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BEFORE THE ARIZONA CORPORAT
RECEIVED

COMMISSIONERS

GARY PIERCE, Chairman 2012 MAY 11 P 4: 34
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

CORP COMMISSION
DOCKET CONTROL

In the matter of:

DOCKET NO. S-20757A-10-0373

Richard M. Schmerman, (CRD# 1302988)
individually and d/b/a Diversified Financial
and/or Diversified Financial Planners, and Amy
Schmerman, husband and wife;

**STIPULATION TO CONTINUE
HEARING DATE AND DATE TO
EXCHANGE LIST OF WITNESSES
AND EXHIBITS**

Respondents.

The Securities Division and Respondents Richard M. Schmerman ("Mr. Schmerman"),
Diversified Financial and/or Diversified Financial Planners ("Diversified") and Amy Schmerman
("Ms. Schmerman") (collectively "Respondents") are currently due to exchange their List of
Witnesses and Exhibits ("LWE") on May 15, 2012. The parties are attempting to set a Settlement
Conference in this matter for the week of May 28, 2012 in order to potentially resolve this matter.
Counsel for Respondents also has work and personal commitments that conflict with the current
deadlines.

The parties request that the hearing be continued for a period of no less than sixty (60)
days. In addition, the parties request that the deadline for exchanging LWEs be continued to
twenty (20) days prior to the new hearing date.

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Arizona Corporation Commission
DOCKETED
MAY 11 2012
DOCKETED BY
AMC

BADE BASKIN RICHARDS PLC
80 EAST RIO SALADO PARKWAY
SUITE 511
TEMPE, ARIZONA 85281
TELEPHONE NO 480-968-1225
FACSIMILE 480-968-6255

1 RESPECTFULLY SUBMITTED this 11th day of May, 2012.

2 ARIZONA CORPORATION COMMISSION

3
4 By  for
5 Paul Huynh
6 Securities Division
7 1300 West Washington, 3rd Floor
8 Phoenix, Arizona 85007

9 BADE BASKIN RICHARDS PLC

10
11 By 
12 Alan S. Baskin
13 80 East Rio Salado Parkway, Suite 511
14 Tempe, Arizona 85281
15 Attorneys for Respondents

16 ORIGINAL and thirteen copies of the foregoing
17 filed this 11th day of May, 2012 with:

18 Docket Control
19 Arizona Corporation Commission
20 1200 West Washington Street
21 Phoenix, AZ 85007

22 COPY of the foregoing hand-delivered
23 this 11th day of May, 2012 to:

24 Hearing Officer
25 Hearing Division
26 Arizona Corporation Commission
27 1200 W. Washington Street
Phoenix, AZ 85007



schmerman.acc/pld/answer amd nt.doc