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Docket No. S-20719A-09-0583

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AZ CORP COMMISSION
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Arizona Corporation Commission

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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

7 KRISTIN K. MAYES, Chairman
8 GARY PIERCE
9 PAUL NEWMAN
10 SANDRA D. KENNEDY
11 BOB STUMP

12 In the matter of:

13 Morgan Financial, L.L.C., an Arizona limited
14 liability company,

15 Jimmy Hartgraves Jr. and Laurie Hartgraves,
16 husband and wife,

17 Respondents.

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**RESPONDENTS' LIST OF
WITNESSES AND
DOCUMENTARY EVIDENCE**

18 Morgan Financial, L.L.C. and Jimmy Hartgraves, Jr. and Laurie Hartgraves
19 ("Respondents") submit the following list of witnesses and documentary evidence for
20 the hearing scheduled to begin on January 13, 2011, at 9:30 a.m. before Administrative
21 Law Judge Marc E. Stern.

22 Respondents reserve the right to use additional exhibits that may be collected or
23 identified between the date of this list and the close of hearing. Respondents also reserve
24 the right to use exhibits that are not listed for purposes of rebuttal. This list is subject
25 to amendment and/or supplement at any time prior to or during the scheduled hearing.
26
27
28

1 Respondents have not yet decided which particular witnesses Respondents
2 will use at trial, but it expects to select from the following list:

- 3 1. Jimmy Hartgraves, Jr.;
- 4
- 5 2. Doug Odom;
- 6
- 7 3. Any purchaser of Promissory Notes or Subordinated Promissory Note(s)
8 issued by Morgan Financial, L.L.C.;
- 9
- 10 4. Any witness called by the Securities Division of the Arizona Corporation
11 Commission (the "Division");
- 12
- 13 5. Any custodian of records necessary for the introduction of business records;
- 14
- 15 6. Any witnesses necessary to lay foundation; and
- 16
- 17 7. Any witnesses necessary for rebuttal.

18 Respondents expect to utilize documentary evidence as follows:

- 19 1. Respondents reserve the right to use any documents identified or
20 submitted by the Division in this proceeding, whether or not listed on
21 the Division's proposed list(s) of exhibits, and whether or not
22 introduced by the Division.
- 23
- 24 2. Respondents reserved the right to use any documents previously
25 provided to the Division by Respondents or others in connection
26 with the Division's investigation.
- 27
- 28 3. Respondents may use additional exhibits that may be produced or
obtained between this date and the close of the hearing.

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