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BEFORE THE ARIZONA CORPORATION COMMISSION

2011 DEC 12 P 4:11

Arizona Corporation Commission

COMMISSIONERS

AZ CORP COMMISSION
DOCKET CONTROL

DOCKETED

GARY PIERCE, Chairman
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

DEC 12 2011

DOCKETED BY [Signature]

In the matter of:
THOMAS LAURENCE HAMPTON,
CRD#2470192, and JANE DOE HAMPTON,
husband and wife,
HAMPTON CAPITAL MARKETS, LLC, an
Arizona limited liability company,
Respondents

DOCKET NO. S-20823A-11-0407

**JOINT STIPULATION REGARDING
THE DIVISION'S MOTION TO FILE
AMENDED TEMPORARY ORDER TO
CEASE AND DESIST AND NOTICE
OF OPPORTUNITY FOR HEARING**

The Securities Division of the Arizona Corporation Commission and Thomas L. Hampton and Hampton Capital Markets, LLC, (collectively Respondents) through their counsel Alan Baskin, Esq. hereby stipulate to the following matters regarding the Division's December 6, 2011, Motion to File an Amended Temporary Order to Cease and Desist and Notice of Opportunity for a Hearing ("Amended Notice").

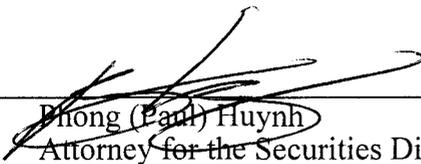
First, the Respondents do not object to the Division's filing of the Amended Notice and the parties stipulate to an agreement on an Administrative Law Judge's ("ALJ") order granting leave to the Division to file the Amended Notice, waive any response timelines thereto and acknowledge that the proposed Amended Notice properly updates Jane Doe Hampton to Stephanie Yager.

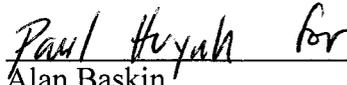
Second, the parties agree to stipulate that Respondent's request for a hearing filed November 30, 2011, applies also to the Amended Notice, without need to file a supplemental request for a hearing, upon the entry of the ALJ's order granting the Division leave to file the Amended Notice.

1 Finally, the parties stipulate that upon the entry of the ALJ's order granting leave to file the
2 Amended Notice that Respondents are provided at least thirty days to file an Answer.

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6 RESPECTFULLY SUBMITTED this 12th day of December, 2011.

7 ARIZONA CORPORATION COMMISSION

8
9 By 
10 Phong (Paul) Huynh
11 Attorney for the Securities Division of the
12 Arizona Corporation Commission

13
14 By: 
15 Alan Baskin
16 Attorney for Respondents

17 ORIGINAL AND THIRTEEN (13) COPIES of the foregoing
18 filed this 12th day of December, 2011 with:

19 Docket Control
20 Arizona Corporation Commission
21 1200 W. Washington St.
22 Phoenix, AZ 85007

23 COPY of the foregoing hand-delivered
24 this 12th day of December, 2011 to:

25 Mr. Marc E. Stern
26 Administrative Law Judge
Arizona Corporation Commission/Hearing Division
1200 W. Washington St.
Phoenix, AZ 85007

1 COPY of the foregoing mailed
this 12th day of December, 2010 to:

2
3 Thomas Hampton and Hampton Capital Markets, LLC
4 c/o Alan Baskin, Esq.
5 Bade & Baskin, PLC
6 80 East Rio Salado Parkway, Suite 511
7 Tempe, Arizona 85281
8 Attorney for Respondents

9 Stephanie Yager
10 9026 E. Calle De Las Brisas
11 Scottsdale, AZ 85255

12 By: Veronica Sanjaval

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