

ORIGINAL

NEW APPLICATION



0000134724

BEFORE THE ARIZONA CORPORATION COMMISSION

AZ CORP COMMISSION  
DOCKET CONTROL

COMMISSIONERS

GARY PIERCE, Chairman  
BOB STUMP  
SANDRA D. KENNEDY  
PAUL NEWMAN  
BRENDA BURNS

2012 MAR 5 PM 1 22

In the matter of )  
 )  
STEVEN M. NETZEL (CRD# 2863170), an )  
individual, )  
 )  
Respondent. )

DOCKET NO. S-20840A-12-0084  
**FILING TO OPEN DOCKET**

The Securities Division of the Arizona Corporation Commission requests that a Docket be opened for the purpose of assigning a docket number to a proposed Order to Cease and Desist, Order for Administrative Penalties, and Consent to Same by the above-referenced respondent.

Respondent's mailing address is:

Jamie Palfai  
Baugh, Dalton, Carlson & Ryan, L.L.C.  
3200 North Central Avenue, Suite 2300  
Phoenix, AZ 85012  
Attorney for Steven M. Netzel

Arizona Corporation Commission  
**DOCKETED**

MAR - 5 2012

DOCKETED BY	nr
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Dated this 5<sup>th</sup> day of March, 2012.

ARIZONA CORPORATION COMMISSION

By   
Phong (Paul) Huynh  
Attorney for the Securities Division of the  
Arizona Corporation Commission

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ORIGINAL AND EIGHT (8) COPIES of the foregoing  
filed this 5<sup>th</sup> day of March, 2012 with

Docket Control  
Arizona Corporation Commission  
1200 West Washington  
Phoenix, AZ 85007

BY: Karen Houli