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AZ CORP COMMISSION
DOCKET CONTROL

1 **Brian Hageman**
2 18832 M. 95th Street
3 Scottsdale, Arizona 85255
4 Respondent In Pro Per
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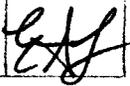
7 **BEFORE THE ARIZONA CORPORATION COMMISSION**

8
9 COMMISSIONERS

Arizona Corporation Commission

DOCKETED

DEC 02 2013

DOCKETED BY 

10
11 BOB STUMP, Chairman
12 GARY PIERCE
13 BRENDA BURNS
14 BOB BURNS
15 SUSAN BITTER-SMITH
16
17

In the matter of:)

DOCKET NO. S-20896A-13-0378

HYDROTHERM POWER CORPORATION)

ANSWER

Respondent.)
_____)

18
19 COMES NOW the Respondent HYDROTHERM POWER CORPORATION in
20 answering the allegations of the Arizona Corporation Securities
21 Division DOCKET NO S-20896A-13-0378 JURISDICTION, RESPONDENTS, FACTS,
22 VIOLATIONS and REQUESTED RELIEF on file herein, affirms, denies and
23 alleges as follows:
24

25 HYDROTHERM denies any violation of A.R.S 44-1999 and affirms
26 compliance with all A.R.S. Securities Act Rules.
27

1 Answering Section I. JURISDICTION, Respondent affirms
2 jurisdiction.

3
4 Answering Section II. Paragraph 2. Respondent HYDROTHERM denies
5 allegations and affirms Respondent's BRIAN C. HAGEMAN's response.

6
7 Answering paragraph 3. By other Respondents.

8
9 Answering paragraph 4. Respondent HYDROTHERM denies that the
10 company is dissolved in Delaware and HYDROTHERM denies owing \$368,000
11 in taxes to Delaware. HYDROTHERM is not required to be registered as a
12 securities dealer or salesman.

13
14 Answering paragraph 5. Respondent affirms all facts.

15
16 Answering paragraph 6. Respondents will answer "FACTS" presented
17 separated by each Respondent because each entity is unique.

18
19 Answering Section III. FACTS Paragraph 7. Respondent Brian C.
20 Hageman denies allegations that securities in Deluge and Hydrotherm
21 were sold at all relevant times. Respondent also denies allegations
22 that Deluge and Hydrotherm are in the same business. Also to be clear,
23 Hydrotherm is the majority shareholder in Deluge, not a "parent" as a
24 corporate structure.

25
26 Answering Paragraph 8. Answer by other Respondents

27
28 Answering Paragraph 9. Answer by other Respondents

1 Answering Paragraph 10 - 19. Answer by other Respondents

2
3 Answering Paragraph 20. Answer by other Respondents

4
5 Answering Paragraph 21. Respondent denies allegations.

6
7 Answering Paragraph 22. Respondent denies allegations.

8
9 Answering Paragraph 23. Answered by other Respondents.

10
11 Answering paragraph 24. Answered by other Respondents.

12
13 Answering paragraph 25. Respondent affirms fact.

14
15 Answering to Section IV. VIOLATION OF A.R.S. 44-1841 (Offer or
16 Sale of Unregistered Securities) paragraphs 26, 27, 28 Respondent
17 denies all allegations. Respondent affirms that securities were sold
18 only in compliance with Federal and State regulations.

19
20 Answering Section V. VIOLATION OF A.R.S. 44-1842 (Transactions by
21 Unregistered Dealers or Salesmen) paragraphs 29. and 30. Respondent
22 denies all allegations. Respondent was not required to register as a
23 stock broker, dealer or salesman.

24
25 Answering Section VI. VIOLATION OF A.R.S. 44-1991 (Fraud in
26 Connection with the Offer or Sale of Securities) paragraphs 31, 31a,
27 31b, 31c and paragraph 32. Respondent denies all allegations.

1 Respondent followed rules and always remained in compliance with
2 Federal and State Securities rules.

3

4 Answering paragraph 33. Respondent denies all allegations

5

6 Answering to Section VII. REQUESTED RELIEF paragraphs 1, 2, 3, 4

7 Respondent denies all allegations in these and paragraphs above and
8 asks that no relief is required.

9

10 Dated this 29th day of November, 2013

11

12



13 Brian C. Hageman for Respondent HYDROTHERM

14 18832 N. 95TH Street

15 Scottsdale, AZ 85255

16 Respondent In Pro Per