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BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS

GARY PIERCE, Chairman
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

2012 JUL -6 A 10:45
ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

Arizona Corporation Commission

DOCKETED

JUL -6 2012

DOCKETED BY [Signature]

In the matter of:
THOMAS LAURENCE HAMPTON,
CRD#2470192, and STEPHANIE YAGER,
husband and wife,
HAMPTON CAPITAL MARKETS, LLC, an
Arizona limited liability company,

Respondents

DOCKET NO. S-20823A-11-0407

**COVER PAGE TO JOINT
STIPULATION**

AND

**REQUEST TO VACATE STATUS
CONFERENCE SCHEDULED FOR
JULY 10, 2012**

Simultaneously with the filing of this Cover Page, Thomas L. Hampton and Hampton Capital Markets, LLC (together, "Respondents"), and the Securities Division of the Arizona Corporation Commission are filing a Joint Stipulation Regarding the Division's Motion to File an Amended Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, Order for Restitution, Order for Administrative Penalties, Order of Revocation, and Order for Other Affirmative Action (the "Joint Stipulation").

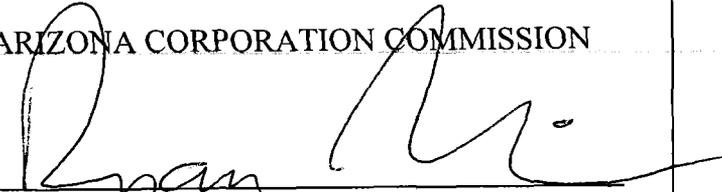
At the time of filing this Cover Page and the Joint Stipulation, Stephanie Yager, the "Respondent Spouse" in the Joint Stipulation, had not signed the Joint Stipulation.

The Joint Stipulation includes a stipulation that the status conference scheduled for July 10, 2012 should be vacated. In light of the contents of the Joint Stipulation, and the fact that the Division and Respondents have signed the Joint Stipulation, the Division requests that the Administrative Law Judge ("ALJ") order that the July 10 status conference is vacated. All other dates and events currently scheduled in this matter should be preserved.

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RESPECTFULLY SUBMITTED this 6th day of July, 2012.

ARIZONA CORPORATION COMMISSION

By 
Ryan J. Millecam
Attorney for the Securities Division of the
Arizona Corporation Commission

On behalf of: Phong (Paul) Huynh
Attorney for the Securities Division of
the Arizona Corporation Commission

ORIGINAL AND THIRTEEN (13) COPIES of the foregoing
filed this 6th day of July, 2012 with:

Docket Control
Arizona Corporation Commission
1200 W. Washington St.
Phoenix, AZ 85007

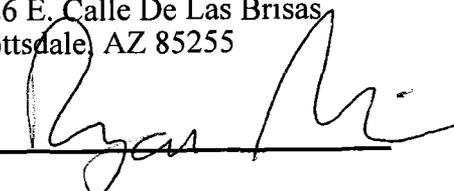
COPY of the foregoing hand-delivered
this 6th day of July, 2012 to:

Mr. Marc E. Stern
Administrative Law Judge
Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
Phoenix, AZ 85007

COPY of the foregoing mailed
this 6th day of July, 2010 to:

Thomas Hampton and Hampton Capital Markets, LLC
9026 E. Calle De Las Brisas
Scottsdale, AZ 85255

Stephanie Yager
9026 E. Calle De Las Brisas
Scottsdale, AZ 85255

By: 

1 **BEFORE THE ARIZONA CORPORATION COMMISSION**

2
3 COMMISSIONERS

4 GARY PIERCE, Chairman
5 BOB STUMP
6 SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

7 In the matter of:

8 THOMAS LAURENCE HAMPTON,
9 CRD#2470192, and STEPHANIE YAGER,
10 husband and wife,
11 HAMPTON CAPITAL MARKETS, LLC, an
Arizona limited liability company,

12 Respondents
13

DOCKET NO. S-20823A-11-0407

**JOINT STIPULATION REGARDING
THE DIVISION'S MOTION TO FILE
AN AMENDED NOTICE OF
OPPORTUNITY FOR HEARING
REGARDING PROPOSED ORDER TO
CEASE AND DESIST, ORDER FOR
RESTITUTION, ORDER FOR
ADMINISTRATIVE PENALTIES,
ORDER OF REVOCATION, AND
ORDER FOR OTHER AFFIRMATIVE
ACTION**

14 The Securities Division of the Arizona Corporation Commission and Thomas L. Hampton,
15 Hampton Capital Markets, LLC, (collectively "Respondents") and Stephanie Yager ("Respondent
16 Spouse"), hereby stipulate to the following matters regarding the Division's June 27, 2012, Motion
17 to File an Amended Notice of Opportunity for Hearing Regarding Proposed Order to Cease and
18 Desist, Order for Restitution, Order for Administrative Penalties, Order of Revocation, and Order
19 for Other Affirmative Action ("Amended Notice").

20 First, the Respondent Spouse and Respondents do not object to the Division's filing of the
21 Amended Notice and the parties stipulate to an agreement regarding the Administrative Law
22 Judge's ("ALJ") order granting leave to the Division to file the Amended Notice.

23 Second, the parties stipulate that upon the entry of the ALJ's order granting leave to file the
24 Amended Notice that Respondent Spouse and Respondents are provided at least thirty days to file
25 an Answer.
26

1 Finally, the parties agree that the July 10, 2012, status conference should be vacated in light
2 of the Amended Notice filing and new allegations contained therein.

3
4 RESPECTFULLY SUBMITTED this 6th day of ~~June~~ July, 2012.

5 ARIZONA CORPORATION COMMISSION

6 
7 By on behalf of: Paul Huynh
8 Phong (Paul) Huynh
9 Attorney for the Securities Division of the
10 Arizona Corporation Commission

11 By: 
12 Thomas L. Hampton
13 for Respondents

14 By: _____
15 Stephanie Yager
16 for Respondent Spouse

17 ORIGINAL AND THIRTEEN (13) COPIES of the foregoing
18 filed this 6th day of ~~June~~ July, 2012 with:

19 Docket Control
20 Arizona Corporation Commission
21 1200 W. Washington St.
22 Phoenix, AZ 85007

23 COPY of the foregoing hand-delivered
24 this 6th day of ~~June~~ July, 2012 to:

25 Mr. Marc E. Stern
26 Administrative Law Judge
Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
Phoenix, AZ 85007

COPY of the foregoing mailed
this 6th day of ~~June~~ July, 2010 to:

1 Thomas Hampton and Hampton Capital Markets, LLC
2 9026 E. Calle De Las Brisas
3 Scottsdale, AZ 85255

4 Stephanie Yager
5 9026 E. Calle De Las Brisas
6 Scottsdale, AZ 85255

7 By: Ryan M

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