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BEFORE THE ARIZONA CORPORATION COMMISSION

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Arizona Corporation Commission

DOCKETED

FEB 12 2010

COMMISSIONERS

KRISTIN K. MAYES - Chairman 2010 FEB 12 P 2: 36
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

AZ CORP COMMISSION
DOCKET CONTROL

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IN THE MATTER OF:

DOCKET NO. S-20719A-09-0583

MORGAN FINANCIAL, L.L.C., an Arizona limited liability company,

and

JIMMY HARTGRAVES JR. and LAURIE HARTGRAVES, husband and wife,

RESPONDENTS.

SECOND PROCEDURAL ORDER
(Continues Pre-Hearing Conference)

BY THE COMMISSION:

On December 30, 2009, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Morgan Financial, L.L.C., an Arizona limited liability company ("Morgan") and Jimmy Hartgraves, Jr. and Laurie Hartgraves, husband and wife, (collectively "Respondents") in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes.

The Respondents were duly served with copies of the Notice.

On January 28, 2010, a request for hearing was filed by Respondents.

On February 2, 2010, by Procedural Order, a pre-hearing conference was scheduled on February 25, 2010.

On February 12, 2010, a Stipulation to Continue the pre-hearing conference was filed by the parties stating that due to conflicts in Respondents' counsel's schedule a continuance is necessary.

Accordingly, the pre-hearing conference should be continued.

IT IS THEREFORE ORDERED that the pre-hearing conference scheduled on February 25, 2010, shall be continued to March 9, 2010 at 9:30 a.m., at the Commission's offices, 1200 West

1 Washington Street, Room 100, Phoenix, Arizona.

2 IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized
3 Communications) applies to this proceeding as the matter is now set for public hearing.

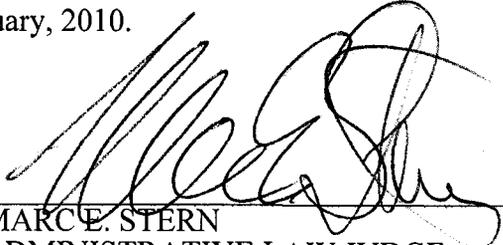
4 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance
5 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
6 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances
7 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is
8 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the
9 Administrative Law Judge or the Commission.

10 IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules
11 of the Arizona Supreme Court and A.R.S. § 40-243 with respect to practice of law and admission
12 *pro hac vice*.

13 IT IS FURTHER ORDERED that Respondent's request for discovery shall be taken under
14 advisement.

15 IT IS FURTHER ORDERED that the Presiding Officer may rescind, alter, amend, or waive
16 any portion of this Procedural Order either by subsequent Procedural Order or by ruling at hearing.

17 DATED this 12th day of February, 2010.

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21 
MARC E. STERN
ADMINISTRATIVE LAW JUDGE

22 Copies of the foregoing mailed/delivered
23 this 12th day of February, 2010 to:

Phoenix, AZ 85007

24 Donald J. Newman
25 KELLER, KELLER & NEWMAN, L.L.P.
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26 Matt Neubert, Director
27 Securities Division
ARIZONA CORPORATION COMMISSION
28 1300 West Washington Street

By: 
Debra Broyles
Secretary to Marc E. Stern