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BEFORE THE ARIZONA CORPORATION COMMISSION

RECEIVED

CARL J. KUNASEK  
Chairman  
JIM IRVIN  
Commissioner  
WILLIAM A. MUNDELL  
Commissioner

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ARIZONA CORPORATION COMMISSION  
SECURITIES DIVISION

In the matter of )  
 )  
RETIREMENT INVESTMENT GROUP )  
1003 Wirt Road, Suite 302 )  
Houston, TX 77055 )  
B/D 7421 )  
 )  
Respondent. )

DOCKET NO. S-03339A-99-0000

**NOTICE OF OPPORTUNITY FOR  
HEARING REGARDING PROPOSED  
ORDER FOR RELIEF**

**NOTICE: RESPONDENT HAS 10 DAYS TO REQUEST A HEARING**

For its proposed order for relief, the Securities Division (the "Division") of the Arizona Corporation Commission (the "Commission") alleges that respondent has engaged in acts, practices and transactions, which constitute violations of A.R.S. § 44-1801 et seq., the Securities Act of Arizona (the "Securities Act").

The Division alleges as follows:

**I.**

**JURISDICTION**

1. The Commission has jurisdiction over these matters pursuant to Article XV of the Arizona Constitution and the Securities Act.

**II.**

**RESPONDENTS**

2. RETIREMENT INVESTMENT GROUP ("RETIREMENT"), whose last known address is 1003 Wirt Road, Suite 302, Houston, TX 77055, is a registered dealer in Arizona.



V.

**REQUESTED RELIEF**

The Division requests that the Commission grant the following relief against respondent:

1. Order the revocation of RETIREMENT's registration as a securities dealer pursuant to A.R.S. § 44-1961; and
2. Order any other relief that the Commission deems appropriate and authorized by law.

VI.

**HEARING OPPORTUNITY**

In accordance with A.R.S. §§ 44-1972 and A.A.C. R14-4-306, RESPONDENT is notified that it is afforded an opportunity for a hearing only by filing a written request for a hearing and cover sheet with Docket Control, Arizona Corporation Commission, 1200 West Washington Street, Phoenix, Arizona 85007, within 10 days after service of this Notice. RESPONDENT is further notified that a cover sheet must accompany all filings. Failure to use the cover sheet may result in the delay of processing or the refusal to accept documents. RESPONDENT may obtain a copy of the cover sheet by calling Docket Control at (602) 542-3477.

The date set for the hearing shall be within 15 to 30 days after the request for the hearing has been docketed, unless otherwise provided by law, stipulated by the parties, or ordered by the Commission. Any respondent who does not request a hearing within the time prescribed is subject to the Commission issuing an order against that respondent containing such relief as the Commission deems appropriate, including but not limited to the relief requested above.

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