



0000117105

ORIGINAL

25P

BEFORE THE ARIZONA CORPORATION COMMISSION **RECEIVED**

COMMISSIONERS

Arizona Corporation Commission

2003 JUN 17 P 1:33

DOCKETED

MARC SPITZER, Chairman
JIM IRVIN
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
MIKE GLEASON

JUN 17 2003

AZ CORP COMMISSION
DOCUMENT CONTROL

DOCKETED BY	<i>rac</i>
-------------	------------

IN THE MATTER OF THE.

DOCKET NO. S-03493A-03-0000

ROBERT C. FROST/ROBIN FROST
Husband and wife,
6062 E. Ludlow
Scottsdale, AZ 85254.

THIRD
PROCEDURAL ORDER

BY THE COMMISSION:

On April 3, 2003, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Robert C. Frost and Robin Frost ("Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities.

The Respondents were duly served with a copy of the Notice.

On April 10, 2003, a request for hearing was filed for Respondents.

On April 11, 2003, by Procedural Order, a pre-hearing conference was scheduled for April 30, 2003.

On April 30, 2003, counsel for the Division and the Respondents appeared at the pre-hearing conference. Respondents requested a 30-day extension of time in which to file their Answer to the Notice. The Division did not object to this request. Counsel for the parties also indicated they were going to discuss a possible resolution of the proceeding in the interim. It was also agreed that an additional pre-hearing should be scheduled in approximately 45 days.

On April 30, 2003, by Procedural Order, leave was granted for Respondents to file their Answer until June 2, 2003 and an additional pre-hearing scheduled.

On June 2, 2003, Respondents filed their Answer.

On June 17, 2003, another pre-hearing conference was held with the Division and Respondents represented by counsel. The status of the proceeding was reviewed and matters relating

1 to discovery were discussed. The Division stipulated to the amendment of Respondents' Answer
2 being filed by June 30, 2003. The parties further agreed that for scheduling purposes, an additional
3 pre-hearing conference be scheduled in the latter part of October after the expected conclusion of
4 discovery which has been delayed due to the absence of investor witnesses from Arizona until
5 approximately the end of August, 2003.

6 Accordingly, another pre-hearing conference should be scheduled, and leave granted to file
7 Respondents' amended Answer by June 30, 2003.

8 IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on October 23,
9 2003 at 9:30 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

10 IT IS FURTHER ORDERED that leave is granted to the Respondents to file their amended
11 Answer by June 30, 2003.

12 DATED this 17TH day of June, 2003.

13
14 
15 MARCE E. STERN
ADMINISTRATIVE LAW JUDGE

16 Copies of the foregoing mailed/delivered
17 this 17 day of June, 2003 to:

18 Joseph E. Mais
19 Brian C. Lake
20 BROWN & BAIN, P.A.
21 2901 North Central Avenue
P.O. Box 400
Phoenix, AZ 85001-0400
Attorneys for Respondents

22 W. Mark Sendrow, Director
23 Securities Division
24 ARIZONA CORPORATION COMMISSION
1300 West Washington Street
Phoenix, AZ 85007

25 ARIZONA REPORTING SERVICE, INC.
26 2627 N. Third Street, Ste. Three
Phoenix, AZ 85004

27 By: 
28 Molly Johnson
Secretary to Marc E. Stern