

ORIGINAL



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ABENGOA SOLAR

Arizona Solar One LLC

11500 West 13th Avenue
Lakewood, CO. 80215

Phone: 303-928-8500
Fax: 303-233-2738



June 13, 2014

| | |
|-------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| Docket Control Arizona Corporation Commission 1200 W. Washington St. Phoenix, AZ 85007 | Compliance Section Arizona Corporation Commission 1200 W. Washington St. Phoenix, AZ 85007 |
|-------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|

Re: Compliance with Condition 07 – Membership with the Western Electricity Coordinating Council ("WECC").

CEC Docket Number L00000GG-08-0407-00139, Case Number 139, Decision Number 70638

CEC Docket Number L00000GG-08-0408-00140, Case Number 140, Decision Number 70639

This letter serves to inform you of the compliance action taken for the Solana Generating Facility CEC (Decision 70638), Condition number 7, which states:

"Applicant, or its assignee(s), or its affiliate company, or its customer will become a member of the Western Electricity Coordinating Council ("WECC") (or its successor), and will file with the Commission Docket Control a copy of its executed WECC Membership Agreement."

Arizona Solar One LLC has completed the compliance activity, evidence of which may be found in the attached documentation.

Should you have any questions, please do not hesitate to contact me at your earliest convenience.

Respectfully,

Emiliano Garcia
Solana – General Manager

Cc: Docket Control

Arizona Corporation Commission
DOCKETED

JUN 23 2014

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AZ CORP COMMISSION
DOCKET CONTROL

ABENGOA SOLAR

Arizona Solar One LLC

11500 West 13th Avenue
Lakewood, CO. 80215

Phone: 303-928-8500
Fax: 303-233-2738



Attachment 1

WECC Welcome Letter



Mark W. Maher
Chief Executive Officer

801-883-6859
mmaher@wecc.biz

August 26, 2013

Sent Via Electronic Mail

Scott Frier
ASI Operations LLC
13911 Park Ave. Suite 206
Victorville, CA 92307
scott.frier@solar.abengoa.com

Dear Scott Frier:

On behalf of the Board of Directors and the members of the Western Electricity Coordinating Council (WECC), it is my pleasure to welcome ASI Operations, LLC (ASIO) as a new Member of WECC. As a WECC member, you have the opportunity to participate in WECC's activities and to share in the many benefits associated with WECC membership.

Your Class 3 membership is effective as of August 26, 2013. "ASIO" will be used as the acronym for ASI Operations LLC in WECC documents.

As the Member Representative, you will be the spokesperson for your organization. All information and announcements will be sent to you. Please read through the WECC Bylaws (attached to this letter) to better understand WECC membership, meetings, voting, and other matters.

We look forward to seeing ASIO representatives at WECC's upcoming meetings. Please do not hesitate to contact WECC if you have any questions regarding WECC or your participation in WECC activities.

Sincerely,

Mark W. Maher
Chief Executive Officer

ABENGOA SOLAR

Arizona Solar One LLC

11500 West 13th Avenue
Lakewood, CO. 80215

Phone: 303-928-8500
Fax: 303-233-2738



Attachment 2

Notice on Listing of NERC Compliance Registry

March 13, 2014

Carin Gummo
ASI Operations Inc (NCR11452)
13911 Park Avenue, Suite 206
Victorville, CA 92392
carin.gummo@solar.abengoa.com

Dear Carin Gummo,

Notice of Listing on NERC Compliance Registry (NCR)

The North American Electric Reliability Corporation (NERC) provides notice in accordance with Section 500 of the NERC Rules of Procedure,¹ that ASI Operations Inc, NERC Compliance Registry (NCR) ID Number NCR11452, is listed on the NERC Compliance Registry (NCR) as set forth below. The NCR identifies the owners, operators, and users of the Bulk Power System (BPS) that are responsible for complying with approved reliability standards applicable to the functions for which each entity is registered.

The *Statement of Compliance Registry Criteria*² identifies the criteria used to determine which organizational entities may be candidates for inclusion on the NCR. The NCR is posted on the NERC Web site under Compliance Registry.³

ASI Operations Inc NCR11452, is listed as a Registered Entity in the following Region(s) for the indicated functional category(s) with the listed effective registration date(s):

| <u>Region</u> | <u>Function</u> | <u>Effective Registration Date</u> |
|---------------|-----------------|------------------------------------|
| WECC | GO | 03/13/2014 |
| WECC | GOP | 03/13/2014 |

A listing on the NCR indicates that an entity is subject to compliance with the applicable NERC Reliability Standards that have been approved by the Federal Energy Regulatory Commission (FERC or Commission) as of the effective registration date.

Organization registration by function establishes that ASI Operations Inc is:

¹ NERC's Rules of Procedure are posted on the NERC Web site at <http://www.nerc.com/AboutNERC/Pages/Rules-of-Procedure.aspx> under "Rules of Procedure in Effect".

² NERC's Statement of Compliance Registry Criteria is posted on the NERC Web site at <http://www.nerc.com/AboutNERC/Pages/Rules-of-Procedure.aspx> under "Rules of Procedure in Effect".

³ The current listing of active Registered Entities is located here <http://www.nerc.com/pa/comp/Pages/Registration-and-Certification.aspx> under "Compliance Registry Files".

ASI Operations Inc, (NCR11452)
March 13, 2014

1. Subject to monitoring and enforcement of compliance with all applicable requirements within Reliability Standards approved by the Commission, and
2. Responsible for any sanctions, penalties, and mitigation actions (including mitigation plans and remedial action directives) that are assessed due to noncompliance with the applicable requirements of the Reliability Standards.

NERC has posted on its website a matrix that lists all the requirements within the approved Reliability Standards and the associated functional entity types that are responsible for each requirement.⁴ In addition, on NERC's website is a list of the mandatory enforceable date of each standard/requirement.⁵

Appeals of Registration

Any entity included on the NCR may challenge its listing and functional assignments with NERC. All appeals must be received within 21 calendar days of receipt of this NERC letter informing the entity that it is listed on the NCR. The appeal must state why the Registered Entity believes it should not be registered based on the NERC Rules of Procedure and the *NERC Statement of Compliance Registry Criteria*.⁶ Any appeal should be filed in writing to the NERC Manager Organization Registration and Certification.

Prior to filing a formal challenge, NERC encourages you to contact the applicable Regional Entity to further discuss any matters regarding the inclusion of your organization on the NCR.⁷ If the Regional Entity discovers a registration error, the Regional Entity may provide NERC with corrections to the NCR, and NERC will issue a corrected notice.

The Compliance Committee of the NERC Board of Trustees will provide a written decision regarding any challenges, along with the basis for its decision. The decision of the committee will be final unless the entity appeals the decision to the applicable governmental authority (for U.S. entities, FERC, in accordance with 18 C.F.R. Part 385) within 21 calendar days of the issuance of the committee's decision on the challenge.

Notification of Additional Changes in Status

Section 501.1.3.5 of NERC's Rules of Procedure requires the registered entity to notify NERC through its corresponding Regional Entity of any changes in ownership, corporate structure, or

⁴ Located here <http://www.nerc.com/pa/Stand/Pages/default.aspx> under "US Enforcement Status/Functional Applicability".

⁵ Located here <http://www.nerc.com/pa/Stand/Pages/default.aspx> under "US Enforcement Status/Functional Applicability".

⁶ NERC's Rules of Procedure are posted on the NERC Web site at <http://www.nerc.com/AboutNERC/Pages/Rules-of-Procedure.aspx> under "Rules of Procedure in Effect".

⁷ Regional map and contact information is located here <http://www.nerc.com/AboutNERC/keyplayers/Pages/default.aspx>.

ASI Operations Inc, (NCR11452)
March 13, 2014

similar matters that affect the entity's responsibilities with respect to the reliability standards. Failure to notify NERC through its corresponding Regional Entity will not relieve the registered entity from any responsibility to comply with the reliability standards or be liable for any penalties or sanctions associated with failing to comply with such standards.

NERC Alert System Registration

As a separate part of the NERC registration process and inclusion on the NCR, please contact NERC via email at NERC.Alert@nerc.net to register or revise your listing for the NERC Alert System. Alternately, you may call the NERC Alerts Hotline at 404.446.9797 to speak with someone. Each registered entity identified in the NCR is required to notify NERC Alert of any corrections, revisions, deletions, changes in ownership, corporate structure, or similar matters that affect the Registered Entity's responsibilities with respect to the Reliability Standards.

NERC's Alert System is the tool used to disseminate information that is critical to ensuring the reliability of the BPS in North America. Generally, NERC distributes Alerts broadly to owners, operators, and users of the BPS utilizing the listing of the NCR. Entities registered with NERC are required to provide and maintain up-to-date compliance and cyber security contacts.

If you have further questions, please contact the undersigned:

Jack Wiseman, Manager Organization Registration and Certification
North American Electric Reliability Corporation
3353 Peachtree Road NE
Suite 600, North Tower
Atlanta, GA 30326
Jack.Wiseman@nerc.net
Respectfully,



Jack Wiseman,
Manager Organization Registration and Certification

ABENGOA SOLAR

Arizona Solar One LLC

11500 West 13th Avenue
Lakewood, CO. 80215

Phone: 303-928-8500
Fax: 303-233-2738



Attachment 3

Email from WECC - ASI Operations Inc Registration Request Accepted

Antonio Carreon

03/13/2014 11:08 AM

| | |
|---------|-------------------------------------------------------------------------------------------------------------|
| Send To | Emiliano Garcia Sanz/Solar/Abengoa, Scott Nolan/Solar/Abengoa@Abengoa, Isaias Enrique Guillen/Solar/Abengoa |
| cc | |
| bcc | |
| Subject | Fw: ASI Operations Inc Registration Request Accepted |

Regards,

Antonio Carreon

ABENGOA SOLAR

Abengoa Solar LLC

Abengoa Solar - Victorville - California - USA

13911 Park Avenue, Suite 206

Phone: 760.962.9200 (TOIP-86302) Cell: 303.319.4538 Fax: 760.962-9292

antonio.carreon@solar.abengoa.com

 Eco-Tip: Printing e-mails is usually a waste.

----- Forwarded by Antonio Carreon/Solar/Abengoa on 03/13/2014 11:08 AM -----

WECC Program Administration

03/13/2014 11:04 AM

Send To: "Frier, Scott" <scott.frier@solar.abengoa.com>
"michael.sanchez@icfi.com" <michael.sanchez@icfi.com>, "antonio.carreon@solar.abengoa.com"
cc: <antonio.carreon@solar.abengoa.com>, "carin.gummo@solar.abengoa.com"
<carin.gummo@solar.abengoa.com>, "WECC Program Administration" <programadmin@wecc.biz>
Subject: ASI Operations Inc Registration Request Accepted

ASI Operations Inc Registration Request Accepted

Dear Scott Frier,

The Western Electricity Coordinating Council (WECC) Compliance Department is in receipt of the registration request submitted to WECC Compliance for ASI Operations Inc. After a thorough review, the request to register as a Generator Owner (GO) and a Generator Operator (GOP) has been accepted.

WECC has sent ASI Operations Inc's request to the North American Electric Reliability Corporation (NERC) who will grant final approval of the registration request and notify ASI Operations Inc.

If you have any questions, please contact WECC Program Administration by telephone at 801-883-6879 or via email at programadmin@wecc.biz.

Sincerely,

WECC Program Administration
Western Electricity Coordinating Council
155 North 400 West, Suite 200
Salt Lake City, UT 84103

THIS COMMUNICATION IS INTENDED SOLELY FOR THE ADDRESSEE AND MAY CONTAIN CONFIDENTIAL INFORMATION. Access to this e-mail by anyone else, unless expressly approved by the sender or an authorized addressee, is unauthorized. If you are not the intended recipient(s), or the employee or agent responsible for delivery of this message to the intended recipient(s), you are hereby notified that any dissemination, distribution or copying of this e-mail message is strictly prohibited. If you have received this message in error, please immediately notify the sender, delete this e-mail message from your computer, and destroy all copies.

ABENGOA SOLAR

Arizona Solar One LLC

11500 West 13th Avenue
Lakewood, CO. 80215

Phone: 303-928-8500
Fax: 303-233-2738



Attachment 4

Email from WECC - ASI Operations Inc Registration Request Withdrawn

ABENGOA SOLAR

Abengoa Solar LLC

Carin Gummo

03/13/2014 11:10 AM

| | |
|---------|-------------------------------------------------------|
| Send To | Isaias Enrique Guillen/Solar/Abengoa |
| cc | |
| bcc | |
| Subject | Fw: ASI Operations Inc Registration Request Withdrawn |

Here is the good news we have been waiting for.

Regards,

Carin Gummo

ABENGOA SOLAR

Abengoa Solar LLC

13911 Park Avenue, Suite 206

Victorville, CA 92392

Phone: +17609629200 (x86307)

carin.gummo@solar.abengoa.com



Eco-Tip: Printing e-mails is usually a waste.

----- Forwarded by Carin Gummo/Solar/Abengoa on 03/13/2014 11:10 AM -----

WECC Program Administration

03/13/2014 11:04 AM

Send To: "Frier, Scott" <scott.frier@solar.abengoa.com>
"michael.sanchez@icfi.com" <michael.sanchez@icfi.com>, "antonio.carreon@solar.abengoa.com"
cc: <antonio.carreon@solar.abengoa.com>, "carin.gummo@solar.abengoa.com"
<carin.gummo@solar.abengoa.com>, "WECC Program Administration" <programadmin@wecc.biz>
Subject: ASI Operations Inc Registration Request Withdrawn

ASI Operations Inc Registration Request Withdrawn

Dear Scott Frier,

The Western Electricity Coordinating Council (WECC) Compliance Department is in receipt of the registration request submitted to WECC Compliance for ASI Operations Inc. Per correspondence, WECC accepts ASI Operations Inc's request to withdraw the registration request to add the Transmission Owner (TO) function.

If you have any questions, please contact WECC Program Administration by telephone at 801-883-6879 or via email at programadmin@wecc.biz.

Sincerely,

WECC Program Administration
Western Electricity Coordinating Council
155 North 400 West, Suite 200
Salt Lake City, UT 84103

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