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BEFORE THE ARIZONA CORPORATION

Arizona Corporation Commission

COMMISSIONERS

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ARIZONA CORPORATION COMMISSION
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In the matter of:

DOCKET NO. S-20898A-13-0395

MICHAEL J. BLAKE (CRD# 2022161), a married man

Respondent.

PROCEDURAL ORDER
(Reschedules Pre-Hearing Conference)

BY THE COMMISSION:

On November 19, 2013, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Michael J. Blake (CRD# 202261) ("Respondent"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") and the Investment Management Act ("IM Act") in connection with the offer and sale of securities in the form of membership interests. It was further alleged that Respondent had filed an application to be an investment adviser representative while subject to an order of a self-regulatory authority ("SRO"), the Financial Industry Regulatory Authority ("FINRA") suspending him for one year in all capacities from associating with a FINRA member firm.

Respondent Blake was duly served with a copy of the Notice.

On December 4, 2013, Respondent Blake filed a request for hearing in response to the Notice in this matter pursuant to A.R.S §§ 44-1972, 44-3212, and A.A.C. R14-4-306.

On December 9, 2013, a pre-hearing conference was scheduled on December 23, 2013.

On December 23, 2013, at the pre-hearing conference, the Division appeared through counsel. Respondent's counsel who had filed the request for hearing did not appear and could not be reached telephonically. Counsel for the Division indicated that he had last spoken with Respondent's counsel several weeks prior to the pre-hearing conference.

Under the circumstances, due to the unexplained absence of counsel from the proceeding, the

1 matter should be rescheduled for another pre-hearing conference.

2 IT IS THEREFORE ORDERED a **pre-hearing conference** shall be held on **January 16,**
3 **2014, at 11:00 a.m.,** at the Commission's offices, 1200 West Washington Street, Hearing Room No.
4 2, Phoenix, Arizona.

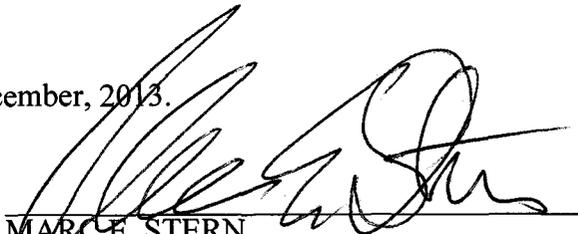
5 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
6 Communications) is in effect and shall remain in effect until the Commission's Decision in this
7 matter is final and non-appealable.

8 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
9 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
10 *pro hac vice*.

11 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
12 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
13 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
14 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
15 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
16 Administrative Law Judge or the Commission.

17 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
18 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
19 ruling at hearing.

20 DATED this 26th day of December, 2013.

21
22 
23 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

24 Copies of the foregoing mailed/delivered
25 this 26th day of December, 2013 to:

26 Michael Salcido
27 4411 E. Chandler Blvd., #1026
Phoenix, AZ 85048
Attorneys for Respondent Blake

28

1 Matt Neubert, Director
Securities Division
2 ARIZONA CORPORATION COMMISSION
1300 West Washington Street
3 Phoenix, AZ 85007

4 ARIZONA REPORTING SERVICE, INC.
2200 North Central Avenue, Suite 502
5 Phoenix, AZ 85004-1481

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7 By: Rebecca Unquera
Rebecca Unquera
Assistant to Marc E. Stern
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