

NEW APPLICATION



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ORIGINAL

BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS

2014 SEP 11 A 8:53

Arizona Corporation Commission

DOCKETED

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ALL CORP COMMISSION
DOCKET CONTROL

SEP 11 2014

DOCKETED BY [Signature]

In the matter of:

MICHELLE LEE WAGNER (CRD No. 2403647),

Respondent.

DOCKET NO. S-20916A-14-0328

NOTICE OF OPPORTUNITY FOR HEARING
REGARDING PROPOSED ORDER
OF REVOCATION/SUSPENSION,
TO CEASE AND DESIST,
FOR RESTITUTION, AND
FOR ADMINISTRATIVE PENALTIES

**NOTICE: RESPONDENT HAS 10 DAYS TO REQUEST A HEARING
RESPONDENT HAS 30 DAYS TO FILE AN ANSWER**

The Securities Division ("Division") of the Arizona Corporation Commission ("Commission") alleges that Michelle L. Wagner has engaged in acts, practices, and transactions that constitute violations of the Securities Act of Arizona, A.R.S. § 44-1801, *et seq.* ("Securities Act").

**I.
JURISDICTION**

1. The Commission has jurisdiction over this matter pursuant to Article XV of the Arizona Constitution and the Securities Act.

1 Persons with a disability may request a reasonable accommodation such as a sign language
2 interpreter, as well as request this document in an alternative format, by contacting Shaylin A.
3 Bernal, ADA Coordinator, voice phone number 602-542-3931, e-mail sabernal@azcc.gov.
4 Requests should be made as early as possible to allow time to arrange the accommodation.
5 Additional information about the administrative action procedure may be found at [http://www.azcc.
6 gov/divisions/securities/enforcement/AdministrativeProcedure.asp](http://www.azcc.gov/divisions/securities/enforcement/AdministrativeProcedure.asp).

7 **VI.**

8 **ANSWER REQUIREMENT**

9 Pursuant to A.A.C. R14-4-305, if Respondent requests a hearing, Respondent must deliver
10 or mail an Answer to this Notice of Opportunity for Hearing to Docket Control, Arizona
11 Corporation Commission, 1200 W. Washington, Phoenix, Arizona 85007, within 30 calendar days
12 after the date of service of this Notice. Filing instructions may be obtained from Docket Control by
13 calling 602-542-3477 or on the Commission's web site at [http://www.
14 azcc.gov/divisions/hearings/docket.asp](http://www.azcc.gov/divisions/hearings/docket.asp).

15 Additionally, Respondent must serve the Answer upon the Division. Pursuant to A.A.C.
16 R14-4-303, service upon the Division may be made by mailing or by hand-delivering a copy of the
17 Answer to:

18 Ryan J. Millicam
19 Securities Division
20 Arizona Corporation Commission
21 1300 W. Washington St., 3rd Floor
22 Phoenix, Arizona, 85007

23 The Answer shall contain an admission or denial of each allegation in this Notice and the
24 original signature of the answering respondent or respondent's attorney. A statement of a lack of
25 sufficient knowledge or information shall be considered a denial of an allegation. An allegation not
26 denied shall be considered admitted.

