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AZ CORP COMMISSION  
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ORIGINAL

Arizona Corporation Commission

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JAN 02 2014

DOCKETED BY

1 FENNEMORE CRAIG, P.C.  
2 Jay L. Shapiro (No. 014650)  
3 2394 E. Camelback Road, Suite 600  
Phoenix, Arizona 85016  
Telephone (602) 916-5000

4 Attorneys for Verde Santa Fe Wastewater Co., Inc.

6 **BEFORE THE ARIZONA CORPORATION COMMISSION**

8 IN THE MATTER OF THE APPLICATION  
9 OF VERDE SANTA FE WASTEWATER  
10 CO., INC., AN ARIZONA CORPORATION,  
11 FOR A DETERMINATION OF THE FAIR  
12 VALUE OF ITS UTILITY PLANTS AND  
PROPERTY AND FOR INCREASES IN ITS  
WASTEWATER RATES AND CHARGES  
FOR UTILITY SERVICE BASED  
THEREON.

DOCKET NO: SW-03437A-13-0292

**NOTICE OF FILING  
CERTIFICATION OF  
PUBLICATION AND PROOF OF  
MAILING**

13 Pursuant to the Procedural Orders issued October 10 and November 7 of 2013,  
14 Verde Santa Fe Wastewater Co., Inc. (the "Company") hereby files this Notice of Filing  
15 Certification of Publication and Proof of Mailing in the above-captioned matter.

16 On December 16, the Company mailed notice to customers regarding the  
17 Company's rate application and the associated hearing. The affidavit of Jason P.  
18 Williamson is attached as **Exhibit 1**.

19 On December 13, the Company published the notice in the *Sedona Red Rock News*.  
20 An invoice for publication is attached as **Exhibit 2**. The Company has paid the invoice  
21 but has not yet received the affidavit from the newspaper. The Company expects to be  
22 able to supplement this filing with the affidavit by January 10, 2014.

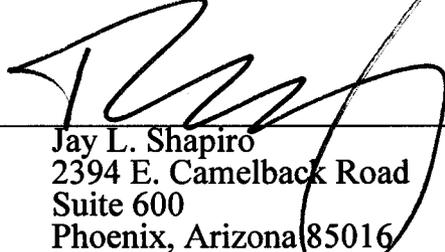
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RESPECTFULLY SUBMITTED this 2nd day of January, 2014.

FENNEMORE CRAIG, P.C.

By



Jay L. Shapiro  
2394 E. Camelback Road  
Suite 600  
Phoenix, Arizona 85016  
Attorneys for Verde Santa Fe Wastewater  
Co., Inc.

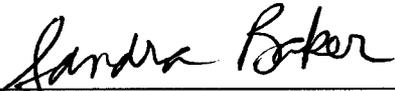
ORIGINAL and thirteen (13) copies of the foregoing  
were delivered this 2nd day of January, 2014, to:

Docket Control  
Arizona Corporation Commission  
1200 W. Washington St.  
Phoenix, AZ 85007

COPY of the foregoing was delivered  
this 2nd day of January, 2014, to:

Sarah Harpring, ALJ  
Hearing Division  
Arizona Corporation Commission  
1200 W. Washington St.  
Phoenix, AZ 85007

Robin Mitchell  
Bridget Humphrey  
Legal Division  
Arizona Corporation Commission  
1200 W. Washington St.  
Phoenix, AZ 85007

By:   
8773028.1/026985.0002

# **EXHIBIT 1**

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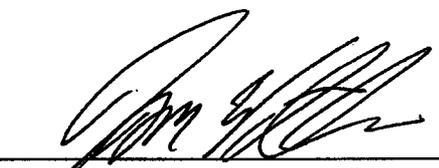
**AFFIDAVIT OF JASON P. WILLIAMSON**

Jason P. Williamson, being duly sworn, deposes and says:

1. I am a resident of Denver County, over 18 years of age, and make this affidavit based on my own personal knowledge.

2. I am President and Manager of Pivotal Utility Management, and Secretary of Verde Santa Fe Wastewater Co., Inc. (the "Company").

3. Pursuant to the Procedural Orders issued October 10 and November 7 of 2013, on December 16, 2013, the Company mailed to customers the notice regarding the Company's application for a permanent rate increase, and the associated hearing set to begin on May 5, 2014. A copy of the notice is attached as Exhibit A.

  
\_\_\_\_\_  
Jason P. Williamson

SUBSCRIBED and sworn to before me this 2nd day of January, 2014.



  
\_\_\_\_\_  
Notary Public

My Commission Expires 2/17/15

8772531.1/026985.0002

# **EXHIBIT A**

**PUBLIC NOTICE OF HEARING ON THE APPLICATION OF**  
**VERDE SANTA FE WASTEWATER CO., INC.**  
**FOR A PERMANENT RATE INCREASE**  
**(Docket No. SW-03437A-13-0292)**

**Summary**

On August 30, 2013, Verde Santa Fe Wastewater Co., Inc. ("VSF" or "Company") filed with the Arizona Corporation Commission ("Commission") an application requesting an order establishing the fair value of its plant and property used to provide wastewater utility services and approving permanent rates and charges designed to produce a fair return thereon. VSF asserted that its current rates and charges, established in Decision No. 60779 (April 8, 1998), are inadequate to provide VSF a fair rate of return on the fair value of its plant and property devoted to public service. VSF asserted that for its test year ending December 31, 2012 ("TY"), it had adjusted gross revenues of \$479,551, an adjusted operating loss of \$3,950, and a fair value rate base ("FVRB") of \$421,336, resulting in a rate of return of negative 0.94 percent. VSF requested an increase in revenues of \$65,213, or 13.60 percent, and asserted that this increase would result in a rate of return on its FVRB of 11.0 percent. **Under VSF's proposal, the regular monthly bill for a residential customer would increase from \$40.00 to \$45.68, an increase of \$5.68 or 14.20 percent.** VSF also proposes to add a monthly deferred payment fee and an after-hours service charge.

The Commission's Utilities Division Staff ("Staff") is in the process of auditing and analyzing the application, and has not yet made any recommendations regarding VSF's proposed rate increase. The Commission will determine the appropriate relief to be granted based on the evidence presented by the parties. **THE COMMISSION IS NOT BOUND BY THE PROPOSALS MADE BY VSF, STAFF, OR ANY INTERVENORS; THEREFORE, THE FINAL RATES APPROVED BY THE COMMISSION MAY DIFFER FROM AND MAY BE HIGHER OR LOWER THAN THE RATES REQUESTED BY VSF OR RECOMMENDED BY OTHER PARTIES.**

**How You Can View Or Obtain a Copy of the Rate Proposal**

Copies of the application and proposed rates are available from VSF at **7581 E. Academy Boulevard, Suite 229, Denver, Colorado 80230**; or toll free phone **(866) 681-0148**; and at the Commission's Docket Control Center at 1200 West Washington, Phoenix, Arizona, for public inspection during regular business hours, and on the Internet via the Commission's website ([www.azcc.gov](http://www.azcc.gov)) using the e-Docket function.

**Arizona Corporation Commission Public Hearing Information**

The Commission will hold a hearing on this matter in Hearing Room No. 1 at the Commission's offices at 1200 West Washington, Phoenix, Arizona 85007. **The hearing will commence on May 5, 2014, at 10:00 a.m.** Oral public comments will be taken on the first day of hearing.

Written public comments may be submitted by mailing a letter referencing Docket No. SW-03437A-13-0292 to Arizona Corporation Commission, Consumer Services Section, 1200 West Washington, Phoenix, AZ 85007. If you require assistance, you may contact the Consumer Services Section at 1-800-222-7000.

## **About Intervention**

The law provides for an open public hearing at which, under appropriate circumstances, interested parties may intervene. Any person or entity entitled by law to intervene and having a direct and substantial interest in the matter will be permitted to intervene. Anyone who wishes to intervene must file an original and 13 copies of a written motion to intervene with the Commission no later than **January 21, 2014**, and send a copy of the motion to VSF or its counsel and to all parties of record. A motion to intervene must contain the following:

1. The name, address, and telephone number of the proposed intervenor and of any person upon whom service of documents is to be made if different from that of the intervenor;
2. A short statement of the proposed intervenor's interest in the proceeding (e.g., a customer or potential customer of the Company, a member or shareholder of the Company, etc.);
3. A statement certifying that a copy of the motion to intervene has been mailed to the Company or its counsel and to all parties of record in the case; and
4. If the proposed intervenor is not represented by an attorney who is an active member of the Arizona State Bar, and is not an individual representing himself or herself, information and any appropriate documentation demonstrating the intervenor's compliance with Arizona Supreme Court Rules 31, 38, and 42, as applicable.

The granting of motions to intervene shall be governed by A.A.C. R14-3-105, except that all motions to intervene must be filed on or before **January 21, 2014**. If representation by counsel is required by Arizona Supreme Court Rule 31, intervention will be conditioned upon the intervenor obtaining counsel to represent the intervenor. For information about requesting intervention, visit the Commission's website at <http://www.azcc.gov/divisions/utilities/forms/interven.pdf>. The granting of intervention, among other things, entitles a party to present sworn evidence at hearing and to cross-examine other witnesses. However, failure to intervene will not preclude any interested individual or entity from appearing at the hearing and providing public comment on the application or from filing written comments in the record of the case.

## **ADA/Equal Access Information**

The Commission does not discriminate on the basis of disability in admission to its public meetings. Persons with a disability may request a reasonable accommodation such as a sign language interpreter, as well as request this document in an alternative format, by contacting the ADA Coordinator, Shaylin Bernal, E-mail [SAbernal@azcc.gov](mailto:SAbernal@azcc.gov), voice phone number (602) 542-3931. Requests should be made as early as possible to allow time to arrange the accommodation.

# **EXHIBIT 2**

(928) 282-7795

LEGAL INVOICE

Date  
12/13/13

Number  
1 OF 1

LARSON NEWSPAPERS - Sedona Red Rock News,  
The Camp Verde Journal & Cottonwood Journal Extra  
P.O. Box 619, Sedona, AZ 86339-0619

FENNEMORE CRAIG, P.C. FCPN  
Attn: Whitney A. Birk  
3003 N Central Ave #2600  
Phoenix AZ 85012

DATE	ZONE	QTY	DESCRIPTION	AMOUNT	TAX	TOTAL
12/13	B	21	Legal Ad:Notice-V SanteFe	\$147.00	\$0.00	\$147.00

AMOUNT DUE THIS INVOICE: \$147.00  
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FFIDAVIT OF PUBLICATION FURNISHED TO YOU UPON PAYMENT IN FULL AND PUBLISH DATES  
PLEASE MAKE PAYABLE TO LARSON NEWSPAPERS. DUE UPON RECEIPT/1.5% FIN. CHRG. 30  
- - Please return ONE copy of this invoice with your payment. - -