

ORIGINAL



BEFORE THE ARIZONA CORPORATION COMMISSION  
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COMMISSIONERS

2013 MAY 16 P 1:07

BOB STUMP, Chairman  
GARY PIERCE  
BRENDA BURNS  
BOB BURNS  
SUSAN BITTER SMITH

AZ CORP COMMISSION  
DOCKET CONTROL

In the matter of:

DONNA KAY BEERS, CRD# 1172038,  
and JAMES BEERS, wife and husband,

Respondents.

DOCKET NO. S-20856A-12-0409

SECURITIES DIVISION'S NOTICE OF  
COMPLIANCE WITH A PROCEDURAL  
ORDER

Arizona Corporation Commission

DOCKETED

MAY 16 2013

DOCKETED BY

On March 4, 2013, by procedural order, the Administrative Law Judge ordered the parties to exchange witness lists and copies of exhibits by May 17, 2013. The Division hereby gives notice that on May 16, 2013, a CD containing copies of copies of the Division's exhibits in PDF format was mailed to each of the Respondents along with copies of the Securities Division's Preliminary List of Witnesses and Preliminary List of Exhibits. Courtesy copies of the same were provided to the Administrative Law Judge on the same date.

RESPECTFULLY SUBMITTED this 16<sup>th</sup> day of May, 2013.

By   
Aikaterine Vervilos  
Registration & Compliance Enforcement  
Attorney for the Securities Division of the  
Arizona Corporation Commission

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ORIGINAL AND EIGHT (8) COPIES of the foregoing  
filed this 16<sup>th</sup> day of May, 2013, with:

Docket Control  
Arizona Corporation Commission  
1200 W. Washington St.  
Phoenix, AZ 85007

COPY of the foregoing hand-delivered  
this 16<sup>th</sup> day of May, 2013 to:

Administrative Law Judge Marc E. Stern  
Arizona Corporation Commission/Hearing Division  
1200 W. Washington St.  
Phoenix, AZ 85007

COPY of the foregoing mailed  
this 16<sup>th</sup> day of May, 2013, to:

Donna Kay Beers  
15825 Sunflower Drive  
Fountain Hills, AZ 85268  
*Respondent*

James Beers  
15825 Sunflower Drive  
Fountain Hills, AZ 85268  
*Respondent*

