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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

BOB STUMP - Chairman
GARY PIERCE
BRENDA BURNS
BOB BURNS
SUSAN BITTER SMITH

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Arizona Corporation Commission

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JAN 17 2014

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

DOCKETED BY

DOCKET NO. S-20898A-13-0395

ORIGINAL

In the matter of:

MICHAEL J. BLAKE (CRD# 2022161), a married man
Respondent.

THIRD
PROCEDURAL ORDER
(Schedules Hearing)

BY THE COMMISSION:

On November 19, 2013, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Michael J. Blake (CRD# 202261) ("Respondent"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") and the Investment Management Act ("IM Act") in connection with the offer and sale of securities in the form of membership interests. It was further alleged that Respondent had filed an application to be an investment adviser representative while subject to an order of a self-regulatory authority ("SRO"), the Financial Industry Regulatory Authority ("FINRA") suspending him for one year in all capacities from associating with a FINRA member firm.

Respondent Blake was duly served with a copy of the Notice.

On December 4, 2013, Respondent Blake filed a request for hearing in response to the Notice in this matter pursuant to A.R.S §§ 44-1972, 44-3212, and A.A.C. R14-4-306.

On December 9, 2013, a pre-hearing conference was scheduled on December 23, 2013.

On December 23, 2013, at the pre-hearing conference, the Division appeared through counsel. Respondent's counsel who had filed the request for hearing did not appear and could not be reached telephonically. Counsel for the Division indicated that he had last spoken with Respondent's counsel several weeks prior to the pre-hearing conference.

On December 26, 2013, due to the unexplained absence of counsel from the proceeding, the

1 proceeding was rescheduled for another pre-hearing conference.

2 On January 16, 2014, at the pre-hearing conference, the Division and Respondent appeared
3 through counsel. Counsel for the Division requested that a hearing be scheduled for one to two days.
4 Counsel for Respondent agreed with this request. The parties indicated that they will attempt to
5 resolve the issues prior to the hearing.

6 Accordingly, a hearing should be scheduled.

7 **IT IS THEREFORE ORDERED that a hearing shall be held on April 22, 2014, at 10:00**
8 **a.m., at the Commission's offices, 1200 West Washington Street, Hearing Room No. 2, Phoenix,**
9 **Arizona.**

10 **IT IS FURTHER ORDERED that the parties shall also set aside April 23, 2014, for an**
11 **additional day of hearing, if necessary.**

12 **IT IS FURTHER ORDERED that the Division and Respondents shall exchange copies of**
13 **their Witness Lists and copies of the Exhibits by March 7, 2014, with courtesy copies provided to**
14 **the presiding Administrative Law Judge.**

15 **IT IS FURTHER ORDERED that if the parties reach a resolution of the issues raised in**
16 **the Notice prior to the hearing, the Division shall file a Motion to Vacate the proceeding.**

17 **IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized**
18 **Communications) is in effect and shall remain in effect until the Commission's Decision in this**
19 **matter is final and non-appealable.**

20 **IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules**
21 **of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission**
22 ***pro hac vice*.**

23 **IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance**
24 **with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the**
25 **Rules of the Arizona Supreme Court). Representation before the Commission includes appearances**
26 **at all hearings and procedural conferences, as well as all Open Meetings for which the matter is**
27 **scheduled for discussion, unless counsel has previously been granted permission to withdraw by the**
28 **Administrative Law Judge or the Commission.**

1 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
2 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
3 ruling at hearing.

4 DATED this 17th day of January, 2014.

5
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7 
8 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

9 Copies of the foregoing mailed/delivered
this 17th day of January, 2014 to:

10 Michael Salcido
11 4411 E. Chandler Blvd., #1026
12 Phoenix, AZ 85048
Attorneys for Respondent Blake

13 Matt Neubert, Director
14 Securities Division
ARIZONA CORPORATION COMMISSION
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Phoenix, AZ 85007

16 COASH & COASH, INC.
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