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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS 2012 DEC 10 A II: 38

GARY PIERCE, Chairman CORP COMMISSION
BOB STUMP DOCKET CONTROL
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

In the matter of:

MARK DANA HUGHES, CRD# 1843511,
and DOLLY A. HUGHES, husband and
wife,

and

LEGACY FINANCIAL ADVISORS,
L.L.C., CRD# 114029, an Arizona limited
liability company,

Respondents.

DOCKET NO. S-20864A-12-0439

MOTION FOR STATUS CHECK

Arizona Corporation Commission

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DEC 10 2012

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A pre-hearing conference was held on November 20, 2012. At the pre-hearing conference, the parties informed Administrative Law Judge ("ALJ") Stern that the parties were attempting to resolve the matter and requested time to pursue settlement negotiations. The parties arranged to discuss settlement on a future date.

On December 5, 2012, the day the parties were to discuss settlement, Respondents Mark Dana Hughes and Dolly A. Hughes (collectively "Respondents") filed for bankruptcy in Tucson, Arizona (Case No. 4:12-bk-25942). Respondent Legacy Financial Advisors, L.L.C was not part of the bankruptcy filing.

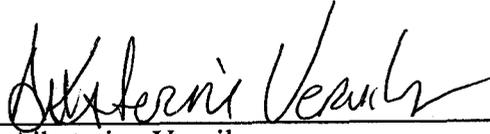
Since the bankruptcy filing, the Division made an attempt to continue settlement negotiations with the Respondents as the Division is not subject to the automatic stay (see 11 U.S.C. § 362(b)(4)). However, there has not been any further contact from the Respondents.

Based on the forgoing, the Division requests ALJ Stern set a date for a status check to discuss setting hearing dates for this matter.

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RESPECTFULLY SUBMITTED this 10th day of December, 2012.

ARIZONA CORPORATION COMMISSION

By 
Aikaterine Vervilos
Registration & Compliance Enforcement
Attorney for the Securities Division of the
Arizona Corporation Commission

ORIGINAL AND EIGHT (8) COPIES of the foregoing
filed this 10th day of December, 2012 with:

Docket Control
Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

COPY of the foregoing hand-delivered
this 10th day of December, 2012 to:

Mr. Marc E. Stern
Administrative Law Judge
Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
Phoenix, AZ 85007

COPY of the foregoing mailed
this 10th day of December, 2012 to:

Mark Dana Hughes
4549 North Camino Campero
Tucson, AZ 85750
Respondent

Dolly A. Hughes
4549 North Camino Campero
Tucson, AZ 85750
Respondent

Legacy Financial Advisors, L.L.C.
4549 North Camino Campero
Tucson, AZ 85750
Respondent