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**BEFORE THE ARIZONA CORPORATION COMMISSION**

**COMMISSIONERS**

BOB STUMP- Chairman  
GARY PIERCE  
BRENDA BURNS  
BOB BURNS  
SUSAN BITTER SMITH

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Arizona Corporation Commission  
**DOCKETED**

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DOCKET NO. S-20876A-13-0014

In the matter of:

JAMES F. LIEBES, CRD #2332174, a single man and

LANESBOROUGH FINANCIAL GROUP, LLC, an Arizona limited liability company,

Respondents.

**THIRD**  
**PROCEDURAL ORDER**  
**(Schedules Hearing)**

**BY THE COMMISSION:**

On January 28, 2013, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against James F. Liebes and Lanesbrough Financial Group, LLC, an Arizona limited liability company ("LFG") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") as an unregistered dealer or salesman in connection with the offer and sale of securities.

The Respondents were duly served with copies of the Notice.

On February 11, 2013, Respondents James F. Liebes and LFG filed a request for hearing in this matter.

On February 28, 2013, by Procedural Order, a pre-hearing conference was scheduled on March 14, 2013.

On March 14, 2013, the parties appeared through counsel at the pre-hearing conference, and requested that a status conference be scheduled in approximately 30 days while the issues raised by the Notice are discussed.

On March 18, 2013, by Procedural Order, a status conference was scheduled on April 23, 2013.

1 On April 23, 2013, the Division and Respondents appeared through counsel, and while the  
2 parties are attempting to resolve the issues raised in the Notice, the Division requested that a hearing  
3 be scheduled.

4 Accordingly, a hearing should be scheduled.

5 IT IS THEREFORE ORDERED that a **hearing** shall be held on **December 2, 2013, at 10:00**  
6 **a.m.**, at the Commission's offices, 1200 West Washington Street, Hearing Room No. 2, Phoenix,  
7 Arizona.

8 IT IS FURTHER ORDERED that the parties shall reserve **December 3, 4, 5, and 6, 2013, for**  
9 **additional days of hearing**, if necessary.

10 IT IS FURTHER ORDEREED that the **parties shall exchange copies of their Exhibits and**  
11 **their Witness Lists by October 18, 2013**, with courtesy copies provided to the presiding  
12 Administrative Law Judge.

13 IT IS FURTHER ORDERED that **if the parties reach a resolution of the issues raised in**  
14 **the Notice prior to the hearing, the Division shall file a Motion to Vacate the proceeding.**

15 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized  
16 Communications) is in effect and shall remain in effect until the Commission's Decision in this  
17 matter is final and non-appealable.

18 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules  
19 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission  
20 *pro hac vice*.

21 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance  
22 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the  
23 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances  
24 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is  
25 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the  
26 Administrative Law Judge or the Commission.

27 ...

28 ...

1 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,  
2 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by  
3 ruling at hearing.

4 DATED this 26<sup>TH</sup> day of April, 2013.



MARC E. STERN  
ADMINISTRATIVE LAW JUDGE

8 Copies of the foregoing mailed/delivered  
9 this 26<sup>th</sup> day of April, 2013 to:

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11 Jennifer A. Stevens  
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