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BEFORE THE ARIZONA CORPORATION COMMISSION

Arizona Corporation Commission

DOCKETED

2000 JUL 24 A 8:41

JUL 24 2000

AZ CORP COMMISSION
DOCUMENT CONTROL

CARL J. KUNASEK
Chairman
JIM IRVIN
Commissioner
WILLIAM A. MUNDELL
Commissioner

DOCKETED BY [Signature]

- 6 **In the matter of**)
- 7)
- 7 JOSEPH MICHAEL GUESS, SR.)
- 8 2911 E. Calavar Road)
- 8 Phoenix, Arizona 85032)
- 9)
- 9 PROGRESSIVE FINANCIAL MANAGEMENT)
- 10 2911 E. Calavar Road)
- 10 Phoenix, Arizona 85032)
- 11)
- 11 JAMES DOUGLAS SHERRIFFS)
- 12 5544 East Helena Drive,)
- 12 Scottsdale, Arizona 85254)
- 13)
- 13 RICHARD GORDON DAVIS)
- 14 4330 North 30TH Street)
- 14 Phoenix, Arizona 85016)
- 15)
- 15 RGD)
- 16 4330 North 30TH Street)
- 16 Phoenix, Arizona 85016)
- 17)
- 17 RGD ENTERPRISES, INC.)
- 18 4330 North 30TH Street)
- 18 Phoenix, Arizona 85016)
- 19)
- 19 IRA JOE PATTERSON)
- 20 4330 North 30th Street)
- 20 Phoenix, Arizona 85016)
- 21)
- 21 RANDALL WAYNE SMITH, JR.)
- 22 1905 Springlake Court)
- 22 Birmingham, Alabama 35215)
- 23)
- 23 BALLY OVERSEAS TRADING INC.)
- 24 1905 Springlake Court)
- 24 Birmingham, Alabama 35215,)
- 25 **Respondents.**)
- 26)

DOCKET NO. S-03280A-00-0000

**NOTICE OF INTENT TO
APPLY FOR DEFAULT ORDER
AGAINST RESPONDENTS
PROGRESSIVE FINANCIAL
MANAGEMENT, RGD, RGD
ENTERPRISES, INC. AND
BALLY OVERSEAS TRADING,
INC.**

1 In view of the failure of respondents Progressive Financial Management (“PFM”), RGD,
2 RGD Enterprises, Inc. and Bally Overseas Trading, Inc. (“defaulting respondents”) to timely
3 request a hearing in the above-referenced matter after being served with the Notice of
4 Opportunity for Hearing Regarding Proposed Order for Relief (“Notice”) dated April 6, 2000, the
5 Securities Division (“Division”) of the Arizona Corporation Commission (“Commission”)
6 hereby provides notice of its intent to apply directly to the Commission for issuance of an order
7 against the defaulting respondents granting the relief requested in the Notice.

8 FACTS

9 Sworn affidavits of service docketed in this matter show that:

10 PFM was duly served with the Notice through its agent Joseph Michael Guess, Sr.
11 (“Guess”) on April 13, 2000; *proposed hearing exhibit S-1h attached hereto;*

12 RGD was duly served with the Notice through its agents Guess and Richard Gordon
13 Davis (“Davis”) on April 13, 2000, and James Douglas Sherriffs (“Sherriffs”) on June 21, 2000;
14 *proposed hearing exhibits S-1e, S-1f and S-1g attached hereto;*

15 RGD Enterprises, Inc. was duly served with the Notice through its agents Guess and
16 Davis on April 13, 2000; *proposed hearing exhibits S-1i and S-1j attached hereto;*

17 Bally Overseas Trading, Inc. (“Bally”) was duly served with the Notice through its agent
18 AMS Trustees Limited on June 28, 2000; *proposed hearing exhibits S-1k and S-1l attached*
19 *hereto.*

20 Despite this service upon PFM, RGD, RGD Enterprises, Inc. and Bally, these respondents
21 apparently have failed to timely request a hearing pursuant to A.R.S. § 44-1972 and A.A.C. R14-
22 4-306. Respondent Davis, through his attorney Dale L. States, docketed a letter request for
23 hearing identifying himself only, but with a cover sheet naming RGD Enterprises, Inc. as the
24 filing respondent. States has since clarified to the Division that this hearing request was intended
25 only for Davis as an individual. *Exhibits A and B attached hereto.* Respondent Guess did docket
26 a cover sheet and request for hearing “for myself” on April 21, 2000, but failed to identify any

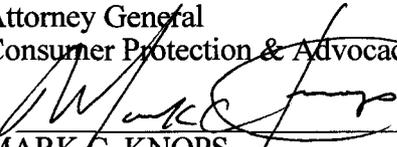
1 other respondent therein. *Exhibit C attached hereto*. Respondent Ira Joe Patterson also docketed
2 a cover sheet and request for hearing "for myself" that same date, without identifying any other
3 respondent therein. *Exhibit D attached hereto*. Respondent Sherriffs docketed a cover sheet and
4 request for hearing on April 24, 2000, also without identifying any other respondent therein.
5 *Exhibit E attached hereto*. No other requests for hearing were docketed.

6 CONCLUSION

7
8 By reason of their failure to timely request a hearing, the defaulting respondents lost the
9 opportunity to administratively contest the allegations against them in the Notice. The Division
10 may now apply directly to the Commission for an order against PFM, RGD, RGD Enterprises,
11 Inc. and Bally granting the relief requested in the Notice. As soon as practicable, the Division
12 intends to do so. Unless otherwise ordered by the Hearing Officer in this matter, the Division will
13 hereafter serve its filings related to the administrative hearing only on those respondents who
14 requested a hearing.

15 RESPECTFULLY SUBMITTED this 24th day of July, 2000.

16
17 JANET NAPOLITANO
18 Attorney General
19 Consumer Protection & Advocacy Section

20 BY: 
21 MARK C. KNOPS
22 Special Assistant Attorney General
23 MOIRA McCARTHY
24 Assistant Attorney General
25 Attorneys for the Securities Division
26 Arizona Corporation Commission

1 STATE OF ARIZONA)
2 County of Maricopa)

RECEIVED
AZ CORP COMMISSION
AFFIDAVIT OF SERVICE
Jul 7 10 00 AM '00

3
4 I, David F. Adams, Senior Investigator for the Securities Division of the Arizona Corporation
5 Commission, hereby certify that on the 13th day of April, 2000 at 11:50 AM, I served a copy of
6 Notice of Opportunity for Hearing Regarding Proposed Order for Relief, Docket No. S-003280A-
7 00-0000, upon Progressive Financial Management, by: leaving a copy with an officer or director
8 of Progressive Financial Management, to wit; Joseph Michael Guess, Sr. at 2911 E. Calavar Rd.,
9 Phoenix, AZ.

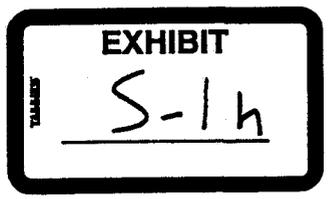
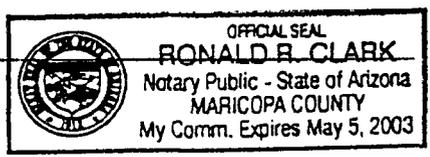
12 David F. Adams
AFFIANT

4 May 2000
DATE

15 SUBSCRIBED AND SWORN TO BEFORE me this 4 day of
16 May, 2000.

19 Ronald B. Clark
NOTARY PUBLIC

20 My Commission Expires:



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1 STATE OF ARIZONA)
2 County of Maricopa)

RECEIVED
AZ 2000
AFFIDAVIT OF SERVICE

JUL 7 9 57 AM '00

3
4 I, David F. Adams, Senior Investigator for the Securities Division of the Arizona Corporation
5 Commission. hereby certify that on the 13th day of April, 2000 at 12:15 PM. I served a copy of
6 Notice of Opportunity for Hearing Regarding Proposed Order for Relief, Docket No. S-003280A-
7 00-0000, upon RGD Enterprises, at 4330 North 30th St., Phoenix, AZ, by: leaving a copy with
8 Richard Gordon Davis, an adult and an officer or director of RGD Enterprises.

9
10
11 David F. Adams
AFFIANT

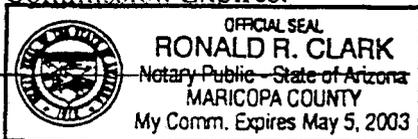
4 May 2000
DATE

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14 SUBSCRIBED AND SWORN TO BEFORE me this 4 day of

15 May, 2000.

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18 Ronald R. Clark
NOTARY PUBLIC

19 My Commission Expires:



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EXHIBIT

S-1j

1 STATE OF ARIZONA)
2 County of Maricopa)

AFFIDAVIT OF SERVICE
(S-03280A-98-0000)

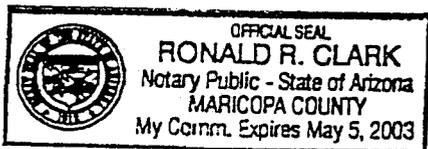
3
4 I, David F. Adams, for the Securities Division of the Arizona Corporation Commission, hereby
5 certify that I am not less than 18 years of age, and that on the 2nd day of June, 2000, a copy of a
6 Notice of Opportunity for Hearing Regarding Proposed Order for Relief in the matter of the
7 Arizona Corporation Commission Docket No. S-03280A-98-0000 was served upon Bally
8 Overseas Trading, Inc., by: mailing a copy of the notice in an envelope addressed to Bally
9 Overseas Trading, Inc., care of AMS Trustees Limited, Registered Agent, Sea Meadow House,
10 P.O.Box 116, Road Town, Tortola, British Virgin Islands, via International Certified Mail # r-066-
11 238-061, return receipt requested. On July 13th, 2000, Postal Service form 2865 (return receipt)
12 was returned, a copy hereon attached.

13
14
15 David F. Adams 7/13/2000
16 AFFILIANT DATE

17
18 SUBSCRIBED AND SWORN TO BEFORE me this 13th day of July, 2000.

19 Ronald R. Clark
20 NOTARY PUBLIC

21 My Commission Expires:



RECEIVED
2000 JUL 13 P 3:30
AZ CORP COMMISSION
DOCUMENT CONTROL



POSTAL SERVICE OF THE UNITED STATES OF AMERICA

Administration des Postes des Etats-Unis d'Amérique

PAR AVION

C5
Postmark of U
returning the
Timbre du
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POSTAL SERVICE
Service des postes

RETURN RECEIPT

Avis de réception

To be returned by the
quickest route (air or
surface mail), à
découvert and postage
free.

To be filled out by the sender, who will indicate his address for the return of this receipt.
A remplir par l'expéditeur, qui indiquera son adresse pour le renvoi du présent avis.

Name or firm

Nom ou raison sociale

D. THOMAS

A renvoyer par la
voie la plus rapide
(aérienne ou de
surface), à découvert et
en franchise de port.

Street and No.

Rue et no.

P. O. Box 866

City, State and ZIP Code

Lieu et Pays

01 07 0001

PS Form 2865
Mar. 1985



To be filled out by the office of origin.
A remplir par le bureau d'origine.

Registered Envois	<input type="checkbox"/> Insured parcel Colis avec valeur déclarée	Insured Value Valeur déclarée	Article no.
Office of mailing Bureau or depot		Date of posting Date de depot	

Addressee (Name or firm) Nom ou raison sociale du destinataire	BALLY OVERSEAS TRADING INC.		
Street and No. Rue et No.	AMS TRUSTEES LTD., SEA MEADOW HOUSE, P.O. Bx 116		
Place and country Lieu et Pays	ROAD TOWN, TORTOLA, BRITISH VIRGIN ISLANDS		

To be completed at destination.
A compléter à destination.

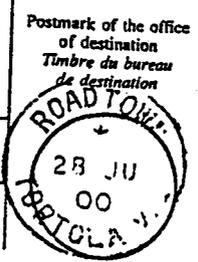
This receipt must be signed by the addressee or by a person authorized to do so by virtue of the regulations of the country of destination, or, if those regulations so provide, by the employee of the office of destination, and returned by the first mail directly to the sender.
Cet avis doit être signé par le destinataire ou par une personne y autorisée en vertu des règlements du pays de destination, ou, si ces règlements le comportent, par l'agent du bureau de destination, et renvoyé par le premier courrier directement à l'expéditeur.

The article mentioned above was duly delivered.
L'envoi mentionné ci-dessus a été dûment livré.

Date

Signature of the addressee
Signature du destinataire
[Handwritten Signature]

Signature of the employee of the office of destination.
Signature de l'agent du bureau de destination.



1 STATE OF ARIZONA

RECEIVED

AFFIDAVIT OF SERVICE

2 County of Maricopa

2000 JUN -5 P 2: 22

AZ CORP COMMISSION
DOCUMENT CENTER

3

4 I, Ron McKelvie, for the Securities Division of the Arizona Corporation Commission, hereby

5 certify that I am not less than 18 years of age, and that on the 2nd day of June, 2000 at

6 approximately 4:45 P. M., I served a copy of a Notice of Opportunity for Hearing Regarding

7 Proposed Order for Relief in the matter of the Arizona Corporation Commission Docket No. S-

8 03280A-00-0000, upon Bally Overseas Trading, Inc., care of AMS Trustees Limited, Registered

9 Agent, by: mailing a copy of the notice in an envelope to the last known business address at Sea

10 Meadow House, P.O. Box 116, Road Town, Tortola, British Virgin Islands, via International

11 Registered Mail #R-066-238-061, return receipt requested.

12

13

14 *Ron McKelvie*
AFFIANT (Signature)

5 JUNE 2000
DATE

15

16

17 SUBSCRIBED AND SWORN TO BEFORE me this 5th day of June,

18 2000.

19 *Margaret D. Pollard*
NOTARY PUBLIC (Signature)

20

21 My Commission Expires:



Notary Public State of Arizona
Maricopa County
Margaret D Pollard
Expires February 17 2004



COVER SHEET

48

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL CENTER

Arizona Corporation Commission
ORIGINAL DOCKETED

RECEIVED
DOCKET NO.

APR 17 2000

CASE/COMPANY NAME:

In the Matter of Joseph Michael Guess, Sr.,

2000 S-03280A-00-0000

DOCKETED BY

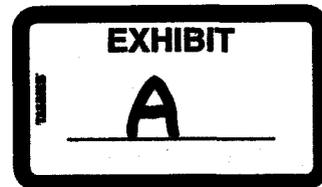
et al

D/B/A or RESPONDENT:

RGD Enterprises, Inc.

NATURE OF ACTION OR DESCRIPTION OF DOCUMENT

Please mark the item that describes the nature of the case/filing:



01 UTILITIES - NEW APPLICATIONS

- ___ NEW CC&N
- ___ RATES
- ___ INTERIM RATES
- ___ CANCELATION OF CC&N
- ___ DELETION OF CC&N (TERRITORY)
- ___ EXTENSION OF CC&N (TERRITORY)
- ___ TARIFF - NEW (NEXT OPEN MEETING)
- ___ REQUEST FOR ARBITRATION (Telecommunication Act)
- ___ FULLY OR PARTIALLY ARBITRATED INTERCONNECTION AGREEMENT (Telecom. Act.)
- ___ VOLUNTARY INTERCONNECTION AGREEMENT (Telecom. Act)

- ___ MAIN EXTENSION
- ___ CONTRACT/AGREEMENTS
- ___ COMPLAINT (Formal)
- ___ RULE VARIANCE/WAIVER REQUEST
- ___ SITING COMMITTEE CASE
- ___ SMALL WATER COMPANY -SURCHARGE (Senate Bill 1252)
- ___ SALE OF ASSETS & TRANSFER OF OWNERSHIP
- ___ SALE OF ASSETS & CANCELLATION OF CC&N
- ___ FUEL ADJUSTER/PGA
- ___ MERGER
- ___ FINANCING
- ___ MISCELLANEOUS

Specify

02 UTILITIES - REVISIONS/AMENDMENTS TO PENDING OR APPROVED MATTERS

APPLICATION
COMPANY
DOCKET NO.

TARIFF

PROMOTIONAL
DECISION NO.
DOCKET NO.
COMPLAINCE
DECISION NO.
DOCKET NO.

SECURITIES or MISCELLANEOUS FILINGS

- ___ 04 AFFIDAVIT
- ___ 12 EXCEPTION
- ___ 18 REQUEST FOR INTERVENTION
- x 48 REQUEST FOR HEARING
- ___ 24 OPPOSITION
- ___ 50 COMPLIANCE ITEM FOR APPROVAL
- ___ 32 TESTIMONY
- ___ 47 COMMENTS

- ___ 29 STIPULATION
- ___ 38 NOTICE OF INTENT (Only notification of future action/no action necessary)
- ___ 43 PETITION
- ___ 46 NOTICE OF LIMITED APPEARANCE
- ___ 39 OTHER

Specify

x 4-17-00
Date

Print Name of Applicant/Company/Contact person/Respondent/Atty.
x 6023-934-3769
Phone

PLEASE SEE NOTICE ON REVERSE SIDE

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The Law Office of Dale L. States
6724 North 43rd Avenue
Glendale, AZ 85301
(623) 934-3769 Telephone
Dale L. States, Bar No. 001543
Attorney for PLAINTIFFS

RECEIVED

2000 APR 17 P 4: 03

ARIZONA CORPORATION COMMISSION
REGULATORY CONTROL

BEFORE THE ARIZONA CORPORATION COMMISSION

CARL J. KUNASEK
Chairman
JIM IRVIN
Commissioner
WILLIAM A. MUNDELL
Commissioner

In re the matter of:

JOSEPH MICHAEL GUESS, SR.
2911 East Calvar Road
Phoenix, Arizona 85032

PROGRESSIVE FINANCIAL MANAGEMENT
2911 East Calvar Road
Phoenix, AZ 85032

JAMES DOUGLAS SHERRIFFS
5544 East Helena Drive
Scottsdale, AZ 85254

RICHARD GORDON DAVIS
4330 North 30th Street
Phoenix, AZ 85016

RGD
4330 North 30th Street
Phoenix, AZ 85016

RGD ENTERPRISES, INC.
4330 North 30th Street
Phoenix, AZ 85106

IRA JOE PATTERSON
43003 North 30th Street
Phoenix, AZ 85106

RANDALL WAYNE SMITH, JR.
1905 Springlake Court
Birmingham, Alabama 35215

BALLY OVERSEAS TRADING, INC.
1905 Springlake Court
Birmingham, Al 35215

Respondent,

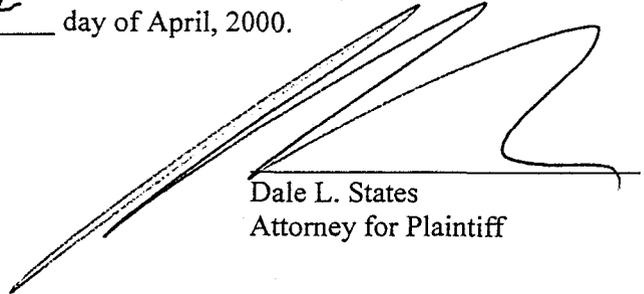
Docket No.: S-03280A-00-0000

RESPONDENT'S, RICHARD G.
DAVIS, REQUEST FOR HEARING

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COMES NOW Richard Davis, by and through counsel undersigned and hereby requests a hearing pursuant to A.R.S. 44-1972.

DATED this 17th day of April, 2000.



Dale L. States
Attorney for Plaintiff

A copy of the foregoing was mailed this 17th day of April, 2000 to:

JOSEPH MICHAEL GUESS, SR.
2911 East Calvar Road
Phoenix, Arizona 85032
Respondent

PROGRESSIVE FINANCIAL MANAGEMENT
2911 East Calvar Road
Phoenix, AZ 85032
Respondent

JAMES DOUGLAS SHERRIFFS
5544 East Helena Drive
Scottsdale, AZ 85254
Respondent

RICHARD GORDON DAVIS
4330 North 30th Street
Phoenix, AZ 85016
Respondent

RGD
4330 North 30th Street
Phoenix, AZ 85016
Respondent

RGD ENTERPRISES, INC.
4330 North 30th Street
Phoenix, AZ 85106
Respondent

IRA JOE PATTERSON
43003 North 30th Street
Phoenix, AZ 85106

1 Respondent

2 RANDALL WAYNE SMITH, JR.
3 1905 Springlake Court
4 Birmingham, Alabama 35215
5 Respondent

6 BALLY OVERSEAS TRADING, INC.
7 1905 Springlake Court
8 Birmingham, Al 35215
9 Respondent

10 by: _____

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The Law Office of Dale L. States
6724 North 43rd Avenue
Glendale, AZ 85301

Telephone (623) 934-3769
Facsimile (623) 463-8034

June 6, 2000

VIA FACSIMILE (602) 594-7438

Mark Knops, Esq.
Arizona Corporation Commission
Securities Division
1200 West Washington
Phoenix, AZ 85007

Re: Docket No. S-03280A-00-0000 – In the matter of Joseph Michael Guess, et al.

Dear Mr. Knops,

This letter will confirm that I only represent Richard Davis personally in the above-mentioned matter.

Yours truly,

Dictated but not read

Dale L. States

DLS/mlm

c: Richard Davis



602-542-4242

COVER SHEET

Delivered 11:30 AM

ORIGINAL ARIZONA CORPORATION COMMISSION DOCKET CONTROL CENTER

4-13-00
MGM

RECEIVED

CASE/COMPANY NAME:

DOCKET NO.

In the Matter of Joseph Michael Guess, Sr., APR 21

S03280A-00-0000

et al

D/B/A or RESPONDENT:

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

Arizona Corporation Commission

DOCKETED

Joseph Michael Guess, Sr.

APR 21 2000

NATURE OF ACTION OR DESCRIPTION OF DOCUMENT

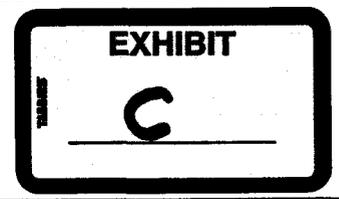
Please mark the item that describes the nature of the case/filing:

DOCKETED BY [Signature]

01 UTILITIES - NEW APPLICATIONS

- NEW CC&N
- RATES
- INTERIM RATES
- CANCELATION OF CC&N
- DELETION OF CC&N (TERRITORY)
- EXTENTION OF CC&N (TERRITORY)
- TARIFF - NEW (NEXT OPEN MEETING)
- REQUEST FOR ARBITRATION (Telecommunication Act)
- FULLY OR PARTIALLY ARBITRATED INTERCONNECTION AGREEMENT (Telecom. Act.)
- VOLUNTARY INTERCONNECTION AGREEMENT (Telecom. Act)

- MAIN EXTENSION
 - CONTRACT/AGREEMENTS
 - COMPLAINT (Formal)
 - RULE VARIANCE/WAIVER REQUEST
 - SITING COMMITTEE CASE
 - SMALL WATER COMPANY -SURCHARGE (Senate Bill 1252)
 - SALE OF ASSETS & TRANSFER OF OWNERSHIP
 - SALE OF ASSETS & CANCELLATION OF CC&N
 - FUEL ADJUSTER/PGA
 - MERGER
 - FINANCING
 - MISCELLANEOUS
- Specify



02 UTILITIES - REVISIONS/AMENDMENTS TO PENDING OR APPROVED MATTERS

APPLICATION COMPANY DOCKET NO.

TARIFF PROMOTIONAL DECISION NO. DOCKET NO. COMPLAINEE DECISION NO. DOCKET NO.

SECURITIES or MISCELLANEOUS FILINGS

- 04 AFFIDAVIT
- 12 EXCEPTION
- 18 REQUEST FOR INTERVENTION
- x 48 REQUEST FOR HEARING
- 24 OPPOSITION
- 50 COMPLIANCE ITEM FOR APPROVAL
- 32 TESTIMONY
- 47 COMMENTS

- 29 STIPULATION
 - 38 NOTICE OF INTENT (Only notification of future action/no action necessary)
 - 43 PETITION
 - 46 NOTICE OF LIMITED APPEARANCE
 - 39 OTHER
- Specify

+ 4-21-00 Date

+ Joseph Michael Guess Print Name of Applicant/Company/Contact person/Respondent/Atty. + 602-765-2792 Phone

PLEASE SEE NOTICE ON REVERSE SIDE

ORIGINAL

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2000 APR 21 P 1:31

April 21, 2000

AZ CORP COMMISSION
DOCUMENT CONTROL

Arizona Corporation Commission
Docket Control Center
1200 West Washington Street
Phoenix, AZ 85007-2996

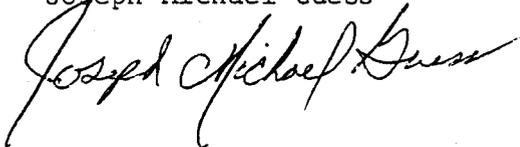
RE: Docket Number S-03280A-00-0000

Gentlemen:

I hereby request a hearing for myself in the matter of the RE docket number.

Sincerely,

Joseph Michael Guess



Arizona Corporation Commission
DOCKETED

APR 21 2000

DOCKETED BY 

COVER SHEET

48

ORIGINAL ARIZONA CORPORATION COMMISSION DOCKET CONTROL CENTER

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CASE/COMPANY NAME:

DOCKET NO.

In the Matter of Joseph Michael Guess, Sr., et al

S-03280A-00-0000 2000 APR 21 A 11:45

D/B/A or RESPONDENT:

Ira Joe Patterson

AZ CORP COMMISSION DOCUMENT CONTROL

Arizona Corporation Commission

DOCKETED

NATURE OF ACTION OR DESCRIPTION OF DOCUMENT

Please mark the item that describes the nature of the case/filing APR 21 2000

01 UTILITIES - NEW APPLICATIONS

DOCKETED BY [Signature]

- NEW CC&N
RATES
INTERIM RATES
CANCELATION OF CC&N
DELETION OF CC&N (TERRITORY)
EXTENTION OF CC&N (TERRITORY)
TARIFF - NEW (NEXT OPEN MEETING)
REQUEST FOR ARBITRATION (Telecommunication Act)
FULLY OR PARTIALLY ARBITRATED INTERCONNECTION AGREEMENT (Telecom. Act.)
VOLUNTARY INTERCONNECTION AGREEMENT (Telecom. Act)

- MAIN EXTENSION
CONTRACT/AGREEMENTS
COMPLAINT (Formal)
RULE VARIANCE/WAIVER REQUEST
SITING COMMITTEE CASE
SMALL WATER COMPANY -SURCHARGE (Senate Bill 1252)
SALE OF ASSETS & TRANSFER OF OWNERSHIP
SALE OF ASSETS & CANCELLATION OF CC&N
FUEL ADJUSTER/PGA
MERGER
FINANCING
MISCELLANEOUS Specify

EXHIBIT 1 D

02 UTILITIES - REVISIONS/AMENDMENTS TO PENDING OR APPROVED MATTERS

APPLICATION COMPANY DOCKET NO.

TARIFF PROMOTIONAL DECISION NO. DOCKET NO. COMPLAINEE DECISION NO. DOCKET NO.

SECURITIES or MISCELLANEOUS FILINGS

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47 COMMENTS

- 29 STIPULATION
38 NOTICE OF INTENT (Only notification of future action/no action necessary)
43 PETITION
46 NOTICE OF LIMITED APPEARANCE
39 OTHER Specify

4/21/00 Date

x IRA J. Patterson Print Name of Applicant/Company/Contact person/Respondent/Atty. +602-954-0572 Phone

PLEASE SEE NOTICE ON REVERSE SIDE

Knops

RECEIVED

2000 APR 21 A 11:46

AZ CORP COMMISSION
DOCUMENT CONTROL

April 21, 2000

Arizona Corporation Commission
Docket Control Center
1200 West Washington Street
Phoenix, AZ 85007-2996

RE: Docket Number S-03280A-00-0000

Gentlemen:

I hereby request a hearing for myself in the matter of the RE Docket number.

Sincerely,



Ira J. Patterson

COVER SHEET

48

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL CENTER

RECEIVED

CASE/COMPANY NAME:

DOCKET NO. 5-092804-0002

Arizona Corporation Commission

2000 APR 24 P 12:00

DOCKETED

D/B/A or RESPONDENT:

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

APR 24 2000

James O. Sherrills

DOCKETED BY [Signature]

NATURE OF ACTION OR DESCRIPTION OF DOCUMENT

Please mark the item that describes the nature of the case/filing:

01 UTILITIES - NEW APPLICATIONS

- NEW CC&N
- RATES
- INTERIM RATES
- CANCELLATION OF CC&N
- DELETION OF CC&N (TERRITORY)
- EXTENSION OF CC&N (TERRITORY)
- TARIFF - NEW (NEXT OPEN MEETING)
- REQUEST FOR ARBITRATION (Telecommunication Act)
- FULLY OR PARTIALLY ARBITRATED INTERCONNECTION AGREEMENT (Telecom. Act.)
- VOLUNTARY INTERCONNECTION AGREEMENT (Telecom. Act)

- MAIN EXTENSION
- CONTRACT/AGREEMENTS
- COMPLAINT (Formal)
- RULE VARIANCE/WAIVER REQUEST
- SITING COMMITTEE CASE
- SMALL WATER COMPANY -SURCHARGE (Senate Bill 1252)
- SALE OF ASSETS & TRANSFER OF OWNERSHIP
- SALE OF ASSETS & CANCELLATION OF CC&N
- FUEL ADJUSTER/PGA
- MERGER
- FINANCING
- MISCELLANEOUS
Specify

EXHIBIT
E

02 UTILITIES - REVISIONS/AMENDMENTS TO PENDING OR APPROVED MATTERS

- | | |
|---|--|
| <input type="checkbox"/> APPLICATION COMPANY DOCKET NO. _____ | <input type="checkbox"/> TARIFF PROMOTIONAL DECISION NO. _____ DOCKET NO. _____ COMPLIANCE DECISION NO. _____ DOCKET NO. _____ |
|---|--|

SECURITIES or MISCELLANEOUS FILINGS

- | | |
|--|---|
| <input type="checkbox"/> 04 AFFIDAVIT | <input type="checkbox"/> 29 STIPULATION |
| <input type="checkbox"/> 12 EXCEPTIONS | <input type="checkbox"/> 38 NOTICE OF INTENT (Only notification of future action/no action necessary) |
| <input type="checkbox"/> 18 REQUEST FOR INTERVENTION | <input type="checkbox"/> 43 PETITION |
| <input checked="" type="checkbox"/> 48 REQUEST FOR HEARING | <input type="checkbox"/> 46 NOTICE OF LIMITED APPEARANCE |
| <input type="checkbox"/> 24 OPPOSITION | <input type="checkbox"/> OTHER |
| <input type="checkbox"/> 50 COMPLIANCE ITEM FOR APPROVAL | <input type="checkbox"/> 39 Specify _____ |
| <input type="checkbox"/> 32 TESTIMONY | |
| <input type="checkbox"/> 47 COMMENTS | |

4/20/00 Date

JAMES O. SHERRILLS
Print Name of Applicant/Company/Contact person/Respondent/Atty.
602-787-8282
Phone

PLEASE SEE NOTICE ON REVERSE SIDE

Knaps

RECEIVED

2000 APR 24 4:59 PM
5544 E. Helena Drive
Scottsdale, Az. 85254

ARIZONA CORPORATION COMMISSION
DOCKET CONTROL

Arizona Corporation Commission
Docket Control Center
1200 West Washington Street
Phoenix, Arizona 85007-2996

I hereby am requesting a hearing with the Arizona Corporation Commission. The Docket No. is S-03280A-00-0000.

I am sending the necessary forms as requested.

Sincerely,

James D. Sherriffs
James D. Sherriffs

1 ORIGINAL AND TEN (10) COPIES of the foregoing
2 filed this 24th day of July, 2000, with:

3 Docket Control
4 Arizona Corporation Commission
5 1200 West Washington
6 Phoenix, AZ 85007

7 COPY of the foregoing mailed and/or faxed this
8 24th day of July, 2000 to:

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11 Phoenix, AZ 85032
12 RESPONDENT PRO SE

13 Progressive Financial Management
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15 Phoenix, AZ 85032
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RESPONDENT

24 By: Karen Houle
25
26