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BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS

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Arizona Corporation Commission

DOCKETED

MAY 12 2014

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AZ CORP COMMISSION  
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DOCKET NO. S-20903A-13-0473

In the matter of:

Monika Catlin, an unmarried woman, Monika Catlin, as Trustee of MLC LIVING TRUST DATED 3-17-1999, DAMOPA INVESTMENTS, LLC, an Arizona limited liability company, MONIKA CATLIN, LLC, an Arizona limited liability company;

ORIGINAL

Respondents.

THIRD  
PROCEDURAL ORDER  
(Schedules Status Conference)

BY THE COMMISSION:

On December 26, 2013, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Monika Catlin, Monika Catlin as Trustee of the MLC Living Trust ("MLC Trust"), Damopa Investments, LLC ("Damopa"), and Monika Catlin, LLC ("Monika") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes.

Respondents were duly served with a copy of the Notice.

On January 15, 2014, Respondents filed a request for hearing in response to the Notice in this matter pursuant to A.R.S §44-1972 and A.A.C. R14-4-306.

On January 27, 2014, by Procedural Order, a pre-hearing conference was scheduled on February 6, 2014.

On February 6, 2014, the Division and Respondents appeared through counsel. Counsel for the Division indicated that the parties were discussing a possible resolution of the issues, and requested that a hearing be scheduled in approximately eight months. However, due to the ongoing settlement discussions, it was determined that another status conference should be scheduled in approximately 60 days.

1           On May 8, 2014, the Division and Respondents appeared through counsel at the status  
2 conference and indicated that they were in the process of concluding a Consent Order which they  
3 expected would be submitted at the Commission's June Open Meeting for the Commission's  
4 approval. The Division requested that a status conference be scheduled in July in the event the matter  
5 is not concluded in June.

6           Accordingly, a status conference should be scheduled.

7           IT IS THEREFORE ORDERED that a **status conference shall be held on July 24, 2014, at**  
8 **10:00 a.m.**, at the Commission's offices, 1200 West Washington Street, Hearing Room No. 1,  
9 Phoenix, Arizona.

10           IT IS FURTHER ORDERED that if the parties reach a resolution of the issues prior to the  
11 status conference, the Division shall file a motion to vacate the proceeding.

12           IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized  
13 Communications) is in effect and shall remain in effect until the Commission's Decision in this  
14 matter is final and non-appealable.

15           IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules  
16 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission  
17 *pro hac vice*.

18           IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance  
19 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the  
20 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances  
21 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is  
22 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the  
23 Administrative Law Judge or the Commission.

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27 ...  
28 ...

1 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,  
2 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by  
3 ruling at hearing.

4 DATED this 12<sup>th</sup> day of May, 2014.



MARC E. STERN  
ADMINISTRATIVE LAW JUDGE

8 Copies of the foregoing mailed/delivered  
9 this 12<sup>th</sup> day of May, 2014 to:

10 Jeffrey C. Whitley  
11 WHITLEY LEGAL GROUP, PC  
12 17470 N. Pacesetter Way  
13 Scottsdale, AZ 85255  
14 Attorney for Monika Catlin, Monika Catlin as  
15 Trustee of the MLS Living Trust, Damopa  
16 Investments, LLC and Monika Catlin, LLC

17 Matt Neubert, Director  
18 Securities Division  
19 ARIZONA CORPORATION COMMISSION  
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21 Phoenix, AZ 85007

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27 By: Rebecca Unquera  
28 Rebecca Unquera  
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