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BEFORE THE ARIZONA CORPORATION COMMISSION

2013 AUG -8 A 9:54

COMMISSIONERS

- BOB STUMP, Chairman
- GARY PIERCE
- BRENDA BURNS
- BOB BURNS
- SUSAN BITTER SMITH

ARIZONA CORP COMMISSION
DOCKET CONTROL

In the matter of:)
 MARK DANA HUGHES, CRD# 1843511,)
 and DOLLY A. HUGHES, husband and)
 wife,)
 and)
 LEGACY FINANCIAL ADVISORS,)
 L.L.C., CRD# 114029, an Arizona limited)
 liability company,)
 Respondents.)

DOCKET NO. S-20864A-12-0439

SECURITIES DIVISION'S NOTICE OF COMPLIANCE WITH A PROCEDURAL ORDER

Arizona Corporation Commission

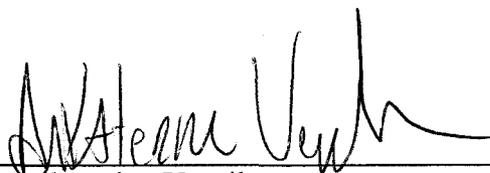
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On March 16, 2013, by procedural order, the Administrative Law Judge ordered the parties to exchange witness lists and copies of exhibits by July 12, 2013. The Division hereby gives notice that on July 12, 2013, a CD containing copies of copies of the Division's exhibits in PDF format was mailed to each of the Respondents along with copies of the Securities Division's Preliminary List of Witnesses and Preliminary List of Exhibits. Courtesy copies of the same were provided to the Administrative Law Judge on the same date.

RESPECTFULLY SUBMITTED this 8th day of August, 2013.

By 
 Aikaterine Vervilos
 Registration & Compliance Enforcement
 Attorney for the Securities Division of the
 Arizona Corporation Commission

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ORIGINAL AND EIGHT (8) COPIES of the foregoing
filed this 8th day of August, 2013, with:

Docket Control
Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

COPY of the foregoing hand-delivered
this 8th day of August, 2013 to:

Administrative Law Judge Marc E. Stern
Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
Phoenix, AZ 85007

COPY of the foregoing mailed
this 8th day of August, 2013, to:

Mark Dana Hughes
4549 North Camino Campero
Tucson, AZ 85750
Respondent

Dolly Hughes
4549 North Camino Campero
Tucson, AZ 85750
Respondent

Legacy Financial Advisors, LLC
4549 North Camino Campero
Tucson, AZ 85750

