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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

MARC SPITZER, Chairman
JIM IRVIN
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
MIKE GLEASON

Arizona Corporation Commission
DOCKET #

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IN THE MATTER OF:

DOCKET NO. S-03507A-02-0000

RALPH SHAUL and LESLIE SHAUL,
husband and wife
P.O. Box 9760
Phoenix, AZ 85068

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On December 12, 2002, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, for Restitution, for Administrative Penalties, and For Other Affirmative Action ("Notice") against Ralph Shaul and Leslie Shaul ("Respondents") in which the Division alleged that Respondent have engaged in acts, practices, and transactions that constitute violations of the Arizona Securities Act ("Act").

On February 20, 2003, Respondents, through their attorney, filed a request for a hearing and a pre-hearing conference.

On February 26, 2003, the Commission, by Procedural Order, scheduled a pre-hearing conference for April 7, 2003 in this matter.

On April 7, 2003, the pre-hearing was held as scheduled. The Respondents and the Division appeared with counsel. Following discussions between the parties, it was agreed that a hearing should be scheduled on the issues raised in the Notice on July 28, 2003. In the interim, the parties agreed to attempt to resolve the issues raised in the Notice.

1 Accordingly, a hearing should be scheduled to address the issues raised in the Notice and the
2 presentation of evidence.

3 IT IS THEREFORE ORDERED that a hearing on the above-captioned matter shall be held on
4 July 28, 2003, at 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix,
5 Arizona.

6 IT IS FURTHER ORDERED that the parties shall exchange witness lists and exhibits not
7 later than 10 days before the hearing and provide a copy of same to the presiding Administrative Law
8 Judge.

9 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113 – Unauthorized
10 Communications) applies to this proceeding as the matter is now set for public hearing.

11 IT IS FURTHER ORDERED that the Presiding Officer may rescind, alter, amend or waive
12 any portion of this Procedural Order by subsequent Procedural Order or by ruling at hearing.

13 DATED this 23 day of April, 2003.



14
15
16 PHILIP J. DION III
ADMINISTRATIVE LAW JUDGE

17 Copies of the foregoing mailed/delivered
18 this 23 day of April, 2003 to:

19 Brian J. Schulman
20 KUTAK ROCK, L.L.P.
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22 Scottsdale, AZ 85253-2742
23 Attorneys for Respondent

24 Moira McCarthy
25 Assistant Attorney General
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By: Molly Johnson
5 Secretary to Philip J. Dion III
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