



0000116531

25H

ORIGINAL

25H

BEFORE THE ARIZONA CORPORATION COMMISSION

1  
2 WILLIAM A. MUNDELL  
CHAIRMAN  
3 JIM IRVIN  
COMMISSIONER  
4 MARC SPITZER  
COMMISSIONER

Arizona Corporation Commission

DOCKETED

DEC 20 2001

2001 DEC 20 P 2:00

AZ CORP COMMISSION  
DOCUMENT CONTROL

5 IN THE MATTER OF:

DOCKETED BY	<i>me</i>
-------------	-----------

DOCKET NO. S-03408A-01-0000

6 MICHELLE L. WEBB  
7 dba Webb Financial Group  
aka Michelle Webb Financial Group  
8 1511 East Gaffney  
New River, Arizona 85087  
9 CRD #2565381,

Respondents.

PROCEDURAL ORDER

11 **BY THE COMMISSION:**

12 On October 3, 2001, the Securities Division ("Division") of the Arizona Corporation  
13 Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to  
14 Cease and Desist and for Other Relief ("Notice") against Ms. Michelle L. Webb, dba Webb Financial  
15 Group, aka Michelle Webb Financial in which the Division alleged multiple violations of the Arizona  
16 Securities Act ("Act") in connection with the offer and sale of securities primarily in the form of  
17 Certificates of Deposit (CDs), viatical settlement contracts and other forms of investment contracts.

18 The Respondent was duly served with a copy of the Notice.

19 On October 29, 2001, Respondent Webb filed a request for hearing.

20 On October 30, 2001, by Procedural order, a pre-hearing conference was scheduled.

21 On November 13, 2001, a pre-hearing conference was held as scheduled. At that time,  
22 substitute counsel appeared on behalf of the Respondent. Counsel for the Division and the  
23 Respondent agreed that the pre-hearing should be continued until December 5, 2001, due to the  
24 replacement of Respondent's prior counsel.

25 On December 5, 2001, counsel for the parties filed a Stipulation to continue the pre-hearing  
26 conference from December 5, 2001 to December 20, 2001, and to schedule a hearing not earlier than  
27 60 days from the pre-hearing conference.  
28

1 On December 5, 2001, by Procedural Order, the pre-hearing conference was continued.

2 On December 20, 2001, the pre-hearing conference took place as scheduled. The status of the  
3 proceeding was discussed and the parties requested that a further pre-hearing or teleconference be  
4 scheduled along with a hearing.

5 Accordingly, a teleconference and hearing should be scheduled.

6 IT IS THEREFORE ORDERED that a teleconference shall be conducted on January 15, 2002  
7 at 9:30 a.m. at which time counsel will be called by the presiding Administrative Law Judge.

8 IT IS FURTHER ORDERED that a hearing shall be held on April 9, 2002, at 9:30 a.m. at the  
9 Commission's offices, 1200 West Washington, Phoenix, Arizona.

10 IT IS FURTHER ORDERED that the parties shall also reserve April 10 and 11, 2002 for  
11 additional days of hearing, if necessary.

12 IT IS FURTHER ORDERED that counsel for the Securities Division and Ms. Webb shall  
13 exchange witness lists and pre-marked exhibits at least 14 days prior to hearing with courtesy copies  
14 being provided to the presiding Administrative Law Judge.

15 DATED this 20th day of December, 2001.

16   
17 \_\_\_\_\_  
18 MARC E. STERN  
ADMINISTRATIVE LAW JUDGE

19 Copies of the foregoing mailed/delivered  
20 this 20th day of December, 2001 to:

21 John Welch  
22 GALLAGHER & KENNEDY  
23 2575 E. Camelback Road  
Phoenix, AZ 85016-9225  
Attorneys for Respondent

24 Mark Sendrow, Director  
25 Securities Division  
ARIZONA CORPORATION COMMISSION  
26 1300 West Washington Street  
Phoenix, Arizona 85007

27 Moira McCarthy  
28 Assistant Attorney General  
1275 W. Washington Street  
Phoenix, AZ 85007

1 ARIZONA REPORTING SERVICE, INC.  
2 2627 N. Third Street, Suite Three  
3 Phoenix, Arizona 85004-1104

4 By:   
5 Molly Johnson  
6 Secretary to Marc E. Stern  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28