

ORIGINAL



0000117108

BEFORE THE ARIZONA CORPORATION COMMISSION

RECEIVED

COMMISSIONERS

MARC SPITZER, Chairman
JIM IRVIN
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
MIKE GLEASON

Arizona Corporation Commission

DOCKETED

JAN 16 2004

2004 JAN 16 A 8:14

ARIZONA CORP COMMISSION
DOCUMENT CONTROL

DOCKETED BY	
-------------	--

DOCKET NO. S-03493A-03-0000

IN THE MATTER OF THE.

ROBERT C. FROST/ROBIN FROST
Husband and wife,
6062 E. Ludlow
Scottsdale, AZ 85254.

FOURTH
PROCEDURAL ORDER

BY THE COMMISSION:

On April 3, 2003, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Robert C. Frost and Robin Frost ("Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities.

The Respondents were duly served with a copy of the Notice.

On April 10, 2003, a request for hearing was filed for Respondents.

On April 11, 2003, by Procedural Order, a pre-hearing conference was scheduled for April 30, 2003.

On April 30, 2003, counsel for the Division and the Respondents appeared at the pre-hearing conference. Respondents requested a 30-day extension of time in which to file their Answer to the Notice. The Division did not object to this request. Counsel for the parties also indicated they were going to discuss a possible resolution of the proceeding in the interim. It was also agreed that an additional pre-hearing should be scheduled in approximately 45 days.

On April 30, 2003, by Procedural Order, leave was granted for Respondents to file their Answer until June 2, 2003 and an additional pre-hearing scheduled.

On June 2, 2003, Respondents filed their Answer.

On June 17, 2003, another pre-hearing conference was held with the Division and Respondents represented by counsel. The status of the proceeding was reviewed and matters relating

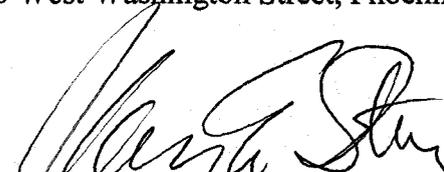
1 to discovery were discussed. The Division stipulated to the amendment of Respondents' Answer
2 being filed by June 30, 2003. The parties further agreed that for scheduling purposes, an additional
3 pre-hearing conference be scheduled in the latter part of October after the expected conclusion of
4 discovery which has been delayed due to the absence of investor witnesses from Arizona until
5 approximately the end of August, 2003.

6 On June 17, 2003, by Procedural Order, another pre-hearing conference was scheduled on
7 October 23, 2003. Leave was granted to file Respondents' amended Answer by June 30, 2003.

8 On October 23, 2003, the Division and Respondents appeared through counsel. It was agreed
9 that counsel for the parties would subsequently contact the presiding Administrative Law Judge to
10 schedule a hearing on the Notice. Counsel have failed to contact the presiding Administrative Law
11 Judge to schedule a hearing as agreed and an additional pre-hearing conference should be held to
12 schedule the hearing.

13 IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on January 29,
14 2004 at 10:30 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

15 DATED this 16th day of January, 2004.


16
17 MARC E. STERN
18 ADMINISTRATIVE LAW JUDGE

19 Copies of the foregoing mailed/delivered
20 this 16 day of January, 2004 to:

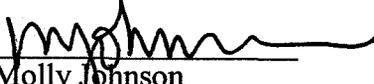
21 Joseph E. Mais
22 Brian C. Lake
23 BROWN & BAIN, P.A.
24 2901 North Central Avenue
25 P.O. Box 400
26 Phoenix, AZ 85001-0400
27 Attorneys for Respondents

28 Matt Neubert, Director
29 Securities Division
30 ARIZONA CORPORATION COMMISSION
31 1300 West Washington Street
32 Phoenix, AZ 85007

33 ...

1 ARIZONA REPORTING SERVICE, INC.
2 2627 N. Third Street, Ste. Three
3 Phoenix, AZ 85004

4 By:

5 
6 Molly Johnson
7 Secretary to Marc E. Stern
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28