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**BEFORE THE ARIZONA CORPORATION COMMISSION**

**COMMISSIONERS**

BOB STUMP - Chairman  
GARY PIERCE  
BRENDA BURNS  
BOB BURNS  
SUSAN BITTER SMITH

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Arizona Corporation Commission

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ARIZONA CORPORATION COMMISSION  
DOCKET CONTROL

APR 24 2014

DOCKETED BY

In the matter of:

DOCKET NO. S-20899A-13-0396

WILLIAM N. NORDSTROM and LINDA  
NORDSTROM, husband and wife,  
NORDSTROM NORDSTROM I, INC., an Arizona  
corporation,  
NORDSTROM IMPORTS, INC., an Arizona  
corporation,  
Respondents.

ORIGINAL

**PROCEDURAL ORDER**  
**(Schedules Hearing)**

**BY THE COMMISSION:**

On November 19, 2013, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against William N. Nordstrom and Linda Nordstrom, husband and wife, Nordstrom Nordstrom, I, Inc. ("NNI"), and Nordstrom Imports, Inc. ("Imports") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of investment contracts and stock.

Respondents were duly served with a copy of the Notice.

On March 10, 2014, counsel for Mr. and Mrs. Nordstrom filed a request for hearing on their behalf in response to the Notice in this matter pursuant to A.R.S §44-1972 and A.A.C. R14-4-306. A hearing request was not filed on behalf of either NNI or Imports which are defunct corporations.

On March 13, 2014, by Procedural Order, a pre-hearing conference was scheduled on April 21, 2014.

On April 21, 2014, at the pre-hearing conference, the Division and Mr. and Mrs. Nordstrom were represented by counsel. Counsel for the Division indicated that it would be ready to proceed

1 with a hearing in approximately three to four months and would call up to nine witnesses in support  
2 of the allegations in the Notice. Counsel for Mr. and Mrs. Nordstrom requested that a hearing not be  
3 scheduled for approximately six months because Mr. Nordstrom who approximately eighty years old  
4 has lymphoma and is preparing for a fourth round of chemotherapy in his battle against the disease;  
5 has had all of his teeth removed; and is experiencing cognitive issues which makes it difficult to  
6 prepare for a hearing. Additionally, the Nordstroms have gone through a bankruptcy and have moved  
7 to Utah and are living with relatives.

8 Under the circumstances, a hearing should be scheduled with enough time allowed for the  
9 parties to prepare for the presentation of evidence.

10 IT IS THEREFORE ORDERED that a **hearing** shall be held on **September 15, 2014, at**  
11 **10:00 a.m.**, at the Commission's offices, 1200 West Washington Street, Hearing Room No. 2,  
12 Phoenix, Arizona.

13 IT IS FURTHER ORDERED that the parties shall also set aside **September 16, 17, 18 and**  
14 **19, 2014, for additional days of hearing**, if necessary.

15 IT IS FURTHER ORDERED that the **Division and Respondents shall exchange copies of**  
16 **their Witness Lists and copies of the Exhibits by August 1, 2014**, with courtesy copies provided to  
17 the presiding Administrative Law Judge.

18 IT IS FURTHER ORDERED that if the parties reach a resolution of the issues raised in  
19 the Notice prior to the hearing, the Division shall file a Motion to Vacate the proceeding.

20 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized  
21 Communications) is in effect and shall remain in effect until the Commission's Decision in this  
22 matter is final and non-appealable.

23 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules  
24 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission  
25 *pro hac vice*.

26 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance  
27 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the  
28 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances

1 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is  
2 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the  
3 Administrative Law Judge or the Commission.

4 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,  
5 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by  
6 ruling at hearing.

7 DATED this 24~~th~~ day of April, 2014.

8   
9 \_\_\_\_\_  
10 MARC E. STERN  
11 ADMINISTRATIVE LAW JUDGE

12 Copies of the foregoing mailed/delivered  
13 this 24~~th~~ day of April, 2014 to:

14 Bradley D. Weech  
15 JACKSON WHITE  
16 40 North Center Street, Suite 200  
17 Mesa, AZ 85201  
18 Attorneys for Respondents William N.  
19 Nordstrom and Linda Nordstrom

20 Matt Neubert, Director  
21 Securities Division  
22 ARIZONA CORPORATION COMMISSION  
23 1300 West Washington Street  
24 Phoenix, AZ 85007

25 COASH & COASH, INC.  
26 Court Reporting, Video & Videoconferencing  
27 1802 North 7<sup>th</sup> Street  
28 Phoenix, AZ 85006

By:   
Rebecca Unquera  
Assistant to Marc E. Stern