Red	mica schist
M03	4	plain	Gila Plain	mica schist
M03	25	plain	Salt Plain	sand
M03	3	plain	Wingfield Plain	phyllite
M04	4	buff		
M04	2	red	Gila Red	mica schist
M04	9	plain	Gila Plain	mica schist
M04	30	plain	Salt Plain	sand
M04	3	plain		Squaw Peak schist
M04	6	plain	Wingfield Plain	phyllite
M05	9	buff		
M05	48	plain	Salt Plain	sand
M05	5	plain		Squaw Peak schist
M05	22	plain	Wingfield Plain	phyllite
M06	1	buff	Gila Butte Red-on-buff	
M06	21	buff		
M06	1	red	Gila Red	mica schist
M06	28	plain	Gila Plain	mica schist
M06	84	plain	Salt Plain	sand
M06	2	plain		Squaw Peak schist
M06	26	plain	Wingfield Plain	phyllite
N01	8	buff		
N01	5	plain	Gila Plain	mica schist
N01	23	plain	Salt Plain	sand
N02	1	buff		
N02	2	plain	Gila Plain	mica schist
N02	12	plain	Salt Plain	sand
N02	2	plain	Wingfield Plain	phyllite
N04	2	plain	Salt Plain	sand
N05	1	buff		
N05	2	plain	Salt Plain	sand
N05	1	plain	Wingfield Plain	phyllite
N06	1	buff	Gila Butte Red-on-buff	
N06	16	buff		
N06	12	plain	Gila Plain	mica schist
N06	90	plain	Salt Plain	sand
N06	18	plain	Wingfield Plain	phyllite
N06	1	plain	not Hohokam?	
O01	5	buff		
O01	1	red	Gila Red	mica schist
O01	1	plain	Gila Plain	mica schist
O01	9	plain	Salt Plain	sand
O01	2	plain	Wingfield Plain	phyllite
O02	4	buff		
O02	7	plain	Salt Plain	sand
O05	1	plain	Salt Plain	sand

Grid Unit	Count	Ware	Type	Temper
O06	16	buff		
O06	1	red	Salt Red	sand
O06	11	plain	Gila Plain	mica schist
O06	81	plain	Salt Plain	sand
O06	29	plain	Wingfield Plain	phyllite
P01	1	buff		
P01	1	red	Salt Red	sand
P01	2	plain	Gila Plain	mica schist
P01	8	plain	Salt Plain	sand
P01	1	plain	Wingfield Plain	phyllite
P05	1	plain	Salt Plain	sand
P06	21	buff		
P06	4	plain	Gila Plain	mica schist
P06	113	plain	Salt Plain	sand
P06	21	plain	Wingfield Plain	phyllite
P06	2	plain	not Hohokam?	
total	1,813			

Table B-2. Flaked Stone

Grid Unit	Count	Material	Type	Description	Color
A02	1	quartzite	tertiary		gray
B04	1	quartzite	tertiary		orange/brown
C01	1	fine-grain basalt	tertiary	unifacially worked	blackish gray
C09	1	quartzite	secondary		gray brown
D01	1	chert	secondary		
D01	1	dacite	secondary		
D02	1	chert	tertiary		white
D02	1	quartzite	secondary		black
D02	1	quartzite	tertiary		black
D03	1	quartzite	tertiary		dark red
D03	1	unidentified	tertiary		orange
D04	2	fine-grain basalt	secondary		
D04	1	chert	shatter		yellowish brown
D04	1	rhyolite	tertiary	possible tool fragment	
D04	1	unidentified	tertiary		brown
E01	1	dacite	core ?		
E04	1	granite	secondary		
E05	2	fine-grain basalt	secondary		
E05	1	dacite	secondary		
F01	1	fine-grain basalt	primary		
F01	1	fine-grain basalt	secondary		
F01	3	dacite	secondary		
F01	1	quartzite	secondary		
F02	1	chert	bifacial thinning		brown
F05	1	chert	shatter		purple
F06	1	fine-grain basalt	primary		
F06	1	dacite	tertiary		
F06	1	rhyolite	shatter		
G01	1	fine-grain basalt	secondary		
G01	1	chert	broken flake		pink, translucent
G01	1	chert	secondary		brown
G01	1	quartzite	secondary		green
G03	1	unidentified	primary		
G05	1	quartzite	secondary		
G05	1	rhyolite	secondary		
G06	1	fine-grain basalt	secondary		
G06	2	dacite	secondary		
G06	1	rhyolite	secondary		
H02	2	fine-grain basalt	secondary		
H02	3	fine-grain basalt	tertiary		
H02	3	dacite	secondary		
H02	1	rhyolite	secondary		
H03	4	fine-grain basalt	secondary		
H03	1	chert	tertiary		white
H03	1	chert	shatter		gray
H03	1	quartz	secondary		
H04	2	fine-grain basalt	secondary		
H04	2	fine-grain basalt	tertiary		
H04	1	chert	secondary		brown
H04	1	dacite	primary		
H04	3	dacite	secondary		
H04	1	obsidian	shatter		
H04	2	quartzite	secondary		

Grid Unit	Count	Material	Type	Description	Color
H05	1	fine-grain basalt	tertiary		
H05	1	chert	bifacial thinning		pink
H05	1	dacite	secondary		
H05	1	quartzite	secondary		pink
H05	1	quartzite	secondary		white
H05	1	rhyolite	tertiary		
H06	3	fine-grain basalt	secondary		
H06	1	dacite	tertiary		
H06	1	unidentified	tertiary		
I01	1	fine-grain basalt	secondary		
I01	1	chert	tertiary		dark gray
I01	1	dacite	core		
I01	1	dacite	secondary		
I02	1	chert	tertiary		gray
I02	1	dacite	core		
I02	1	quartzite	primary		red
I02	1	quartzite	secondary		red
I04	4	fine-grain basalt	tertiary		
I04	1	rhyolite	tertiary		
I05	2	fine-grain basalt	secondary		
I05	1	chert	core		white
I05	1	jasper	tertiary		
I06	1	fine-grain basalt	secondary		
I06	2	dacite	core		
I06	1	dacite	tertiary		
J01	1	fine-grain basalt	primary		
J01	1	fine-grain basalt	secondary		
J01	1	fine-grain basalt	tertiary		
J01	1	chert	tertiary		white/pink
J05	2	fine-grain basalt	secondary		
J06	1	fine-grain basalt	tertiary	unifacially worked	
J06	1	chert	secondary		gray
J06	1	chert	secondary		red
J06	1	chert	tertiary		white
J06	1	dacite	secondary		
J06	1	dacite	tertiary		
K01	1	fine-grain basalt	primary		
K01	3	fine-grain basalt	tertiary		
K01	1	chert	tertiary		dark green/black
K01	2	dacite	secondary		
K06	2	fine-grain basalt	secondary		
K06	4	fine-grain basalt	tertiary		
K06	2	dacite	secondary		
K06	1	jasper	secondary		
L01	1	fine-grain basalt	tertiary		
L01	1	fine-grain basalt	shatter		
L01	1	chert	tertiary		brown
L01	1	dacite	secondary		
L02	1	fine-grain basalt	tertiary		
L06	1	fine-grain basalt	secondary		
M01	3	fine-grain basalt	secondary		
M01	1	fine-grain basalt	tertiary		
M01	1	chert	secondary		gray
M01	1	chert	secondary		red
M01	1	chert	tertiary		white
M01	1	dacite	tertiary		
M02	4	fine-grain basalt	secondary		
M02	3	fine-grain basalt	tertiary		

Grid Unit	Count	Material	Type	Description	Color
M03	1	fine-grain basalt	primary		
M03	3	fine-grain basalt	secondary		
M03	3	fine-grain basalt	tertiary		
M03	1	chert	primary		brown
M03	1	dacite	primary	broken flake	
M03	1	dacite	secondary		
M04	4	fine-grain basalt	tertiary		
M04	2	unidentified	secondary		
M05	3	fine-grain basalt	secondary		
M05	1	fine-grain basalt	tertiary		
M05	1	fine-grain basalt	shatter		
M05	1	chert	secondary		cream/gray
M06	1	fine-grain basalt	primary		
M06	7	fine-grain basalt	secondary		
M06	2	fine-grain basalt	tertiary		
M06	1	chert	primary		gray
M06	1	chert	tertiary		light brown
M06	1	rhyolite	tertiary		
M06	1	unidentified	tertiary		
N01	1	fine-grain basalt	secondary		
N01	3	fine-grain basalt	tertiary		
N02	1	fine-grain basalt	secondary		
N02	1	quartzite	secondary		
N03	1	rhyolite	secondary		
N04	1	fine-grain basalt	tertiary		
N06	1	fine-grain basalt	primary		
N06	10	fine-grain basalt	secondary		
N06	5	fine-grain basalt	tertiary		
N06	1	chert	secondary		cream
O01	2	fine-grain basalt	secondary		
O01	1	fine-grain basalt	tertiary		
O01	1	chert	tertiary	utilized flake	white
O01	1	chert	shatter		white
O06	3	fine-grain basalt	secondary		
O06	4	fine-grain basalt	tertiary		
O06	1	chert	tertiary		gray
O06	1	dacite	secondary		
P01	1	fine-grain basalt	secondary		
P01	2	fine-grain basalt	tertiary		
P06	1	fine-grain basalt	core	multidirectional	
P06	1	fine-grain basalt	primary		
P06	7	fine-grain basalt	secondary		
P06	12	fine-grain basalt	tertiary		
P06	1	chert	tertiary		cream
P06	1	dacite	secondary		
P06	1	dacite	tertiary		
total	254				

Table B-3. Ground Stone

Grid Unit	Count	Type	Material
H04	1	stone ball	unidentified
I03	1	metate fragment, well-worn trough	basalt, vesicular
I05	1	indeterminate form, possible metate fragment	basalt, vesicular
I06	1	mano, complete 1-hand, pounding wear on 1 end	basalt, pink
N01	1	mano fragment	basalt, vesicular
P06	1	mano fragment	unidentified (red)
total	6		

Table B-4. Hammerstones

Grid Unit	Count	Type	Material
I06	1	fragment	basalt
M03	1	fragment, well used	basalt, fine-grain
N06	1	fragment	basalt
total	3		

Table B-5. Marine Shell

Grid Unit	Count	Species	Description
D01	1	scallop, *Pecten* sp.? or *Argopecten* sp.?	small worked fragment with ridges
G04	1	unidentifiable	small worked fragment
M01	1	cone shell, *Conus* sp. ?	tinkler fragment, about half
total	3		

Table B-6. Faunal Bone

Grid Unit	Count	Species	Description
G02	1	unidentifiable	worked burned bone fragment, part of awl or hairpin

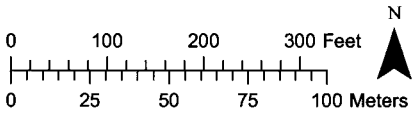
Table B-7. Other Materials

Grid Unit	Count	Material	Description
G01	2	daub	burned clay with stick impressions

Appendix C – Sample Profile Drawings of Archaeological Test Trenches

Figure C-1. Locations of Selected Trench Profiles
Figure C-2. Trench 1a
Figure C-3. Trenches 1b and 1c
Figure C-4. Trench 4
Figure C-5. Trenches 5 and 11
Figure C-6. Trenches 6, 7, 12, and 13

This figure has been removed from this report to restrict distribution of sensitive information.



Legend

Exterior Edge of Retention Basin Berm

Area of Potential Effects for Direct Construction Impacts

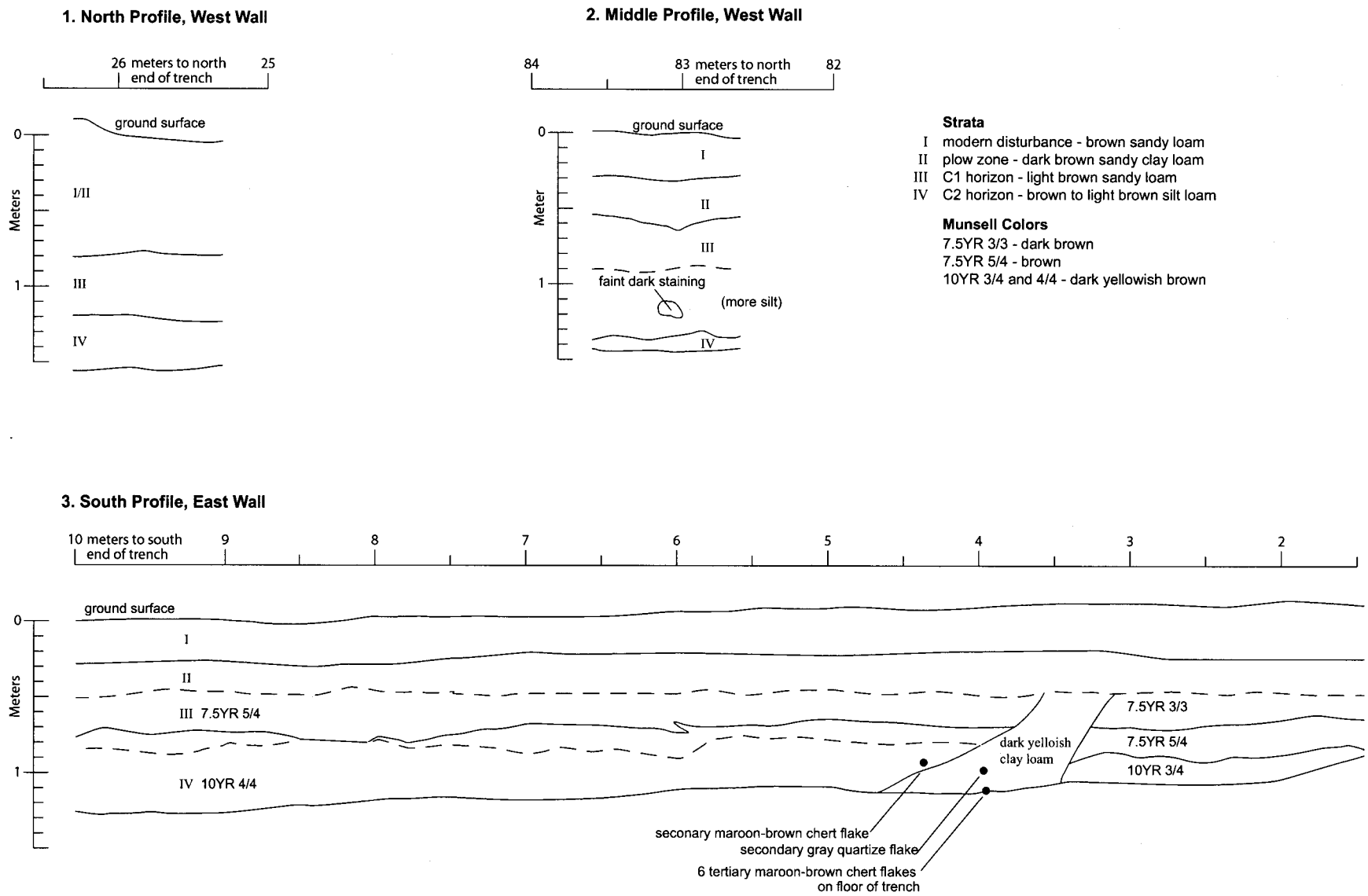
Profile Location

= Profile Number

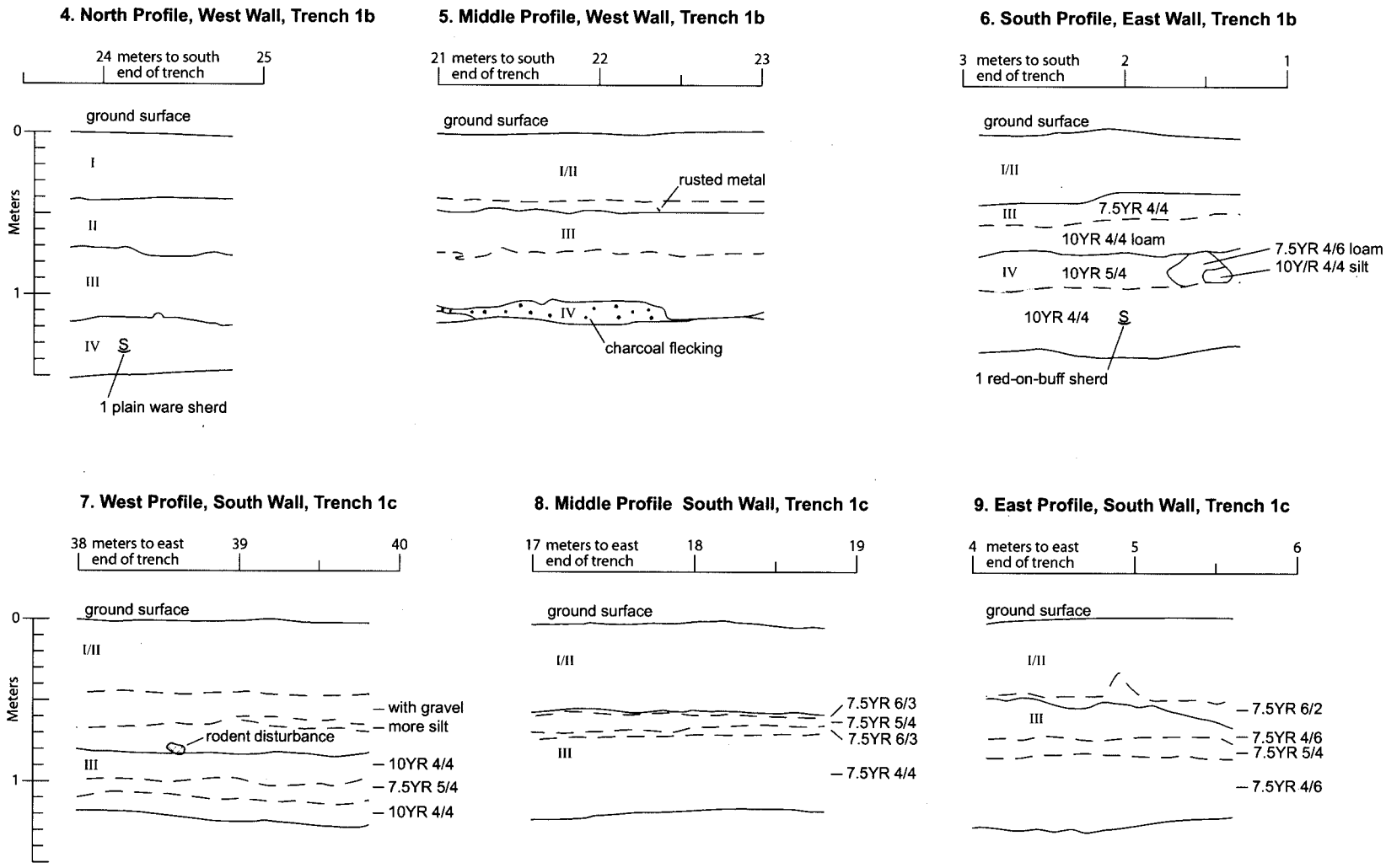
Tr # = Trench Number

Test Trench

Location of Selected Trench Profiles
Figure C-1



Trench 1a Profiles
Figure C-2



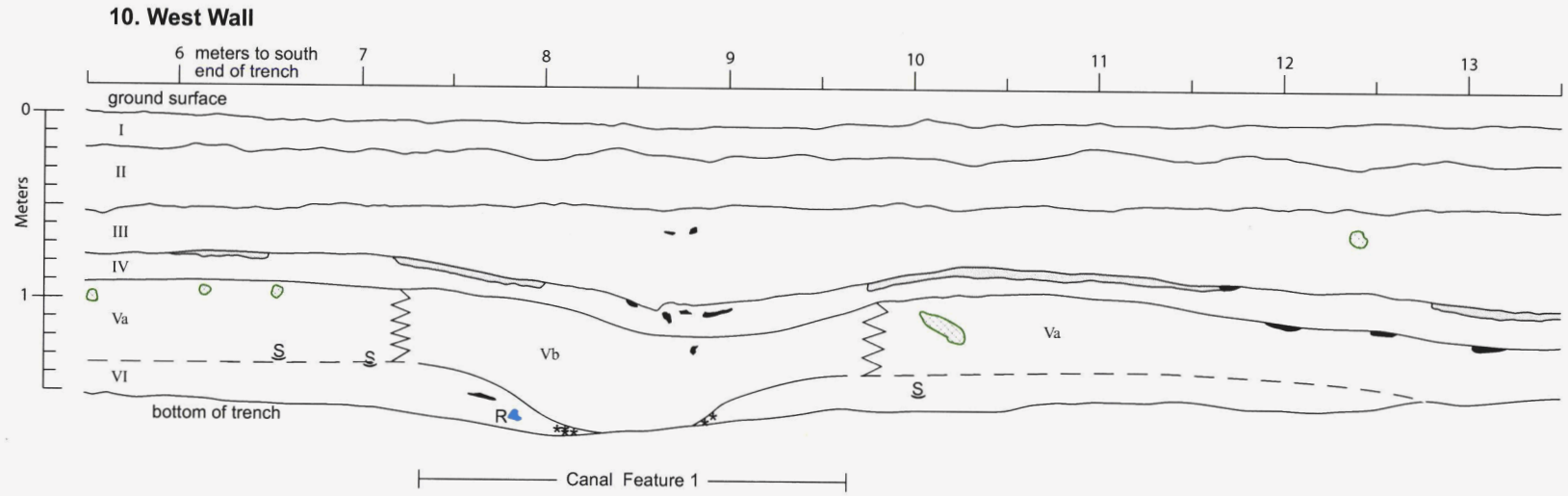
Strata

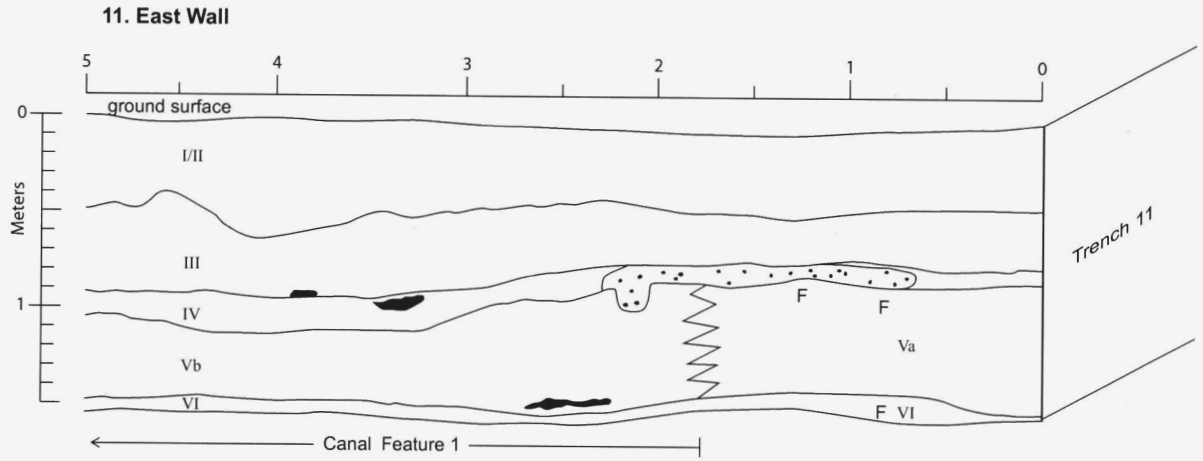
I modern disturbance - brown sandy loam
II plow zone - dark brown sandy clay loam
III C1 horizon - light brown sandy loam
IV C2 horizon - brown to light brown silt loam

Munsell Colors

7.5YR 4/4 and 5/4 - brown
7.5YR 4/6 - strong brown
7.5YR 6/2 - pinkish gray
7.5YR 6/3 - light brown
10YR 4/4 - dark yellowish brown
10YR 5/4 - yellowish brown

Sample Profiles of Trenches 1a and 1b
Figure C-3





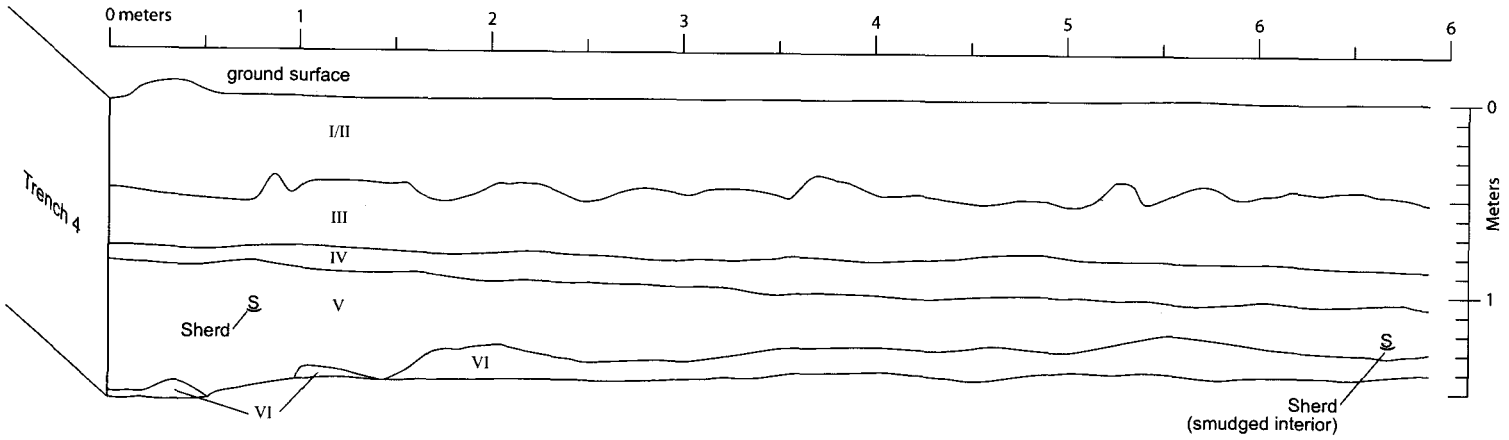
Strata

- I modern disturbance - brown sandy loam
- II plow zone - dark brown sandy clay loam
- III over bank deposits - light brown sandy loam
- IV archaeological deposit - brown to light brown silt loam
- Va archaeological deposit - dark brown to brown, clay to sandy clay loam, mottled
- Vb Feature 1 canal fill - dark brown clay
- VI archaeological deposit - dark brown to brown, clay to loam, extremely mottled

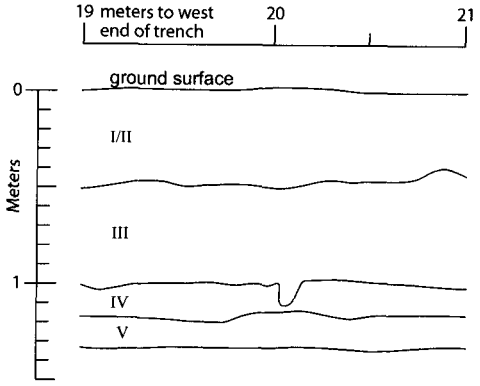
- clay lens
- * iron/manganese oxide stain
- charcoal
- F flake
- S sherd
- R rock
- rodent disturbance
- facies transition
- indistinct boundary

Trench 4 Profiles
Figure C-4

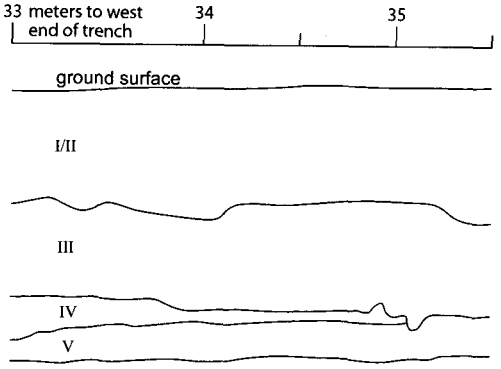
12. West Profile, North Wall, Trench 11



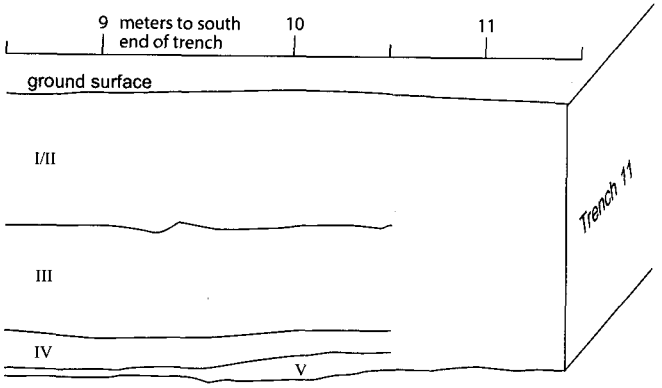
13. Center Profile, North Wall, Trench 11



14. East Profile, North Wall, Trench 11



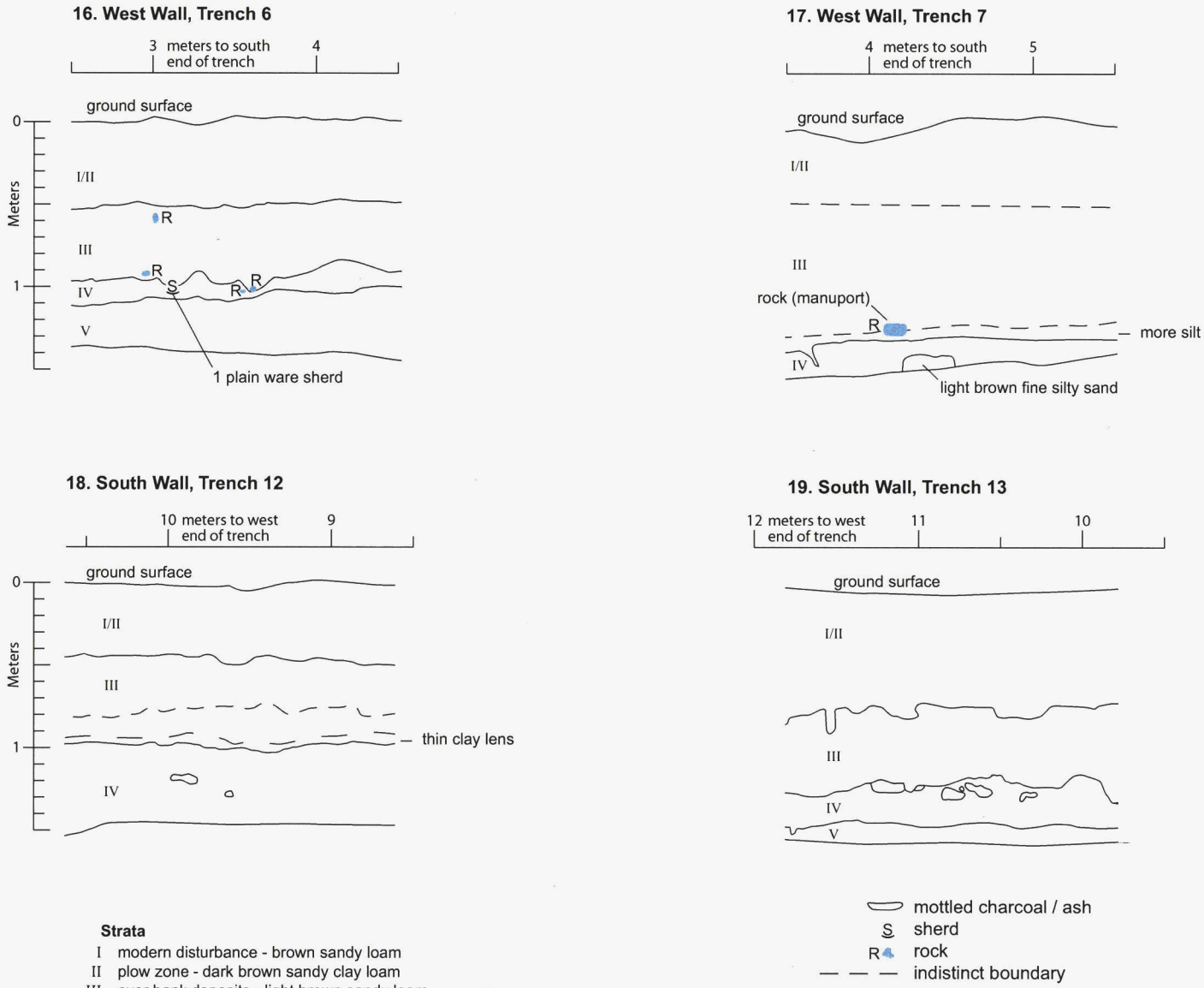
15. West Profile, Trench 5



Strata

- I modern disturbance - brown sandy loam
- II plow zone - dark brown sandy clay loam
- III over bank deposits - light brown sandy loam
- IV archaeological deposit - brown to light brown silt loam
- V archaeological deposit - dark brown to brown, clay to sandy clay loam, mottled
- VI archaeological deposit - dark brown to brown, clay to loam, extremely mottled

Trenches 5 amd 11 Profiles
Figure C-5



Strata

I modern disturbance - brown sandy loam
II plow zone - dark brown sandy clay loam
III over bank deposits - light brown sandy loam
IV archaeological deposit - brown to light brown silt loam
V archaeological deposit - dark brown to brown, clay to sandy clay loam

Sample Profiles of Trenches 6, 7, 12, and 13
Figure C-6

Description of Site AZ U:9:311(ASM), a Hohokam Field

Cultural Affiliation/Age: Hohokam/Gila Butte phase through Civano Phase (circa A.D. 750-1450)

Site Type/Inferred Use: artifact scatter with buried irrigation canal/probable field area

Owner: private (Arizona Public Service Company)

U.S. Geological Survey 7.5-Minute Topographic Quadrangle: Tempe

Elevation: 1,170 feet (357 m) above sea level

Topographic Setting: Lehi terrace (lowest Salt River terrace)

Vegetation/Ground Visibility: none (graded and partially covered with gravel mulch/100 percent

Geology: extremely fine Quaternary alluvium, weakly to noncalichified silt over Lehi terrace gravel

Soils: Gilman loam

Site Condition within Study Area: highly disturbed by power plant development, and earlier farming

Maximum Dimensions: 800 by 1,500 feet (245 by 460 m) **Area:** 13.7 acres (5.54 hectares)

Features within Study Area: 1 (buried irrigation canal)

Historic Context: Hohokam occupation of the Salt River Valley, circa A.D. 500 to 1450

Arizona Register Recommendation: eligible, Criterion D

Field Observations: Site AZ U:9:311(ASM) appears to be an area of Hohokam fields on the Lehi terrace on the south side of the Salt River, and very likely is associated with the occupation of the village site of La Plaza, AZ U:9:165(ASM), which is located just to the south and west on the higher Mesa terrace. The site consists primarily of a highly disturbed artifact scatter and one buried feature—a Hohokam field lateral canal—discovered by archaeological testing. Because the site is so disturbed and has few surface clues about the extent of the site, the site boundaries were drawn somewhat arbitrarily to include the disturbed scatter of surface artifacts, the test trench where the canal was identified, and an area where testing identified a few buried artifacts and potential for other buried features. Further excavation is necessary to better define the extent of the site.

Artifact Scatter. The artifacts were highly disturbed by construction of three large fuel storage tanks associated with the Ocotillo Power Plant, and apparently were dug up when a retention basin was excavated to a depth of about 5 feet (1.5 m) around the fuel tanks. The artifacts are most dense on the earthen berm surrounding the basin, and apparently were concentrated as a lag deposit as the berm eroded. The possibility that the dirt used to create the berms around the retention basin was imported and the artifacts were brought to the site with that material was considered, but no evidence to support that hypothesis was identified. Because of the extent of disturbance, it was concluded that additional laboratory analysis of the artifacts was not warranted and they were not collected, but a large sample was inventoried in the field.

The inventory was organized by superimposing a grid of 109 units, each 65 feet (20 m) square, across the artifact scatter. Each of the 3 tanks covers approximately 4 grid units, leaving 97 grid units (approximately 9.6 acres or 38,800 square m). The field inventory was halted after 6 person-days of effort had tallied 2,082 artifacts, leaving 12 grid units along the southern edge of the targeted area uncounted.

The inventory is dominated by 1,813 potsherds (87%) and 254 pieces of flaked stone (12%). Less common types of artifacts include 6 pieces of ground stone, 3 hammerstones, 3 pieces of marine shell, 1 fragment of shaped and burned faunal bone (probably part of an awl or hairpin), and 2 pieces of what appeared to be burned daub, which suggests some type of earth-covered shelter may have been present and burned.

About 84 percent of the sherds (1,522) are plain ware, which is not temporally diagnostic but reflects a Hohokam affiliation, although 4 atypical unidentified sherds do not appear to be of Hohokam origin.

Almost 70 percent of the plain ware sherds (1,068) have sand temper indicative of locally made Salt Plain (formerly Gila Plain, Salt variety). Gila Plain (formerly Gila Plain, Gila variety) sherds with mica schist temper were probably made in the Gila River valley and are less common (177 or 12 percent). About 13 percent of the plain ware sherds (243) are Wingfield Plain (phyllite temper), and almost 2 percent (30) have Squaw Peak schist temper, indicating they were made in the northern part of the Salt River valley. It has been hypothesized that the uncommon occurrence of Wingfield Plain and Squaw Peak schist tempered pottery in field house site AZ U:9:281(ASM) south of the La Plaza site could indicate the residents of the La Plaza village hired "migrant laborers" from the north side of the Salt River valley to work their fields (Steinbach, Erik, Christopher N. Watkins, and Glen E. Rice [editors], 2008, *Hohokam Canals and Field Houses on the ASU Campus, Excavations at the Barrett Honors College Site, Arizona State University,* Technical Report 07-16, Rio Salado Archaeology, Tempe, Arizona). The relatively high percentage of the phyllite and Squaw Peak schist tempered plain ware in another field context at site AZ U:9:311(ASM) near the La Plaza village site lends some support to that hypothesis.

About 12 percent of the inventoried sherds (210) are buff ware, most of which lack painted red designs. Buff ware proportions of 20 percent or more are typical of pre-Classic period assemblages (Watts, Joshua, David R. Abbott, and Andrew D. Lack, 2012, Analysis of a Ceramic Assemblage from the Northwestern Portion of La Plaza [AZ U:9:165(ASM)], in *Archaeological Excavations at the Northwestern Edge of La Plaza, a Hohokam Village Site in Tempe, Arizona,* edited by Eric S. Cox and A.E. [Gene] Rogge, pp. 8-1 to 8-37, URS, Phoenix, Arizona). Casa Grande Red-on-buff was used during the Soho phase of the early Classic period, but Classic period assemblages generally have less than 10 percent buff ware because red ware was becoming more common. The Hohokam no longer made buff ware during the Civano phase of the late Classic period.

Four sherds have designs characteristic of Gila Butte Red-on-buff, which dates to the early Colonial period, and 3 sherds are Sacaton Red-on-buff, which dates to the subsequent Sedentary period. About 4 percent of the inventory is red ware (66), with locally made sherds with sand temper (Salt Red) being about twice as common as those with mica schist temper (Gila Red) (45 compared to 21), which were probably made in the Gila River valley. The red ware is indicative of the Classic period. The inventory includes 7 Salado Polychrome sherds (6 identified specifically as Gila Polychrome), which date to the Civano phase.

In summary, few of the inventoried sherds are temporally diagnostic but they indicate at least intermittent use of the site over a period of approximately seven centuries from the early Colonial period through the late Classic period (circa A.D. 750 to 1450). Although buff ware sherds are more than three times as numerous as red ware sherds, the relatively low percentage of buff ware within the assemblage suggests the most intensive use might have been during the Classic period.

The flaked stone is mostly knapping debris and primarily secondary (126) and tertiary (96) flakes. No projectile points were identified, but 1 tertiary rhyolite flake appeared to be a tool fragment, 2 fine-grain basalt tertiary flakes had unifacially worked edges, and 1 chert flake had evidence of use wear. Two bifacial thinning flakes were identified. Seven pieces are cores of fine-grain basalt, dacite, and chert, which are the most common types of toolstone in the assemblage (85 percent). A piece of obsidian shatter probably was not of local origin, but the other types of toolstone probably could have been collected from the gravels of the Salt River channel and terraces.

The inventory includes six pieces of ground stone of vesicular basalt or unidentified types of rock. One is a complete one-hand mano that was used not only for grinding but wear on one end indicated it also was used for pounding. Two other pieces are mano fragments. Another piece was a fragment of a metate with a well-worn trough, and another piece of indeterminate form might have been part of a metate. An uncommon form of ground stone artifact was a sphere about the size of a softball.

The rarer types of inventoried items include three small pieces of worked marine shell. One was half of a tinkler ornament, probably made from a cone shell (*Conus* sp.). Another fragment had ridges suggesting it was a scallop shell (*Pecten* sp. or *Argopecten* sp.). The third piece was a nondiagnostic fragment.

An average of 25 artifacts were found in each inventoried grid unit (6 artifacts per 100 square m), but the artifacts were distributed in a highly clustered pattern. The 6 grid units with the most artifacts had 41 percent of all the artifacts. No meaningful patterning of the spatial distribution of the various types of artifacts was detected.

A scatter of approximately 6 plain ware potsherds were found about 200 feet (60 m) east of the southern part of the basin, and a whole metate was found 65 feet (20 m) northeast of that sparse scatter. It was determined that those artifacts probably were deposited in that area in 2012 when about 1 foot (30 cm) of excess dirt, which had been excavated to expand a storm water retention basin in the southeastern part of the power plant parcel, was spread across the area. The only other artifact on the site surface was a metate fragment about 220 feet (67 m) east of the northern part of the fuel storage tank retention basin.

Archaeological Testing. To further explore the site, 13 test trenches, accumulating to 1,390 linear feet (424 m), were excavated with a backhoe equipped with a bucket 3 feet (0.9 m) wide. One segment of a trench 50 feet (15 m) long was excavated to a depth of 7 feet (2.1 m) and the rest were dug to depths of 4 to 5 feet (1.2 to 1.5 m).

Feature 1, Irrigation Canal. The single archaeological feature found by the testing is a canal approximately 5 feet (1.5 m) wide and 1 foot (30 cm) deep, a size that suggests it is a field lateral. The one exposure of the canal suggests it flowed to the west/northwest.

The canal had been excavated into Stratum VI, a layer of mottled brown to dark brown clay to loam. The bottom of the canal extended slightly below the bottom of the test trench, which was dug to a depth of 5 feet (1.5 m). The sediments on the north and south sides of the canal vary from dark brown to brown clay to sandy clay loam, and were designated Stratum Va. Those deposits probably accumulated in fields watered by the canal, but further investigation is needed to confirm that the Hohokam farmed the surrounding area. The ditch is filled with dark brown clay that was designated Stratum Vb. Scattered charcoal in Stratum V and the overlying Stratum IV, a brown to light brown silt loam, may represent burning of field stubble or weeds. The higher deposits in the soil column include Stratum III (overbank deposits that postdate the Hohokam occupation), Stratum II (plow zone), and Stratum I (zone of modern disturbance).

The cross section indicates the canal had a slight berm on the downslope (north) side and the canal alignment might have shifted slightly to the north over time. Clay fill in the canal indicates sluggish flow during most of the time the canal was in use. Silt loam in the higher fill of the canal indicates an increase in water velocity. Iron and manganese staining below the canal reflect anaerobic conditions due to prolonged standing water in the canal.

Three flakes and three sherds were found in the trench walls in association with the ditch and a Salado Polychrome sherd recovered from the dirt excavated from the trench suggests the canal probably dates to the Civano phase of the late Classic period. Pre-Classic period Hohokam or perhaps even pre-Hohokam archaeological deposits might be buried more deeply.

Recommendations: Site AZ U:9:311(ASM) appears to be the remnants of a Hohokam field that probably was farmed during the late Classic period Civano phase (circa A.D. 1300-1450) and perhaps as early as the Gila Butte phase of the Colonial period (circa A.D. 750). It is recommended that site AZ U:9:311(ASM) be considered eligible for the Arizona Register under Criterion D for its potential to yield important information about Hohokam agriculture and settlement of the Phoenix Basin. Because the canal feature

identified at the site is buried, horizontal exposure has potential to identify a network of field ditches, which have been documented only rarely at other Hohokam sites. Analyses of charred macrobotanical specimens, pollen, and biosilicates could identify what crops the Hohokam grew on the Salt River floodplain; radiocarbon dating has potential to determine when the Hohokam used the area; and study of the canal could yield information about its engineered characteristics such as flow rates, capacity, and amount of area that it could have watered.

The canal and adjacent field area are buried in the very northwestern corner of the power plant parcel, and it might be possible to design the proposed new facilities at the Ocotillo Power Plant to avoid disturbance of the area. If not, it is recommended that a plan be developed and implemented to recover information and artifacts from the area prior to any construction activity. Additional deeper testing of areas that would be disturbed by the proposed modernization of the power plant or archaeological monitoring of construction also is recommended to check for potential additional archaeological features and deposits and evaluate them and treat them appropriately.

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EXHIBIT F – RECREATIONAL PURPOSES AND ASPECTS

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(4) stipulates "the proposed availability of the site to the public for recreational purposes, consistent with safety considerations and regulations" are among the factors the Siting Committee must consider in reviewing CEC applications. As stated in Arizona Corporation Commission Rules of Practice and Procedure R14-3-219:

> "*State the extent, if any, the proposed site or route will be available to the public for recreational purposes, consistent with safety considerations and regulations and attach any plans the applicant may have concerning the development of the recreational aspects of the proposed site or route.*"

The proposed Ocotillo Modernization Project (the "Project") is entirely located within the existing Ocotillo Power Plant site ("Ocotillo Site"). There are no anticipated adverse impacts on recreation as a result of the Project. Within the City of Tempe, the Ocotillo Site has been designated as industrial land use based on its current use. APS plans to maintain industrial uses of the Ocotillo Site by continuing to operate the power plant and associated facilities. The Ocotillo Site has no existing or planned designated recreation facilities and is not accessible for public recreation purposes.

EXHIBIT G – CONCEPTUAL DRAWINGS OF TYPICAL FACILITIES AND TRANSMISSION FACILITIES

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(7) stipulates "the technical practicability of achieving a proposed objective and the previous experience with equipment and methods available for achieving a proposed objective" are among the factors the Siting Committee must consider in reviewing CEC applications. As stated in Arizona Corporation Commission Rules of Practice and Procedure R14-3-219:

> *"Attach any artist's or architect's conception of the proposed plant or transmission line structures and switchyards, which applicant believes may be informative to the Commission."*

The illustrations on the following pages represent conceptual design information for the Ocotillo Site facilities and transmission line structures.

Figure G-1 – Proposed Site Layout G-3

Figure G-2 – Conceptual Site Plan G-4

Figure G-3 – Conceptual Equipment Detail (Typical GT – Plan and Profile) G-5

Figure G-4 – Typical Double-Circuit 230kV Tangent Pole with Single-Circuit 69kV G-6

Figure G-5 – Typical Double-Circuit 230kV Tangent Pole with Double-Circuit 69kV G-7

Figure G-6 – Typical Double-Circuit 230kV Tangent Pole G-8

Figure G-7 – Typical Single-Circuit 230kV Tangent Pole with Single-Circuit 69kV G-9

Figure G-8 – Typical Single-Circuit 230kV Tangent Pole with Double-Circuit 69kV G-10

Figure G-9 – Typical Single-Circuit 230kV Tangent Pole G-11

Figure G-10 – Typical Double-Circuit 230kV Dead-End Pole with Single-Circuit 69kV G-12

Figure G-11 – Typical Double-Circuit 230kV Dead-End Pole with Double-Circuit 69kV G-13

Figure G-12 – Typical Double-Circuit 230kV Dead-End Pole G-14

Figure G-13 – Typical Single-Circuit 230kV Dead-End Pole with Single-Circuit 69kV G-15

Figure G-14 – Typical Single-Circuit 230kV Dead-End Pole with Double-Circuit 69kV G-16

Figure G-15 – Typical Single-Circuit 230kV Dead-End Pole G-17

Figure G-16 – Typical Double-Circuit 230kV (V-String) Tangent Pole with Single-Circuit 69kV G-18

Figure G-17 – Typical Double-Circuit 230kV (V-String) Tangent Pole with Double-Circuit 69kV G-19

Figure G-18 – Typical Double-Circuit 230kV (V-String) Tangent Pole G-20

Figure G-19 – Typical Single-Circuit 230kV (V-String) Tangent Pole with Single-Circuit 69kV G-21

Figure G-20 – Typical Single-Circuit 230kV (V-String) Tangent Pole with Double-Circuit 69kV G-22

Figure G-21 – Typical Single-Circuit 230kV (V-String) Tangent Pole..... G-23

Figure G-22 – Typical Double-Circuit 230kV (Braced Post) Tangent Pole with Single-Circuit 69kV . G-24

Figure G-23 – Typical Double-Circuit 230kV (Braced Post) Tangent Pole with Double-Circuit 69kV G-25

Figure G-24 – Typical Double-Circuit 230kV (Braced Post) Tangent Pole..... G-26

Figure G-25 – Typical Single-Circuit 230kV (Braced Post) Tangent Pole with Single-Circuit 69kV... G-27

Figure G-26 – Typical Single-Circuit 230kV (Braced Post) Tangent Pole with Double-Circuit 69kV ... v28

Figure G-27 – Typical Single-Circuit 230kV (Braced Post) Tangent Pole G-29

Figure G-28 – Typical Single-Circuit 230kV H-Frame Structure G-30

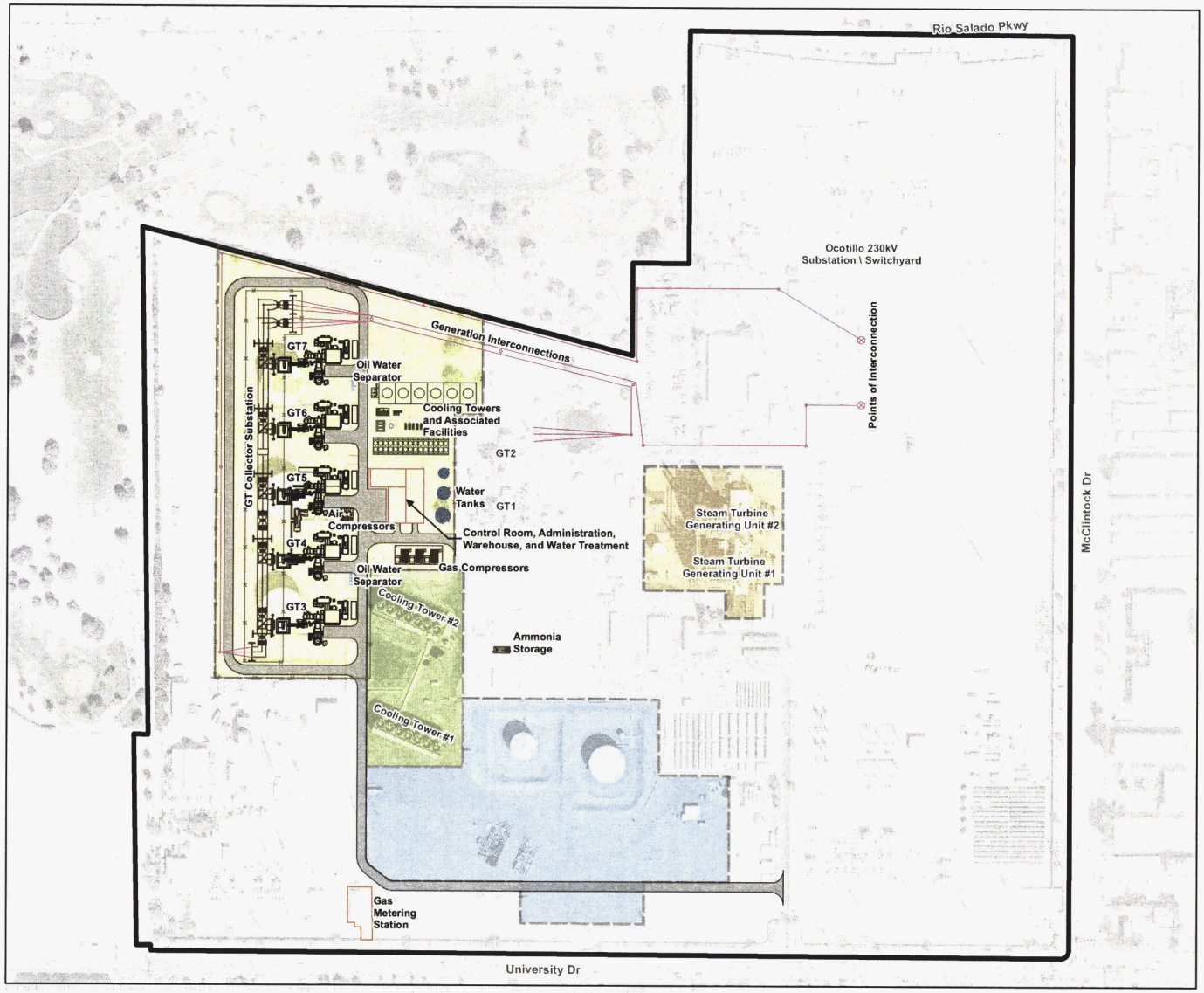


Figure G-1

Proposed Site Layout

Ocotillo Modernization Project

Legend

Existing Ocotillo Power Plant

Proposed Site Layout

- Proposed GT
- Control Room, Administration, Warehouse, and Water Treatment
- Cooling Towers and Associated Facilities
- Oil Water Separator
- Generation Interconnections
- Gas Metering Station
- Water Tanks
- Plant Features
- Fence

Primary Construction

- Temporary Construction Offices, Laydown and Parking Areas
- Main Construction Area for New Generating Units
- Cooling Towers Removal Area
- Steam Turbine Generating Unit Removal Area
- Internal Access Road

GT = Gas Turbine Generator

Source:
Project Features: APS 2013 - 2014
Base Map: ADOT 2014
Imagery: FCDMC 2012

N

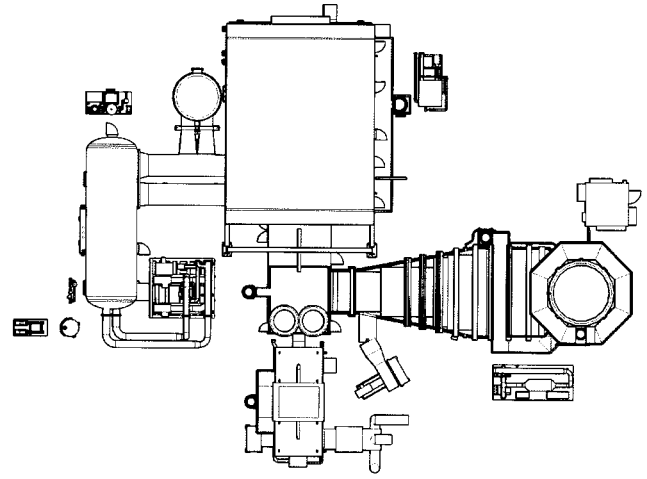
0 150 300
Feet
1:3,600

aps

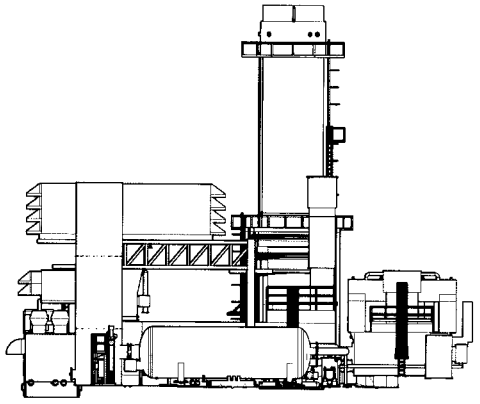
Note: This rendering illustrates the final conceptual site plan after construction of the new units, and demolition of the steam turbine generators, and cooling towers.

Conceptual Site Plan
Figure G-2

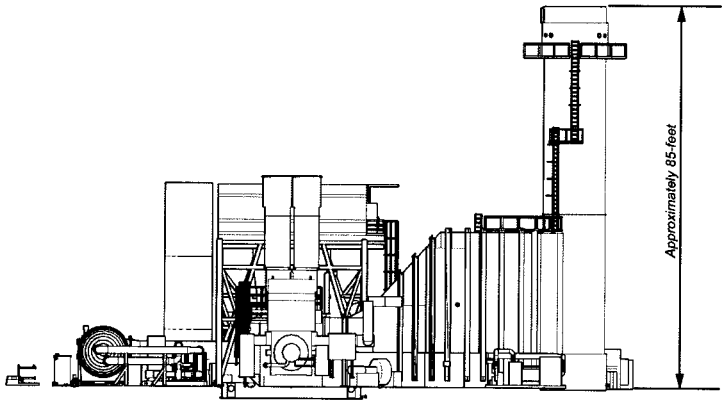
aps



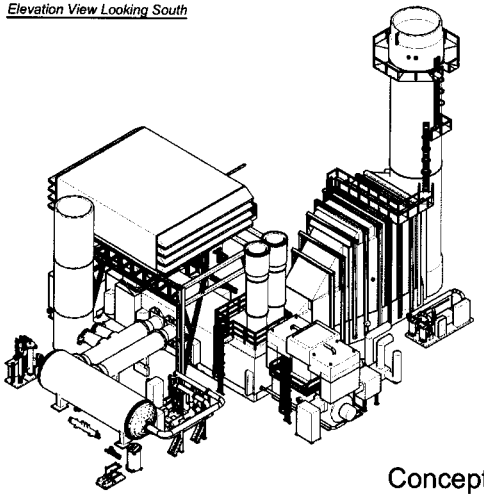
NORTH Top View



Elevation View Looking South



Elevation View Looking East



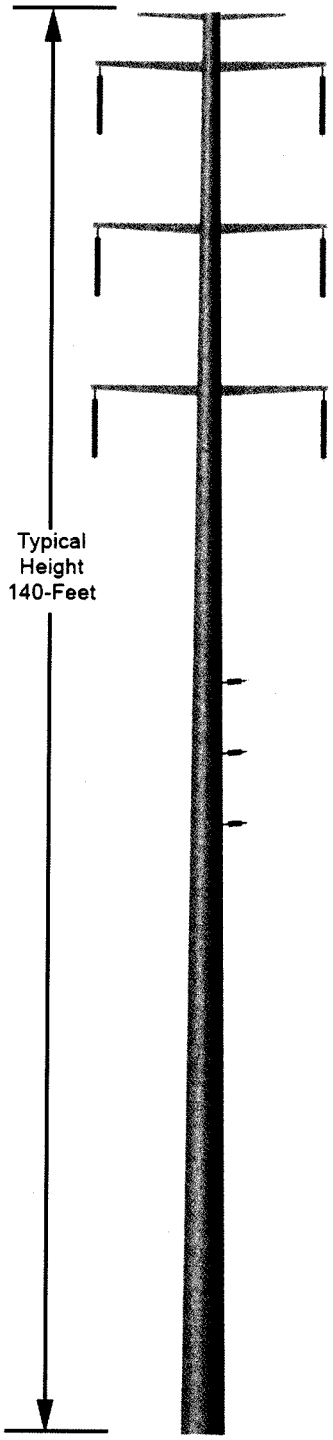
Isometric View

Conceptual Equipment Detail
(Typical GT - Plan and Profile)

Figure G-3

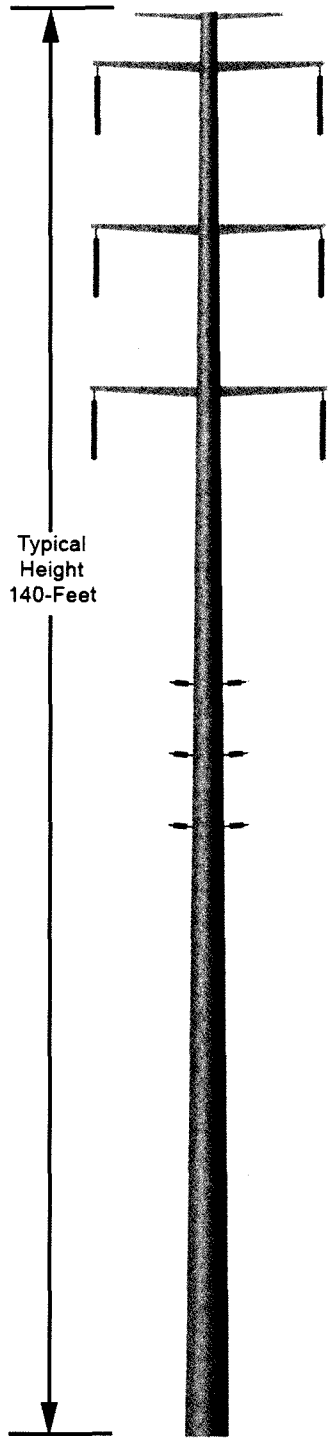
aps

Source:
3D Model: Kiewit



NOT TO SCALE

Figure G-4 – Typical Double-Circuit 230kV Tangent Pole with Single-Circuit 69kV



NOT TO SCALE

Figure G-5 – Typical Double-Circuit 230kV Tangent Pole with Double-Circuit 69kV

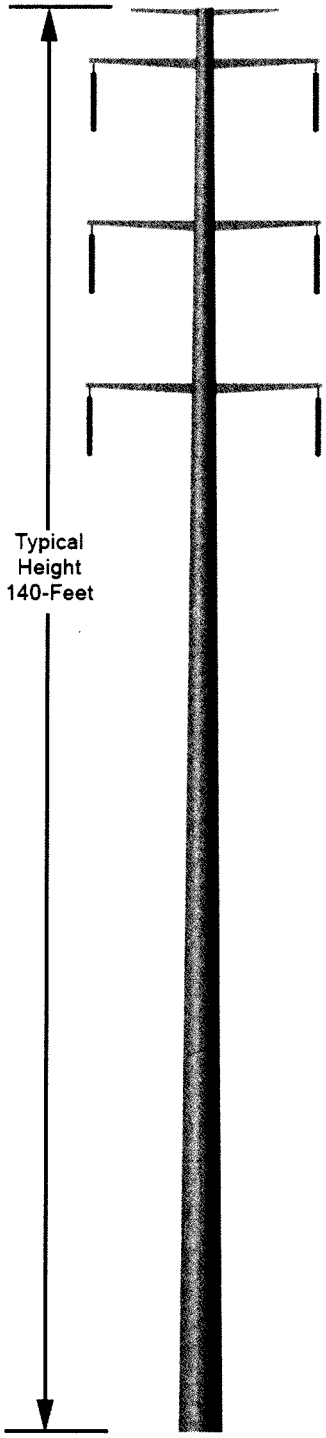


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Figure G-6 – Typical Double-Circuit 230kV Tangent Pole

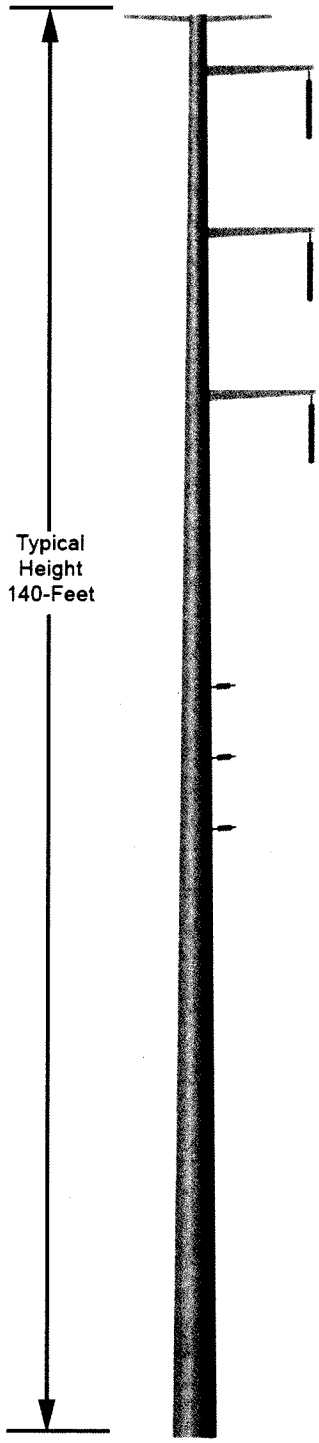

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Figure G-7 – Typical Single-Circuit 230kV Tangent Pole with Single-Circuit 69kV

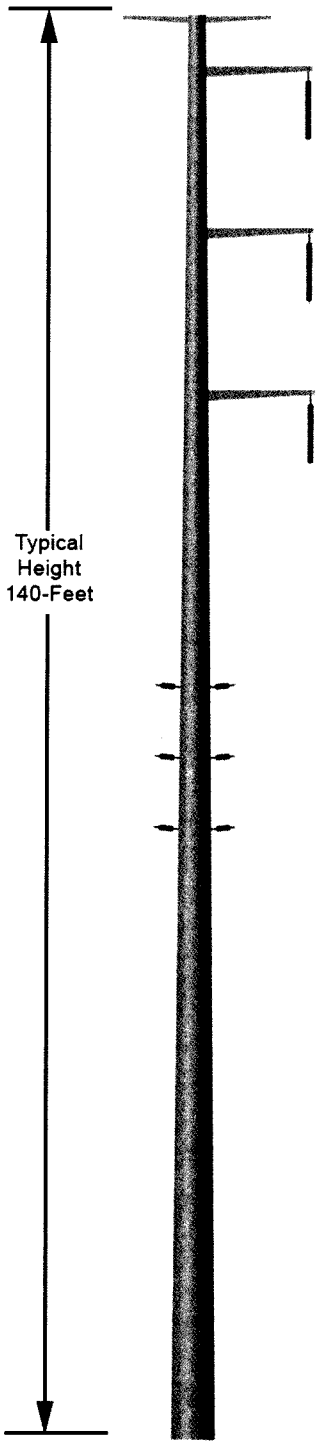

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Figure G-8 – Typical Single-Circuit 230kV Tangent Pole with Double-Circuit 69kV

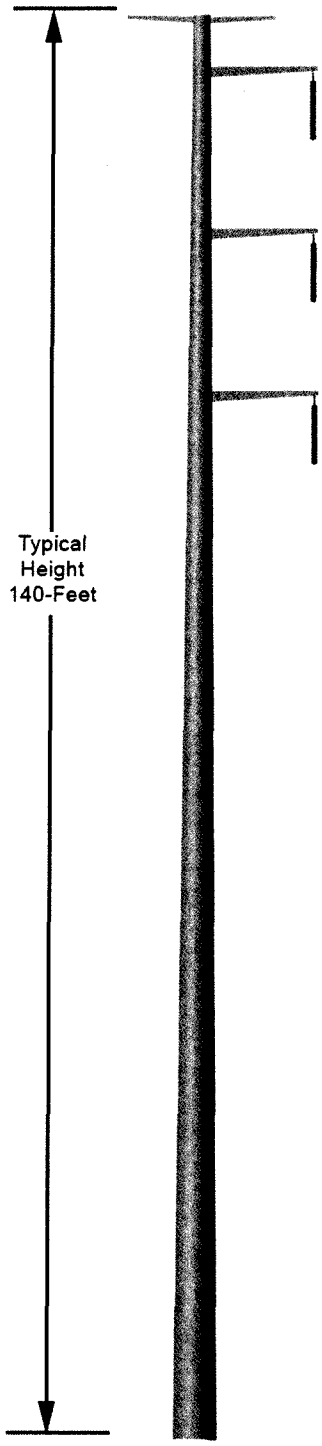

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Figure G-9 – Typical Single-Circuit 230kV Tangent Pole

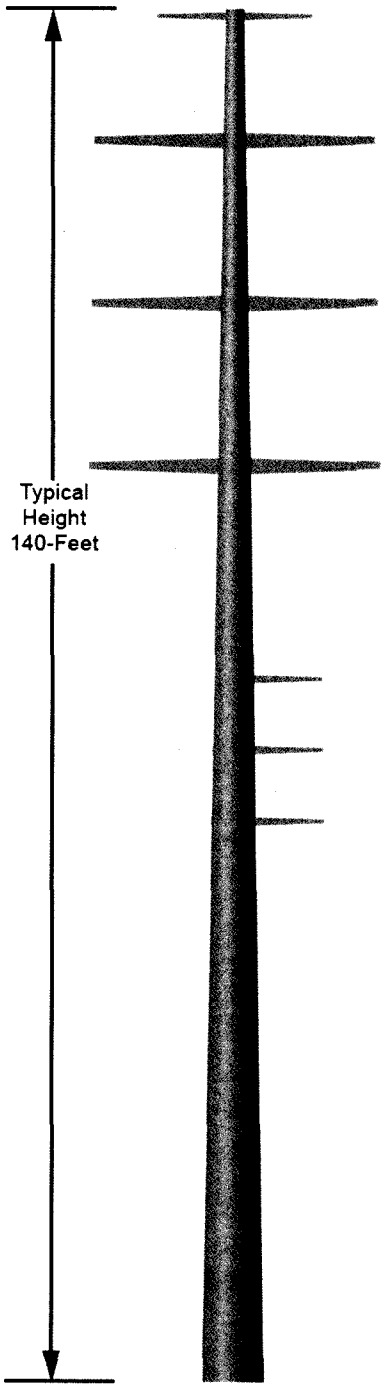

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Figure G-10 – Typical Double-Circuit 230kV Dead-End Pole with Single-Circuit 69kV

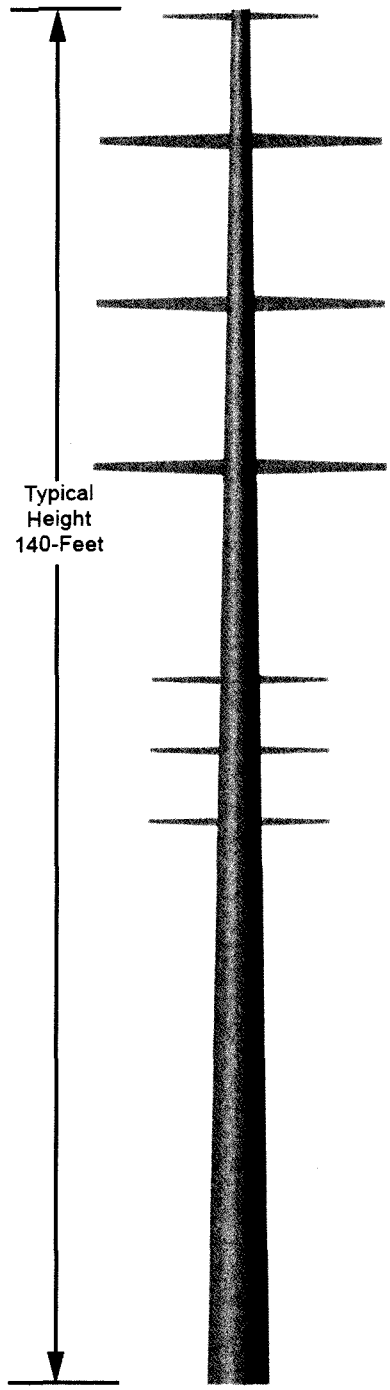

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Figure G-11 – Typical Double-Circuit 230kV Dead-End Pole with Double-Circuit 69kV

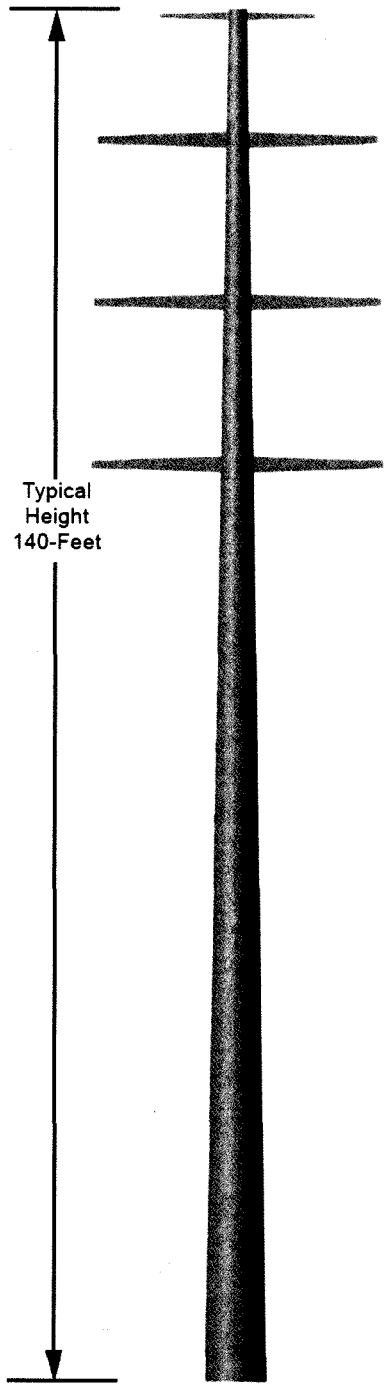

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Figure G-12 – Typical Double-Circuit 230kV Dead-End Pole

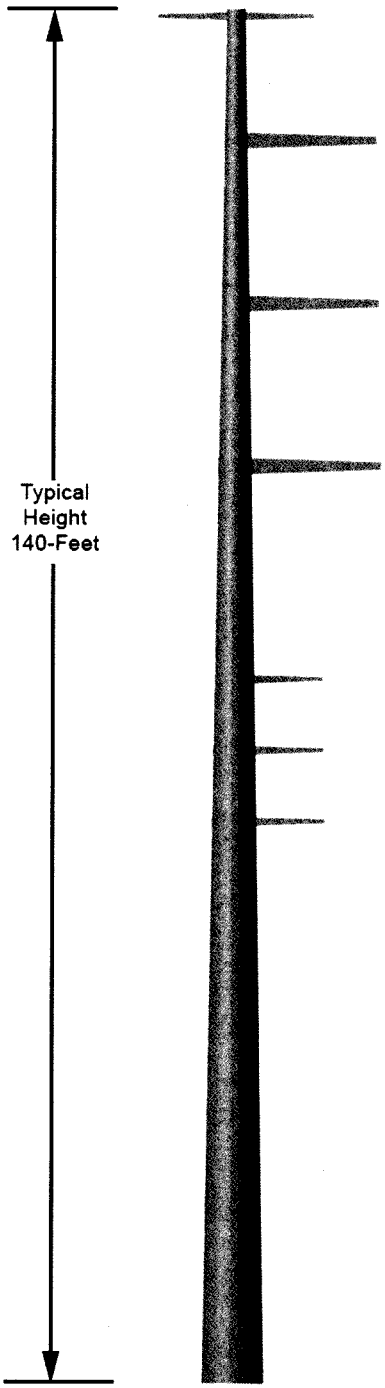

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Figure G-13 – Typical Single-Circuit 230kV Dead-End Pole with Single-Circuit 69kV

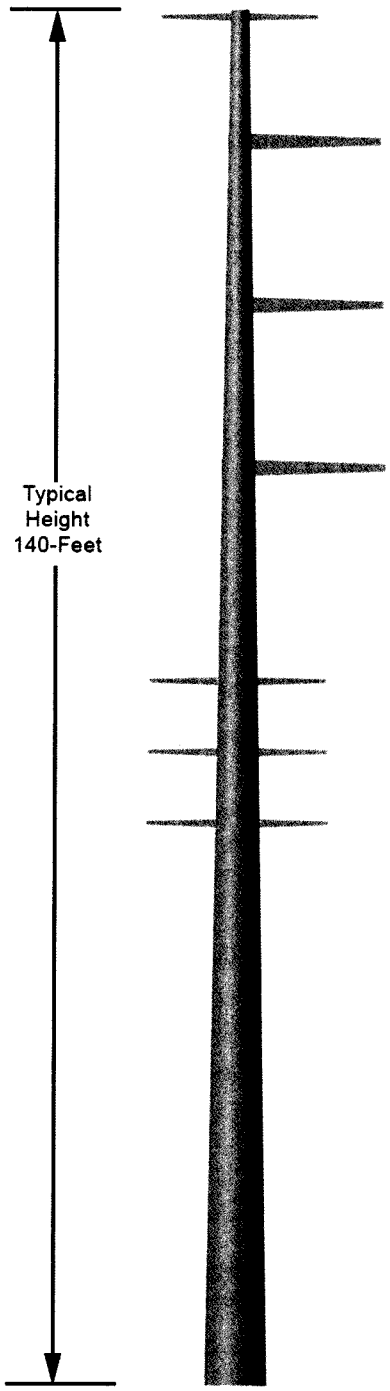

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Figure G-14 – Typical Single-Circuit 230kV Dead-End Pole with Double-Circuit 69kV

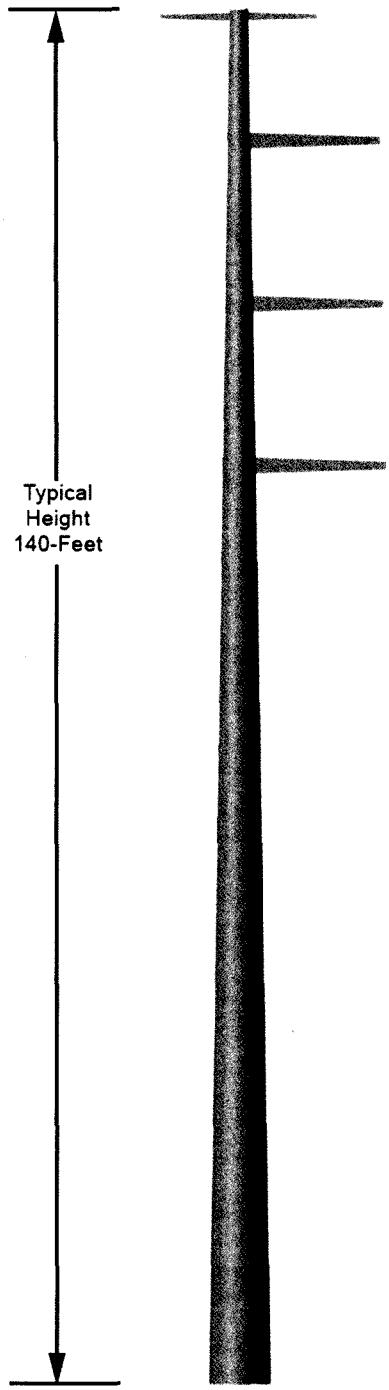

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Figure G-15 – Typical Single-Circuit 230kV Dead-End Pole

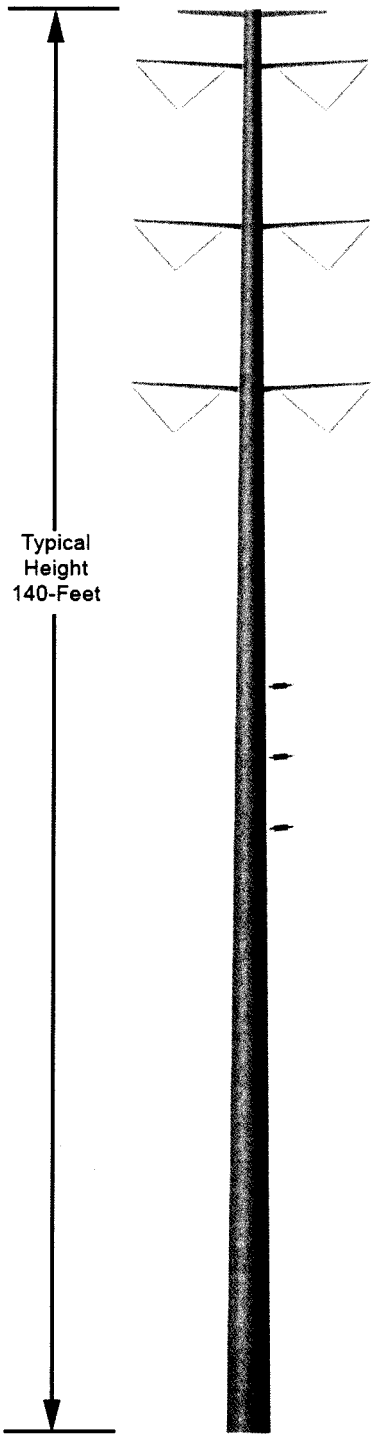

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Figure G-16 – Typical Double-Circuit 230kV (V-String) Tangent Pole with Single-Circuit 69kV

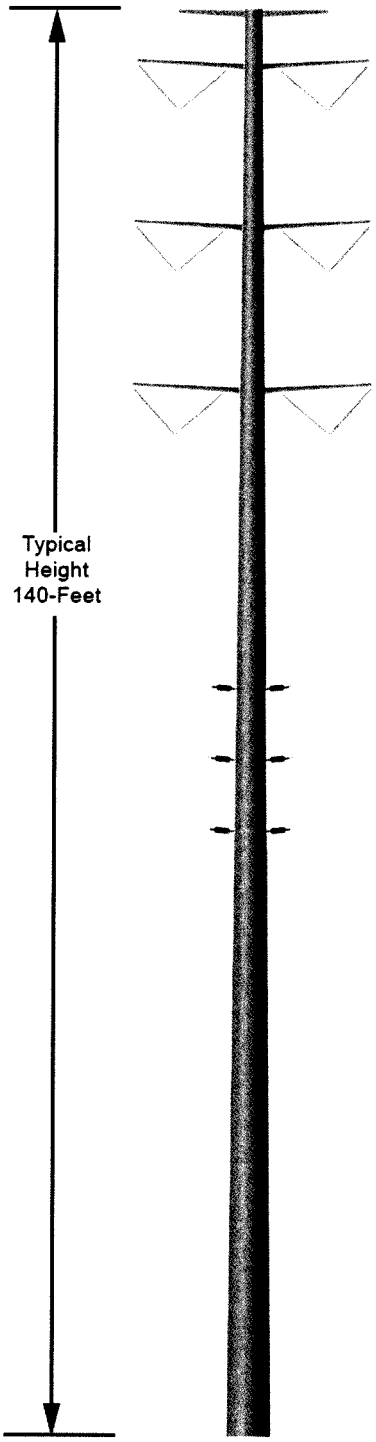

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Figure G-17 – Typical Double-Circuit 230kV (V-String) Tangent Pole with Double-Circuit 69kV

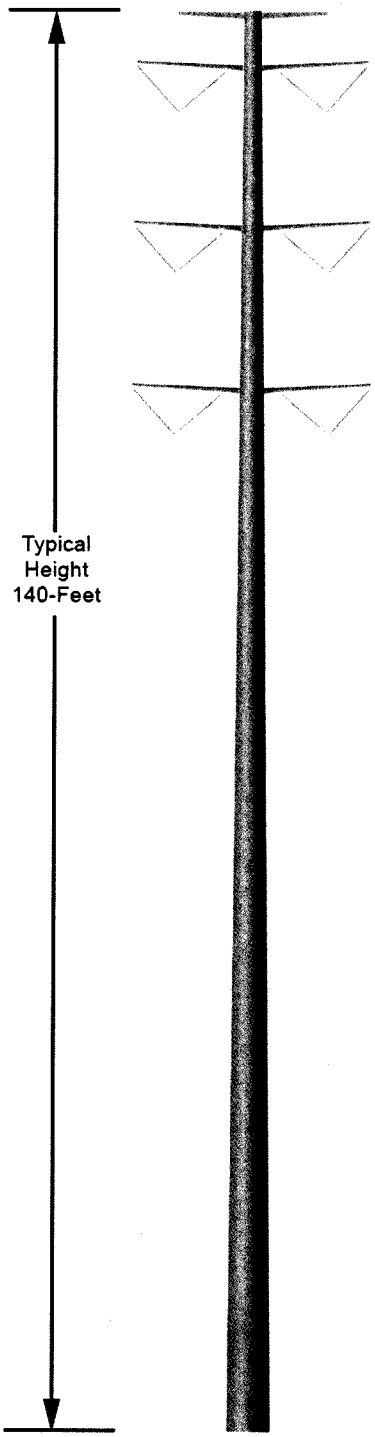

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Figure G-18 – Typical Double-Circuit 230kV (V-String) Tangent Pole

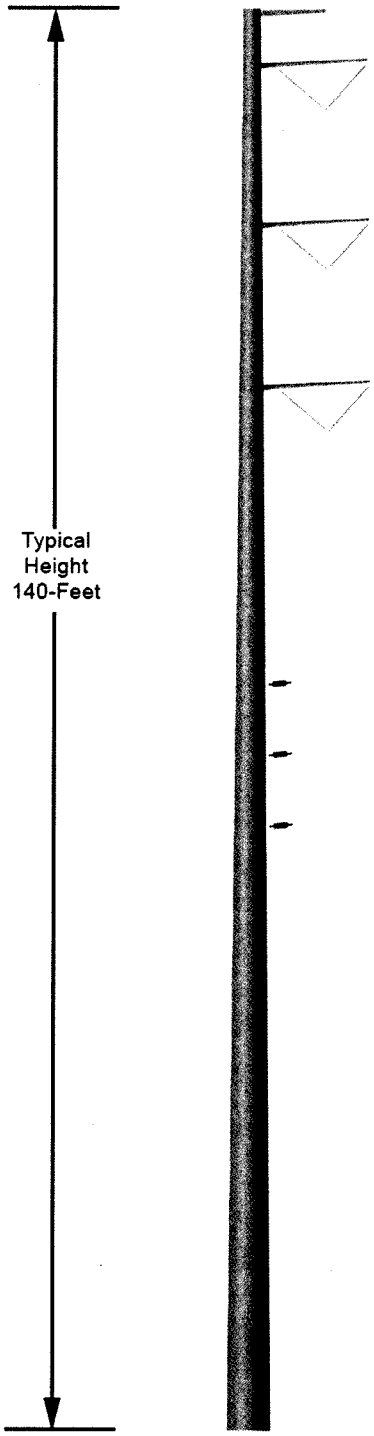

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Figure G-19 – Typical Single-Circuit 230kV (V-String) Tangent Pole with Single-Circuit 69kV

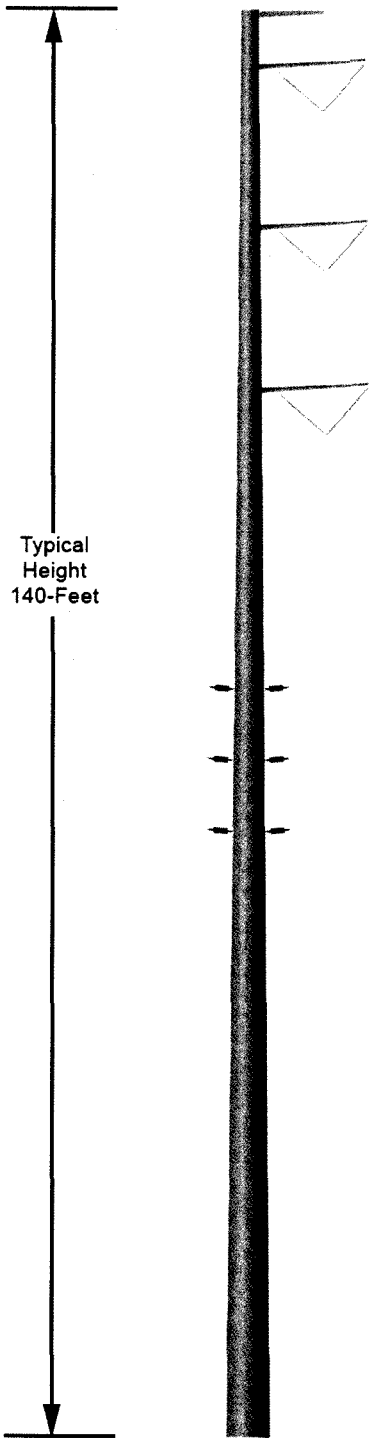

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Figure G-20 – Typical Single-Circuit 230kV (V-String) Tangent Pole with Double-Circuit 69kV

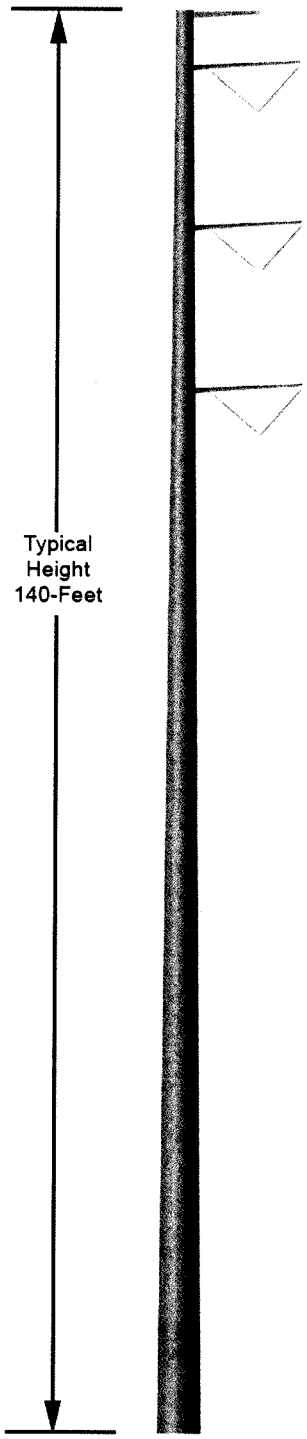

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Figure G-21 – Typical Single-Circuit 230kV (V-String) Tangent Pole

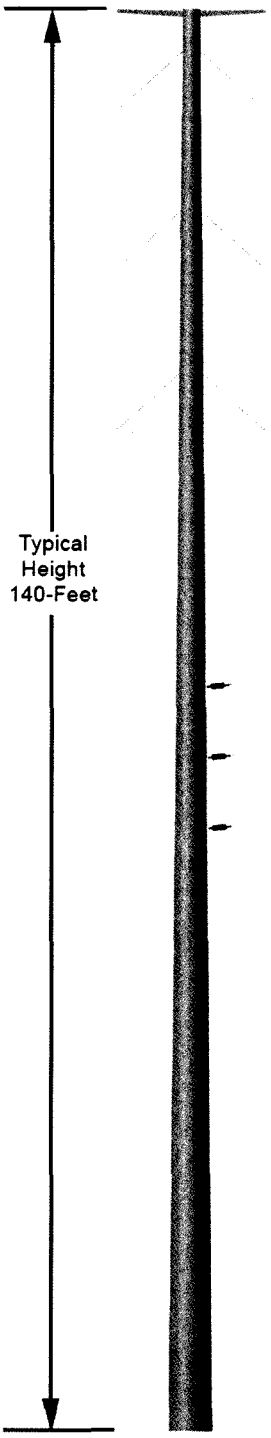

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Figure G-22 – Typical Double-Circuit 230kV (Braced Post) Tangent Pole with Single-Circuit 69kV

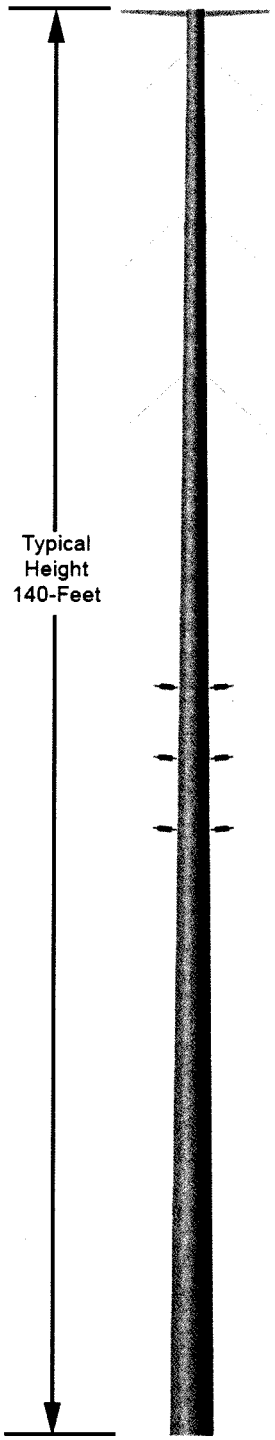

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Figure G-23 – Typical Double-Circuit 230kV (Braced Post) Tangent Pole with Double-Circuit 69kV

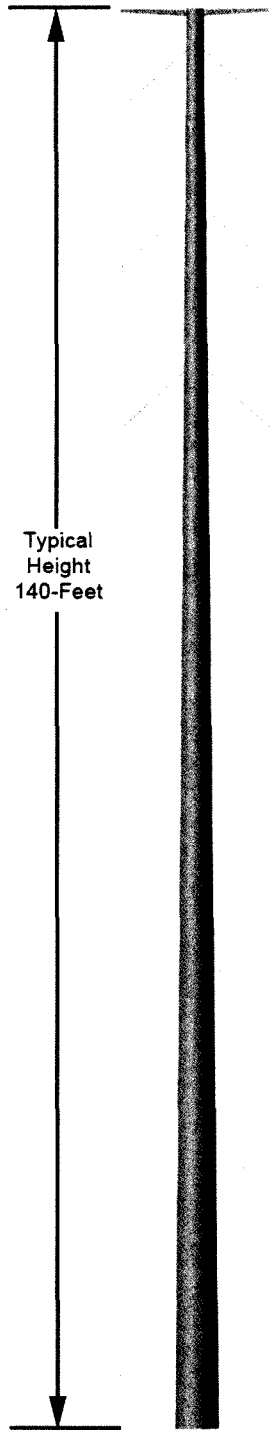

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Figure G-24 – Typical Double-Circuit 230kV (Braced Post) Tangent Pole

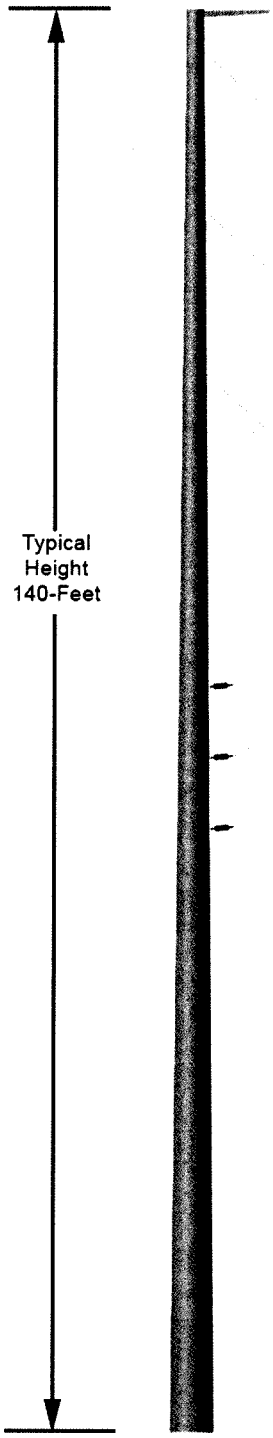

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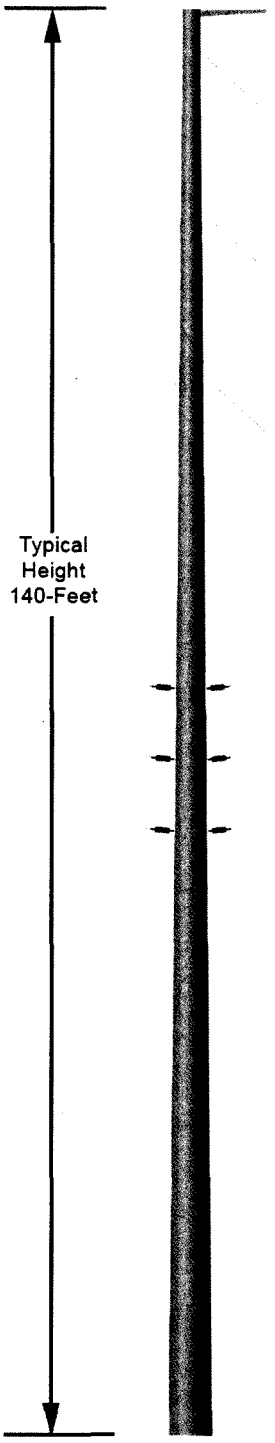
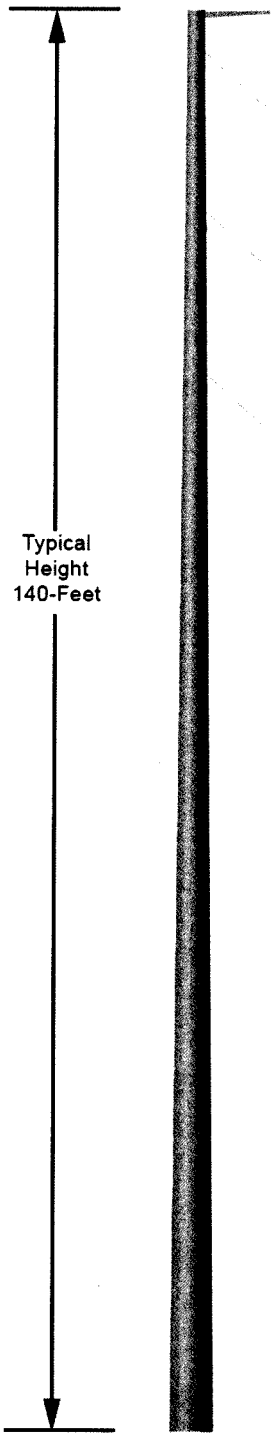
Figure G-25 – Typical Single-Circuit 230kV (Braced Post) Tangent Pole with Single-Circuit 69kV



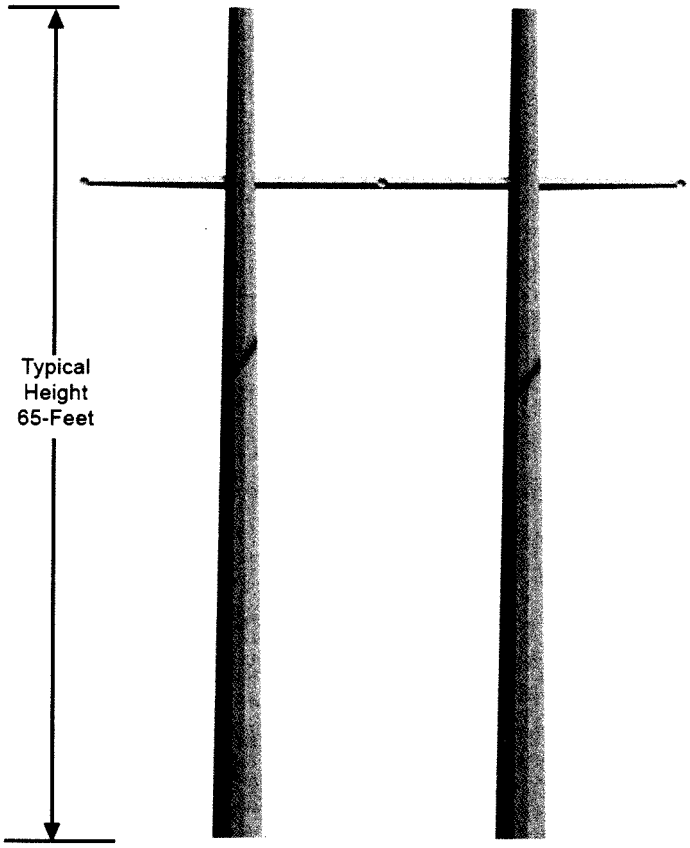
NOT TO SCALE

Figure G-26 – Typical Single-Circuit 230kV (Braced Post) Tangent Pole with Double-Circuit 69kV



NOT TO SCALE

Figure G-27 – Typical Single-Circuit 230kV (Braced Post) Tangent Pole



NOT TO SCALE

Figure G-28 – Typical Single-Circuit 230kV H-Frame Structure

EXHIBIT H – EXISTING PLANS

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(1) stipulates "existing plans of the state, local government and private entities for other developments at or in the vicinity of the proposed site" are among the factors the Siting Committee must consider in reviewing CEC applications. As stated in Arizona Corporation Commission Rules of Practice and Procedure R14-3-219:

> *"To the extent applicant is able to determine, state the existing plans of the state, local government and private entities for other developments at or in the vicinity of the proposed site or route."*

EXISTING PLANS OVERVIEW

The review of existing and future land uses conducted for the Ocotillo Modernization Project ("Project") is described in Exhibit A. As part of the land use review, general plans were gathered for the study area, which includes the existing Ocotillo Power Plant ("Ocotillo Site") and areas within 2 miles. General plans were acquired and reviewed from the cities of Tempe, Scottsdale, Mesa, Phoenix, the Salt River Pima-Maricopa Indian Community (SRPMIC), and Maricopa County. Based on the jurisdiction (and ownership) of lands adjacent to and within close proximity to the Ocotillo Site, APS and URS met with representatives from the City of Tempe and Arizona State University to confirm information gathered from their plans and also to identify specific known future development plans. Planners for Mesa, Scottsdale, Phoenix, SRPMIC, and Maricopa County also were contacted to discuss the Project and identify known future development plans. Information related to specific future development plans was compiled for areas within the vicinity, approximately 1 mile, of the Ocotillo Site based on the density of development within the surrounding area. Figure H-1 denotes 30 developments occurring within 1 mile of the Ocotillo Site.

Future Developments – Final Permitting and Construction Phase

Figure H-1 depicts the locations of thirteen (13) future developments that are in review for a building permit, under construction, or have received their final permit for occupancy.

Final Permitting/Certificate of Occupancy – West of the Ocotillo Site, Zipps Sports Grill obtained their certificate of occupancy for a restaurant along Mill Avenue.

Construction Underway – The Residences at University Center is located immediately west of Dorsey Lane, across the street from the Tempe/APS Joint Fire Training Center. The multi-family housing development will be three and four stories tall and include approximately 300 apartments. West of the Ocotillo Site, the State Farm at Marina Heights development will be the insurance company regional headquarters and will accommodate office, dining, retail, and plaza space. Hayden Ferry Lakeside and Sun Devil Marketplace are both mixed-use, commercial, office, and retail developments located west of the site. The ASU Annex development will accommodate two new restaurants Postino and Snooze. University House is a mixed-use development that will accommodate 72 residential units and other commercial uses. North of the Ocotillo Site, the Camden Tempe multi-family residential development will accommodate up to 234 units. East of the Ocotillo Site, the development Liberty Center at Rio Salado will include six (6) office buildings totaling over 800,000 square feet. 1010 Lemon Street is a mixed-use development located south of the Ocotillo Site and will accommodate 213 multi-family residential units, commercial, and a parking garage.

In Plan Review for Building Permit – The Lofts at Hayden Ferry Lakeside is a multi-family residential development that will include up to 264 units. North of Loop 202, the Bella Vita Townhomes will be a single family residential development with 18 units. The Standard on Broadway development will accommodate mixed use and 194 residential units located south of the Ocotillo Site.

Future Developments – Preliminary Planning Phase

Seventeen (17) future developments that are under preliminary site plan review or plans have been approved/fully entitled also are shown on Figure H-1.

Plans Approved/Entitled – The Villas at Southbank will be an assisted living facility and mixed-use complex would be located on adjacent parcels bordering Tempe Town Lake and City of Tempe owned vacant land immediately north of the Ocotillo Site. The entitled mixed-use complex will likely be a commercial building and the assisted living facility will accommodate 262 dwelling units, a restaurant, and other facility amenities. Per communications with the City of Tempe, the adjacent vacant parcels owned by the City of Tempe (also along Tempe Town Lake) are not under development and future plans for development are unknown. Tempe Town Lake Residential will be a multi-family residential complex, located west of the Ocotillo Site, and will accommodate 290 units. Other fully entitled projects east of the Ocotillo Site include the Hayden Lane Townhomes, 3 single family units, and the Apache Villas; Apache Villas will accommodate 76 residential units and other mixed-uses. South of the Ocotillo Site, fully entitled developments include Kenneth Place Townhomes, Jentilly Condos, and the Grove. Kenneth Place Townhomes will include 8 single family residences. The multi-family residential development at Jentilly Condos will accommodate 33 residential units and 326 residential units at the Grove residential development.

Preliminary Site Plan Review – LPC at Southbank will be a senior housing residential development that will accommodate 358 residential units located west of the Ocotillo Site. USA Place will be a mixed-use development for a hotel and educational facilities. The Alec Warren and Mill + Rio developments plan for mixed use residential and retail units. The AC Tempe by Marriot will be a hotel with 159 rooms. Tempe Market Place East development includes two hotels and is under preliminary site plan review. Projects under preliminary site plan review include Hayden @ Dorsey Station, the Terraces, and Dorsey Lane Townhomes. The Hayden @ Dorsey Station proposes a mixed-use complex which will include 358 residential units and other retail units. The Terraces will accommodate several uses including residences, retail, parking, and other mixed uses. Dorsey Lane Townhomes will be a multi-family residential development that will include 54 units.

CONCLUSION

Impacts are anticipated to be minimal because the existing Ocotillo Site is already an operational power plant within its industrial land use designation. The Project would be compatible with future developments in the vicinity of the Ocotillo Site.

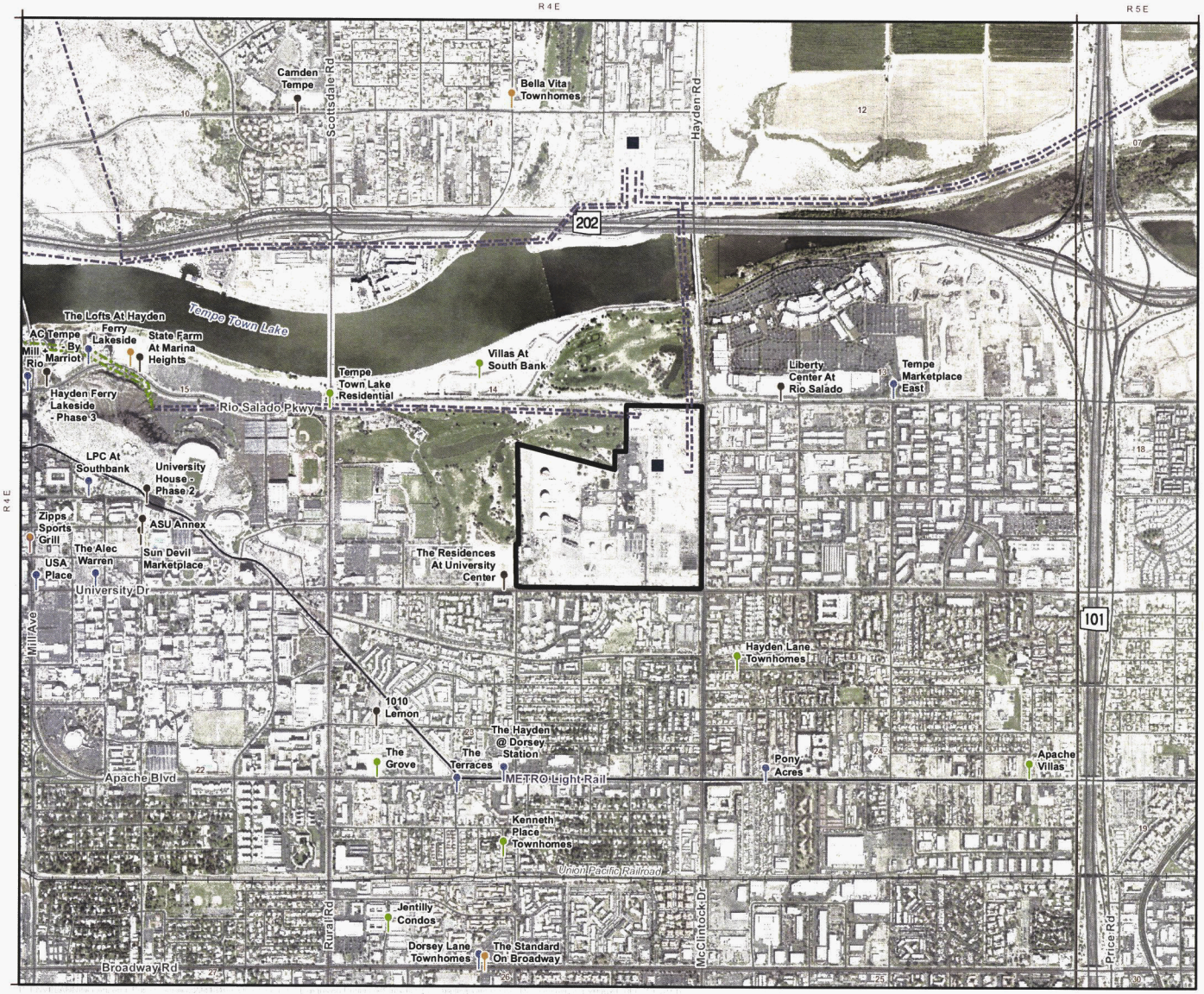


Figure H-1

Existing Plans

Ocotillo Modernization Project

Legend

- Existing Ocotillo Power Plant
- Existing Overhead Transmission Line
- Existing Underground Transmission Line
- Existing Substation \ Switchyard

Development Status

Final Permitting and Construction Phase

- Final Permitting / Certificate of Occupancy
- Construction Underway
- In Plan Review for Building Permit

Preliminary Planning Phase

- Plans Approved / Entitled
- Preliminary Site Plan Review

General Features

- Road
- Railroad
- Township and Range Boundary
- 22 Section Boundary

Source:
Development Locations: City of Tempe 2014
Transmission Lines: URS 2014
Base Map: ALRIS 1997 - 2014, ADOT 2014,
Valley Metro 2014, BTS 2013
Imagery: FCDMC 2012

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0 1,500 3,000
Feet
1:18,000

aps

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_____. 2014. Personal Communication, Arizona State University, John Creer on May 21, 2014.

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EXHIBIT I – ANTICIPATED NOISE/INTERFERENCE WITH COMMUNICATION SIGNALS

Arizona Revised Statutes ("ARS") §40-360 et seq. established the Power Plant and Transmission Line Siting Committee in 1971. ARS §40-360.06(A)(3) stipulates "noise emission levels and interference with communication signals" are among the factors the Siting Committee must consider in reviewing Certificate of Environmental Compatibility ("CEC") applications. As stated in Arizona Administrative Code R14-3-219:

> *"Describe the anticipated noise emission levels and any interference with communication signals which will emanate from the proposed facilities."*

INTRODUCTION

The following assessment of environmental noise effects considers the following:

1. Current operations (i.e., two [2] existing steam units and two [2] GT units) while the five (5) new LMS100 GT units would be under construction.

2. Expected 2018 operations, at which time operations would be characterized by as many as five (5) LMS100 GT units and the existing pair of GT units. The steam turbine[1] units would be decommissioned and not operating.

Preceding the presentation of baseline sound levels measured on the 126-acre Ocotillo Power Plant parcel ("Ocotillo Site"), and the predictive analysis of noise emission associated with the aforementioned scenarios, the following Results Summary section highlights key findings and conclusions. A subsequent section on acoustical terminology and concepts is offered to help the reader better understand this Exhibit I noise-related portion of the CEC.

RESULTS SUMMARY

Predicted Project construction noise levels may temporarily exceed the applicable 60 dBA daytime threshold for some months of the construction schedule, but activities causing these temporary elevated noise levels could be permitted given prior written approval and/or during allowable construction process hours.

In general, Project operation noise levels will be similar to, or less than, noise from existing plant operations and would comply with the City of Tempe requirements under a variety of anticipated scenarios and conditions. With respect to anticipated Project operations, four scenarios were considered in this noise analysis:

- "Steady-state" operation of all seven GT units (two existing and five new);
- "Steady-state" operation of the five new GT units and the existing north GT unit, while the existing south GT unit ramps-up to "steady-state" operation;

[1] "Steam" will be used in this Exhibit I to represent "steam turbine", while "ST" will stand for "short-term" sound measurement.

- "Steady-state" operation of the five new GT units and the existing south GT unit, while the existing north GT unit ramps-up to "steady-state" operation; and
- "Steady-state" operation of only the five new GT units, with the existing GT pair offline.

Given the faster ramp-up (a.k.a., start-up) times and newer technology associated with the proposed GE LMS100 GT units, as compared to the existing GT units, this analysis assumes the start-up noise of a new GT is quieter than the start-up noise of an existing GT and would be shorter in duration; hence, only existing GT start-up noise has been considered in this analysis. Predicted noise from anticipated Project operations for all four of these above scenarios would be expected to be compliant with, based on Section 20-4.9 of the Tempe Code, a daytime threshold of 65 dBA L_{eq} at the exterior of occupied residences. The nighttime threshold of 55 dBA L_{eq} could also be met, assuming residential receiver windows and doors are closed. Such fenestration closures are subject to the influence of seasonal environmental conditions and, ultimately, dwelling occupant choice.

At all four nearest representative residential receivers considered in this analysis, predicted noise from these above four scenarios of anticipated Project operations noise is expected to be less than that of existing operations involving start-up noise of either existing steam turbine unit. At three of the studied receiver locations, on which there are existing residential-type land uses, the combined "steady-state" operation noise from all seven GTs is expected to be 1 to 3 dBA less than the noise from combined existing "steady-state" operation of both steam turbines and the GT pair. At the fourth receiver location, a future residential-type land use closest to the five new GT units, the anticipated noise from all seven "steady-state" operating GT units would be greater than existing "steady-state" operations (i.e., both steam turbines and both GT units) by less than 2 dBA—a barely detectable difference by a listener with average healthy human hearing.

Furthermore, because nearby roadway traffic noise alone is estimated to be several decibels over the 65 dBA L_{eq} threshold for three of the four identified nearest noise-sensitive receivers, such audible differences and measurement of Project operation noise compliance in an interior dwelling space under such conditions could be very difficult to discern, as ambient sound containing road and commercial aircraft traffic noise would likely mask Project noise to some degree.

ACOUSTICS FUNDAMENTALS

Noise is generally defined as loud, unpleasant, unexpected, or undesired sound that is typically associated with human activity and that interferes with or disrupts normal activities. Although exposure to high noise levels has been demonstrated to cause hearing loss, the principal human response to environmental noise is annoyance. The response of individuals to similar noise events is diverse and influenced by the type of noise, the perceived importance of the noise and its appropriateness in the given environmental setting, the time of day and the type of activity during which the noise occurs, and the sensitivity of the individual.

Sound is a physical phenomenon consisting of minute vibrations that travel through a fluid medium, such as air, and are sensed by the human ear. Sound is generally characterized by several variables, including frequency and intensity. Frequency describes the pitch of the sound and is measured in Hertz ("Hz"), while intensity describes the sound's loudness and is measured in decibels ("dB"). Decibels are measured using a logarithmic scale. A sound level of 0 dB is approximately the threshold of human hearing and is barely audible under extremely quiet listening conditions. Normal speech has a sound level of approximately 60 dB. Sound levels above approximately 110 dB begin to be felt inside the human ear as discomfort and eventually pain at 120 dB and higher levels. The minimum change in the sound level of individual events that an average human ear can detect is about 1 to 2 dB. A 3 to 5 dB change is readily

perceived. A change in sound level of about 10 dB is usually perceived by the average person as a doubling (or if -10 dB, halving) of the sound's loudness.

Due to the logarithmic nature of the decibel unit, sound levels cannot be added or subtracted directly and are somewhat cumbersome to handle mathematically; however, some simple rules are useful in dealing with sound levels. First, if a sound's amplitude is doubled, the sound level increases by 3 dB, regardless of the initial sound level. For example: 60 dB + 60 dB = 63 dB, and 80 dB + 80 dB = 83 dB.

Sound level is usually expressed by reference to a known standard. In expressing sound pressure level ("SPL") on a logarithmic scale, the sound pressure is compared to a reference value of 20 micropascals (μPa). SPL depends not only on the sound power ("PWL") of the source, but also on the distance from the source and on the acoustical characteristics of the space surrounding the source.

Hertz is a measure of how many times each second the crest of a sound pressure wave passes a fixed point. For example, when a drummer beats a drum, the skin of the drum vibrates a number of times per second. When the drum skin vibrates 100 times per second it generates a sound pressure wave that is oscillating at 100 Hz, and this pressure oscillation is perceived by the ear/brain as a tonal pitch of 100 Hz. Sound frequencies between 20 and 20,000 Hz are within the range of sensitivity of the best human ear.

Sound from a tuning fork contains a single frequency (a pure tone), but most sounds one hears in the environment consist of multiple frequencies that differ in amplitude. The method commonly used to quantify such sounds consists of evaluating a range or spectrum of frequencies according to a weighting system that reflects average healthy human hearing sensitivity, which tends to be best in the mid-range frequencies usually associated with speech but poorer at the low and high frequency extremes. This weighting system, applied as standardized decibel adjustments for each one-third or full octave band that makes up the audible spectrum, is called "A-weighting," and the decibel level measured is called the A-weighted sound level ("dBA"). In practice, the level of a noise source is conveniently measured using a sound level meter that includes a filter corresponding to the dBA curve.

Although the dBA may adequately indicate the level of environmental noise at any instant in time, community noise levels vary continuously. Most environmental noise includes a mixture of noise from distant sources that creates a relatively steady background noise in which no particular source is identifiable. A single descriptor called the equivalent sound level (L_{eq}) may be used to describe sound that is changing in level. L_{eq} is the energy-mean dBA during a measured time interval. It is the "equivalent" constant sound level that would have to be produced by a given source to equal the acoustic energy contained in the fluctuating sound level being measured over the specified time period. In addition to the energy-average level, it is often desirable to know the acoustic range of the noise source being measured. This is accomplished through the maximum (L_{max}) and minimum (L_{min}) instantaneous level indicators that represent the root-mean-square maximum and minimum noise levels measured during the monitoring interval. The L_{min} value obtained for a particular monitoring location is often called the acoustic floor for that location.

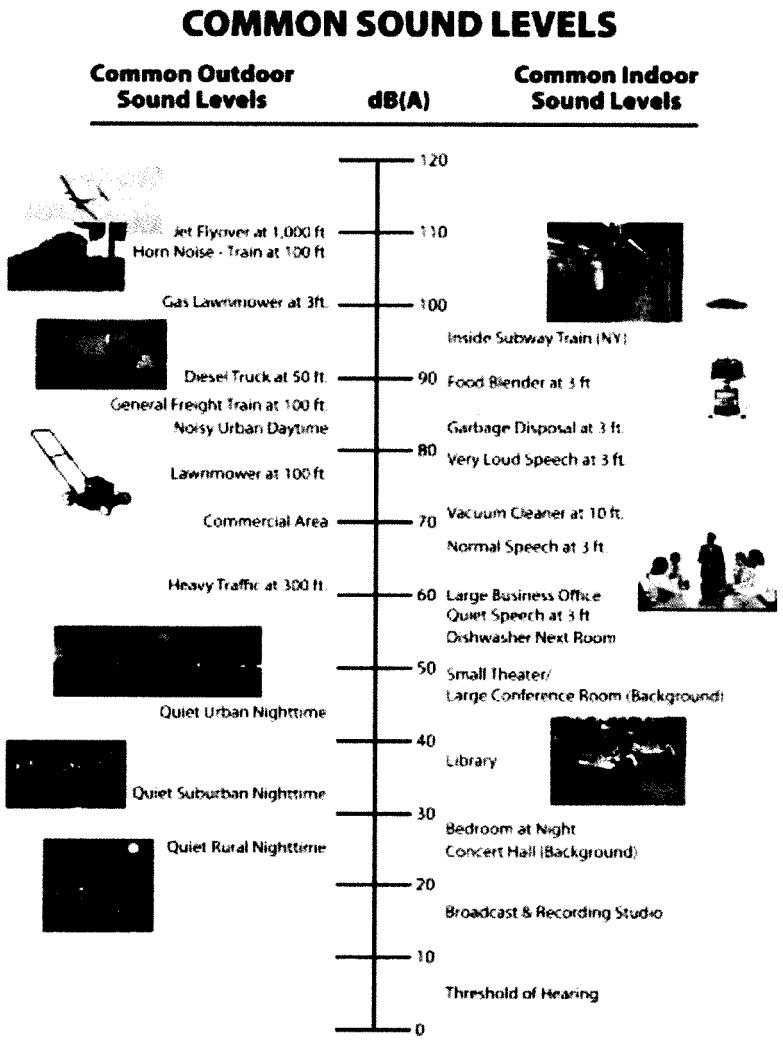
To describe the time-varying character of environmental noise, statistical noise descriptors L_{10}, L_{50}, and L_{90} are commonly used. They are the noise levels equaled or exceeded ten percent (10%), fifty percent (50%), and ninety percent (90%) of the measured time interval, respectively. Sound levels associated with the L_{10} typically describe transient or short-term events, half of the sounds during the measurement interval are softer than L_{50} and half are louder, while levels associated with L_{90} often describe background noise conditions and/or continuous, "steady-state" sound sources. By way of example, the din of background sound (e.g., indistinguishable traffic noise from a network of nearby roadways and building mechanical systems) measured at a receiver location would reasonably be represented by the L_{90} value,

while momentary louder sound from occasional commercial jets passing overhead during the measurement period (e.g., a continuous hour) would probably exhibit a much higher L_{10} value.

Finally, another sound measure known as the Day-Night Average Sound Level (L_{dn}) is defined as the A-weighted average sound level for a continuous 24-hour day. As part of its derivation from hourly or representative daytime and nighttime SPL, the calculation of L_{dn} applies a 10 dB penalty to hourly sound levels during the nighttime period (10:00 p.m. to 7:00 a.m.), which helps compensate for apparent increased human sensitivity to noise during these quieter nighttime hours.

The L_{dn} value is typically used to define acceptable land use compatibility with respect to noise. Because of the time-of-day penalties associated with the L_{dn} descriptor, the L_{eq} for a continuously operating sound source during a 24-hour period will be numerically less. Sound levels of typical noise sources and environments are provided in Figure I-1 to provide a frame of reference.

Figure I-1. Sound Pressure Levels of Typical Noise Sources and Noise Environments



Source: IDOT (2014)

EXISTING SOUND LEVELS

This section describes the existing noise environment on site and in the vicinity of the Project. Surrounding noise-sensitive receivers that may be affected by the Project are also identified.

Project Area

The major noise-producing components of the Project, both existing and proposed, are located within the boundaries of the current Ocotillo Site bordered by East University Avenue to the south, South McClintock Drive to the east, the Tempe/APS Joint Fire Training Center and Arizona State University ("ASU") Karsten Golf Course to the west, and East Rio Salado Parkway to the north (see Figure I-2).

Some land uses are considered sensitive to noise. Residences, hospitals, libraries, schools, places of worship, or other facilities, where quiet is an important attribute of the environment, are commonly considered to be noise-sensitive land uses. With respect to the Project vicinity, such nearest noise-sensitive land uses likely include the following listed in Table I-1:

Table I-1
Identified Nearest Noise-Sensitive Land Uses

ID	Locations	Land Use
S1	Quality Inn 1375 E University Drive	Hotel
S2	Gateway Apartments 1655 E. University Dr.	Multifamily residential
S3	Dorsey Place Condominiums 1275 E University Dr.	Multifamily residential
S4	Northwest corner of vacant lot that adjoins the Tempe/APS Joint Fire Training Center to the west	Anticipated multi-family residential

Determination of Existing Sound Levels

The existing outdoor sound environment in the vicinity of the Project and the identified noise-sensitive receiver locations listed in Table I-1 can be characterized as having acoustical contribution from a variety of sources, of which nearby roadway traffic and existing Power Plant operations were generally observed to be dominant. To help assess the contribution of each of these dominant sound sources, and as detailed in the following paragraphs, road traffic noise was estimated with an industry-accepted methodology and plant operation noise was measured at various locations within the Ocotillo Site.