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BEFORE THE ARIZONA CORPORATION COMMISSION

CARL J. KUNASEK
CHAIRMAN
JIM IRVIN
COMMISSIONER
WILLIAM A. MUNDELL
COMMISSIONER

IN THE MATTER OF:

DOCKET NO. S-03280A-00-0000

JOSEPH MICHAEL GUESS, SR.
2911 E. Calavar Road
Phoenix, Arizona 85032

PROGRESSIVE FINANCIAL MANAGEMENT
2911 E. Calavar Road
Phoenix, Arizona 85032

JAMES DOUGLAS SHERRIFFS
5544 East Helena Drive
Scottsdale, Arizona 85254

RICHARD GORDON DAVIS
4330 North 30th Street
Phoenix, Arizona 85016

RGD
4330 North 30th Street
Phoenix, Arizona 85016

RGD ENTERPRISES, INC.
4330 North 30th Street
Phoenix, Arizona 85016

IRA JOE PATTERSON
4330 North 30th Street
Phoenix, Arizona 85016

RANDALL WAYNE SMITH, JR.
1905 Springlake Court
Birmingham, Alabama 35215

BALLY OVERSEAS TRADING INC.
1905 Springlake Court
Birmingham, Alabama 35215,

Respondents.

PROCEDURAL ORDER

BY THE COMMISSION:

On April 6, 2000, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order

1 for Relief ("Notice") against Joseph Michael Guess, Sr., Progressive Financial Management, James
2 Douglas Sherriffs, Richard Gordon Davis, RGD, RGD Enterprises, Inc., Ira Joe Patterson, Randall
3 Wayne Smith, Jr., and Bally Overseas Trading Inc., (collectively the "Respondents") in which the
4 Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the
5 offer and sale of securities in the form of investment contracts.

6 The Respondents were all duly served with copies of the Notice.

7 On April 17, 2000, counsel for Respondent Richard G. Davis filed a request for hearing.

8 On April 21, 2000, Respondents, Joseph Michael Guess, Sr. and Ira J. Patterson, filed requests
9 for hearing.

10 On April 24, 2000, Respondent, James D. Sherriffs, filed a request for hearing.

11 Accordingly, a pre-hearing conference should be scheduled to address the issues raised in the
12 Notice and the presentation of evidence.

13 IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on May 11, 2000,
14 at 11:00 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.

15 DATED this 1st day of May, 2000.

16
17
18 
19 MARC E. STERN
HEARING OFFICER

20 Copies of the foregoing mailed/delivered
21 this 1st day of May, 2000 to:

22 Dale L. States
23 6724 North 43rd Avenue
24 Glendale, Arizona 85301
Attorney for Richard G. Davis

25 Ira J. Patterson
26 4330 North 30th Street
Phoenix, Arizona 85016

27 Joseph Michael Guess, Sr.
28 2911 E. Calavar Road
Phoenix, Arizona 85032

1 James D. Sheriffs
2 5544 E. Helena Drive
3 Scottsdale, Arizona 85254

3 Progressive Financial Management
4 2911 E. Calavar Road
5 Phoenix, Arizona 85032

5 RGD
6 4330 North 30th Street
7 Phoenix, Arizona 85016

7 RGD Enterprises, Inc.
8 4330 North 30th Street
9 Phoenix, Arizona 85016

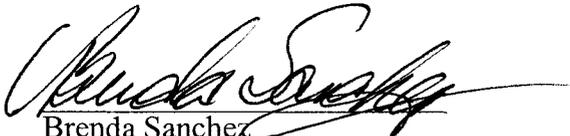
9 Randall Wayne Smith, Jr.
10 1905 Springlake Court
11 Birmingham, Alabama 35215

11 Bally Overseas Trading Inc.
12 1905 Springlake Court
13 Birmingham, Alabama 35215

13 Robert A. Zumoff
14 Assistant Attorney General
15 ARIZONA ATTORNEY GENERAL'S OFFICE
16 1275 West Washington Street
17 Phoenix, Arizona 85007

16 W. Mark Sendrow, Director
17 Securities Division
18 ARIZONA CORPORATION COMMISSION
19 1300 West Washington Street
20 Phoenix, Arizona 85007

19 ARIZONA REPORTING SERVICE, INC.
20 2627 N. Third Street, Suite Three
21 Phoenix, Arizona 85004-1104

21
22 By: 
23 Brenda Sanchez
24 Secretary to Marc E. Stern
25
26
27
28