



0000116490

ORIGINAL

258

RECEIVED

BEFORE THE ARIZONA CORPORATION COMMISSION

Arizona Corporation Commission

2001 APR 24 A 8:38

WILLIAM A. MUNDELL
CHAIRMAN
JIM IRVIN
COMMISSIONER
MARC SPITZER
COMMISSIONER

DOCKETED

APR 24 2001

AZ CORP COMMISSION
DOCUMENT CONTROL

DOCKETED BY	<i>[Signature]</i>
-------------	--------------------

DOCKET NO. S-03403A-01-0000

IN THE MATTER OF:

STEPHEN MICHAEL JOHNSON
(CRD #721408)
d/b/a FERNDAL FINANCIAL GROUP
839 S. Westwood #245
Mesa, Arizona 85210

Respondent.

PROCEDURAL ORDER

BY THE COMMISSION:

On March 29, 2001, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order to Cease and Desist, for Restitution, for Administrative Penalties, and for Other Affirmative Action ("Notice") against Stephen Michael Johnson (CRD #721408) d/b/a Ferndale Financial Group, ("Respondent") in which the Division alleged that Respondent has engaged in acts, practices, and transactions that constitute violations of the Arizona Securities Act ("Act").

On April 13, 2001 Respondent filed a request for hearing.

Accordingly, a pre-hearing conference should be scheduled to address the issues raised in the Notice and the presentation of evidence.

IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on May 8, 2001, at 10:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

DATED this *24th* day of April, 2001.

[Signature]
PHILIP J. DION III
ADMINISTRATIVE LAW JUDGE

1 Copies of the foregoing mailed/delivered
this 24th day of April, 2001 to:

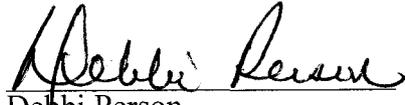
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Stephen Michael Johnson
d/b/a FERNDALÉ FINANCIAL GROUP
839 S. Westwood #245
Mesa, Arizona 85210

Robert A. Zumoff
Assistant Attorney General
ARIZONA ATTORNEY GENERAL'S OFFICE
1275 West Washington Street
Phoenix, Arizona 85007

W. Mark Sendrow, Director
Securities Division
ARIZONA CORPORATION COMMISSION
1300 West Washington Street
Phoenix, Arizona 85007

ARIZONA REPORTING SERVICE, INC.
2627 N. Third Street, Suite Three
Phoenix, Arizona 85004-1104

By: 
Debbi Person
Secretary to Philip J. Dion, III