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COVER SHEET

ORIGINAL

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CORPORATION COMMISSION

DOCUMENT CONTROL CENTER

COMPANY/CASE NAME: RONALD L. FANZO 2001 AUG 21 A 11: 38

DOCKET NOS: S-03448A-01-0000

D/B/A or RESPONDENT: RONALD L. FANZO AZ CORP COMMISSION DOCUMENT CONTROL

NATURE OF ACTION OR DESCRIPTION OF DOCUMENT

Please mark the item that describes the nature of the case/filing:

01 UTILITIES - NEW APPLICATIONS

- NEW CC&N, RATES, INTERIM RATES, CANCELLATION OF CC&N, DELETION OF CC&N (TERRITORY), EXTENSION OF CC&N (TERRITORY), TARIFF - NEW (NEXT OPEN MEETING), REQUEST FOR ARBITRATION (Telecommunication Act), FULLY OR PARTIALLY ARBITRATED INTERCONNECTION AGREEMENT (Telecom. Act.), VOLUNTARY INTERCONNECTION AGREEMENT (Telecom. Act.), MAIN EXTENSION, CONTRACT/AGREEMENTS, COMPLAINT (Formal), RULE VARIANCE/WAIVER REQUEST, SITING COMMITTEE CASE, SMALL WATER COMPANY -SURCHARGE (Senate Bill 1252), SALE OF ASSETS & TRANSFER OF OWNERSHIP, SALE OF ASSETS & CANCELLATION OF CC&N, FUEL ADJUSTER/PGA, MERGER, FINANCING, MISCELLANEOUS

02 UTILITIES - REVISIONS/AMENDMENTS TO PENDING OR APPROVED MATTERS

- APPLICATION COMPANY DOCKET NO., TARIFF, PROMOTIONAL DECISION NO., DOCKET NO., COMPLIANCE DECISION NO., DOCKET NO.

Arizona Corporation Commission DOCKETED

AUG 21 2001

DOCKETED BY [Signature]

SECURITIES or MISCELLANEOUS FILINGS

- 04 AFFIDAVIT, 12 EXCEPTIONS, 18 REQUEST FOR INTERVENTION, 48 REQUEST FOR HEARING, 24 OPPOSITION, 50 COMPLIANCE ITEM FOR APPROVAL, 32 TESTIMONY, 47 COMMENTS, 29 STIPULATION, 38 NOTICE OF INTENT (Only notification of future action/no action necessary), 43 PETITION, 46 NOTICE OF LIMITED APPEARANCE, 39 OTHER

X

8-18-2001 Date

RON FANZO Print Name of Applicant/Company/Contact person/Respondent/Atty. 480-321-2364 Phone

PLEASE SEE NOTICE ON REVERSE SIDE

COMMENTS. The purpose of the following is not to debate or rebut statements contained in the temporary order. I am not an attorney, nor am I being represented by an attorney. What is stated below is merely a factual narrative in response to the basic and fundamental grievances cited in the order. It is anticipated that reasonable conclusions can be drawn and weighed in light of allegations noted in the order after a review of the following.

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FIRST AND FOREMOST, I am not currently involved in the perpetuation of the business activities described in the temporary order, and I have not been actively involved in these activities for some time. I am not interested in, nor do I have a desire, plan or agenda to continue this business, or to resurrect this business in any form, under any circumstances, as an individual, a sole proprietor, or as a corporation. I am not interested in, nor do I have any desire, plan or agenda to engage in the sale or trading of securities in any form within any jurisdiction, domestic or foreign. Furthermore, I have never been a registered securities dealer or trader, nor have I ever been employed by a securities trading firm in any capacity. And finally, I have no practical or professional experience in dealing or trading securities.

WEBSITES. ALL of the websites cited in the temporary order have been dismantled and are no longer operational or available. One of the websites cited in the temporary order, www.intermarc-inc.com has not been operational in nearly six months.

REQUIREMENT OF REGISTRATION. In the approximate time frame of September/October 2000, I placed two phone calls to the Arizona Corporation Commission to query the need and/or requirements of licensing for the business of not brokering.

Arizona Corporation Commission

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In the course of the second phone call, I spoke with a female representative who informed me that no such licensing or registration was available through the Corporation Commission. I was then referred to the Arizona State Department of Banking. After two phone calls to this agency as well, I was told that non real estate-oriented note brokering did not fall under the jurisdiction of the Department of Banking. Consequently, I reached the reasonable conclusion there was no requirement for registration for non real estate-oriented note brokering in Arizona. Additionally, cultivating a familiarity with Arizona Revised Statutes involving the offering and sale of securities did not appear to be in order as the brokering of notes was not identified as an activity falling under the realm of regulated securities.

I attest to the above and aforementioned being true and pledge complete compliance to the order of cease and desist.



Ronald L. Fanzo
Respondent