

# JOHNSON UTILITIES, L

5230 East Shea Boulevard \* Scottsdale, Arizona  
PH: (480) 998-3300; FAX: (480) 483-7906



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May 22, 2014

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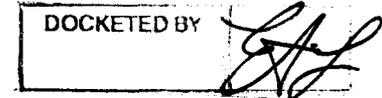
Arizona Corporation Commission

DOCKETED

MAY 22 2014

Hand-Delivered

ARIZONA CORPORATION COMMISSION  
DOCKET CONTROL



Steve Olea, Director  
Utilities Division

ARIZONA CORPORATION COMMISSION  
1200 W. Washington Street  
Phoenix AZ 85007

ORIGINAL

Re: Annual Water and Wastewater Compliance Report of Johnson Utilities, LLC filed pursuant to Decision 63960 (as amended by Decision 64062) and Decision 65840 (Docket Nos. WS-02987A-99-0583; WS-02987A-00-0618; W-02234A-00-0371; W-02859A-00-0774; and W-01395A-00-0784, Consolidated)

Dear Mr. Olea:

In accordance with the above-referenced decisions, Johnson Utilities, LLC ("Johnson Utilities" or the "Company") hereby files documentation from the Arizona Department of Environmental Quality ("ADEQ") demonstrating the Company's compliance with ADEQ requirements for the preceding year. **Attachment 1** contains ADEQ compliance status letters for the following facilities:

Anthem at Merrill Ranch Water Reclamation Plant  
San Tan Water Reclamation Plant  
Section 11 Water Reclamation Plant  
Pecan Water Reclamation Plant  
Johnson Utilities Water System (WS 11-128)  
Anthem at Merrill Ranch Water System (WS 11-136)

ADEQ reports that the Anthem at Merrill Ranch Water System is in full compliance, delivering water that meets water quality standards.

ADEQ also reports that the Anthem Water Reclamation Plant and Section 11 Water Reclamation Plant are each in full compliance with no enforcement actions pending.

Regarding the Pecan Water Reclamation Plant, ADEQ reports that the facility filed Self-Monitoring Report Form Deficiencies. Based upon the data submitted, ADEQ determined that the facility is not currently in violation at a level at which ADEQ will take an action or issue a Notice of Opportunity to Correct or Notice of Violation and/or

Mr. Steven Olea  
May 22, 2014  
Page 2

Compliance with the Order/Judgment. However, upon further review of the previously submitted Self-Monitoring Report Form, it was determined that the facility did not exceed permit limitations. Therefore, ADEQ will be issuing an updated Wastewater Compliance Status Report stating this facility is currently in compliance.

Regarding the San Tan Water Reclamation Plant (WRP), ADEQ reports that the facility filed Self-Monitoring Report Deficiencies. ADEQ determined the facility is not currently in violation at a level at which ADEQ will take an action or issue a Notice of Opportunity to Correct or Notice of Violation and/or is in Compliance with the Order/Judgment.

Should you have any questions or concerns, please do not hesitate to contact me.

Sincerely,



Daniel R. Hodges  
JOHNSON UTILITIES, LLC

Attachment: 1 (includes 6 reports)

cc with attachments: Docket Control (original plus 13 copies)  
Mr. Brian Bozzo, Compliance Manager  
Jeff Crockett, Brownstein Hyatt Farber Schreck

# Attachment 1



**Wastewater Compliance Status Report  
Water Quality Compliance Section  
1110 W. Washington  
Phoenix, AZ 85007**

<b>Facility Name: JOHNSON UTILITIES-ANTHEM @ MERRILL RANCH - WRP</b>	<b>Date: 1/31/2014</b>
<b>Permit Issuance Date:</b> APP: 4/3/2008      AZPDES: N/A	<b>Permit #:</b> N/A      105646 <input type="checkbox"/> AZPDES <input checked="" type="checkbox"/> Aquifer Protection Permit (APP)
<b>LTF #: APP: 45436      AZPDES: N/A</b>	<b>Place ID: 91546</b>
<b>Date of Last Inspection:</b>	<b>Compliance Period: 4/1/2013 - 9/30/2013</b>
<b>Evaluation Completed by : TRACY BUNCH</b>	<b>Phone: 602-771-4571</b>

**Overall Compliance Status General Statement**

The following is the evaluation of the compliance status for the above named facility. Please note that the compliance status of a facility may change based upon subsequent monitoring results or a facility inspection. This compliance review is based on information available as of the date of this document.

**Comments:**

- There are no self monitoring exceedances reported during this period.

<b>Compliance Review: Check All That Apply</b>			
<input type="checkbox"/>	Self-Monitoring Report Form Deficiencies	<input type="checkbox"/>	Late Report Submittal
<input type="checkbox"/>	Self-Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Self-Monitoring Report Form Facility Inspection Deficiencies
<input type="checkbox"/>	Discharge Monitoring Report Form Deficiencies	<input type="checkbox"/>	Notice of Opportunity to Correct
<input type="checkbox"/>	Discharge Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Notice of Violation
<input type="checkbox"/>	Discharge Limit Exceedance (Technical Review Criteria)	<input type="checkbox"/>	Administrative Order
<input type="checkbox"/>	Alert Level Exceedance	<input type="checkbox"/>	Consent Judgment
<input type="checkbox"/>	Exceedance of pH Range	<input type="checkbox"/>	Other:
<input type="checkbox"/>	Non-Submittal		
<input checked="" type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined that as of this date this facility is currently in compliance.		
<input type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined that this facility is not currently in violation at a level at which ADEQ will take an action or issue a Notice of Opportunity to Correct or Notice of Violation and/or is in compliance with the Order/Judgment.		
<input type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ cannot determine if this facility is currently in compliance until the facility corrects missing and/or deficient data.		
<input type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined this facility is not in compliance with its permit(s), wastewater regulation, and/or Order/Judgment.		



**Wastewater Compliance Status Report  
Water Quality Compliance Section  
1110 W. Washington  
Phoenix, AZ 85007**

<b>Facility Name:</b> JOHNSON UTILITIES-SANTAN WRP	<b>Date:</b> 1/31/2014
<b>Permit Issuance Date:</b> APP: 10/24/2007      AZPDES: N/A	<b>Permit #:</b> N/A      105325 <input type="checkbox"/> AZPDES <input checked="" type="checkbox"/> Aquifer Protection Permit (APP)
<b>LTF #:</b> APP: 43235      AZPDES: N/A	<b>Place ID:</b> 18584
<b>Date of Last Inspection:</b>	<b>Compliance Period:</b> 4/1/2013 – 9/30/2013
<b>Evaluation Completed by :</b> TRACY BUNCH	<b>Phone:</b> 602-771-4571

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**Compliance Review: Check All That Apply**

<input checked="" type="checkbox"/>	Self-Monitoring Report Form Deficiencies	<input type="checkbox"/>	Late Report Submittal
<input type="checkbox"/>	Self-Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Self-Monitoring Report Form Facility Inspection Deficiencies
<input type="checkbox"/>	Discharge Monitoring Report Form Deficiencies	<input type="checkbox"/>	Notice of Opportunity to Correct
<input type="checkbox"/>	Discharge Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Notice of Violation
<input type="checkbox"/>	Discharge Limit Exceedance (Technical Review Criteria)	<input type="checkbox"/>	Administrative Order
<input type="checkbox"/>	Alert Level Exceedance	<input type="checkbox"/>	Consent Judgment
<input type="checkbox"/>	Exceedance of pH Range	<input type="checkbox"/>	Other:
<input type="checkbox"/>	Non-Submittal		
<input type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined that as of this date this facility is currently in compliance.		
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**Wastewater Compliance Status Report  
Water Quality Compliance Section  
1110 W. Washington  
Phoenix, AZ 85007**

<b>Facility Name: JOHNSON UTILITIES--SECTION 11-WWTP</b>	<b>Date: 1/31/2014</b>
<b>Permit Issuance Date: APP: 4/10/2006</b>	<b>Permit #:</b> N/A 103081 <input type="checkbox"/> AZPDES <input checked="" type="checkbox"/> Aquifer Protection Permit (APP)
<b>LTF #: APP: 35634      AZPDES: N/A</b>	<b>Place ID: 142</b>
<b>Date of Last Inspection:</b>	<b>Compliance Period: 4/1/2013 - 9/30/2013</b>
<b>Evaluation Completed by : TRACY BUNCH</b>	<b>Phone: 602-771-4571</b>

**Overall Compliance Status General Statement**

The following is the evaluation of the compliance status for the above named facility. Please note that the compliance status of a facility may change based upon subsequent monitoring results or a facility inspection. This compliance review is based on information available as of the date of this document.

**Comments:**

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<input type="checkbox"/>	Self-Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Self-Monitoring Report Form Facility Inspection Deficiencies
<input type="checkbox"/>	Discharge Monitoring Report Form Deficiencies	<input type="checkbox"/>	Notice of Opportunity to Correct
<input type="checkbox"/>	Discharge Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Notice of Violation
<input type="checkbox"/>	Discharge Limit Exceedance (Technical Review Criteria)	<input type="checkbox"/>	Administrative Order
<input type="checkbox"/>	Alert Level Exceedance	<input type="checkbox"/>	Consent Judgment
<input type="checkbox"/>	Exceedance of pH Range	<input type="checkbox"/>	Other:
<input type="checkbox"/>	Non-Submittal		
<input checked="" type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined that as of this date this facility is currently in compliance.		
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<input type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ cannot determine if this facility is currently in compliance until the facility corrects missing and/or deficient data.		
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**Wastewater Compliance Status Report**  
**Water Quality Compliance Section**  
**1110 W. Washington**  
**Phoenix, AZ 85007**

<b>Facility Name: JOHNSON UTILITIES-PECAN WWTP</b>		<b>Date: 1/31/2014</b>	
<b>Permit Issuance Date:</b>		<b>Permit #:</b>	
<b>APP: 2/22/2012</b>	<b>AZPDES: N/A</b>	N/A	105324
		<input type="checkbox"/> AZPDES	<input checked="" type="checkbox"/> Aquifer Protection Permit (APP)
<b>LTF #: APP: 48606</b>		<b>Place ID: 18583</b>	
<b>AZPDES: N/A</b>			
<b>Date of Last Inspection:</b>		<b>Compliance Period: 4/1/2013 - 9/30/2013</b>	
<b>Evaluation Completed by : TRACY BUNCH</b>		<b>Phone: 602-771-4571</b>	

**Overall Compliance Status General Statement**

The following is the evaluation of the compliance status for the above named facility. Please note that the compliance status of a facility may change based upon subsequent monitoring results or a facility inspection. This compliance review is based on information available as of the date of this document.

**Comments:**

- There are self monitoring exceedances during this period.

**Compliance Review: Check All That Apply**

<input checked="" type="checkbox"/>	Self-Monitoring Report Form Deficiencies	<input type="checkbox"/>	Late Report Submittal
<input type="checkbox"/>	Self-Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Self-Monitoring Report Form Facility Inspection Deficiencies
<input type="checkbox"/>	Discharge Monitoring Report Form Deficiencies	<input type="checkbox"/>	Notice of Opportunity to Correct
<input type="checkbox"/>	Discharge Monitoring Report Form Missing Parameter	<input type="checkbox"/>	Notice of Violation
<input type="checkbox"/>	Discharge Limit Exceedance (Technical Review Criteria)	<input type="checkbox"/>	Administrative Order
<input type="checkbox"/>	Alert Level Exceedance	<input type="checkbox"/>	Consent Judgment
<input type="checkbox"/>	Exceedance of pH Range	<input type="checkbox"/>	Other:
<input type="checkbox"/>	Non-Submittal		
<input type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined that as of this date this facility is currently in compliance.		
<input checked="" type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined that this facility is not currently in violation at a level at which ADEQ will take an action or issue a Notice of Opportunity to Correct or Notice of Violation and/or is in compliance with the Order/Judgment.		
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<input type="checkbox"/>	Based upon the data submitted by the facility for the review period noted above, ADEQ has determined this facility is not in compliance with its permit(s), wastewater regulation, and/or Order/Judgment.		

**Arizona Department of Environmental Quality**  
**Drinking Water Monitoring and Protection Unit**  
 Mail Code 5415B-2  
 1110 West Washington Street  
 Phoenix, AZ 85007

**Drinking Water Compliance Status Report**

<b>System Name</b>	<b>System Type</b>	<b>Is system consecutive?</b>
ANTHEM AT MERRILL RANCH	<input checked="" type="checkbox"/> Community	<input type="checkbox"/> Yes, to PWS #
<b>System ID #</b>	<input type="checkbox"/> Non-transient Non-community	<input checked="" type="checkbox"/> No
11136	<input type="checkbox"/> Transient Non-community	

<b>Overall compliance status</b>	<input checked="" type="checkbox"/> No major deficiencies	<input type="checkbox"/> Major deficiencies
<b>Monitoring and Reporting status</b>	<input checked="" type="checkbox"/> No major deficiencies	<input type="checkbox"/> Major deficiencies
Comments: None		

<b>Operation and Maintenance status</b>	<input checked="" type="checkbox"/> No major deficiencies	<input type="checkbox"/> Major deficiencies
<b>Date of last Sanitary Survey</b>	3-6-13	<b>Inspector</b>
		Deborah Schadewald-Kohler, PHX
<b>Major unresolved/ongoing operation and maintenance deficiencies:</b>		
<input type="checkbox"/> unable to maintain 20psi	<input type="checkbox"/> inadequate storage	
<input type="checkbox"/> cross connection/backflow problems	<input type="checkbox"/> surface water treatment rule	
<input type="checkbox"/> treatment deficiencies	<input type="checkbox"/> ATC/AOC	
<input type="checkbox"/> certified operator	<input type="checkbox"/> other =	
Comments: None		

<b>Is an ADEQ administrative order in effect?</b>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Comments: None		

<b>System Information</b>	
<b>Population Served</b>	5734
<b>Service Connections</b>	2048
<b>Number of Entry Points to the Distribution System</b>	2
<b>Number of Sources</b>	4
<b>Initial Monitoring Year</b>	2008
<b>Monitoring Assistance Program (MAP) System</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

<b>Evaluation completed by</b>	Donna Calderon, Manager 		
<b>Phone</b>	602-771-4841	<b>Date</b>	February 7, 2014
<input checked="" type="checkbox"/>	Based upon data submitted by the water system, ADEQ has determined that this system is currently delivering water that meets water quality standards required by 40 CFR 141/Arizona Administrative Code, Title 18, Chapter 4, and PWS is in compliance.		
<input type="checkbox"/>	Based upon the monitoring and reporting deficiencies noted above, ADEQ cannot determine if this system is currently delivering water that meets water quality standards required by 40 CFR 141/Arizona Administrative Code, Title 18, Chapter 4, and/or PWS is not in compliance.		
<input type="checkbox"/>	Based upon the operation and maintenance deficiencies noted above, ADEQ cannot determine if this system is currently delivering water that meets water quality standards required by 40 CFR 141/Arizona Administrative Code, Title 18, Chapter 4, and/or PWS is not in compliance.		

***This compliance status report does not guarantee the water quality for this system in the future, and does not reflect the status of any other water system owned by this utility company.***

**Arizona Department of Environmental Quality  
Drinking Water Monitoring and Protection Unit  
Mail Code 5415B-2  
1110 West Washington Street  
Phoenix, AZ 85007**

**Drinking Water Compliance Status Report**

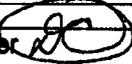
<b>System Name</b>	<b>System Type</b>	<b>Is system consecutive?</b>
JOHNSON UTILITIES	<input checked="" type="checkbox"/> Community	<input type="checkbox"/> Yes, to PWS #
<b>System ID #</b>	<input type="checkbox"/> Non-transient Non-community	<input checked="" type="checkbox"/> No
11128	<input type="checkbox"/> Transient Non-community	

<b>Overall compliance status</b>	<input checked="" type="checkbox"/> No major deficiencies	<input type="checkbox"/> Major deficiencies
<b>Monitoring and Reporting status</b>	<input checked="" type="checkbox"/> No major deficiencies	<input type="checkbox"/> Major deficiencies
Comments: None		

<b>Operation and Maintenance status</b>	<input checked="" type="checkbox"/> No major deficiencies	<input type="checkbox"/> Major deficiencies
<b>Date of last Sanitary Survey</b>	6-12-13	<b>Inspector</b>
		Joe Reyes, PHX
<b>Major unresolved/ongoing operation and maintenance deficiencies:</b>		
<input checked="" type="checkbox"/> unable to maintain 20psi	<input type="checkbox"/> inadequate storage	
<input type="checkbox"/> cross connection/backflow problems	<input type="checkbox"/> surface water treatment rule	
<input type="checkbox"/> treatment deficiencies	<input type="checkbox"/> ATC/AOC	
<input type="checkbox"/> certified operator	<input type="checkbox"/> other =	
Comments: During a complaint inspection on 6/7/13, ADEQ staff installed data loggers, which measured pressure at two-minute intervals from 6/7/13 to 6/12/13. As a result of the information collected, a Notice of Violation (NOV) was issued on 6/17/13 for the failure to maintain a pressure of at least 20 pounds per second per square inch of ground level at all points in the potable water distribution system under all conditions of flow. NOV CLOSED (1/2/14) - Further Action Reserved. <b>SYSTEM IS IN COMPLIANCE.</b>		

<b>Is an ADEQ administrative order in effect?</b>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Comments: None		

<b>System Information</b>	
Population Served	59640
Service Connections	21300
Number of Entry Points to the Distribution System	8
Number of Sources	12
Initial Monitoring Year	2000
Monitoring Assistance Program (MAP) System	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

<b>Evaluation completed by</b>	Donna Calderon, Manager  Drinking Water Monitoring and Protection Unit		
<b>Phone</b>	602-771-4641	<b>Date</b>	February 7, 2014
<input checked="" type="checkbox"/>	Based upon data submitted by the water system, ADEQ has determined that this system is currently delivering water that meets water quality standards required by 40 CFR 141/Arizona Administrative Code, Title 18, Chapter 4, and PWS is in compliance.		
<input type="checkbox"/>	Based upon the monitoring and reporting deficiencies noted above, ADEQ cannot determine if this system is currently delivering water that meets water quality standards required by 40 CFR 141/Arizona Administrative Code, Title 18, Chapter 4, and/or PWS is not in compliance.		
<input type="checkbox"/>	Based upon the operation and maintenance deficiencies noted above, ADEQ cannot determine if this system is currently delivering water that meets water quality standards required by 40 CFR 141/Arizona Administrative Code, Title 18, Chapter 4, and/or PWS is not in compliance.		

***This compliance status report does not guarantee the water quality for this system in the future, and does not reflect the status of any other water system owned by this utility company.***