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BEFORE THE ARIZONA CORPORATION COMMISSION

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Arizona Corporation Commission

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AZ CORP COMMISSION
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COMMISSIONERS

KRISTIN K. MAYES – Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

IN THE MATTER OF:

DOCKET NO. S-20762A-10-0416

ULF OLOF HOLGERSSON and LAVERNE J.
ABE, formerly husband and wife, doing business
as Viking Asset Management, an Arizona
registered trade name,

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On October 14, 2010, the Securities Division (“Division”) of the Arizona Corporation Commission (“Commission”) filed a Notice of Opportunity for Hearing (“Notice”) against Ulf Olof Holgersson and LaVerne J. Abe, formerly husband and wife, dba Viking Asset Management (“Viking”) (collectively “Respondents”), in which the Division alleged multiple violations of the Arizona Securities Act (“Act”) in connection with the offer and sale of securities in the form of stock and notes.

The Respondents were duly served with a copy of the Notice.

On November 1, 2010, Respondents, Ulf Olof Holgersson and LaVerne J. Abe, each filed a request for hearing in this matter.

Accordingly, a pre-hearing conference should be scheduled.

IT IS THEREFORE ORDERED a **pre-hearing conference** shall be held on **December 2, 2010, at 11:00 a.m.**, at the Commission’s offices, 1200 West Washington Street, 1st Floor Utilities Conference Room, Phoenix, Arizona.

IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized Communications) is in effect and shall remain in effect until the Commission’s Decision in this matter is final and non-appealable.

1 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
2 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission
3 *pro hac vice*.

4 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
5 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
6 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
7 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
8 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
9 Administrative Law Judge or the Commission.

10 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,
11 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by
12 ruling at hearing.

13 DATED this 3rd day of November, 2010.


14
15
16 MARC E. STERN
17 ADMINISTRATIVE LAW JUDGE

18 Copies of the foregoing mailed/delivered
19 this 3rd day of November, 2010 to:

20 Ulf Olof Holgersson
21 Skridskogatan 11
22 72240 Västerås
23 Sweden

ARIZONA REPORTING SERVICE, INC.
2200 North Central Avenue, Suite 502
Phoenix, AZ 85004-1481

22 LaVerne J. Abe
23 15236 North 6th Circle
24 Phoenix, AZ 85023

24 Matt Neubert, Director
25 Securities Division
26 ARIZONA CORPORATION COMMISSION
27 1300 West Washington Street
28 Phoenix, AZ 85007

By: 
Debra Broyles
Secretary to Marc E. Stern