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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

BOB STUMP - Chairman
GARY PIERCE
BRENDA BURNS
BOB BURNS
SUSAN BITTER SMITH

Arizona Corporation Commission
AZ CORP COM
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DOCKETED BY [Signature]

In the matter of:

PATRICK B. HAMMONS, CRD#1030468,
and PERI ANN HAMMONS a.k.a. Peri Ann
Brown, husband and wife;

TF6 ADVISORS, LLC, an Arizona limited
liability company, d.b.a. TFG ADVISORS;
and

PACIFIC VENTURES & TRADING LLC,
an Arizona limited liability company;

Respondents.

DOCKET NO. S-20860A-12-0414

PROCEDURAL ORDER
(Schedules Pre-hearing Conference)

BY THE COMMISSION:

On September 12, 2012, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Patrick B. Hammons and Peri Ann Hammons a.k.a. Peri Ann Brown, husband and wife; TF6 Advisors, LLC d.b.a. TFG Advisors ("TFG"); and Pacific Ventures & Trading LLC ("PVT") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") and the Arizona Investment Management Act ("IM Act") in connection with the offer and sale of securities in the form of membership interests.

The spouse ("Respondent Spouse") of Respondent Patrick B. Hammons is joined in the action pursuant to A.R.S. §§ 44-2031(C) and 44-3291(C) solely for the purpose of determining the liability of the marital community.

The Respondents were duly served with copies of the Notice.

On November 28, 2012, Respondents through counsel filed an Answer, but did not file a request for hearing in this matter pursuant to A.R.S. § 44-1972 and A.A.C. R14-4-306.

On January 10, 2013, the Division filed a Motion to Set a Status Conference.

1 Accordingly, a pre-hearing conference should be scheduled as the Respondents' Answer
2 satisfies the requirement of a request for hearing.

3 IT IS THEREFORE ORDERED a **pre-hearing conference** shall be held on **March 6, 2013**,
4 **at 11:00 a.m.**, at the Commission's offices, 1200 West Washington Street, Hearing Room 1,
5 Phoenix, Arizona.

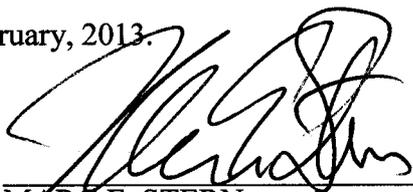
6 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
7 Communications) is in effect and shall remain in effect until the Commission's Decision in this
8 matter is final and non-appealable.

9 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
10 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
11 *pro hac vice*.

12 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
13 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
14 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
15 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
16 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
17 Administrative Law Judge or the Commission.

18 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
19 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
20 ruling at hearing.

21 DATED this 6TH day of February, 2013.

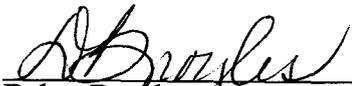
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24 _____
25 MARC E. STERN
26 ADMINISTRATIVE LAW JUDGE
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1 Copies of the foregoing mailed/delivered
2 this 10th day of February, 2013 to:

3 Mark D. Chester
4 CHESTER & SHEIN, P.C.
5 8777 North Gainey Center Drive, Suite 191
6 Scottsdale, AZ 85258-2106
7 Attorneys for Respondents

8 Matt Neubert, Director
9 Securities Division
10 ARIZONA CORPORATION COMMISSION
11 1300 West Washington Street
12 Phoenix, AZ 85007

13 ARIZONA REPORTING SERVICE, INC.
14 2200 North Central Avenue, Suite 502
15 Phoenix, AZ 85004-1481

16 By: 
17 Debra Broyles
18 Secretary to Marc E. Stern
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