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BEFORE THE ARIZONA CORPORATION COMMISSION

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Arizona Corporation Commission

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AZ CORP COMMISSION
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COMMISSIONERS

GARY PIERCE - Chairman
BOB STUMP
SANDRA D. KENNEDY
PAUL NEWMAN
BRENDA BURNS

IN THE MATTER OF:

DOCKET NO. S-20776A-10-0501

KING SOLOMON MINING, LLC, an Arizona
limited liability company,

DANIEL F. THOMPSON, SR. and ROBIN ANN
THOMPSON, husband and wife, and

LISA A. SHACKLEY, an unmarried individual,

RESPONDENTS.

PROCEDURAL ORDER
(Schedules a Hearing)

BY THE COMMISSION:

On December 16, 2010, the Securities Division ("Division") of the Arizona Corporation ("Commission") filed a Temporary Order to Cease and Desist ("T.O.") and Notice of Opportunity for Hearing ("Notice") against King Solomon Mining, LLC, an Arizona limited liability company, Daniel F. Thompson, Sr. and Robin Ann Thompson, husband and wife, and Lisa A. Shackley, an unmarried individual (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes, and/or investment contracts.

The Respondents were duly served with a copy of the Notice.

On January 12, 2011, Respondent Daniel F. Thompson filed a request for a hearing.

On January 13, 2011, Respondent Lisa A. Shackley filed a request for hearing.

On January 14, 2011, by Procedural Order, a pre-hearing conference was scheduled on February 3, 2011, to review the status of the proceeding.

On February 3, 2011, the Division appeared through counsel and Respondents Daniel F. Thompson, Sr. and Lisa Shackley appeared on their own behalf. Counsel for the Division indicated that the parties are attempting to reach a settlement in the proceeding and submit a proposed form of

1 Consent Order for approval by the Commission at its Open Meeting scheduled on March 16, 2011.
2 The Division requested that a hearing be scheduled after the Commission's Open Meeting on March
3 16, 2011, in the event that the proposed Consent Order is not approved by the Commission.

4 Accordingly, a hearing should be scheduled.

5 IT IS THEREFORE ORDERED that a **hearing** shall be held on **March 31, 2011, at 9:30**
6 **a.m.**, or as soon thereafter as is practicable at the Commission's offices, 1200 West Washington
7 Street, **Hearing Room 1**, Phoenix, Arizona.

8 IT IS FURTHER ORDERED that the **Division and Respondents shall exchange copies of**
9 **their Witness Lists and copies of the Exhibits by March 21, 2011**, with courtesy copies provided
10 to the presiding Administrative Law Judge.

11 IT IS FURTHER ORDERED that if **the case is resolved by proposed Consent Order prior**
12 **to the hearing, the Division shall file a Motion to Vacate the Proceeding.**

13 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
14 Communications) is in effect and shall remain in effect until the Commission's Decision in this
15 matter is final and non-appealable.

16 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
17 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
18 *pro hac vice*.

19 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
20 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
21 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
22 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
23 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
24 Administrative Law Judge or the Commission.

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1 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
2 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
3 ruling at hearing.

4 DATED this 4TH day of February, 2011.

5
6
7 
8 MARCE. STERN
9 ADMINISTRATIVE LAW JUDGE

10 Copies of the foregoing mailed/delivered
11 this 4th day of February, 2011 to:

12 Daniel F. Thompson
13 13725 West Cottonwood Street
14 Surprise, AZ 85374

15 Lisa A. Shackley
16 6173 West Kristal Way
17 Glendale, AZ 85308

18 Matt Neubert, Director
19 Securities Division
20 ARIZONA CORPORATION COMMISSION
21 1300 West Washington Street
22 Phoenix, AZ 85007

23 ARIZONA REPORTING SERVICE, INC.
24 2200 North Central Avenue, Suite 502
25 Phoenix, AZ 85004

26 By: 
27 Debra Broyles
28 Secretary to Marc E. Stern