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BEFORE THE ARIZONA CORPORATION COMMISSION

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COMMISSIONERS

GARY PIERCE - Chairman
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Arizona Corporation Commission

DOCKETED

AZ CORP COMMISSION
DOCKET CONTROL

APR 25 2011

DOCKETED BY

IN THE MATTER OF:

DOCKET NO. S-20762A-10-0416

ULF OLOF HOLGERSSON and LAVERNE J.
ABE, formerly husband and wife, doing business
as Viking Asset Management, an Arizona
registered trade name,

RESPONDENTS.

FOURTH
PROCEDURAL ORDER
(Reschedules Second
Day of Hearing)

BY THE COMMISSION:

On October 14, 2010, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Ulf Olof Holgersson and LaVerne J. Abe, formerly husband and wife, dba Viking Asset Management ("Viking") (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of stock and notes.

The Respondents were duly served with a copy of the Notice.

On November 1, 2010, Respondents, Ulf Olof Holgersson and LaVerne J. Abe, each filed a request for hearing in this matter.

On November 3, 2010, by Procedural Order, a pre-hearing conference was scheduled on December 2, 2010.

On December 2, 2010, the Division appeared with counsel and Respondents appeared on their own behalf at the pre-hearing conference. The parties indicated they wished to discuss a possible settlement. In the interim, the Division requested that a hearing be scheduled in late April 2011 in the event that the matter was not resolved by the parties.

On December 7, 2010, by Procedural Order, a hearing was scheduled on April 19, 2011, and

1 the parties were ordered to exchange copies of their Witness Lists and Exhibits by March 9, 2011.

2 On March 9, 2011, the Division filed a Motion to Continue ("Motion") the hearing and to
3 continue the date upon which copies of the Witness Lists and Exhibits were to be exchanged because
4 the Division is reviewing additional documentation which it has received and may be able to resolve
5 the issues raised by the Notice. The Respondents have not filed a response to the Division's Motion.

6 On April 5, 2011, by Procedural Order, the Division's Motion was granted and the proceeding
7 was continued from April 19, 2011, to June 20, 23 and 27, 2011

8 Due to a scheduling conflict, it has become necessary to reschedule the second day of hearing
9 from June 23, 2011, to June 24, 2011.

10 IT IS THEREFORE ORDERED that **the hearing scheduled on April 19, 2011, shall be**
11 **continued to June 20, 2011, at 10:00 a.m.**, at the Commission's offices, 1200 West Washington
12 Street, Hearing Room No. 1, Phoenix, Arizona, as previously ordered.

13 IT IS FURTHER ORDERED that the parties shall set aside **June 24 and 27, 2011**, for
14 **additional days of hearing**, if necessary.

15 IT IS FURTHER ORDERED that that **the exchange of the Division's and Respondents'**
16 **copies of their Witness Lists and copies of the Exhibits by March 9, 2011, shall be delayed to**
17 **May 20, 2011**, with courtesy copies provided to the presiding Administrative Law Judge.

18 IT IS FURTHER ORDERED that **if the case is resolved by a proposed Consent Order(s)**
19 **prior to the hearing, the Division shall file a Motion to Vacate the Proceeding.**

20 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
21 Communications) is in effect and shall remain in effect until the Commission's Decision in this
22 matter is final and non-appealable.

23 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
24 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
25 *pro hac vice*.

26 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
27 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
28 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances

1 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
2 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
3 Administrative Law Judge or the Commission.

4 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
5 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
6 ruling at hearing.

7 DATED this 25th day of April, 2011.

8
9
10 
11 MARC E. STERN
ADMINISTRATIVE LAW JUDGE

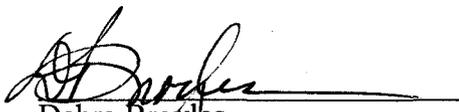
12 Copies of the foregoing mailed/delivered
13 this 25th day of April, 2011 to:

14 Ulf Olof Holgersson
15 Skridskogatan 11
16 72240 Västerås
17 Sweden

18 LaVerne J. Abe
19 15236 North 6th Circle
20 Phoenix, AZ 85023

21 Matt Neubert, Director
22 Securities Division
23 ARIZONA CORPORATION COMMISSION
24 1300 West Washington Street
25 Phoenix, AZ 85007

26 ARIZONA REPORTING SERVICE, INC.
27 2200 North Central Avenue, Suite 502
28 Phoenix, AZ 85004-1481

By: 
Debra Broyles
Secretary to Marc E. Stern