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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

BOB STUMP - Chairman
GARY PIERCE
BRENDA BURNS
BOB BURNS
SUSAN BITTER SMITH

Arizona Corporation Commission

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IN THE MATTER OF:
ANTHONY RAY STACY (CRD#1772484),
Respondent.

DOCKET NO. S-20909A-14-0226

SECOND
PROCEDURAL ORDER
(Schedules Hearing)

BY THE COMMISSION:

On July 1, 2014, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Anthony Ray Stacy ("Stacy" or "Respondent"), in which the Division alleged violation of the Arizona Investment Management Act ("IM Act") in connection with the execution of a promissory note or loan.

The Respondent was duly served with a copy of the Notice.

On July 25, 2014, Respondent filed a Request for Hearing pursuant to A.R.S. § 44-3212 and A.A.C. R14-4-306.

On August 1, 2014, by Procedural Order, a pre-hearing conference was scheduled for August 20, 2014.

On August 14, 2014, Respondent filed a Verified Answer to the Notice.

On August 20, 2014, at the pre-hearing conference, the Division appeared through counsel and the Respondent appeared on his own behalf. The parties discussed scheduling a hearing and agreed on the date of October 29, 2014, which would accommodate schedules, allow Respondent sufficient opportunity to obtain counsel, and provide any newly obtained Respondent's counsel time to adequately prepare for the hearing. The schedule for filing an amended Notice and an amended Answer was also discussed. The Respondent confirmed his current mailing address as that used on his August 14, 2014 Verified Answer.

Accordingly, a hearing should be scheduled.

1 IT IS THEREFORE ORDERED that a hearing shall be held on October 29, 2014, at 10:00
2 a.m., at the Commission's offices, 1200 West Washington Street, Hearing Room No. 1, Phoenix,
3 Arizona.

4 IT IS FURTHER ORDERED that the Division and Respondents shall exchange copies of
5 their Witness Lists and copies of the Exhibits by September 29, 2014, with courtesy copies
6 provided to the presiding Administrative Law Judge.

7 IT IS FURTHER ORDERED that if the parties reach a resolution of the issues raised in
8 the Notice prior to the hearing, the Division shall file a Motion to Vacate the Proceeding.

9 IT IS FURTHER ORDERED that the Division shall file its Amended Notice of
10 Opportunity by August 21, 2014.

11 IT IS FURTHER ORDERED that the Respondent shall file an amended answer to the
12 Amended Notice of Opportunity by August 25, 2014.

13 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
14 Communications) is in effect and shall remain in effect until the Commission's Decision in this
15 matter is final and non-appealable.

16 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
17 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
18 *pro hac vice*.

19 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
20 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
21 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
22 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
23 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
24 Administrative Law Judge or the Commission.

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1 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
2 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
3 ruling at hearing.

4 DATED this 20TH day of August, 2014.

5
6 
7 MARK PRENY
ADMINISTRATIVE LAW JUDGE

8 Copies of the foregoing mailed/delivered
9 this 20 day of August, 2014, to:

10 Anthony Ray Stacy
20865 North 90th Place, Suite 125
11 Scottsdale, AZ 85255

12 Matthew Neubert, Director
Securities Division
13 ARIZONA CORPORATION COMMISSION
1300 West Washington Street
14 Phoenix, AZ 85007

15 COASH & COASH, INC.
Court Reporting, Video and Videoconferencing
16 1802 North 7th Street
Phoenix, AZ 85006

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18 By: 
Tammy Velarde
19 Assistant to Mark Preny

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