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BEFORE THE ARIZONA CORPORATION COMMISSION

2011 SEP 20 A 9:52

Arizona Corporation Commission

COMMISSIONERS

AZ CORP COMMISSION DOCKET CONTROL

DOCKETED

GARY PIERCE, Chairman
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

SEP 20 2011

DOCKETED BY [Signature]

In the matter of:
ULF OLOF HOLGERSSON and LAVERNE J. ABE, formerly husband and wife, doing business as Viking Asset Management, an Arizona registered trade name,
Respondents.

DOCKET NO. S-20762A-10-0416

JOINT STIPULATION OF FACTS

On October 14, 2010, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Ulf Olof Holgersson ("Holgersson") and Laverne J. Abe ("Abe"), formerly husband and wife, dba Viking Asset Management ("Viking") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") by Holgersson in connection with the offer and sale of securities in the form of stock and notes. The Division joined Respondent Abe in the Notice pursuant to A.R.S. § 44-2031(C) solely to determine the liability of the marital community.

The Respondents were duly served with a copy of the Notice.

On November 1, 2010, Respondents Holgersson and Abe each filed a request for hearing in this matter.

On September 6, 2011, the Commission approved a Consent Order with respect to Respondent Holgersson. The Consent Order was signed and docketed on September 15, 2011 as Decision No. 72588.

1 Respondent Abe seeks a hearing regarding the liability of the marital community and her  
2 liability, if any, resulting from a finding of marital community liability. Respondent Abe denies  
3 that the marital community is liable or that she would have any liability even assuming there was  
4 a finding of marital community liability.

5 The hearing in this matter is set to begin September 21, 2011. Accordingly, counsel for  
6 the Division and counsel for Respondent Abe wish to stipulate to the following facts:

7 1. Respondent Abe is an individual who has resided in Maricopa County, Arizona at  
8 all times relevant. Respondent Abe has resided at 15236 N. 6<sup>th</sup> Circle, Phoenix, Arizona 85023  
9 since at least September 2002. During this time, the residence has been the sole and separate  
10 property of Respondent Abe.

11 2. From November 25, 2001 through June 19, 2007, the date a petition for  
12 dissolution of marriage was filed in Arizona (FN2007-002720), Respondent Abe was the spouse  
13 of Respondent Holgersson.

14 3. Respondent Holgersson's actions, as set forth in the Notice of Opportunity for  
15 Hearing and the Consent Order, Decision No. 72588, did violate A.R.S. §§ 44-1841, 44-1842 &  
16 44-1991 of the Act.

17 4. The amount of restitution currently outstanding to investors on record with the  
18 Division who invested with Respondent Holgersson prior to June 19, 2007, the date a petition for  
19 dissolution of marriage was filed in Arizona (FN2007-002720), is \$800,198.

20 RESPECTFULLY SUBMITTED this 20<sup>th</sup> day of September, 2011.

21  
22 By W Black for and by permission By W Black  
23 WILENCHIK & LARSON, PLLC William Black  
24 Greg Larson Assistant Chief Counsel of Enforcement,  
25 Attorneys for Respondent Abe Securities Division of the  
26 Arizona Corporation Commission

1 ORIGINAL AND EIGHT (8) COPIES  
2 of the foregoing filed this 20 day of  
September, 2011, with

3 Docket Control  
4 Arizona Corporation Commission  
1200 W. Washington St.  
5 Phoenix, AZ 85007

6 COPY of the foregoing hand-delivered  
7 this 20 day of September, 2011, to:

8 Administrative Law Judge Marc Stern  
9 Arizona Corporation Commission  
1200 W. Washington St.  
10 Phoenix, AZ 85007

11 By: Karen Houk

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