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**BEFORE THE ARIZONA CORPORATION COMMISSION**

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JIM IRVIN  
Chairman-Commissioner  
RENZ D. JENNINGS  
Commissioner  
CARL J. KUNASEK  
Commissioner

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AZ CORP COMM

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In the matter of the securities offering by )  
RONALD H. WEINER dba RON WEINER )  
ASSOCIATES )  
11198 North 129th Way )  
Scottsdale, AZ 85259 )  
CRD #2039529, )  
DOUGLAS DEAN SACKETT )  
1040 W. Oregon Ave. )  
Klamath Falls, OR 97601 )  
CRD #1006917 )  
Respondents. )

DOCKET NO. S-3047A-97-0000  
DIVISION'S WITNESS AND EXHIBIT  
LIST

Arizona Corporation Commission  
**DOCKETED**

APR 20 1998

DOCKETED BY *[Signature]*

The Securities Division of the Arizona Corporation commission hereby submits the following list of witnesses and exhibits.

**I.  
WITNESSES**

1. The Division intends on calling the following individuals to testify at hearing:

- a. John Ordini, Jr. - Mr. Ordini is an investor. This testimony will pertain to all respondents.
- b. Shirley Filliator - Ms. Filliator is an investor. This testimony will pertain to all respondents.
- c. Marsha McManaway - Ms. McManaway is an investor. This testimony will pertain to all respondents.
- d. William Svoboda - Mr. Svoboda is an investor. This testimony will pertain to all respondents.

- e. Winifred Thacker Long – Ms. Long is an investor. This testimony will pertain to all respondents.
- f. Theodore Johnson – Mr. Johnson is an investor. This testimony will pertain to all respondents.
- g. Michael Perkinson – Mr. Perkinson is an investor. This testimony will pertain to all respondents.
- h. Thomas G. Zielinski - Vice President , Compliance Officer of Securities America, Inc. Mr. Zielinski will testify as to Respondent Weiner’s employment as a registered securities salesman and his lack of notification of any private securities transactions or outside business activity. The Division has requested authorization for Mr. Zielinski’s to testify telephonically.
- i. Bobby Ybarra – Mr. Ybarra is an accountant with the Division and will establish foundation and give testimony for documents related to investor funds in Big Horn Oil Co. wells. This testimony will pertain to all respondents.
- j. Respondent Ron Weiner (“Weiner”).
- k. Respondent Douglas Sackett (“Sackett”).

The Division reserves the right to call any witness listed by respondents, whether or not the witness is called by the respondents.

**II.  
EXHIBITS**

2. The Division intends to use the following exhibits at hearing:

Exhibit No.	Description	
S-1	<b>Certificate of Non-Registration for Weiner as a salesman, investment adviser or investment adviser rep for any firm for the dates of 3/4/93 to present.</b>	
S-2	<b>Certificate of Registration for Weiner as a salesman with Securities America for the dates of 5/1/90 to 3/4/93.</b>	
S-3	<b>Certificate of Non-Registration for Sackett as a salesman, investment adviser or investment adviser representative for any firm for the dates of 11/30/91 to present.</b>	
S-4	<b>Certificate of Non-Registration for Ron Weiner Associates (“RWA”) as a broker/dealer or investment adviser, nor registered securities salesman for the dates of 11/30/91 to present.</b>	

1	S-5	Offering document for Ron Weiner Associates Top Gun #1 Completion Program	
2	S-6	Offering document for Ron Weiner Associates Tracy #1 Drilling Program	
3	S-7	Offering document for Ron Weiner Associates Wilson # B-2 Drilling Program	
4	S-8	Offering document for Ron Weiner Associates Chisholm # B-1 Well	
5	S-9	Offering document for Ron Weiner Associates Chisholm # B-2 Well	
6	S-10	Offering document for Ron Weiner Associates Vance # 1 / Freeman # 1 Drilling Program	
7	S-11	Offering document for Ron Weiner Associates Shogun #1 Drilling Program	
8	S-12	Summary of investors in various wells offered by Ron Weiner Associates	
9		Supporting documentation for exhibit prepared by the Division, including; cancelled checks, signed agreements, available for inspection	
10	S-13	Investor documents of John Ordini including:	
11		Cancelled check dated 12/11/91 for Investment in Top Gun #1;	
12		Signed subscriptions for Top Gun #1 Completion Program; Vance #1/Freeman #1 Drilling Program; Chisholm B-3 Drilling Program;	
13		Correspondence from RWA re various investments;	
14		Correspondence to RWA from Mr. Ordini	
14	S-14	Investor documents of Winifred Thacker Long for Top Gun #1 Completion Program	
15	S-15	Correspondence dated 10/7/97 from Securities America, Inc., Thomas G. Zielinski to Pamela T. Johnson	
16	S-16	Summary of Mark-Up (Profits) to RWA for various wells	
17	S-17	RWA Correspondence to Big Horn Oil Co., Inc. re: Top Gun #1 payments, dated 12/18/91, 12/19/91, 12/20/91, 12/23/91, 12/27/91, 1/10/92, 6/2/92,	
18	S-18	RWA Correspondence to Big Horn Oil Co., Inc. re: Vance #1 Drilling and Completion Project, dated 6/15/92, 6/23/92, 7/6/92, 8/19/92	
19	S-19	RWA Correspondence to Big Horn Oil Co., Inc. re: Shogun #1, dated 3/9/92, 6/2/92	
20	S-20	RWA Correspondence to Big Horn Oil Co., Inc. re: Chisholm B-1, dated 12/10/91	
21	S-21	RWA Correspondence to Big Horn Oil Co., Inc. re: Chisholm B-2, dated 12/30/91	
22	S-22	RWA Correspondence to Big Horn Oil Co., Inc. re: Wilson B-2, dated 12/27/91	
23	S-23	RWA Correspondence to Big Horn Oil Co., Inc. re: Tracy No. 1, dated 12/30/91, 2/10/92, 2/24/92	
24	S-24	Transcripts of Examination Under Oath of Ronald Weiner: Vol. I dated 12/19/94	
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S-25	Transcripts of Examination Under Oath of Ronald Weiner: Vol. II dated 1/25/95	
S-26	Transcripts of Examination Under Oath of Ronald Weiner: Vol. III dated 2/23/95	
S-27	Transcripts of Deposition of Ronald Weiner: Vol I dated 2/18/97	

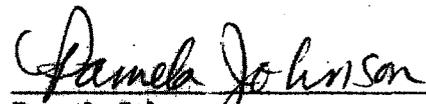
The Division reserves the right to use any exhibits listed by respondents whether or not introduced by respondents.

In addition, the Division may use the transcript of any depositions or examinations under oath taken in this matter.

This list is subject to amendment and/or supplement at any time prior to or during the scheduled hearing.

Respectfully submitted this 20<sup>th</sup> day of April, 1998.

Grant Woods  
Attorney General for the State of Arizona

  
 Pamela Johnson  
 Special Assistant Attorney General  
 Norma B. Martens  
 Assistant Attorney General

ORIGINAL AND TEN COPIES filed  
with Docket Control the 20<sup>th</sup> day of  
April, 1998.

Copies mailed this 20<sup>th</sup> day of  
April, 1998 to:

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