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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

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GARY PIERCE, Chairman
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SANDRA D. KENNEDY
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BRENDA BURNS

2011 NOV 30 P 4: 36

AZ CORP COMMISSION
DOCKET CONTROL

In the matter of:

DOCKET NO. S-20823A-11-0407

THOMAS LAURENCE HAMPTON,
CRD#2470192, and JANE DOE HAMPTON,
husband and wife,

NOTICE OF APPEARANCE AND
REQUEST FOR HEARING

HAMPTON CAPITAL MARKETS, LLC, an
Arizona limited liability company,

Respondents.

Pursuant to A.A.C. R14-3-104, undersigned counsel enters his appearance on behalf of all Respondents. Pursuant to A.A.C. R14-4-306(B), Respondents request a hearing. Respondents also request a pre-hearing conference.

RESPECTFULLY SUBMITTED this 30th day of November, 2011.

BADE & BASKIN PLC

Arizona Corporation Commission
DOCKETED

NOV 30 2011

By

Alan S. Baskin
80 East Rio Salado Parkway, Suite 511
Tempe, Arizona 85281
Attorneys for Respondents

DOCKETED BY

ORIGINAL and thirteen copies of the foregoing
filed this 30th day of November, 2011 with:

Docket Control
Arizona Corporation Commission
1200 West Washington Street
Phoenix, AZ 85007

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COPY of the foregoing hand-delivered
this 30th day of November, 2011 to:

Matthew J. Neubert
Director of Securities
Securities Division
Arizona Corporation Commission
1300 W. Washington Street, 3rd Floor
Phoenix, AZ 85007

Hearing Officer
Hearing Division
Arizona Corporation Commission
1200 W. Washington Street
Phoenix, AZ 85007

COPY of the foregoing mailed
this 30th day of November, 2011 to:

Paul Huynh
Securities Division
Arizona Corporation Commission
1300 W. Washington, 3rd Floor
Phoenix, AZ 85007



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