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BEFORE THE ARIZONA CORP  
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**COMMISSIONERS**

BOB STUMP, Chairman  
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AZ CORP COMMISSION  
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**ORIGINAL**

IN THE MATTER OF THE APPLICATION OF  
PALO VERDE UTILITIES COMPANY FOR AN  
EXTENSION OF ITS EXISTING CERTIFICATE  
OF CONVENIENCE AND NECESSITY.

Docket No. SW-03575A-03-0586

IN THE MATTER OF THE APPLICATION OF  
SANTA CRUZ WATER COMPANY FOR AN  
EXTENSION OF ITS EXISTING CERTIFICATE  
OF CONVENIENCE AND NECESSITY.

Docket No. W-03576A-03-0586

**NOTICE OF FILING IN COMPLIANCE WITH  
DECISION NO. 67240  
(Quarterly Compliance Report)**

Global Water - Palo Verde Utilities Company, and Global Water - Santa Cruz Water  
Company, in compliance with Decision No. 67240 submit the attached quarterly report.

RESPECTFULLY submitted this 15<sup>th</sup> day of January, 2014.

ROSHKA DEWULF & PATTEN, PLC

By Timothy J. Sabo

Michael W. Patten  
Timothy J. Sabo  
One Arizona Center  
400 East Van Buren Street, Suite 800  
Phoenix, Arizona 85004

Arizona Corporation Commission  
**DOCKETED**

JAN 15 2014

DOCKETED BY [Signature]

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**ROSHKA DEWULF & PATTEN, PLC**  
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FACSIMILE 602-256-6800

1 Original + 15 copies of the foregoing  
2 filed this 15<sup>th</sup> day of January, 2014 with:

3 Docket Control  
4 ARIZONA CORPORATION COMMISSION  
5 1200 West Washington  
6 Phoenix, Arizona 85007

7 Copies of the foregoing hand-delivered/mailed  
8 this 15<sup>th</sup> day of January, 2014 to:

9 Lyn Farmer, Esq.  
10 Chief Administrative Law Judge  
11 Hearing Division  
12 Arizona Corporation Commission  
13 1200 West Washington  
14 Phoenix, Arizona 85007

15 Janice Alward, Esq.  
16 Chief Counsel, Legal Division  
17 Arizona Corporation Commission  
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20 Steve Olea, Director  
21 Utilities Division  
22 Arizona Corporation Commission  
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24 Phoenix, Arizona 85007

25 Brian Bozzo  
26 Manager – Compliance Enforcement  
27 Utilities Division  
Arizona Corporation Commission  
1200 West Washington  
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By 

**Global Water - Palo Verde Utilities Company, Inc.**

**Quarterly Compliance Report  
Pursuant to Decision No. 67240  
Docket No. SW-03576A-03-0586**

**15 January, 2014**

Pursuant to Decision No. 67240, Global Water - Palo Verde Utilities Company, Inc. (PVUC) submits the following report setting forth its compliance reporting during the past quarter for the various permits and other compliance reporting obligations associated with the operation of PVUC. PVUC has not received any notices of noncompliance during the past three months and remains in compliance with all agency requirements.

**ACC COMPLIANCE**

**Utilities Division**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
CC&N (Decision No. 67240)	Acquisition Schedule (by parent Global Water Resources) and Quarterly Compliance Report	Quarterly	15 Oct 2013	15 Oct 2013	15 Jan 2014
Decision No. 71878	Quarterly Volumetric Rebate Report	Quarterly	13 Dec 2013	12 Dec 2013	15 Mar 2014
	Affiliated Interests Report	Annually	15 Apr 2013	15 Apr 2013	15 Apr 2014

**Corporations Division**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
	Corporation Annual Report – Global Water Palo Verde Utilities Company	Annually	07 Dec 2013	04 Dec 2013	07 Dec 2014

**ADEQ COMPLIANCE**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
APP 105228	SMRF*	Quarterly	30 Oct 2013	28 Oct 2013	30 Jan 2014
AzPDES AZ00250701	DMR**	Monthly	28 Oct 2013	28 Oct 2013	N/A
AzPDES AZ00250701	DMR**	Monthly	28 Nov 2013	13 Nov 2013	N/A
AzPDES AZ00250701	DMR**	Monthly	28 Dec 2013	20 Dec 2013	28 Jan 2014
AzPDES AZ00250701	DMR**	Quarterly	28 Oct 2013	28 Oct 2013	28 Jan 2014

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
AzPDES AZ00250701	DMR**	Annual	28 Jan 2013	22 Jan 2013	28 Jan 2014

\* Self Monitoring Report Form (SMRF)

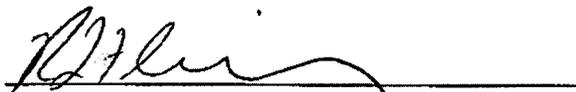
\*\* Discharge Monitoring Report (DMR)

NOTE: There is no longer a semi-annual permit requirement for the permit issued on 31 Mar 2009.

**ADWR COMPLIANCE**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
71-211279.0000	USF Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
71-216374.0000	USF Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
73-211279.0000	WSP Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
73-216374.0000	WSP Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
70-431155.0000	LTS Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014

I declare under penalty of perjury that the foregoing is true and correct.

  
 Ron Fleming, President and COO  
 Global Water – Palo Verde Utilities Company

  
 Cindy M. Bowers, Secretary/Treasurer and CFO  
 Global Water – Palo Verde Utilities Company

**Global Water - Santa Cruz Water Company, Inc.**

**Quarterly Compliance Report  
Pursuant to Decision No. 67240**

**Docket No. W-03575A-03-0586**

**15 January 2014**

Pursuant to Decision No. 67240, Global Water - Santa Cruz Water Company, Inc. (SCWC) submits the following report setting forth its compliance reporting during the past quarter for the various permits and other compliance reporting obligations associated with the operation of SCWC. SCWC has not received any notices of noncompliance during the past three months and remains in compliance with all agency requirements.

**ACC COMPLIANCE**

**Utilities Division**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
CC&N (Decision No. 67240)	Acquisition Schedule (by parent Global Water Resources) and Quarterly Compliance Report	Quarterly	15 Oct 2013	15 Oct 2013	15 Jan 2014
Decision No. 71878	Quarterly Volumetric Rebate Report	Quarterly	15 Dec 2013	13 Dec 2013	15 Mar 2014
	Affiliated Interests Report	Annually	15 Apr 2013	15 Apr 2013	15 Apr 2014

**Corporations Division**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
	Corporation Annual Report – Global Water – Santa Cruz Water Company	Annually	07 Dec 2013	04 Dec 2013	07 Dec 2014

**ADEQ COMPLIANCE**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
PWS 11-131	MRDL <sup>1</sup>	Quarterly	10 Jan 2014	9 Jan 2014	10 Apr 2014
	Bacti's <sup>2</sup>	Monthly	10 Jan 2014	9 Jan 2014	10 Apr 2014
	DBPs <sup>3</sup>	Quarterly <sup>4</sup>	10 Jan 2014	9 Jan 2014	10 Apr 2014

<sup>1</sup> Maximum Residual Disinfection Level

<sup>2</sup> Bacteriological Sampling

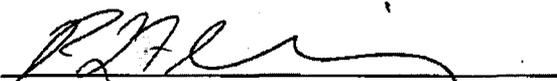
<sup>3</sup> Disinfection By-Products

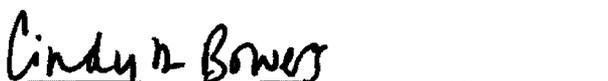
	NO3 <sup>5</sup>	Quarterly <sup>6</sup>	10 Jan 2014	9 Jan 2014	10 Jan 2015
	Lead & Copper	Triennial <sup>7</sup>	10 Oct 2012	9 Oct 2012	10 Oct 2015
	Consumer Confidence Report (CCR)	Annually	1 Jul 2013	18 Jun 2013	1 Jul 2014
	Certification of Mailing CCR	Annually	1 Oct 2013	11 Sep 2013	1 Oct 2014

**ADWR COMPLIANCE**

Permit	Report	Reporting Frequency	Date Due	Date Submitted	Next Report Due
56-001355.0000	Annual Municipal Provider Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
56-001355.0000	Annual Conservation Efforts Report	Annually	31 Mar 2013	14 Mar 2013	31 Mar 2014
73-216387.0400	WSP Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
73-531381.0500	WSP Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
70-431160.0000	LTS Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014
70-441159.0000	LTS Annual Report	Annually	31 Mar 2013	28 Mar 2013	31 Mar 2014

I declare under penalty of perjury that the foregoing is true and correct.

  
 Ron Fleming, President and COO  
 Global Water – Santa Cruz Water Company

  
 Cindy M. Bowers, Secretary/Treasurer and CFO  
 Global Water – Santa Cruz Water Company

<sup>4</sup> Please note that due to the implementation of the Stage II DBP rule DBPs are now required on a quarterly basis

<sup>5</sup> Nitrate Sampling

<sup>6</sup> SCWC received notification of approval of reduced monitoring from Quarterly to Annually on 15 Jan 2008

<sup>7</sup> SCWC received notification of approval of reduced monitoring from Annually to Triennial on 25 Jan 2008